

21-April-16

AUSTRALIA

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
1-PAGE Limited	1PG.AX / 1PG AU	25%	✗	1%
360 Capital Group Limited	TGP.AX / TGP AU	25%	✗	1%
360 Capital Industrial Fund	TIX.AX / TIX AU	25%	✗	1%
360 Capital Office Fund	TOF.AX / TOF AU	25%	✗	1%
3D Medical Limited	M7T.AX / M7T AU	25%	✗	1%
3P Learning Limited	3PL.AX / 3PL AU	25%	✗	1%
88 Energy Limited	88E.AX / 88E AU	25%	✗	0.3%
ABM Resources NL	ABU.AX / ABU AU	25%	✗	1%
AGL Energy Limited	AGL.AX / AGL AU	10%	✓	0.3%
AJ Lucas Group Limited	AJL.AX / AJL AU	25%	✗	1%
ALE Property Group	LEP.AX / LEP AU	25%	✗	1%
ALS Limited	ALQ.AX / ALQ AU	10%	✗	1%
AMA Group Limited	AMA.AX / AMA AU	75%	✗	1%
AMP Capital China Growth Fund	AGF.AX / AGF AU	25%	✗	1%
AMP Limited	AMP.AX / AMP AU	5%	✓	0.3%
AP Eagers Limited	APE.AX / APE AU	25%	✗	1%
APA Group	APA.AX / APA AU	10%	✓	0.3%
APN News & Media Limited	APN.AX / APN AU	25%	✓	0.7%
APN Outdoor Group Limited	APO.AX / APO AU	25%	✓	1%
APN Property Group Limited	APD.AX / APD AU	25%	✗	1%
ARB Corporation Limited	ARB.AX / ARB AU	20%	✗	1%
ASG Group Limited	ASZ.AX / ASZ AU	25%	✗	1%
ASX Limited	ASX.AX / ASX AU	10%	✓	0.3%
AUB Group Limited	AUB.AX / AUB AU	25%	✗	1%
AVJennings Limited	AVJ.AX / AVJ AU	25%	✗	1%
AWE Limited	AWE.AX / AWE AU	20%	✓	0.3%
Abacus Property Group	ABP.AX / ABP AU	20%	✓	0.7%
Aberdeen Leaders Limited	ALR.AX / ALR AU	25%	✗	1%
Aconex Limited	ACX.AX / ACX AU	25%	✗	1%
Acrux Limited	ACR.AX / ACR AU	20%	✗	1%
Adacel Technologies Limited	ADA.AX / ADA AU	25%	✗	1%
Adairs Ltd	ADH.AX / ADH AU	25%	✗	1%
Adelaide Brighton Limited	ABC.AX / ABC AU	10%	✓	0.3%
Admedus Limited	AHZ.AX / AHZ AU	25%	✗	0.7%
Adslot Limited	ADJ.AX / ADJ AU	25%	✗	1%
Ainsworth Game Technology Limited	AGI.AX / AGI AU	20%	✗	0.7%
Air New Zealand Limited	AIZ.AX / AIZ AU	25%	✗	1%
Alacer Gold Corporation	AQG.AX / AQG AU	20%	✓	0.7%
Alchemia Limited	ACL.AX / ACL AU	25%	✗	1%
Alexium International Group Limited	AJX.AX / AJX AU	25%	✗	1%
Alkane Resources Limited	ALK.AX / ALK AU	25%	✗	1%
Alliance Aviation Services Limited	AQZ.AX / AQZ AU	25%	✗	1%
Alliance Resources Limited	AGS.AX / AGS AU	25%	✗	0.7%
Altium Limited	ALU.AX / ALU AU	25%	✓	1%
Altona Mining Limited	AOH.AX / AOH AU	25%	✗	0.3%
Altura Mining Limited	AJM.AX / AJM AU	25%	✗	1%
Alumina Limited	AWC.AX / AWC AU	20%	✗	0.3%
Amcil Limited	AMH.AX / AMH AU	25%	✗	1%

Amtcor Limited	AMC.AX / AMC AU	5%	✓	0.3%
Ansell Limited	ANN.AX / ANN AU	10%	✓	0.3%
Antares Energy Limited	AZZ.AX / AZZ AU	25%	✗	0.3%
Anteo Diagnostics Limited	ADO.AX / ADO AU	25%	✗	1%
Appen Limited	APX.AX / APX AU	25%	✗	1%
Arafura Resources Limited	ARU.AX / ARU AU	25%	✗	1%
Ardent Leisure Group	AAD.AX / AAD AU	20%	✓	1%
Arena REIT	ARFDC.AX / ARF AU	25%	✗	1%
Argo Investments Limited	ARG.AX / ARG AU	25%	✗	1%
Aristocrat Leisure Limited	ALL.AX / ALL AU	10%	✗	0.3%
Arrium Limited	ARI.AX / ARI AU	10%	✗	0.3%
Asaleo Care Limited	AHY.AX / AHY AU	15%	✓	0.7%
Asciano Limited	AIO.AX / AIO AU	10%	✓	0.3%
Asia Pacific Data Centre Group	AJD.AX / AJD AU	25%	✗	1%
Aspen Group	APZ.AX / APZ AU	25%	✗	1%
Aspire Mining Limited	AKM.AX / AKM AU	25%	✗	0.3%
Astro Japan Property Group	AJA.AX / AJA AU	25%	✗	0.7%
Atlas Iron Limited	AGO.AX / AGO AU	25%	✗	0.7%
Atrum Coal NL	ATU.AX / ATU AU	25%	✗	1%
Audio Pixels Holdings Limited	AKP.AX / AKP AU	25%	✗	1%
Aurelia Metals Limited	AMI.AX / AMI AU	25%	✗	1%
Aurizon Holdings Limited	AZJ.AX / AZJ AU	5%	✓	0.3%
AusNet Services Limited	AST.AX / AST AU	10%	✓	0.3%
AusTex Oil Limited	AOK.AX / AOK AU	25%	✗	1%
Ausdrill Limited	ASL.AX / ASL AU	20%	✗	0.7%
Austal Limited	ASB.AX / ASB AU	25%	✓	0.7%
Austin Engineering Limited	ANG.AX / ANG AU	25%	✗	1%
Australia & New Zealand Banking Group Limited	ANZ.AX / ANZ AU	5%	✓	0.3%
Australian Agricultural Company Limited	AAC.AX / AAC AU	25%	✓	0.7%
Australian Careers Network Limited	ACO.AX / ACO AU	25%	✗	1%
Australian Finance Group Limited	AFG.AX / AFG AU	25%	✗	1%
Australian Foundation Investment Company Limited	AFI.AX / AFI AU	25%	✗	1%
Australian Leaders Fund Limited	ALF.AX / ALF AU	25%	✗	1%
Australian Pharmaceutical Industries Limited	API.AX / API AU	25%	✓	1%
Australian United Investment Company Limited	AUI.AX / AUI AU	25%	✗	1%
Australian Vintage Limited	AVG.AX / AVG AU	25%	✗	1%
Auswide Bank Limited	ABA.AX / ABA AU	25%	✗	1%
Automotive Holdings Group Limited	AHG.AX / AHG AU	20%	✓	1%
Avanco Resources Limited	AVB.AX / AVB AU	25%	✗	0.3%
Aventus Retail Property Fund Ltd	AVN.AX / AVN AU	25%	✗	1%
Aveo Group	AOG.AX / AOG AU	10%	✓	0.7%
Axiom Mining Limited	AVQ.AX / AVQ AU	25%	✗	1%
BC Iron Limited	BCI.AX / BCI AU	10%	✗	1%
BHP Billiton Limited	BHP.AX / BHP AU	5%	✓	0.3%
BKI Investment Company Limited	BKI.AX / BKI AU	25%	✗	1%
BSA Limited	BSA.AX / BSA AU	25%	✗	1%
BT Investment Management Limited	BTT.AX / BTT AU	25%	✗	1%
BWP Trust	BWP.AX / BWP AU	20%	✓	0.7%
BWX Limited	BWX.AX / BWX AU	25%	✗	1%
Baby Bunting Group Limited	BBN.AX / BBN AU	25%	✗	1%
Bank of Queensland Limited	BOQ.AX / BOQ AU	10%	✓	0.3%
Base Resources Limited	BSE.AX / BSE AU	25%	✗	0.3%
Bathurst Resources Limited	BRL.AX / BRL AU	25%	✗	0.7%
Beach Energy Limited	BPT.AX / BPT AU	20%	✓	0.7%

Beadell Resources Limited	BDR.AX / BDR AU	20%	✗	0.7%
Bega Cheese Limited	BGA.AX / BGA AU	20%	✗	1%
Bellamy's Australia Limited	BAL.AX / BAL AU	25%	✗	1%
Bendigo and Adelaide Bank Limited	BEN.AX / BEN AU	10%	✓	0.3%
Benitec Biopharma Limited	BLT.AX / BLT AU	25%	✗	1%
Berkeley Energia Limited	BKY.AX / BKY AU	25%	✗	1%
Beston Global Food Company Limited	BFC.AX / BFC AU	25%	✗	1%
BetaShares Australian Equities Bear Hedge Fund	BEAR.AX / BEAR AU	10%	✗	0.3%
BetaShares Australian Equities Strong Bear Hedge Fund	BBOZ.AX / BBOZ AU	10%	✗	0.3%
BetaShares Australian High Interest Cash ETF	AAA.AX / AAA AU	10%	✗	0.3%
BetaShares Geared Australian Equity Fund (hedge fund)	GEAR.AX / GEAR AU	10%	✗	0.3%
BetaShares NASDAQ 100 ETF	NDQ.AX / NDQ AU	25%	✗	1%
BetaShares S&P ASX 200 Financials Sector ETF	QFN.AX / QFN AU	5%	✗	1%
BetaShares U.S. Dollar ETF	USD.AX / USD AU	10%	✗	0.3%
BigAir Group Limited	BGL.AX / BGL AU	25%	✗	1%
Billabong International Limited	BBG.AX / BBG AU	20%	✓	0.3%
Bionomics Limited	BNO.AX / BNO AU	25%	✗	1%
Blackmores Limited	BKL.AX / BKL AU	25%	✗	1%
Blue Energy Limited	BUL.AX / BUL AU	25%	✗	1%
Blue Sky Alternative Investments Limited	BLA.AX / BLA AU	25%	✗	1%
Blue Sky Alternatives Access Fund Limited	BAF.AX / BAF AU	10%	✗	0.3%
BlueScope Steel Limited	BSL.AX / BSL AU	10%	✓	0.3%
Boart Longyear Limited	BLY.AX / BLY AU	20%	✗	0.3%
Boom Logistics Limited	BOL.AX / BOL AU	25%	✗	1%
Boral Limited	BLD.AX / BLD AU	10%	✓	0.3%
Bougainville Copper Limited	BOC.AX / BOC AU	25%	✗	1%
Bradken Limited	BKN.AX / BKN AU	10%	✗	0.3%
BrainChip Holdings Limited	BRN.AX / BRN AU	25%	✗	1%
Brambles Limited	BXB.AX / BXB AU	5%	✓	0.3%
Breville Group Limited	BRG.AX / BRG AU	20%	✗	1%
Brickworks Limited	BKW.AX / BKW AU	25%	✗	1%
Bristle Pty Limited	BRS.AX / BRS AU	25%	✗	1%
Buddy Platform Limited	BUD.AX / BUD AU	25%	✗	1%
Burson Group Limited	BAP.AX / BAP AU	25%	✓	1%
Buru Energy Limited	BRU.AX / BRU AU	25%	✗	1%
CSG Limited	CSV.AX / CSV AU	25%	✓	1%
CSL Limited	CSL.AX / CSL AU	5%	✓	0.3%
CSR Limited	CSR.AX / CSR AU	10%	✓	0.3%
CYBG PLC	CYB.AX / CYB AU	10%	✗	0.3%
Cabcharge Australia Limited	CAB.AX / CAB AU	20%	✗	0.3%
Cadence Capital Limited	CDM.AX / CDM AU	25%	✗	0.7%
Caltex Australia Limited	CTX.AX / CTX AU	10%	✓	0.3%
Cape Lambert Resources Limited	CFE.AX / CFE AU	25%	✗	1%
Capilano Honey Limited	CZZ.AX / CZZ AU	25%	✗	1%
Capitol Health Limited	CAJ.AX / CAJ AU	25%	✗	1%
Capral Limited	CAA.AX / CAA AU	25%	✗	1%
Cardno Limited	CDD.AX / CDD AU	20%	✗	1%
Carindale Property Trust	CDP.AX / CDP AU	25%	✗	1%
Carlton Investments Limited	CIN.AX / CIN AU	25%	✗	1%
Carnarvon Petroleum Limited	CVN.AX / CVN AU	25%	✗	0.7%
Carnegie Wave Energy Limited	CWE.AX / CWE AU	25%	✗	1%
Cash Converters International Limited	CCV.AX / CCV AU	25%	✓	1%
Catapult Group International Limited	CAT.AX / CAT AU	25%	✗	1%
Cedar Woods Properties Limited	CWP.AX / CWP AU	25%	✗	1%

Central Petroleum Limited	CTP.AX / CTP AU	25%	✗	1%
Century Australia Investments Limited	CYA.AX / CYA AU	25%	✗	1%
Challenger Limited	CGF.AX / CGF AU	10%	✓	0.7%
Charter Hall Group	CHC.AX / CHC AU	20%	✓	1%
Charter Hall Retail REIT	CQR.AX / CQR AU	10%	✓	0.3%
China Dairy Corporation Limited	CDC.AX / CDC AU	25%	✗	1%
Chorus Limited	CNU.AX / CNU AU	25%	✗	0.3%
Citigold Corporation Limited	CTO.AX / CTO AU	25%	✗	1%
Clean Seas Tuna Limited	CSS.AX / CSS AU	25%	✗	1%
Cleanaway Waste Management Limited	TPI.AX / TPI AU	10%	✗	0.3%
Cleanaway Waste Management Limited	CWY.AX / CWY AU	10%	✗	1%
Clinuvel Pharmaceuticals Limited	CUV.AX / CUV AU	25%	✗	1%
Coca-Cola Amatil Limited	CCL.AX / CCL AU	5%	✓	0.3%
Cochlear Limited	COH.AX / COH AU	10%	✓	0.3%
Codan Limited	CDA.AX / CDA AU	25%	✗	1%
Coffey International Limited	COF.AX / COF AU	25%	✗	1%
Cokal Limited	CKA.AX / CKA AU	25%	✗	0.3%
Collection House Limited	CLH.AX / CLH AU	25%	✗	1%
Collins Foods Limited	CKF.AX / CKF AU	25%	✗	0.3%
Commonwealth Bank of Australia	CBA.AX / CBA AU	5%	✓	0.3%
Computershare Limited	CPU.AX / CPU AU	10%	✓	0.3%
Contango MicroCap Limited	CTN.AX / CTN AU	25%	✗	1%
Cooper Energy Limited	COE.AX / COE AU	25%	✗	1%
Corporate Travel Management Limited	CTD.AX / CTD AU	25%	✗	1%
Costa Group Holdings Limited	CGC.AX / CGC AU	15%	✓	1%
Covata Limited	CVT.AX / CVT AU	25%	✗	1%
Cover-More Group Limited	CVO.AX / CVO AU	25%	✓	1%
Credit Corp. Group Limited	CCP.AX / CCP AU	25%	✗	1%
Cromwell Property Group	CMW.AX / CMW AU	20%	✓	1%
Crown Resorts Limited	CWN.AX / CWN AU	5%	✗	0.3%
CuDe Company Limited	CDU.AX / CDU AU	50%	✗	0.7%
Cue Energy Resources Limited	CUE.AX / CUE AU	25%	✗	1%
DUET Group	DUE.AX / DUE AU	20%	✓	0.3%
DWS Limited	DWS.AX / DWS AU	25%	✗	1%
Dacian Gold Limited	DCN.AX / DCN AU	25%	✗	1%
Data#3 Limited	DTL.AX / DTL AU	25%	✗	1%
Decmil Group Limited	DCG.AX / DCG AU	25%	✗	1%
Deep Yellow Limited	DYL.AX / DYL AU	25%	✗	1%
Dexus Property Group	DXS.AX / DXS AU	10%	✓	0.3%
Dicker Data Limited	DDR.AX / DDR AU	25%	✗	1%
Diversified United Investment Limited	DUI.AX / DUI AU	25%	✗	1%
Djerriwarrh Investments Limited	DJW.AX / DJW AU	25%	✗	1%
Domino's Pizza Enterprises Limited	DMP.AX / DMP AU	20%	✓	1%
Donaco International Limited	DNA.AX / DNA AU	25%	✗	1%
Dongfang Modern Agriculture Holding Group Limited	DFM.AX / DFM AU	25%	✗	1%
Doray Minerals Limited	DRM.AX / DRM AU	25%	✗	1%
Downer EDI Limited	DOW.AX / DOW AU	10%	✓	0.3%
Drillsearch Energy Limited	DLS.AX / DLS AU	20%	✗	1%
DuluxGroup Limited	DLX.AX / DLX AU	10%	✓	0.3%
Dyesol Limited	DYE.AX / DYE AU	25%	✗	1%
ERM Power Limited	EPW.AX / EPW AU	20%	✗	0.7%
ETFS Physical Gold	GOLD.AX / GOLD AU	10%	✗	0.3%
Eclix Group Limited	ECX.AX / ECX AU	25%	✗	1%
Eden Energy Limited	EDE.AX / EDE AU	25%	✗	1%

Elders Limited	ELD.AX / ELD AU	25%	✗	0.7%
Elemental Minerals Limited	ELM.AX / ELM AU	25%	✗	0.3%
Emeco Holdings Limited	EHL.AX / EHL AU	25%	✗	0.7%
Emerchants Limited	EML.AX / EML AU	25%	✗	1%
Empire Energy Group Limited	EEG.AX / EEG AU	25%	✗	1%
Empired Limited	EPD.AX / EPD AU	25%	✗	1%
Endeavour Mining Corporation	EVR.AX / EVR AU	25%	✗	1%
Energy Resources of Australia Limited	ERA.AX / ERA AU	25%	✗	0.3%
Energy World Corporation Limited	EWG.AX / EWG AU	25%	✗	1%
Equatorial Resources Limited	EQX.AX / EQX AU	25%	✗	0.3%
Equity Trustees Limited	EQT.AX / EQT AU	25%	✗	1%
Estia Health Limited	EHE.AX / EHE AU	20%	✓	0.7%
Ethane Pipeline Income Fund	EPX.AX / EPX AU	25%	✗	1%
Eureka Group Holdings Limited	EGH.AX / EGH AU	25%	✗	1%
Euroz Limited	EZL.AX / EZL AU	25%	✗	1%
Event Hospitality & Entertainment Limited	EVT.AX / EVT AU	25%	✗	1%
Evolution Mining Limited	EVN.AX / EVN AU	20%	✓	0.3%
FAR Limited	FAR.AX / FAR AU	25%	✗	1%
FSA Group Limited	FSA.AX / FSA AU	25%	✗	1%
Fairfax Media Limited	FXJ.AX / FXJ AU	10%	✓	0.3%
Finbar Group Limited	FRI.AX / FRI AU	25%	✗	1%
Fisher & Paykel Healthcare Corporation Limited	FPH.AX / FPH AU	25%	✓	1%
Fleetwood Corporation Limited	FWD.AX / FWD AU	25%	✗	0.7%
Fletcher Building Limited	FBU.AX / FBU AU	10%	✓	1%
FlexiGroup Limited	FXL.AX / FXL AU	20%	✓	1%
Flight Centre Travel Group Limited	FLT.AX / FLT AU	10%	✗	0.7%
Flinders Mines Limited	FMS.AX / FMS AU	25%	✗	1%
Focus Minerals Limited	FML.AX / FML AU	25%	✗	1%
Folkestone Education Trust	FET.AX / FET AU	25%	✓	1%
Fonterra Shareholders Fund	FSF.AX / FSF AU	25%	✗	1%
Fortescue Metals Group Limited	FMG.AX / FMG AU	10%	✗	0.3%
Freedom Foods Group Limited	FNP.AX / FNP AU	25%	✗	1%
Freelancer Limited	FLN.AX / FLN AU	25%	✗	1%
Funtastic Limited	FUN.AX / FUN AU	25%	✗	1%
G8 Education Limited	GEM.AX / GEM AU	10%	✗	0.3%
GBST Holdings Limited	GBT.AX / GBT AU	25%	✗	1%
GDI Property Group	GDI.AX / GDI AU	25%	✓	1%
GI Dynamics Inc	GID.AX / GID AU	25%	✗	1%
GPT Group	GPT.AX / GPT AU	10%	✓	0.7%
GPT Metro Office Fund	GMF.AX / GMF AU	25%	✗	1%
GUD Holdings Limited	GUD.AX / GUD AU	20%	✗	0.3%
GWA Group Limited	GWA.AX / GWA AU	20%	✓	0.3%
Gage Roads Brewing Company Limited	GRB.AX / GRB AU	25%	✗	1%
Gateway Lifestyle Group	GTY.AX / GTY AU	25%	✗	1%
General Mining Corporation Limited	GMM.AX / GMM AU	25%	✗	1%
Generation Healthcare REIT	GHC.AX / GHC AU	25%	✗	1%
Genesis Energy Limited	GNE.AX / GNE AU	25%	✗	1%
Genworth Mortgage Insurance Australia Limited	GMA.AX / GMA AU	20%	✗	0.7%
Geodynamics Limited	GDY.AX / GDY AU	25%	✗	0.7%
Gindalbie Metals Limited	GBG.AX / GBG AU	25%	✗	1%
Gold Road Resources Limited	GOR.AX / GOR AU	25%	✗	0.3%
Goodman Group	GMG.AX / GMG AU	10%	✓	0.3%
Gowing Bros Limited	GOW.AX / GOW AU	25%	✗	1%
Grain Corporation Limited	GNC.AX / GNC AU	10%	✓	0.7%

Greencross Limited	GXL.AX / GXL AU	25%	✗	1%
Greenland Minerals and Energy Limited	GGG.AX / GGG AU	50%	✗	1%
Growthpoint Properties Australia	GOZ.AX / GOZ AU	25%	✗	1%
Gryphon Minerals Limited	GRY.AX / GRY AU	25%	✗	1%
HFA Holdings Limited	HFA.AX / HFA AU	25%	✗	0.7%
HUB24 Limited	HUB.AX / HUB AU	25%	✗	1%
Hansen Technologies Limited	HSN.AX / HSN AU	25%	✗	1%
Harvey Norman Holdings Limited	HVN.AX / HVN AU	10%	✓	0.3%
Hastings High Yield Fund	HHY.AX / HHY AU	25%	✗	1%
Healthscope Limited	HSO.AX / HSO AU	25%	✓	1%
Henderson Group PLC	HGG.AX / HGG AU	10%	✓	0.3%
Hexagon Resources Limited	HXG.AX / HXG AU	25%	✗	1%
Highfield Resources Limited	HFR.AX / HFR AU	25%	✗	1%
Highlands Pacific Limited	HIG.AX / HIG AU	25%	✗	1%
Hillgrove Resources Limited	HGO.AX / HGO AU	25%	✗	1%
Hills Limited	HIL.AX / HIL AU	25%	✗	0.3%
Horizon Oil Limited	HZN.AX / HZN AU	20%	✗	0.3%
Hot Chili Limited	HCH.AX / HCH AU	25%	✗	1%
Hotel Property Investments Limited	HPI.AX / HPI AU	25%	✗	1%
Hunter Hall Global Value Limited	HHV.AX / HHV AU	25%	✗	1%
IDP Education Limited	IEL.AX / IEL AU	25%	✗	1%
IMF Bentham Limited	IMF.AX / IMF AU	25%	✗	1%
IOOF Holdings Limited	IFL.AX / IFL AU	10%	✗	0.3%
IPH Limited	IPH.AX / IPH AU	25%	✗	1%
IRESS Limited	IRE.AX / IRE AU	20%	✗	0.3%
IVE Group Limited	IGL.AX / IGL AU	25%	✗	1%
Icon Energy Limited	ICN.AX / ICN AU	25%	✗	1%
Iluka Resources Limited	ILU.AX / ILU AU	10%	✓	0.3%
Imdex Limited	IMD.AX / IMD AU	25%	✗	1%
ImpediMed Limited	IPD.AX / IPD AU	25%	✗	1%
Incitec Pivot Limited	IPL.AX / IPL AU	10%	✓	0.7%
Independence Group NL	IGO.AX / IGO AU	20%	✓	0.7%
Industria Reit	IDR.AX / IDR AU	25%	✗	1%
Infigen Energy	IFN.AX / IFN AU	25%	✗	1%
Infomedia Limited	IFM.AX / IFM AU	25%	✗	1%
Ingenia Communities Group	INA.AX / INA AU	25%	✗	1%
Insurance Australia Group Limited	IAG.AX / IAG AU	5%	✓	0.3%
Integrated Research Limited	IRI.AX / IRI AU	25%	✗	1%
Intrepid Mines Limited	IAU.AX / IAU AU	25%	✗	1%
Investa Office Fund	IOF.AX / IOF AU	10%	✓	0.3%
Invision Limited	IVX.AX / IVX AU	25%	✗	1%
InvoCare Limited	IVC.AX / IVC AU	20%	✗	0.3%
Ironbark Capital Limited	IBC.AX / IBC AU	25%	✗	1%
JB Hi-Fi Limited	JBH.AX / JBH AU	10%	✗	0.3%
James Hardie Industries PLC	JHX.AX / JHX AU	10%	✓	0.3%
Japara Healthcare Limited	JHC.AX / JHC AU	25%	✓	1%
Jumbo Interactive Limited	JIN.AX / JIN AU	25%	✗	1%
K&S Corporation Limited	KSC.AX / KSC AU	25%	✗	1%
Karoon Gas Australia Limited	KAR.AX / KAR AU	20%	✗	0.7%
Kasbah Resources Limited	KAS.AX / KAS AU	25%	✗	0.3%
Kathmandu Holdings Limited	KMD.AX / KMD AU	20%	✓	0.3%
Kimberley Diamonds Limited	KDL.AX / KDL AU	25%	✗	1%
Kingsgate Consolidated Limited	KCN.AX / KCN AU	25%	✗	0.7%
Kingsrose Mining Limited	KRM.AX / KRM AU	25%	✗	0.3%

Korvest Limited	KOV.AX / KOV AU	25%	✗	1%
LatAm Autos Limited	LAA.AX / LAA AU	25%	✗	1%
LendLease Group	LLC.AX / LLC AU	10%	✓	0.7%
LifeHealthcare Group Limited	LHC.AX / LHC AU	25%	✗	1%
Lifestyle Communities Limited	LIC.AX / LIC AU	25%	✗	1%
Link Administration Holdings Pty Limited	LNK.AX / LNK AU	15%	✗	1%
Liquefied Natural Gas Limited	LNG.AX / LNG AU	20%	✗	1%
Logicamms Limited	LCM.AX / LCM AU	25%	✗	1%
Lucapa Diamond Company Limited	LOM.AX / LOM AU	25%	✗	0.3%
Lycopodium Limited	LYL.AX / LYL AU	25%	✗	1%
Lynas Corporation Limited	LYC.AX / LYC AU	20%	✗	0.3%
M2 Group Limited	MTU.AX / MTU AU	10%	✓	0.3%
MACA Limited	MLD.AX / MLD AU	25%	✗	0.3%
MEO Australia Limited	MEO.AX / MEO AU	25%	✗	1%
MG Unit Trust	MGC.AX / MGC AU	25%	✓	1%
MMA Offshore Limited	MRM.AX / MRM AU	20%	✗	1%
MNF Group Limited	MNF.AX / MNF AU	25%	✗	1%
MYOB Group Limited	MYO.AX / MYO AU	25%	✓	1%
MacMahon Holdings Limited	MAH.AX / MAH AU	25%	✗	0.3%
Macquarie Atlas Roads Group	MQA.AX / MQA AU	20%	✓	0.3%
Macquarie Group Limited	MQG.AX / MQG AU	5%	✓	0.3%
Magellan Financial Group	MFG.AX / MFG AU	20%	✓	1%
Magellan Flagship Fund Limited	MFF.AX / MFF AU	25%	✗	1%
Mantra Group Limited	MTR.AX / MTR AU	25%	✓	1%
Market Vectors Australian Banks ETF	MVB.AX / MVB AU	5%	✗	1%
Market Vectors Australian Property ETF	MVA.AX / MVA AU	5%	✗	1%
Martin Aircraft Company Limited	MJP.AX / MJP AU	25%	✗	1%
Matrix Composites & Engineering Limited	MCE.AX / MCE AU	25%	✗	0.3%
Maverick Drilling & Exploration Limited	MAD.AX / MAD AU	25%	✗	0.3%
MaxiTRANS Industries Limited	MXI.AX / MXI AU	25%	✗	1%
Mayne Pharma Group Limited	MYX.AX / MYX AU	25%	✓	0.3%
McAleese Limited	MCS.AX / MCS AU	25%	✗	1%
McGrath Limited	MEA.AX / MEA AU	25%	✗	1%
McMillan Shakespeare Limited	MMS.AX / MMS AU	10%	✓	1%
McPherson's Limited	MCP.AX / MCP AU	25%	✗	1%
Medibank Private Limited	MPL.AX / MPL AU	10%	✓	0.3%
Medical Developments International Limited	MVP.AX / MVP AU	25%	✗	1%
Medusa Mining Limited	MML.AX / MML AU	20%	✗	1%
Megaport Limited	MP1.AX / MP1 AU	25%	✗	1%
Melbourne IT Limited	MLB.AX / MLB AU	25%	✗	1%
Mesoblast Limited	MSB.AX / MSB AU	20%	✗	1%
Metals X Limited	MLX.AX / MLX AU	25%	✗	1%
Metcash Limited	MTS.AX / MTS AU	10%	✗	0.3%
Metgas Company Limited	MEL.AX / MEL AU	25%	✗	1%
Metmin Company Limited	MNC.AX / MNC AU	25%	✗	0.3%
Milton Corporation Limited	MLT.AX / MLT AU	25%	✗	1%
Mincor Resources NL	MCR.AX / MCR AU	25%	✗	0.3%
Mineral Deposits Limited	MDL.AX / MDL AU	25%	✗	1%
Mineral Resources Limited	MIN.AX / MIN AU	10%	✗	1%
Mirrabooka Investments Limited	MIR.AX / MIR AU	25%	✗	1%
Mirvac Group	MGR.AX / MGR AU	10%	✓	0.7%
Mobile Embrace Limited	MBE.AX / MBE AU	25%	✗	1%
Moko Social Media Limited	MKB.AX / MKB AU	25%	✗	1%
Molopo Energy Limited	MPO.AX / MPO AU	25%	✗	1%

Monadelphous Group Limited	MND.AX / MND AU	10%	✗	0.3%
Monash IVF Group Limited	MVF.AX / MVF AU	15%	✗	0.7%
Money3 Corporation Limited	MNY.AX / MNY AU	25%	✗	1%
Mortgage Choice Limited	MOC.AX / MOC AU	25%	✓	1%
Mount Gibson Iron Limited	MGX.AX / MGX AU	10%	✗	0.3%
MyState Limited	MYS.AX / MYS AU	45%	✗	1%
Myer Holdings Limited	MYR.AX / MYR AU	10%	✗	0.3%
NEXTDC Limited	NXT.AX / NXT AU	25%	✓	1%
NIB Holdings Limited	NHF.AX / NHF AU	25%	✓	1%
NRW Holdings Limited	NWH.AX / NWH AU	20%	✗	0.3%
Nanosonics Limited	NAN.AX / NAN AU	25%	✗	1%
National Australia Bank Limited	NAB.AX / NAB AU	5%	✓	0.3%
National Storage REIT	NSR.AX / NSR AU	25%	✓	1%
Navitas Limited	NVT.AX / NVT AU	10%	✗	1%
Nearmap Limited	NEA.AX / NEA AU	25%	✗	1%
Neometals Limited	NMT.AX / NMT AU	25%	✗	1%
NetComm Wireless Limited	NTC.AX / NTC AU	25%	✗	1%
Neuren Pharmaceuticals Limited	NEU.AX / NEU AU	25%	✗	1%
New Hope Corporation Limited	NHC.AX / NHC AU	25%	✗	1%
New Standard Energy Limited	NSE.AX / NSE AU	25%	✗	0.3%
Newcrest Mining Limited	NCM.AX / NCM AU	5%	✓	0.3%
News Corporation	NWS.AX / NWS AU	10%	✓	0.3%
News Corporation	NWSLV.AX / NWSLV AU	20%	✗	0.3%
Nick Scali Limited	NCK.AX / NCK AU	25%	✗	1%
Nido Petroleum Limited	NDO.AX / NDO AU	25%	✗	1%
Nine Entertainment Co. Holdings Limited	NEC.AX / NEC AU	10%	✓	0.3%
Northern Minerals Limited	NTU.AX / NTU AU	25%	✗	0.3%
Northern Star Resources Limited	NST.AX / NST AU	20%	✓	0.3%
Norwood Systems Limited	NOR.AX / NOR AU	25%	✗	1%
Novogen Limited	NRT.AX / NRT AU	25%	✗	1%
Nufarm Limited	NUF.AX / NUF AU	20%	✓	0.3%
Nuplex Industries Limited	NPX.AX / NPX AU	25%	✗	1%
OBJ Limited	OBJ.AX / OBJ AU	25%	✗	1%
OM Holdings Limited	OMH.AX / OMH AU	25%	✗	1%
OZ Minerals Limited	OZL.AX / OZL AU	10%	✓	1%
OceanaGold Corporation	OGC.AX / OGC AU	20%	✗	1%
Oil Search Limited	OSH.AX / OSH AU	5%	✓	0.3%
Oncosil Medical Limited	OSL.AX / OSL AU	25%	✗	1%
Onthehouse Holdings Limited	OTH.AX / OTH AU	25%	✗	1%
Orica Limited	ORI.AX / ORI AU	5%	✓	0.3%
Origin Energy Limited	ORG.AX / ORG AU	5%	✓	0.3%
Orocobre Limited	ORE.AX / ORE AU	25%	✓	1%
Orora Limited	ORA.AX / ORA AU	10%	✓	0.3%
OrotonGroup Limited	ORL.AX / ORL AU	25%	✗	1%
Otto Energy Limited	OEL.AX / OEL AU	25%	✗	1%
OzForex Group Limited	OFX.AX / OFX AU	20%	✗	0.7%
Ozgrowth Limited	OZG.AX / OZG AU	25%	✗	1%
PM Capital Global Opportunities Fund Limited	PGF.AX / PGF AU	10%	✗	0.3%
PMP Limited	PMP.AX / PMP AU	25%	✗	0.7%
PRO Medicus Limited	PME.AX / PME AU	25%	✗	1%
Pacific Brands Limited	PBG.AX / PBG AU	20%	✗	0.7%
Pacific Current Group Limited	PAC.AX / PAC AU	25%	✗	1%
Pacific Smiles Group Limited	PSQ.AX / PSQ AU	25%	✗	1%
Pact Group Holdings Limited	PGH.AX / PGH AU	25%	✓	1%

Paladin Energy Limited	PDN.AX / PDN AU	10%	✗	0.3%
PanAust Limited	PNA.AX / PNA AU	10%	✗	0.3%
Pancontinental Oil & Gas NL	PCL.AX / PCL AU	25%	✗	0.3%
Panorama Synergy Limited	PSY.AX / PSY AU	25%	✗	1%
Panoramic Resources Limited	PAN.AX / PAN AU	25%	✗	1%
Paragon Care Limited	PGC.AX / PGC AU	25%	✗	1%
Patties Foods Limited	PFL.AX / PFL AU	25%	✗	1%
Peak Resources Limited	PEK.AX / PEK AU	25%	✗	0.3%
Peet Limited	PPC.AX / PPC AU	25%	✗	1%
Peninsula Energy Limited	PEN.AX / PEN AU	25%	✗	1%
Pepper Group Limited	PEP.AX / PEP AU	25%	✗	1%
Perpetual Equity Investment Company Limited	PIC.AX / PIC AU	10%	✗	0.3%
Perpetual Limited	PPT.AX / PPT AU	10%	✗	0.3%
Perseus Mining Limited	PRU.AX / PRU AU	20%	✗	1%
Petrel Energy Limited	PRL.AX / PRL AU	25%	✗	1%
Pharmaxis Limited	PXS.AX / PXS AU	25%	✗	1%
Pilbara Minerals Limited	PLS.AX / PLS AU	25%	✗	1%
Pioneer Credit Limited	PNC.AX / PNC AU	25%	✗	1%
Platinum Capital Limited	PMC.AX / PMC AU	25%	✗	1%
Platinum Investment Management Limited	PTM.AX / PTM AU	10%	✓	0.3%
Poseidon Nickel Limited	POS.AX / POS AU	25%	✗	1%
Praemium Limited	PPS.AX / PPS AU	25%	✗	1%
Prana Biotechnology Limited	PBT.AX / PBT AU	25%	✗	1%
Premier Investments Limited	PMV.AX / PMV AU	20%	✓	1%
Prima Biomed Limited	PRR.AX / PRR AU	25%	✗	1%
Primary Health Care Limited	PRY.AX / PRY AU	10%	✗	1%
Prime Media Group Limited	PRT.AX / PRT AU	25%	✗	1%
Programmed Maintenance Services Limited	PRG.AX / PRG AU	25%	✓	0.7%
Prophecy International Holdings Limited	PRO.AX / PRO AU	25%	✗	1%
Pura Vida Energy NL	PVD.AX / PVD AU	25%	✗	1%
QBE Insurance Group Limited	QBE.AX / QBE AU	5%	✓	0.3%
QMS Media Limited	QMS.AX / QMS AU	25%	✗	1%
Qantas Airways Limited	QAN.AX / QAN AU	10%	✓	0.3%
Qube Holdings Limited	QUB.AX / QUB AU	20%	✗	0.3%
Quickstep Holdings Limited	QHL.AX / QHL AU	25%	✗	1%
RCG Corporation Limited	RCG.AX / RCG AU	25%	✗	1%
RCR Tomlinson Limited	RCR.AX / RCR AU	25%	✓	1%
REA Group Limited	REA.AX / REA AU	10%	✓	1%
REFFIND Limited	RFN.AX / RFN AU	25%	✗	1%
REVA Medical Inc	RVA.AX / RVA AU	25%	✗	1%
RNY Property Trust	RNY.AX / RNY AU	25%	✗	1%
RXP Services Limited	RXP.AX / RXP AU	25%	✗	1%
Ramelius Resources Limited	RMS.AX / RMS AU	25%	✗	1%
Ramsay Health Care Limited	RHC.AX / RHC AU	10%	✓	0.3%
Recall Holdings Limited	REC.AX / REC AU	10%	✓	0.3%
Reckon Limited	RKN.AX / RKN AU	25%	✗	1%
Red 5 Limited	RED.AX / RED AU	25%	✗	1%
RedFlow Limited	RFX.AX / RFX AU	25%	✗	1%
Reece Limited	REH.AX / REH AU	25%	✗	1%
Regeneus Limited	RGS.AX / RGS AU	25%	✗	1%
Regional Express Holdings Limited	REX.AX / REX AU	25%	✗	1%
Regis Healthcare Limited	REG.AX / REG AU	15%	✗	0.7%
Regis Resources Limited	RRL.AX / RRL AU	20%	✓	1%
ResMed Inc	RMD.AX / RMD AU	10%	✓	0.3%

Resolute Mining Limited	RSG.AX / RSG AU	20%	✓	1%
Resource Generation Limited	RES.AX / RES AU	25%	✗	0.3%
Respiri Limited	RSH.AX / RSH AU	25%	✗	1%
Retail Food Group Limited	RFG.AX / RFG AU	20%	✗	1%
Rex Minerals Limited	RXM.AX / RXM AU	25%	✗	1%
Rhipe Limited	RHP.AX / RHP AU	25%	✗	1%
Ridley Corporation Limited	RIC.AX / RIC AU	25%	✗	0.7%
Rio Tinto Limited	RIO.AX / RIO AU	5%	✓	0.3%
Rubik Financial Limited	RFL.AX / RFL AU	25%	✗	1%
RungePincockMinar Company Limited	RUL.AX / RUL AU	25%	✗	1%
Ruralco Holdings Limited	RHL.AX / RHL AU	25%	✗	1%
SAI Global Limited	SAI.AX / SAI AU	20%	✓	0.7%
SEEK Limited	SEK.AX / SEK AU	10%	✓	0.3%
SG Fleet Group Limited	SGF.AX / SGF AU	25%	✓	1%
SMS Management & Technology Limited	SMX.AX / SMX AU	25%	✓	1%
SPDR(r) Dow Jones Global Real Estate Fund	DJRE.AX / DJRE AU	25%	✗	1%
SPDR(r) S&P ASX 200 Financials EX A-REIT Fund	OZF.AX / OZF AU	5%	✗	0.3%
SPDR(r) S&P ASX 200 Fund	STW.AX / STW AU	10%	✗	0.3%
SPDR(r) S&P ASX 200 Listed Property Fund	SLF.AX / SLF AU	10%	✗	1%
SPDR(r) S&P ASX 200 Resources Fund	OZR.AX / OZR AU	25%	✗	1%
SPDR(r) S&P ASX 50 Fund	SFY.AX / SFY AU	5%	✗	1%
ST Barbara Limited	SBM.AX / SBM AU	20%	✓	1%
STW Communications Group Limited	SGN.AX / SGN AU	20%	✓	0.7%
Salmat Limited	SLM.AX / SLM AU	25%	✗	0.7%
Samson Oil & Gas Limited	SSN.AX / SSN AU	25%	✗	0.3%
Sandfire Resources NL	SFR.AX / SFR AU	20%	✓	1%
Santos Limited	STO.AX / STO AU	5%	✓	0.3%
Saracen Mineral Holdings Limited	SAR.AX / SAR AU	25%	✓	1%
Scentre Group	SCG.AX / SCG AU	5%	✓	0.3%
SeaLink Travel Group Limited	SLK.AX / SLK AU	25%	✗	1%
Select Harvests Limited	SHV.AX / SHV AU	25%	✗	1%
Senetas Corporation Limited	SEN.AX / SEN AU	25%	✗	1%
Senex Energy Limited	SXY.AX / SXY AU	20%	✗	1%
Serv Corporation Limited	SRV.AX / SRV AU	25%	✗	1%
Service Stream Limited	SSM.AX / SSM AU	25%	✗	1%
Seven Group Holdings Limited	SVW.AX / SVW AU	20%	✗	0.7%
Seven Group Holdings Limited	SVWPA.AX / SVWPA AU	25%	✗	1%
Seven West Media Limited	SWM.AX / SWM AU	10%	✓	0.3%
Seymour Whyte Limited	SWL.AX / SWL AU	25%	✗	0.3%
Sheffield Resources Limited	SFX.AX / SFX AU	25%	✗	1%
Shine Corporate Limited	SHJ.AX / SHJ AU	25%	✗	1%
Shopping Centres Australasia Property Group	SCP.AX / SCP AU	20%	✓	0.3%
Sigma Pharmaceuticals Limited	SIP.AX / SIP AU	20%	✓	0.3%
Silex Systems Limited	SLX.AX / SLX AU	25%	✗	0.7%
Silver Chef Limited	SIV.AX / SIV AU	25%	✗	1%
Silver Lake Resources Limited	SLR.AX / SLR AU	20%	✗	1%
Sims Metal Management Limited	SGM.AX / SGM AU	10%	✗	0.3%
Sino Gas & Energy Holdings Limited	SEH.AX / SEH AU	25%	✗	1%
Sirtex Medical Limited	SRX.AX / SRX AU	20%	✓	1%
Sky City Entertainment Group Limited	SKC.AX / SKC AU	20%	✓	1%
Sky Network Television Limited	SKT.AX / SKT AU	10%	✓	1%
Slater & Gordon Limited	SGH.AX / SGH AU	20%	✗	1%
SmartTrans Holdings Limited	SMA.AX / SMA AU	25%	✗	1%
Smartgroup Corporation Limited	SIQ.AX / SIQ AU	25%	✗	1%

SomnoMed Limited	SOM.AX / SOM AU	25%	✗	1%
Sonic Healthcare Limited	SHL.AX / SHL AU	10%	✓	0.3%
South32 Limited	S32.AX / S32 AU	5%	✓	1%
Southern Cross Electrical Engineering Limited	SXE.AX / SXE AU	25%	✗	1%
Southern Cross Media Group Limited	SXL.AX / SXL AU	20%	✓	0.3%
Spark Infrastructure Group	SKI.AX / SKI AU	10%	✓	0.3%
Spark New Zealand Limited	SPK.AX / SPK AU	10%	✓	0.3%
Specialty Fashion Group Limited	SFH.AX / SFH AU	25%	✗	1%
SpeedCast International Limited	SDA.AX / SDA AU	25%	✓	1%
Spotless Group Holdings Limited	SPO.AX / SPO AU	25%	✓	1%
Starpharma Holdings Limited	SPL.AX / SPL AU	25%	✗	1%
Steadfast Group Limited	SDF.AX / SDF AU	25%	✓	0.7%
Stockland Corporation Limited	SGP.AX / SGP AU	5%	✓	0.3%
Strike Energy Limited	STX.AX / STX AU	25%	✗	1%
Structural Monitoring Systems PLC	SMN.AX / SMN AU	25%	✗	1%
Suda Limited	SUD.AX / SUD AU	20%	✗	0.7%
Suncorp Group Limited	SUN.AX / SUN AU	5%	✓	0.3%
Sundance Energy Australia Limited	SEA.AX / SEA AU	25%	✗	1%
Sundance Resources Limited	SDL.AX / SDL AU	20%	✗	0.7%
Sunland Group Limited	SDG.AX / SDG AU	25%	✗	0.7%
Super Retail Group Limited	SUL.AX / SUL AU	10%	✗	1%
Superloop Limited	SLC.AX / SLC AU	25%	✗	1%
SurfStitch Group Limited	SRF.AX / SRF AU	25%	✗	1%
Swick Mining Services Limited	SWK.AX / SWK AU	25%	✗	1%
Sydney Airport Limited	SYD.AX / SYD AU	10%	✓	0.3%
Syrah Resources Limited	SYR.AX / SYR AU	25%	✗	1%
TFS Corporation Limited	TFC.AX / TFC AU	25%	✗	1%
TNG Limited	TNG.AX / TNG AU	25%	✗	1%
TPG Telecom Limited	TPM.AX / TPM AU	10%	✓	1%
TPI Enterprises Limited	TPE.AX / TPE AU	25%	✗	1%
Tabcorp Holdings Limited	TAH.AX / TAH AU	10%	✓	0.3%
Talisman Mining Limited	TLM.AX / TLM AU	25%	✗	1%
Tamawood Limited	TWD.AX / TWD AU	25%	✗	1%
Tap Oil Limited	TAP.AX / TAP AU	25%	✗	0.7%
Tassal Group Limited	TGR.AX / TGR AU	25%	✓	1%
Tatts Group Limited	TTS.AX / TTS AU	10%	✓	0.3%
TechnologyOne Limited	TNE.AX / TNE AU	25%	✓	1%
Telstra Corporation Limited	TLS.AX / TLS AU	5%	✓	0.3%
Templeton Global Growth Fund Limited	TGG.AX / TGG AU	25%	✗	1%
Ten Network Holdings Limited	TEN.AX / TEN AU	20%	✗	0.7%
Teranga Gold Corporation	TGZ.AX / TGZ AU	25%	✗	0.3%
The Reject Shop Limited	TRS.AX / TRS AU	20%	✗	1%
The Star Entertainment Group Limited	SGR.AX / SGR AU	10%	✗	1%
The a2 Milk Company Limited	A2M.AX / A2M AU	25%	✗	1%
ThinkSmart Limited	TSM.AX / TSM AU	25%	✗	1%
Thorn Group Limited	TGA.AX / TGA AU	25%	✗	1%
Thorney Opportunities Limited	TOP.AX / TOP AU	10%	✗	0.3%
Tiger Resources Limited	TGS.AX / TGS AU	25%	✗	1%
Toro Energy Limited	TOE.AX / TOE AU	25%	✗	1%
Touch Corporation Limited	TCH.AX / TCH AU	25%	✗	1%
Tox Free Solutions Limited	TOX.AX / TOX AU	25%	✓	1%
Trade ME Group Limited	TME.AX / TME AU	20%	✓	0.3%
Transfield Services Limited	TSE.AX / TSE AU	20%	✗	0.7%
Transurban Group	TCL.AX / TCL AU	5%	✓	0.3%

Treasury Wine Estates Limited	TWE.AX / TWE AU	10%	✓	0.3%
Triton Minerals Limited	TON.AX / TON AU	25%	✗	1%
Troy Resources Limited	TRY.AX / TRY AU	25%	✗	1%
UBS IQ Research Preferred Australian Share Fund	ETF.AX / ETF AU	10%	✗	0.3%
UGL Limited	UGL.AX / UGL AU	10%	✗	0.3%
UXC Limited	UXC.AX / UXC AU	25%	✗	1%
Unilife Corporation	UNS.AX / UNS AU	25%	✗	1%
Universal Biosensors Inc	UBI.AX / UBI AU	25%	✗	1%
Urbanise.com Limited	UBN.AX / UBN AU	25%	✗	1%
Valence Industries Limited	VXL.AX / VXL AU	25%	✗	1%
Vanguard Australian Fixed Interest Index ETF	VAF.AX / VAF AU	10%	✗	0.3%
Vanguard Australian Property Securities Index ETF	VAP.AX / VAP AU	10%	✗	0.3%
Vanguard Australian Shares Index ETF	VAS.AX / VAS AU	10%	✗	0.3%
Vanguard MSCI Australian Small Companies Index ETF	VSO.AX / VSO AU	25%	✗	1%
Vanguard US Total Market Shares Index ETF	VTS.AX / VTS AU	10%	✗	0.3%
Villa World Limited	VLW.AX / VLW AU	25%	✗	1%
Village Roadshow Limited	VRL.AX / VRL AU	20%	✓	1%
Viralytics Limited	VLA.AX / VLA AU	25%	✗	1%
Virgin Australia Holdings Limited	VAH.AX / VAH AU	20%	✗	0.3%
Virtus Health Limited	VRT.AX / VRT AU	20%	✓	1%
Vita Group Limited	VTG.AX / VTG AU	25%	✗	1%
Vitaco Holdings Limited	VIT.AX / VIT AU	25%	✗	1%
Vmoto Limited	VMT.AX / VMT AU	25%	✗	1%
Vocus Communications Limited	VOC.AX / VOC AU	25%	✗	1%
WAM Capital Limited	WAM.AX / WAM AU	25%	✗	1%
WAM Research Limited	WAX.AX / WAX AU	25%	✗	1%
Washington H. Soul Pattinson and Company Limited	SOL.AX / SOL AU	25%	✗	1%
Watpac Limited	WTP.AX / WTP AU	25%	✗	1%
Webjet Limited	WEB.AX / WEB AU	25%	✗	1%
Webster Limited	WBA.AX / WBA AU	25%	✗	1%
Wellard Limited	WLD.AX / WLD AU	25%	✗	1%
Wesfarmers Limited	WES.AX / WES AU	5%	✗	0.3%
Western Areas Limited	WSA.AX / WSA AU	20%	✗	0.7%
Westfield Corporation	WFD.AX / WFD AU	5%	✓	0.3%
Westpac Banking Corporation	WBC.AX / WBC AU	5%	✓	0.3%
Whitefield Limited	WHF.AX / WHF AU	25%	✗	1%
Whitehaven Coal Limited	WHC.AX / WHC AU	25%	✗	1%
Woodside Petroleum Limited	WPL.AX / WPL AU	5%	✓	0.3%
Woolworths Limited	WOW.AX / WOW AU	5%	✗	0.3%
WorleyParsons Limited	WOR.AX / WOR AU	10%	✗	0.3%
Xenith IP Group Limited	XIP.AX / XIP AU	25%	✗	1%
Xero Limited	XRO.AX / XRO AU	25%	✗	1%
Yellow Brick Road Holdings Limited	YBR.AX / YBR AU	25%	✗	1%
Yowie Group Limited	YOW.AX / YOW AU	25%	✗	1%
Ziptel Limited	ZIP.AX / ZIP AU	25%	✗	1%
amaysim Australia Limited	AYS.AX / AYS AU	25%	✓	1%
carsales.com Limited	CAR.AX / CAR AU	10%	✗	1%
eCargo Holdings Limited	ECG.AX / ECG AU	25%	✗	1%
eServGlobal Limited	ESV.AX / ESV AU	25%	✗	1%
iCarAsia Limited	ICQ.AX / ICQ AU	25%	✗	1%
iSelect Limited	ISU.AX / ISU AU	25%	✓	1%
iSentia Group Limited	ISD.AX / ISD AU	25%	✓	1%
iShares Composite Bond ETF	IAF.AX / IAF AU	10%	✗	0.3%
iShares Core S&P 500 ETF	IVV.AX / IVV AU	10%	✗	0.3%

iShares Core S&P Mid-Cap ETF	IJH.AX / IJH AU	10%	✗	0.3%
iShares Core S&P Small-Cap ETF	IJR.AX / IJR AU	10%	✗	0.3%
iShares Europe ETF	IEU.AX / IEU AU	10%	✗	0.3%
iShares Global 100 ETF	IOO.AX / IOO AU	25%	✗	1%
iShares Global Consumer Staples ETF	IXI.AX / IXI AU	10%	✗	0.3%
iShares Global Healthcare ETF	IXJ.AX / IXJ AU	10%	✗	0.3%
iShares MSCI EAFE	IVE.AX / IVE AU	25%	✗	1%
iShares MSCI Japan ETF	IJP.AX / IJP AU	10%	✗	0.3%
iShares MSCI Singapore ETF	ISG.AX / ISG AU	10%	✗	0.3%
iShares S&P ASX 20 ETF	ILC.AX / ILC AU	10%	✗	0.3%
iShares S&P ASX Small Ordinaries ETF	ISO.AX / ISO AU	25%	✗	1%
iSignthis Limited	ISX.AX / ISX AU	25%	✗	1%
migme Limited	MIG.AX / MIG AU	25%	✗	1%
oOh!media Limited	OML.AX / OML AU	25%	✗	1%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Important note: The column 'Can go short?' only indicates whether there are currently any factors (of which we are aware) prohibiting short positions on the share concerned. There is no guarantee that you will be able to go short of any share cited. In addition, there may be an extra charge (a borrowing charge) which will be included in the interest adjustment applied to your position. To determine whether a charge applies, call our dealers in advance of trading. The ability to borrow and the cost of borrowing stock can be changed at short notice.

If you cannot find the market you are looking for, please call our dealing desk (on +61 1800 601 733) and we will normally be able to add it for you in a matter of minutes

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

AUSTRIA

Stock	Ticker	1.Neuer Marginsatz	2.Möglichkeit short zu gehen*	3.Prämie zur Risikobegrenzung
AMAG Austria Metall AG	AMAV.VI / AMAG AV	25%	✓	0.3%
AT&S Austria Technologie & Systemtechnik Aktiengesellschaft	ATSV.VI / ATS AV	25%	✓	0.3%
Agrana Beteiligung SA	AGRV.VI / AGR AV	25%	✓	0.3%
Andritz AG	ANDR.VI / ANDR AV	10%	✓	0.3%
Atrium European Real Estate Limited	ATRS.VI / ATRS AV	25%	✓	0.3%
BKS Bank AG	KAER.VI / BKUS AV	25%	✗	0.3%
BKS Bank AG	KAER_p.VI / BKUSV AV	25%	✗	0.3%
BUWOG AG	BWOA.VI / BWO AV	25%	✓	0.3%
BWT AG	BWTV.VI / BWT AV	25%	✗	0.3%
Bank fur Tirol und Vorarlberg Aktiengesellschaft	TIRO.VI / BTUV AV	25%	✗	0.3%
Bank fur Tirol und Vorarlberg Aktiengesellschaft	TIRO_p.VI / BTUVV AV	25%	✗	0.3%
CA Immobilien Anlagen AG	CAIV.VI / CAI AV	20%	✓	0.3%
CROSS Industrie SA	CIAG.VI / CIAG AV	25%	✗	0.3%
Conwert Immobilien Invest SE	CONW.VI / CWI AV	20%	✓	0.3%
DO & CO Aktiengesellschaft	DOCO.VI / DOC AV	25%	✓	0.3%
EVN AG	EVNV.VI / EVN AV	25%	✓	0.3%
Erste Group Bank AG	ERST.VI / EBS AV	10%	✓	0.3%
FACC AG	FACC.VI / FACC AV	25%	✗	0.3%
Flughafen Wien AG	VIEV.VI / FLU AV	25%	✓	0.3%
Frauenthal Holding AG	FKAV.VI / FKA AV	25%	✗	0.3%
Immofinanz AG	IMFI.VI / IIA AV	10%	✓	0.3%
Lenzing Aktiengesellschaft	LENV.VI / LNZ AV	10%	✓	0.3%
Mayr-Melnhof Karton AG	MMKV.VI / MMK AV	25%	✓	0.3%
OMV Aktiengesellschaft	OMVV.VI / OMV AV	10%	✓	0.3%
Oberbank AG	OBER_p.VI / OBV AV	25%	✗	0.3%
Oberbank AG	OBER.VI / OBS AV	25%	✗	0.3%

Oesterreichische Post AG	POST.VI / POST AV	20%	✓	0.3%
Ottakringer Getranke AG	OBHV_p.VI / OTV AV	25%	✗	0.3%
PORR AG	ABGV.VI / POS AV	25%	✓	0.3%
Palfinger AG	PALF.VI / PAL AV	25%	✓	0.3%
Polytec Holding AG	POLV.VI / PYT AV	25%	✓	0.3%
RHI AG	RHIV.VI / RHI AV	25%	✓	0.3%
Raiffeisen Bank International AG	RBIV.VI / RBI AV	10%	✓	0.3%
Rosenbauer International AG	RBAV.VI / ROS AV	25%	✗	0.3%
S IMMO AG	SIAG.VI / SPI AV	25%	✓	0.3%
Schoeller-Bleckmann Oilfield Equipment AG	SBOE.VI / SBO AV	25%	✓	0.3%
Semperit Aktiengesellschaft Holding	SMPV.VI / SEM AV	25%	✓	0.3%
Strabag SE	STRV.VI / STR AV	25%	✓	0.3%
Telekom Austria AG	TELA.VI / TKA AV	15%	✓	0.3%
UBM Development AG	UBMV.VI / UBS AV	25%	✓	0.3%
UNIQA Insurance Group AG	UNIQ.VI / UQA AV	20%	✓	0.3%
VERBUND AG	VERB.VI / VER AV	15%	✓	0.3%
Valneva SE	VLAV.VI / VLA AV	20%	✗	0.3%
Vienna Insurance Group AG	VIGR.VI / VIG AV	20%	✓	0.3%
Voestalpine AG	VOES.VI / VOE AV	10%	✓	0.3%
Wienerberger AG	WBSV.VI / WIE AV	20%	✓	0.3%
Zumtobel Group AG	ZUMV.VI / ZAG AV	25%	✓	0.3%

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*Wichtiger Hinweis: Die Spalte 'Möglichkeit short zu gehen' gibt ausschließlich an, ob es momentan Faktoren gibt (die uns bekannt sind), welche die Short-Positionen für die betreffende Aktie untersagen. Wir geben keine Gewähr dafür, dass Sie auf jeglichen genannten Aktien Short gehen können. Des Weiteren kann eine zusätzliche Gebühr (eine Leihgebühr) erhoben werden, welche in der Zinsanpassung, die für Ihre Position gilt, beinhaltet ist. Um festzustellen, ob diese Gebühr zutrifft, rufen Sie bitte unsere Händler an, bevor Sie Ihre Handelsaktivitäten aufnehmen. Die Leihmöglichkeit und die Leihkosten können kurzfristig geändert werden.

Sollten Sie einen gewünschten Markt nicht finden, können wir diesen normalerweise innerhalb weniger Minuten für Sie hinzufügen. Kontaktieren Sie uns hierzu im Handel unter +43 800 202 511

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BELGIUM

Stock	Ticker	1.Nouveau taux de couverture	2.Position courte?*	3.Cout Stop Garanti
Ackermans & Van Haaren NV	ACKB.BR / ACKB BB	20%	✓	0.3%
Aedifica SA	AOO.BR / AED BB	25%	✗	0.3%
Agfa-Gevaert NV	AGFB.BR / AGFB BB	25%	✓	0.3%
Anheuser-Busch InBev SA NV	ABI.BR / ABI BB	5%	✓	0.3%
BHF Kleinwort Benson Group SA	BHFKB.BR / BHFKB BB	25%	✓	0.3%
Barco NV	BAR.BR / BAR BB	20%	✓	0.3%
Befimmo NV SA	BEFB.BR / BEFB BB	25%	✓	0.3%
Brederode SA	BRED.BR / BREB BB	25%	✗	0.3%
Celyad SA	CYAD.BR / CYAD BB	25%	✓	0.3%
Cofinimmo SA	COFB.BR / COFB BB	20%	✓	0.3%
Compagnie d' Entreprises CFE SA	CFEB.BR / CFEB BB	25%	✓	0.3%
Deceuninck NV	DECB.BR / DECB BB	25%	✓	0.3%
Dexia SA	DEXI.BR / DEXB BB	25%	✓	0.3%
EVS Broadcast Equipment SA	EVS.BR / EVS BB	20%	✓	0.3%
Elia System Operator SA	ELI.BR / ELI BB	25%	✓	0.3%
Etablissements Delhaize Freres et Cie "Le Lion" (Groupe Delhaize) SA	DELB.BR / DELB BB	10%	✓	0.3%
Etn Fr Colruyt NV	COLR.BR / COLR BB	15%	✓	0.3%

Euronav NV	EUAV.BR / EURN BB	20%	✓	0.3%
Fagron NV	FAGRO.BR / FAGR BB	20%	✓	0.3%
GIMV NV	GIMV.BR / GIMB BB	25%	✗	0.3%
Groupe Bruxelles Lambert SA	GBLB.BR / GBLB BB	20%	✓	0.3%
Ion Beam Applications SA	IBAB.BR / IBAB BB	25%	✓	0.3%
KBC Ancor ASA	KBCA.BR / KBCA BB	20%	✓	0.3%
KBC Group NV	KBC.BR / KBC BB	10%	✓	0.3%
Kinopolis Group NV	KIPO.BR / KIN BB	25%	✓	0.3%
MDxHealth SA	MDXH.BR / MDXH BB	25%	✗	0.3%
Melexis NV	MLXS.BR / MELE BB	25%	✓	0.3%
Mobistar SA NV	MSTAR.BR / MOBB BB	20%	✓	0.3%
NV Bekaert SA	BEKB.BR / BEKB BB	20%	✓	0.3%
Nyrstar NV	NYR.BR / NYR BB	20%	✓	0.3%
Ontex Group NV	ONTEX.BR / ONTEX BB	10%	✓	0.3%
Option NV	OPIN.BR / OPTI BB	25%	✗	0.3%
Proximus PLC	PROX.BR / PROX BB	10%	✓	0.3%
S.A. D'leteren NV	IETB.BR / DIE BB	20%	✓	0.3%
Sofina SA	SOF.BR / SOF BB	25%	✓	0.3%
Solvay SA	SOLB.BR / SOLB BB	5%	✓	0.3%
Telenet Group Holding NV	TNET.BR / TNET BB	15%	✓	0.3%
Tessenderlo Chemie NV	TESB.BR / TESB BB	20%	✓	0.3%
ThromboGenics NV	THR.BR / THR BB	15%	✗	0.3%
TiGenix NV	G9U.BR / TIG BB	25%	✗	0.3%
UCB SA	UCB.BR / UCB BB	10%	✓	0.3%
Umicore SA	UMI.BR / UMI BB	10%	✓	0.3%
VIOHALCO SA	VIOH.BR / VIO BB	25%	✗	0.3%
Van De Velde NV	VELD.BR / VAN BB	25%	✓	0.3%
Warehouses De Pauw SCA	WDPP.BR / WDP BB	25%	✓	0.3%
ageas SA NV	AGES.BR / AGS BB	10%	✓	0.3%
bpost SA NV	BPOST.BR / BPOST BB	10%	✓	0.3%

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*Attention : la colonne 'Position courte ?' indique simplement qu'il n'y a actuellement aucun facteur (à notre connaissance) qui empêcherait d'ouvrir une position courte sur la valeur concernée. Cette information peut changer rapidement, et il n'est pas garanti que vous puissiez ouvrir des positions courtes sur les valeurs mentionnées. De plus, vous pouvez être soumis à des « frais d'emprunt » qui seront inclus dans l'ajustement d'intérêt applicable à votre position. Afin de savoir si ces frais sont applicables, nous vous recommandons de contacter nos traders avant de réaliser votre transaction. La possibilité de vendre un titre à découvert, ainsi que les frais d'emprunts, peuvent changer rapidement.

Veillez noter que si vous ne trouvez pas le marché que vous recherchez, vous pouvez nous contacter par téléphone au: +33 170 981 819 et nous pourrions normalement ajouter ce marché pour vous en quelques minutes

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CANADA

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
ARC Resources Limited	ARX.TO / ARX CT	20%	✓	0.3%
Africa Oil Corporation	AOI.TO / AOI CN	20%	✗	0.3%
Agnico Eagle Mines Limited	AEM.TO / AEM CN	10%	✓	0.3%
Agrium Inc	AGU.TO / AGU CT	5%	✓	0.3%
Air Canada	AC.TO / AC CN	15%	✗	0.3%
Airboss of America Corporation	BOS.TO / BOS CT	25%	✗	0.3%
Alacer Gold Corporation	ASR.TO / ASR CN	15%	✓	0.3%
Alamos Gold Inc	AGI.TO / AGI CT	25%	✓	0.3%
Alimentation Couche-Tard Inc	ATDb.TO / ATD/B CT	10%	✓	0.3%

Altius Minerals Corporation	ALS.TO / ALS CT	25%	✗	0.3%
Amaya Inc	AYA.TO / AYA CT	20%	✓	0.3%
Asanko Gold Inc	AKG.TO / AKG CT	25%	✗	0.3%
Athabasca Oil Corporation	ATH.TO / ATH CT	15%	✗	0.3%
Avigilon Corporation	AVO.TO / AVO CT	15%	✗	0.3%
B2Gold Corporation	BTO.TO / BTO CT	20%	✓	0.3%
BCE Inc	BCE.TO / BCE CT	5%	✓	0.3%
BMO S&P TSX Equal Weight Banks Index ETF	ZEB.TO / ZEB CT	15%	✗	0.3%
Bank of Montreal	BMO.TO / BMO CT	5%	✓	0.3%
Bankers Petroleum Limited	BNK.TO / BNK CT	15%	✓	0.3%
Barrick Gold Corporation	ABX.TO / ABX CT	5%	✓	0.3%
Baytex Energy Corporation	BTE.TO / BTE CT	10%	✓	0.3%
Bellatrix Exploration Limited	BXE.TO / BXE CT	15%	✗	0.3%
BlackBerry Limited	BB.TO / BB CT	10%	✓	0.3%
BlackPearl Resources Inc	PXX.TO / PXX CN	25%	✗	0.3%
Bombardier Inc	BBDb.TO / BBD/B CT	10%	✓	0.3%
Bonavista Energy Corporation	BNP.TO / BNP CN	25%	✗	0.3%
Brookfield Asset Management Inc	BAMa.TO / BAM/A CT	10%	✓	0.3%
CGI Group Inc	GIBa.TO / GIB/A CT	10%	✗	0.3%
Cameco Corporation	CCO.TO / CCO CT	10%	✓	0.3%
Canaccord Genuity Group Inc	CF.TO / CF CT	25%	✓	0.3%
Canadian Apartment Properties REIT	CAR_u.TO / CAR-U CT	20%	✓	0.3%
Canadian Imperial Bank of Commerce	CM.TO / CM CT	5%	✓	0.3%
Canadian National Railway Company	CNR.TO / CNR CT	5%	✓	0.3%
Canadian Natural Resources Limited	CNQ.TO / CNQ CT	5%	✓	0.3%
Canadian Oil Sands Limited	COS.TO / COS CT	20%	✓	0.3%
Canadian Pacific Railway Limited	CP.TO / CP CT	5%	✓	0.3%
Canadian Tire Corporation Limited	CTCa.TO / CTC/A CT	5%	✗	0.3%
Canexus Corporation	CUS.TO / CUS CT	20%	✗	0.3%
Canfor Corporation	CFP.TO / CFP CT	10%	✓	0.3%
Cascades Inc	CAS.TO / CAS CT	25%	✓	0.3%
Cenovus Energy Inc	CVE.TO / CVE CT	10%	✓	0.3%
Centamin PLC	CEE.TO / CEE CT	25%	✓	0.3%
Centerra Gold Inc	CG.TO / CG CT	20%	✓	0.3%
China Gold International Resources Corporation Limited	CGG.TO / CGG CT	25%	⊠	0.3%
Cogeco Cable Inc	CCA.TO / CCA CT	20%	✓	0.3%
Cominar REIT	CUF_u.TO / CUF-U CN	20%	✓	0.3%
Concordia Healthcare Corporation	CXR.TO / CXR CT	10%	✗	0.3%
Corus Entertainment Inc	CJRb.TO / CJR/B CT	20%	✓	0.3%
Crescent Point Energy Corporation	CPG.TO / CPG CT	25%	✓	0.3%
Crew Energy Inc	CR.TO / CR CT	15%	✓	0.3%
Denison Mines Corporation	DML.TO / DML CT	25%	⊠	0.3%
Detour Gold Corporation	DGC.TO / DGC CT	20%	✓	0.3%
Dollarama Inc	DOL.TO / DOL CT	10%	✗	0.3%
Dream Global REIT	DRG_u.TO / DRG-U CT	25%	✓	0.3%
Dundee Precious Metals Inc	DPM.TO / DPM CT	20%	✓	0.3%
EXFO Inc	EXF.TO / EXF CT	25%	✓	0.3%
Eastern Platinum Limited	ELR.TO / ELR CT	25%	⊠	0.3%
Eldorado Gold Corporation	ELD.TO / ELD CT	10%	✓	0.3%
Element Financial Corporation	EFN.TO / EFN CN	10%	✓	0.3%
Enbridge Inc	ENB.TO / ENB CT	5%	✓	0.3%
Encana Corporation	ECA.TO / ECA CT	5%	✓	0.3%
Endeavour Mining Corporation	EDV.TO / EDV CN	25%	✓	0.3%
Endeavour Silver Corporation	EDR.TO / EDR CT	25%	✓	0.3%

Ensign Energy Services Inc	ESI.TO / ESI CT	20%	✓	0.3%
Fairfax Financial Holdings Limited	FFH.TO / FFH CT	10%	✗	0.3%
First Majestic Silver Corporation	FR.TO / FR CT	20%	✓	0.3%
First Quantum Minerals Limited	FM.TO / FM CT	5%	✓	0.3%
Fission Uranium Corporation	FCU.TO / FCU CT	25%	✗	0.3%
Fortis Inc	FTS.TO / FTS CT	5%	✓	0.3%
Fortuna Silver Mines Inc	FVI.TO / FVI CT	25%	✓	0.3%
Franco-Nevada Corporation	FNV.TO / FNV CT	10%	✗	0.3%
Freehold Royalties Limited	FRU.TO / FRU CN	25%	✗	0.3%
George Weston Limited	WN.TO / WN CT	10%	✓	0.3%
Gildan Activewear Inc	GIL.TO / GIL CT	10%	✓	0.3%
Goldcorp Inc	G.TO / G CT	5%	✓	0.3%
Granite Oil Corporation	GXO .TO / GXO CN	25%	✗	0.3%
Great Canadian Gaming Corporation	GC.TO / GC CT	25%	✓	0.3%
Guyana Goldfields Inc	GUY.TO / GUY CT	25%	✗	0.3%
Home Capital Group Inc	HCG.TO / HCG CN	10%	✗	0.3%
Horizon North Logistics Inc	HNL.TO / HNL CT	20%	✗	0.3%
Horizons BetaPro NYMEX Natural Gas Bull Plus ETF	HNU.TO / HNU CT	10%	✗	0.3%
HudBay Minerals Inc	HBM.TO / HBM CT	15%	✓	0.3%
Hudson's Bay Company	HBC.TO / HBC CT	20%	✗	0.3%
Husky Energy Inc	HSE.TO / HSE CT	10%	✓	0.3%
IAMGOLD Corporation	IMG.TO / IMG CT	10%	✓	0.3%
Imperial Oil Limited	IMO.TO / IMO CT	10%	✓	0.3%
Industrial Alliance Insurance and Financial Services Inc	IAG.TO / IAG CT	15%	✓	0.3%
Inter Pipeline Limited	IPL.TO / IPL CT	25%	✓	0.3%
Ithaca Energy Inc	IAE.TO / IAE CT	20%	☐	0.3%
Ivanhoe Mines Limited	IVN.TO / IVN CT	25%	☐	0.3%
Katanga Mining Limited	KAT.TO / KAT CT	25%	✓	0.3%
Kinross Gold Corporation	K.TO / K CT	10%	✓	0.3%
Kirkland Lake Gold Inc	KGI.TO / KGI CT	25%	☐	0.3%
Lake Shore Gold Corporation	LSG.TO / LSG CT	25%	✗	0.3%
Laurentian Bank of Canada	LB.TO / LB CT	20%	✓	0.3%
Lightstream Resources Limited	LTS.TO / LTS CT	15%	☐	0.3%
Loblaw Companies Limited	L.TO / L CT	5%	✓	0.3%
Lucara Diamond Corporation	LUC.TO / LUC CN	25%	✓	0.3%
Lundin Mining Corporation	LUN.TO / LUN CT	10%	✓	0.3%
MEG Energy Corporation	MEG.TO / MEG CT	10%	✓	0.3%
Macdonald Dettwiler & Associates Limited	MDA.TO / MDA CT	15%	✓	0.3%
Magna International Inc	MG.TO / MG CT	5%	✓	0.3%
Major Drilling Group International Inc	MDI.TO / MDI CN	20%	✗	0.3%
Manulife Financial Corporation	MFC.TO / MFC CT	5%	✓	0.3%
Maple Leaf Foods Inc	MFI.TO / MFI CT	20%	✗	0.3%
Martinrea International Inc	MRE.TO / MRE CT	25%	✗	0.3%
Methanex Corporation	MX.TO / MX CT	10%	✓	0.3%
Metro Inc	MRU.TO / MRU CT	25%	✓	0.3%
Mountain Province Diamonds Inc	MPV.TO / MPV CT	25%	✓	0.3%
National Bank of Canada	NA.TO / NA CT	5%	✓	0.3%
Nautilus Minerals Inc	NUS.TO / NUS CT	25%	☐	0.3%
NexGen Energy Limited	NXE.V / NXE CN	25%	✗	0.3%
Osisko Gold Royalties Limited	OR.TO / OR CN	20%	✓	0.3%
Pacific Exploration & Production Corporation	PRE.TO / PRE CN	10%	☐	0.3%
Paladin Energy Limited	PDN.TO / PDN CT	20%	✓	0.3%
Pason Systems Inc	PSI.TO / PSI CT	20%	✓	0.3%
Pembina Pipeline Corporation	PPL.TO / PPL CT	10%	✓	0.3%

Penn West Petroleum Limited	PWT.TO / PWT CT	25%	☒	0.3%
Peyto Exploration & Development Corporation	PEY.TO / PEY CT	20%	✓	0.3%
Potash Corporation of Saskatchewan Inc	POT.TO / POT CT	10%	✓	0.3%
Power Corporation of Canada	POW.TO / POW CT	20%	✓	0.3%
Premier Gold Mines Limited	PG.TO / PG CT	25%	✓	0.3%
ProMetic Life Sciences Inc	PLI.TO / PLI CN	20%	✓	0.3%
Progressive Waste Solutions Limited	BIN.TO / BIN CN	15%	✓	0.3%
Questerre Energy Corporation	QEC.TO / QEC CT	25%	✓	0.3%
Restaurant Brands International Inc	QSR.TO / QSR CT	25%	✗	0.3%
Restaurant Brands International Limited Partnership	QSP_u.TO / QSP-U CT	25%	✓	0.3%
Rogers Communications Inc	RCIb.TO / RCI/B CT	5%	✓	0.3%
Rona Inc	RON.TO / RON CT	20%	✗	0.3%
Royal Bank of Canada	RY.TO / RY CT	5%	✓	0.3%
Rubicon Minerals Corporation	RMX.TO / RMX CN	25%	✗	0.3%
Russel Metals Inc	RUS.TO / RUS CT	20%	✓	0.3%
SEMAFO Inc	SMF.TO / SMF CT	15%	✓	0.3%
SNC-Lavalin Group Inc	SNC.TO / SNC CN	10%	✓	0.3%
Sandstorm Gold Limited	SSL.TO / SSL CN	20%	✓	0.3%
Sandvine Corporation	SVC.TO / SVC CT	25%	✗	0.3%
Saputo Inc	SAP.TO / SAP CT	20%	✓	0.3%
Savanna Energy Services Corporation	SVY.TO / SVY CT	25%	✓	0.3%
Sears Canada Inc	SCC.TO / SCC CN	25%	☒	0.3%
Secure Energy Services Inc	SES.TO / SES CT	20%	✗	0.3%
Shaw Communications Inc	SJRb.TO / SJR/B CT	10%	✓	0.3%
Sherritt International Corporation	S.TO / S CT	20%	☒	0.3%
Silver Wheaton Corporation	SLW.TO / SLW CT	5%	✓	0.3%
Silvercorp Metals Inc	SVM.TO / SVM CT	25%	✓	0.3%
SouthGobi Resources Limited	SGQ.TO / SGQ CT	25%	☒	0.3%
Sun Life Financial Inc	SLF.TO / SLF CT	5%	✓	0.3%
Suncor Energy Inc	SU.TO / SU CT	5%	✓	0.3%
TELUS Corporation	T.TO / T CT	5%	✓	0.3%
TMX Group Limited	X.TO / X CT	25%	✗	0.3%
Tahoe Resources Inc	THO.TO / THO CT	15%	✓	0.3%
Teck Resources Limited	TCKb.TO / TCK/B CT	5%	✓	0.3%
Tethys Petroleum Limited	TPL.TO / TPL CT	25%	✓	0.3%
The Bank of Nova Scotia	BNS.TO / BNS CT	5%	✓	0.3%
The Jean Coutu Group (PJC) Inc	PJCa.TO / PJC/A CT	20%	✗	0.3%
The Toronto-Dominion Bank	TD.TO / TD CT	5%	✓	0.3%
Thompson Creek Metals Company Inc	TCM.TO / TCM CT	25%	✓	0.3%
Thomson Reuters Corporation	TRI.TO / TRI CT	5%	✓	0.3%
Torex Gold Resources Inc	TXG.TO / TXG CN	20%	✗	0.3%
Touchstone Exploration Inc	TXP.TO / TXP CT	25%	✗	0.3%
Tourmaline Oil Corporation	TOU.TO / TOU CT	20%	✗	0.3%
TransAlta Corporation	TA.TO / TA CT	10%	✓	0.3%
TransAtlantic Petroleum Limited	TNP.TO / TNP CT	25%	✓	0.3%
TransCanada Corporation	TRP.TO / TRP CT	5%	✓	0.3%
Trilogy Energy Corporation	TET.TO / TET CT	25%	✗	0.3%
Uranium Participation Corporation	U.TO / U CT	20%	✓	0.3%
Valeant Pharmaceuticals International Inc	VRX.TO / VRX CT	5%	✓	0.3%
Vermilion Energy Inc	VET.TO / VET CT	10%	✗	0.3%
Westshore Terminals Investment Corporation	WTE.TO / WTE CN	20%	✗	0.3%
Yamana Gold Inc	YRI.TO / YRI CT	5%	✓	0.3%
Yellow Pages Limited	Y.TO / Y CT	15%	✓	0.3%
iShares Core S&P TSX Capped Composite Index ETF	XIC.TO / XIC CT	10%	✓	0.3%

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DENMARK

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
A.P. Moller - Maersk AS	MAERSKa.CO / MAERSKA DC	10%	✓	0.3%
A.P. Moller - Maersk AS	MAERSKb.CO / MAERSKB DC	5%	✓	0.3%
ALK-Abello AS	ALKB.CO / ALKB DC	20%	✓	0.3%
Ambu AS	AMBUB.CO / AMBUB DC	25%	✗	0.3%
Auriga Industrie SA	AURIB.CO / AURIB DC	20%	✓	0.3%
Bang & Olufsen AS	BO.CO / BO DC	25%	✓	0.3%
Bavarian Nordic AS	BAVA.CO / BAVA DC	25%	✓	0.3%
Carlsberg AS	CARLb.CO / CARLB DC	5%	✓	0.3%
Chr. Hansen Holding AS	CHRH.CO / CHR DC	10%	✓	0.3%
Coloplast AS	COLOB.CO / COLOB DC	5%	✓	0.3%
DSV AS	DSV.CO / DSV DC	10%	✓	0.3%
Dampskibsselskabet Norden AS	DNORD.CO / DNORD DC	15%	✓	0.3%
Danske Bank AS	DANSKE.CO / DANSKE DC	5%	✓	0.3%
Exiqon AS	EXQ.CO / EXQ DC	25%	✗	0.3%
FLSmidth & Co. AS	FLS.CO / FLS DC	10%	✓	0.3%
G4S PLC	G4S.CO / GR4SEC DC	20%	✓	0.3%
GN Store Nord AS	GN.CO / GN DC	10%	✓	0.3%
Genmab AS	GEN.CO / GEN DC	25%	✓	0.3%
Greentech Energy System SA	G3E.CO / GES DC	25%	✗	0.3%
H. Lundbeck AS	LUN.CO / LUN DC	15%	✓	0.3%
IS SA	ISS.CO / ISS DC	10%	✓	0.3%
Jyske Bank AS	JYSK.CO / JYSK DC	10%	✓	0.3%
Kobenhavns Lufthavne AS	KBHL.CO / KBHL DC	25%	✗	0.3%
MATA SA	MATAS.CO / MATAS DC	20%	✓	0.3%
NKT Holding AS	NKT.CO / NKT DC	15%	✓	0.3%
Nordea Bank AB (publ)	NDA.CO / NDA DC	10%	✗	0.3%
Novo Nordisk AS	NOVOb.CO / NOVOD DC	5%	✓	0.3%
Novozyme SA	NZYMb.CO / NZYMB DC	10%	✓	0.3%
Pandora AS	PNDORA.CO / PNDORA DC	5%	✓	0.3%
Rockwool International AS	ROCKb.CO / ROCKB DC	20%	✓	0.3%
SAS AB (publ)	SAS.CO / SAS DC	20%	✗	0.3%
Santa Fe Group AS	SFGR.CO / SFG DC	25%	✓	0.3%
Sydbank AS	SYDB.CO / SYDB DC	15%	✓	0.3%
TDC AS	TDC.CO / TDC DC	10%	✓	0.3%
TORM AS	TORMa.CO / TORMA DC	25%	✓	0.3%
Topdanmark AS	TOP.CO / TOP DC	10%	✓	0.3%
Tryg AS	TRYG.CO / TRYG DC	10%	✓	0.3%
Vestas Wind System SA	VWS.CO / VWS DC	5%	✓	0.3%
William Demant Holding AS	WDH.CO / WDH DC	10%	✓	0.3%
Zealand Pharma AS	ZELA.CO / ZEAL DC	25%	✗	0.3%

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FINLAND

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
Afarak Group Oyj	AFAGR.HE / AFAGR FH	25%	✗	0.3%
Amer Sports Corporation	AMEAS.HE / AMEAS FH	10%	✗	0.3%
BasWare Oyj	BAS1V.HE / BAS1V FH	25%	✓	0.3%
Biotie Therapies Corporation	BTH1V.HE / BTH1V FH	25%	✗	0.3%
Cargotec Corporation	CGCBV.HE / CGCBV FH	10%	✓	0.3%
Citycon Oyj	CTY1S.HE / CTY1S FH	25%	✗	0.3%
Cramo Oyj	CRA1V.HE / CRA1V FH	25%	✗	0.3%
Elisa Oyj	ELI1V.HE / ELI1V FH	10%	✓	0.3%
Finnair Oyj	FIA1S.HE / FIA1S FH	25%	✗	0.3%
Finnlines Oyj	FLG1S.HE / FLG1S FH	25%	✓	0.3%
Fortum Oyj	FUM1V.HE / FUM1V FH	5%	✓	0.3%
HKScan Oyj	HKSAV.HE / HKSAV FH	25%	✓	0.3%
Huhtamaki Oyj	HUH1V.HE / HUH1V FH	20%	✗	0.3%
KONE Oyj	KNEBV.HE / KNEBV FH	5%	✓	0.3%
Kemira Oyj	KRA1V.HE / KRA1V FH	10%	✓	0.3%
Kesko Oyj	KESBV.HE / KESBV FH	10%	✓	0.3%
Konecranes PLC	KCR1V.HE / KCR1V FH	10%	✓	0.3%
Metsa Board Oyj	METSB.HE / METSB FH	25%	✓	0.3%
Metso Corporation	MEO1V.HE / MEO1V FH	10%	✓	0.3%
Neste Oyj	NESTE.HE / NESTE FH	10%	✓	0.3%
Nokia Corporation	NOKIA.HE / NOKIA FH	5%	✓	0.3%
Nokian Renkaat Oyj	NRE1V.HE / NRE1V FH	10%	✓	0.3%
Nordea Bank AB (publ)	NDA1V.HE / NDA1V FH	10%	✓	0.3%
Oriola-KD Corporation	OKDBV.HE / OKDBV FH	25%	✗	0.3%
Orion Oyj	ORNBV.HE / ORNBV FH	20%	✓	0.3%
Outokumpu Oyj	OUT1V.HE / OUT1V FH	10%	✓	0.3%
Outotec Oyj	OTE1V.HE / OTE1V FH	10%	✗	0.3%
Raisio PLC	RAIVV.HE / RAIVV FH	25%	✓	0.3%
Sampo Oyj	SAMAS.HE / SAMAS FH	5%	✓	0.3%
Sanoma Oyj	SAA1V.HE / SAA1V FH	20%	✓	0.3%
Sponda Oyj	SDA1V.HE / SDA1V FH	20%	✓	0.3%
Stockmann Oyj ABP	STCBV.HE / STCBV FH	25%	✗	0.3%
Stora Enso Oyj	STERV.HE / STERV FH	5%	✓	0.3%
Technopolis PLC	TPS1V.HE / TPS1V FH	25%	✗	0.3%
Telia Company AB	TELIA1.HE / TELIA1 FH	10%	✗	0.3%
Tieto Oyj	TIE1V.HE / TIE1V FH	20%	✓	0.3%
UPM-Kymmene Oyj	UPM1V.HE / UPM1V FH	5%	✓	0.3%
Uponor Oyj	UNR1V.HE / UNR1V FH	25%	✓	0.3%
Valmet Corporation	VALMT.HE / VALMT FH	10%	✓	0.3%
Wartsila Oyj Abp	WRT1V.HE / WRT1V FH	5%	✓	0.3%

YIT Oyj YTY1V.HE / YTY1V FH 10% ✓ 0.3%

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FRANCE

Stock	Ticker	1.Nouveau taux de couverture	2.Position courte?*	3.Cout Stop Garanti
AB Science SA	ABS.PA / AB FP	25%	✗	0.3%
ANF Immobilier	ACNF.PA / ANF FP	25%	✓	0.3%
AREVA SA	AREVA.PA / AREVA FP	20%	✗	0.3%
AXA Group	AXAF.PA / CS FP	5%	✓	0.3%
Accor SA	ACCP.PA / AC FP	5%	✓	0.3%
Adocia SA	ADOC.PA / ADOC FP	25%	✓	0.3%
Aéroports de Paris SA	ADP.PA / ADP FP	15%	✓	0.3%
Affine R.E.	BTPP.PA / IML FP	25%	✓	0.3%
Air France-KLM SA	AIRF.PA / AF FP	10%	✓	0.3%
Air Liquide SA	AIRP.PA / AI FP	5%	✓	0.3%
Airbus Group SE	AIR.PA / AIR FP	5%	✓	0.3%
Albioma	ABIO.PA / ABIO FP	25%	✓	0.3%
Alcatel-Lucent	ALUA.PA / ALU FP	5%	✓	0.3%
Ales Groupe	ALPHY.PA / ALPHY FP	25%	✗	0.3%
Alstom SA	ALSO.PA / ALO FP	5%	✓	0.3%
Alten SA	LTEN.PA / ATE FP	20%	✓	0.3%
Altran Technologies SA	ALTT.PA / ALT FP	20%	✓	0.3%
Amundi Asset Management	AMUN.PA / AMUN FP	25%	✓	0.3%
Amundi ETF Floating Rate Euro Corporate 1-3 UCITS ETF	AFRN.PA / AFRN FP	10%	✗	0.3%
April SA	APRL.PA / APR FP	25%	✓	0.3%
Archos SA	ARCH.PA / JXR FP	25%	✓	0.3%
Arkema SA	AKE.PA / AKE FP	10%	✓	0.3%
Artprice.com	ARTF.PA / PRC FP	25%	✗	0.3%
Assystem SA	ASY.PA / ASY FP	25%	✓	0.3%
Atos SE	ATOS.PA / ATO FP	10%	✓	0.3%
Aubay	AUBT.PA / AUB FP	25%	✓	0.3%
Ausy SA	OSI.PA / OSI FP	25%	✗	0.3%
Avenir Telecom SA	AVOM.PA / AVT FP	25%	✗	0.3%
Axway Software SA	AXW.PA / AXW FP	25%	✓	0.3%
BNP Paribas SA	BNPP.PA / BNP FP	5%	✓	0.3%
Beneteau SA	CHBE.PA / BEN FP	25%	✓	0.3%
Blue Solutions SA	BLUE.PA / BLUE FP	25%	✗	0.3%
Boiron SA	BOIR.PA / BOI FP	25%	✓	0.3%
Bolloré	BOLL.PA / BOL FP	15%	✓	0.3%
Bonduelle SA	BOND.PA / BON FP	25%	✓	0.3%
Bourbon	GPBN.PA / GBB FP	20%	✓	0.3%
Bouygues SA	BOUY.PA / EN FP	5%	✓	0.3%
Bureau Veritas SA	BVI.PA / BVI FP	10%	✓	0.3%
CBo Territoria SA	ALCBO.PA / CBOT FP	25%	✗	0.3%

CFAO SA	CFAO.PA / CFAO FP	25%	✗	0.3%
CGG	GEPH.PA / CGG FP	10%	✓	0.3%
CNP Assurances SA	CNPP.PA / CNP FP	15%	✓	0.3%
Caisse Regionale de Credit Agricole Mutuel de La Touraine et du Poitou	CRTO.PA / CRTO FP	25%	✗	0.3%
Caisse Regionale de Credit Agricole Mutuel de Paris et d'Ile-de-France	CAIF.PA / CAF FP	25%	✗	0.3%
Cap Gemini SA	CAPP.PA / CAP FP	5%	✓	0.3%
Carrefour SA	CARR.PA / CA FP	5%	✓	0.3%
Casino Guichard-Perrachon SA	CASP.PA / CO FP	10%	✓	0.3%
CeGeREAL SA.	CGR.PA / CGR FP	25%	✗	0.3%
Cegedim SA	CGDM.PA / CGM FP	25%	✓	0.3%
Cegid Group SA	CEGI.PA / CGD FP	25%	✓	0.3%
Cellectis SA	ALCLS.PA / ALCLS FP	25%	✓	0.3%
Chargeurs SA	CRIP.PA / CRI FP	25%	✓	0.3%
Christian Dior SE	DIOR.PA / CDI FP	10%	✓	0.3%
Coface SA	COFA.PA / COFA FP	10%	✓	0.3%
Compagnie Generale DES Etablissements Michelin SCA	MICP.PA / ML FP	5%	✓	0.3%
Compagnie Plastic Omnium SA	PLOF.PA / POM FP	15%	✓	0.3%
Compagnie de Saint-Gobain SA	SGOB.PA / SGO FP	5%	✓	0.3%
Compagnie des Alpes SA	CDAF.PA / CDA FP	25%	✓	0.3%
Credit Agricole SA	CAGR.PA / ACA FP	5%	✓	0.3%
DBV Technologies SA	DBV.PA / DBV FP	25%	✓	0.3%
Danone	DANO.PA / BN FP	5%	✓	0.3%
Dassault Systemes SA	DAST.PA / DSY FP	10%	✓	0.3%
Derichebourg	DBG.PA / DBG FP	25%	✓	0.3%
Devoteam SA	DVTM.PA / DVT FP	25%	✓	0.3%
ENGIE SA	ENGIE.PA / ENGI FP	5%	✓	0.3%
Edenred SA	EDEN.PA / EDEN FP	10%	✓	0.3%
Eiffage SA	FOUG.PA / FGR FP	10%	✓	0.3%
Electricite de France SA	EDF.PA / EDF FP	5%	✓	0.3%
Elior	ELIOR.PA / ELIOR FP	10%	✓	0.3%
Eramet SA	ERMT.PA / ERA FP	20%	✓	0.3%
Erytech Pharma SA	ERYP.PA / ERYP FP	25%	✗	0.3%
Essilor International SA	ESSI.PA / EI FP	5%	✓	0.3%
Esso S.A.F.	ESSF.PA / ES FP	25%	✓	0.3%
Etablissements Maurel & Prom SA	MAUP.PA / MAU FP	20%	✓	0.3%
Euler Hermes Group SA	ELER.PA / ELE FP	20%	✓	0.3%
Eur AE	EUR.A.PA / RF FP	15%	✓	0.3%
Euro Disney SCA	EDLP.PA / EDL FP	25%	✗	0.3%
Eurofins Scientific SA	EUFI.PA / ERF FP	15%	✓	0.3%
Euronext NV	ENX.PA / ENX FP	10%	✓	0.3%
Europcar Groupe SA	EUCAR.PA / EUCAR FP	25%	✓	0.3%
Europlasma SA	ALEUP.PA / ALEUP FP	25%	✗	0.3%
Eutelsat Communications SA	ETL.PA / ETL FP	10%	✓	0.3%
Exel Industries SA	EXEP.PA / EXE FP	25%	✗	0.3%
F. Marc de Lacharriere (Fimalac) SA	LBCP.PA / FIM FP	25%	✓	0.3%
FTSE EPRA EuroZone Theam Easy UCITS ETF Classic Distribution	EEE.PA / EEE FP	10%	✓	0.3%
FUTUREN SA	FTRN.PA / FTRN FP	25%	✓	0.3%
Faiveley Transport SA	FAIP.PA / LEY FP	25%	✓	0.3%
Faurecia SA	EPED.PA / EO FP	10%	✓	0.3%
Financiere de l'Odet	EPMF.PA / ODET FP	25%	✓	0.3%
Fleury Michon SA	FLMI.PA / FLE FP	25%	✗	0.3%
Focus Home Interactive SA	ALFOC.PA / ALFOC FP	25%	✗	0.3%

Fonciere des Regions	FDR.PA / FDR FP	15%	✓	0.3%
GFI Informatique SA	GFIP.PA / GFI FP	25%	✗	0.3%
GL Events	GLTN.PA / GLO FP	25%	✓	0.3%
GameLoft S.E.	GLFT.PA / GFT FP	20%	✓	0.3%
Gaumont SA	GAUM.PA / GAM FP	25%	✓	0.3%
Gaztransport & Technigaz SA	GTT.PA / GTT FP	10%	✓	0.3%
Gecina SA	GFCP.PA / GFC FP	15%	✓	0.3%
Genfit SA	GNFT.PA / GNFT FP	25%	✓	0.3%
Groupe CRIT SA	CITT.PA / CEN FP	25%	✓	0.3%
Groupe Eurotunnel SA	GETP.PA / GET FP	10%	✓	0.3%
Groupe Flo SA	GFLO.PA / FLO FP	25%	✗	0.3%
Groupe Fnac SA	FNAC.PA / FNAC FP	25%	✓	0.3%
Groupe Guillin SA	ALGIL.PA / ALGIL FP	25%	✗	0.3%
Groupe Laurent-Perrier	LPER.PA / LPE FP	25%	✓	0.3%
Groupe Open	OPEN.PA / OPN FP	25%	✗	0.3%
Groupe Partouche SA	PARP.PA / PARP FP	25%	✗	0.3%
Guerbet SA	GRBT.PA / GBT FP	25%	✓	0.3%
Haulotte Group SA	PYHE.PA / PIG FP	25%	✓	0.3%
Havas SA	HAVA.PA / HAV FP	15%	✓	0.3%
Herige	HERIG.PA / HERIG FP	25%	✗	0.3%
Hermes International Societe en commandite par actions	HRMS.PA / RMS FP	15%	✓	0.3%
HiPay Group SA	HIPAY.PA / HIPAY FP	25%	✗	0.3%
High Co. SA	HIGH.PA / HCO FP	25%	✗	0.3%
Hybrigenics SA	ALHYG.PA / ALHYG FP	25%	✗	0.3%
INSIDE Secure	INSD.PA / INSD FP	25%	✗	0.3%
Icade SA	ICAD.PA / ICAD FP	15%	✓	0.3%
Iliad SA	ILD.PA / ILD FP	10%	✓	0.3%
Imerys SA	IMTP.PA / NK FP	10%	✓	0.3%
Infotel SA	ETOF.PA / INF FP	25%	✗	0.3%
Ingenico Group	INGC.PA / ING FP	10%	✓	0.3%
Innate Pharma SA	IPH.PA / IPH FP	25%	✓	0.3%
InterParfums SA	IPAR.PA / ITP FP	25%	✓	0.3%
Ipsen SA	IPN.PA / IPN FP	20%	✓	0.3%
Ipsos SA	ISOS.PA / IPS FP	20%	✓	0.3%
Itissalat Al-Maghrib (IAM) SA	IAM.PA / IAM FP	25%	✗	0.3%
JCDecaux SA	JCDX.PA / DEC FP	15%	✓	0.3%
Jacquet Metal Service SA	JCQ.PA / JCQ FP	25%	✓	0.3%
Kaufman & Broad SA	KOF.PA / KOF FP	25%	✗	0.3%
Kering SA	PRTP.PA / KER FP	5%	✓	0.3%
Klepierre SA	LOIM.PA / LI FP	10%	✓	0.3%
Korian SA	KORI.PA / KORI FP	25%	✓	0.3%
L'Oreal SA	OREP.PA / OR FP	5%	✓	0.3%
L.D.C. SA	LDCP.PA / LOUP FP	25%	✓	0.3%
LVMH Moet Hennessy Louis Vuitton S.E.	LVMH.PA / MC FP	5%	✓	0.3%
LafargeHolcim Limited	LHN.PA / LHN FP	5%	✓	0.3%
Lagardere SCA	LAGA.PA / MMB FP	10%	✓	0.3%
Latecoere SA	LAEP.PA / LAT FP	25%	✗	0.3%
Le Noble-Age	LNA.PA / LNA FP	25%	✗	0.3%
Lectra SA	LECS.PA / LSS FP	25%	✓	0.3%
Legrand SA	LEGD.PA / LR FP	5%	✓	0.3%
Linedata Services SA	LDSV.PA / LIN FP	25%	✗	0.3%
Lisi SA	GFII.PA / FII FP	25%	✓	0.3%
Locindus SA	LOCI.PA / LD FP	25%	✗	0.3%

Lyxor Brazil (Ibovespa) UCITS ETF C-EUR	LYXRIO.PA / RIO FP	10%	✗	0.3%
Lyxor CAC 40 Daily Double Short UCITS ETF	BX4.PA / BX4 FP	10%	✓	0.3%
Lyxor China Enterprise (HSCEI) UCITS ETF C-EUR	ASI.PA / ASI FP	10%	✓	0.3%
Lyxor Commodities Thomson Reuters Corecommodity CRB TR UCITS ETF C-EUR	CRB.PA / CRB FP	10%	✓	0.3%
Lyxor Euro Stoxx 50 (DR) UCITS ETF D-EUR	MSE.PA / MSE FP	10%	✓	0.3%
Lyxor MSCI Emerging Markets UCITS ETF C-EUR	LYXLEM.PA / LEM FP	10%	✓	0.3%
Lyxor MSCI World Health Care TR UCITS ETF - C-EUR	HLTW.PA / HLTW FP	10%	✗	0.3%
Lyxor UCITS ETF CAC 40 (DR) D-EUR	CAC.PA / CAC FP	5%	✓	0.3%
Lyxor UCITS ETF Daily Double Short Bund	DSB.PA / DSB FP	10%	✗	0.3%
Lyxor UCITS ETF Daily Leverage CAC 40	LVC.PA / LVC FP	10%	✓	0.3%
Lyxor UCITS ETF Euro Stoxx 50 Daily Double Short	BXX.PA / BXX FP	10%	✓	0.3%
Lyxor UCITS ETF Euro Stoxx 50 Daily Leverage	LVE.PA / LVE FP	10%	✗	0.3%
Lyxor UCITS ETF FTSE Athex Large Cap	LGRE.PA / GRE FP	10%	✓	0.3%
Lyxor UCITS ETF Hong Kong (HSI) D-EUR	HK.PA / HSI FP	10%	✓	0.3%
Lyxor UCITS ETF Japan (TOPIX) D-EUR	JPN.PA / JPN FP	15%	✗	0.3%
Lyxor UCITS ETF MSCI India C-EUR	INR.PA / INR FP	10%	✓	0.3%
Lyxor UCITS ETF MSCI Korea C-EUR	KRW.PA / KRW FP	10%	✗	0.3%
Lyxor UCITS ETF Stoxx Europe 600 Banks	LYXBNK.PA / BNK FP	10%	✓	0.3%
Lyxor UCITS ETF Stoxx Europe 600 Basic Resources	BRES.PA / BRE FP	10%	✗	0.3%
Lyxor UCITS ETF Stoxx Europe 600 Oil & Gas	LYXOIL.PA / OIL FP	10%	✓	0.3%
Lyxor UCITS ETF Stoxx Europe Select Dividend 30	SEL.PA / SEL FP	10%	✗	0.3%
MGI Coutier SA	MGIP.PA / MGIC FP	25%	✓	0.3%
Maisons France Confort SA	MFCP.PA / MFC FP	25%	✗	0.3%
Manitou BF SA	MANP.PA / MTU FP	25%	✓	0.3%
Manutan International SA	MATP.PA / MAN FP	25%	✗	0.3%
Marie Brizard Wine & Spirits SA	MBWS.PA / MBWS FP	20%	✓	0.3%
Mauna Kea Technologies SAS	MKEA.PA / MKEA FP	25%	✗	0.3%
Mercialys SA	MERY.PA / MERY FP	20%	✓	0.3%
Mersen SA	CBLP.PA / MRN FP	25%	✓	0.3%
Metabolic Explorer SA	METEX.PA / METEX FP	25%	✗	0.3%
Metropole Television SA	MMTP.PA / MMT FP	20%	✓	0.3%
Montupet SA	MNTP.PA / MOT FP	15%	✗	0.3%
Mr. Bricolage SA	MBRI.PA / MRB FP	25%	✗	0.3%
NRJ Group SA	SONO.PA / NRG FP	25%	✓	0.3%
Nanobiotix SA	NANOB.PA / NANO FP	25%	✗	0.3%
Natixis	CNAT.PA / KN FP	10%	✓	0.3%
Neopost SA	NPOS.PA / NEO FP	15%	✓	0.3%
Netgem SA	ETGM.PA / NTG FP	25%	✗	0.3%
Nexans SA	NEXS.PA / NEX FP	10%	✓	0.3%
Nexity	NEXI.PA / NXI FP	20%	✓	0.3%
NextRadioTV SA	NXTV.PA / NXTV FP	25%	✓	0.3%
Nicox SA	NCOX.PA / COX FP	25%	✓	0.3%
Nokia Corporation	NOKIA.PA / NOKIA FP	5%	✓	0.3%
Norbert Dentressangle SA	XPO.PA / XPO FP	25%	✓	0.3%
Numericable-SFR	NUME.PA / NUM FP	10%	✓	0.3%
ORPEA SA	ORP.PA / ORP FP	15%	✓	0.3%
Oeneo SA	SABT.PA / SBT FP	25%	✗	0.3%
Onxeo SA	C4X.PA / ONXEO FP	25%	✓	0.3%
Orange	ORAN.PA / ORA FP	5%	✓	0.3%
PSB Industries	PSBP.PA / PSB FP	25%	✓	0.3%
Paref SA	PARF.PA / PAR FP	25%	✗	0.3%
Parrot SA	PARRO.PA / PARRO FP	25%	✓	0.3%
Pernod-Ricard SA	PERP.PA / RI FP	5%	✓	0.3%

Peugeot SA	PEUP.PA / UG FP	10%	✓	0.3%
Pierre & Vacances SA	PVAC.PA / VAC FP	25%	✓	0.3%
Plastiques du Val de Loire	PLVP.PA / PVL FP	25%	✓	0.3%
Prodware	ALPRO.PA / ALPRO FP	25%	✗	0.3%
Publicis Groupe SA	PUBP.PA / PUB FP	5%	✓	0.3%
Rallye SA	GENC.PA / RAL FP	20%	✓	0.3%
Remy Cointreau SA	RCOP.PA / RCO FP	10%	✓	0.3%
Renault SA	RENA.PA / RNO FP	5%	✓	0.3%
Rexel SA	RXL.PA / RXL FP	10%	✓	0.3%
Rubis	RUBF.PA / RUI FP	15%	✓	0.3%
S.A. Elis	ELIS.PA / ELIS FP	25%	✓	0.3%
SCOR SE	SCOR.PA / SCR FP	10%	✓	0.3%
SEB SA	SEBF.PA / SK FP	15%	✓	0.3%
SES SA	SESFd.PA / SESG FP	10%	✓	0.3%
SII (Societe pour l'Informatique Industrielle) SA	IISP.PA / SII FP	25%	✗	0.3%
SPIE SA	SPIE.PA / SPIE FP	25%	✓	0.3%
SRP Groupe SA	SRPG.PA / SRP FP	25%	✓	0.3%
STMicroelectronics NV	STM.PA / STM FP	10%	✓	0.3%
Safran SA	SAF.PA / SAF FP	5%	✓	0.3%
Saft Groupe SA	S1A.PA / SAFT FP	25%	✓	0.3%
Sanofi	SASY.PA / SAN FP	5%	✓	0.3%
Sartorius Stedim Biotech SA	STDM.PA / DIM FP	25%	✓	0.3%
Savencia SA	SAVEN.PA / SAVE FP	25%	✓	0.3%
Schlumberger Limited	SLBP.PA / SLB FP	25%	✗	0.3%
Schneider Electric SE	SCHN.PA / SU FP	5%	✓	0.3%
Seche Environnement SA	CCHE.PA / SCHP FP	25%	✓	0.3%
Sequana SA	SEQ.PA / SEQ FP	25%	✓	0.3%
Societe BIC SA	BICP.PA / BB FP	15%	✓	0.3%
Societe Fonciere Financiere et de Participations - FFP	FFPP.PA / FFP FP	25%	✓	0.3%
Societe Generale Group	SOGN.PA / GLE FP	5%	✓	0.3%
Societe de la Tour Eiffel SA	TEIF.PA / EIFF FP	25%	✗	0.3%
Sodexo SA	EXHO.PA / SW FP	10%	✓	0.3%
Soitec SA	SOIT.PA / SOI FP	20%	✗	0.3%
Solocal Group SA	LOCAL.PA / LOCAL FP	15%	✓	0.3%
Somfy SA	DAMA.PA / SO FP	25%	✓	0.3%
Sopra Steria Group	SOPR.PA / SOP FP	25%	✓	0.3%
Spir Communication	SPIS.PA / SPI FP	25%	✗	0.3%
Stef SA	STF.PA / STF FP	25%	✓	0.3%
Suez Environnement Company SA	SEVI.PA / SEV FP	10%	✓	0.3%
SuperSonic Imagine SA	SSI.PA / SSI FP	25%	✗	0.3%
Sword Group SE	SWOR.PA / SWP FP	25%	✓	0.3%
Synergie SA	SDGI.PA / SDG FP	25%	✓	0.3%
TF1 Group	TFFP.PA / TFI FP	15%	✓	0.3%
TFF Group	TEFE.PA / TFF FP	25%	✓	0.3%
TOTAL SA	TOTF.PA / FP FP	5%	✓	0.3%
Tarkett SA	TKTT.PA / TKTT FP	10%	✓	0.3%
Technicolor SA	TCH.PA / TCH FP	15%	✓	0.3%
Technip SA	TECF.PA / TEC FP	5%	✓	0.3%
Teleperformance SA	ROCH.PA / RCF FP	15%	✓	0.3%
Terreis (France)	TERR.PA / TER FP	25%	✓	0.3%
Tessi SA	TESI.PA / TES FP	25%	✓	0.3%
Thales SA	TCFP.PA / HO FP	10%	✓	0.3%
Thermador Groupe SA	THHG.PA / THEP FP	25%	✗	0.3%
Toupargel Groupe SA	TGEL.PA / TOU FP	25%	✗	0.3%

Transgene SA	TRNG.PA / TNG FP	25%	✗	0.3%
Trigano SA	TRIA.PA / TRI FP	25%	✓	0.3%
U10 SA	L3C.PA / UDIS FP	25%	✗	0.3%
Ubisoft Entertainment SA	UBIP.PA / UBI FP	10%	✓	0.3%
VIEL & Cie	VEIL.PA / VIL FP	25%	✗	0.3%
VINCI SA	SGEF.PA / DG FP	5%	✓	0.3%
Valeo SA	VLOF.PA / FR FP	10%	✓	0.3%
Vallourec SA	VLLP.PA / VK FP	5%	✓	0.3%
Valneva SE	VLS.PA / VLA FP	20%	✗	0.3%
Veolia Environnement SA	VIE.PA / VIE FP	5%	✓	0.3%
Viadeo SA	VIAD.PA / VIAD FP	25%	✗	0.3%
Vicat SA	VCTP.PA / VCT FP	20%	✓	0.3%
Vilmorin & Cie SA	VILM.PA / RIN FP	25%	✓	0.3%
Virbac SA	VIRB.PA / VIRP FP	25%	✓	0.3%
Vivendi SA	VIV.PA / VIV FP	5%	✓	0.3%
Vranken Pommery Monopole	VRKP.PA / VRAP FP	25%	✓	0.3%
Wendel	MWDP.PA / MF FP	10%	✓	0.3%
Worldline SA	WLN.PA / WLN FP	20%	✓	0.3%
ZCCM Investments Holdings PLC	ZCCM.PA / MLZAM FP	25%	✗	0.3%
Zodiac Aero SAE	ZODC.PA / ZC FP	10%	✓	0.3%
bioMerieux SA	BIOX.PA / BIM FP	20%	✓	0.3%
db x-trackers Euro Stoxx 50(r) Short Daily UCITS ETF 1C	DBXSX.PA / XSX FP	10%	✓	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Attention : la colonne 'Position courte ?' indique simplement qu'il n'y a actuellement aucun facteur (à notre connaissance) qui empêcherait d'ouvrir une position courte sur la valeur concernée. Cette information peut changer rapidement, et il n'est pas garanti que vous puissiez ouvrir des positions courtes sur les valeurs mentionnées. De plus, vous pouvez être soumis à des « frais d'emprunt » qui seront inclus dans l'ajustement d'intérêt applicable à votre position. Afin de savoir si ces frais sont applicables, nous vous recommandons de contacter nos traders avant de réaliser votre transaction. La possibilité de vendre un titre à découvert, ainsi que les frais d'emprunts, peuvent changer rapidement.

Veuillez noter que si vous ne trouvez pas le marché que vous recherchez, vous pouvez nous contacter par téléphone au: +33 170 981 819 et nous pourrions normalement ajouter ce marché pour vous en quelques minutes

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

GERMANY

Stock	Ticker	1.Neuer Marginsatz	2.Möglichkeit short zu gehen*	3.Prämie zur Risikobegrenzung
2G energy AG	2GBG.DE / 2GB GY	25%	✗	0.3%
4SC AG	VSCk.DE / VSC GR	25%	✗	1%
ADLER Real Estate Aktiengesellschaft	ADLG.DE / ADL GY	25%	✓	1%
ADVA Optical Networking SE	ADAG.DE / ADV GY	25%	✓	1%
AS Creation Tapeten AG	ACWNn.DE / ACWN GY	25%	✗	0.7%
AT&S Austria Technologie & Systemtechnik Aktiengesellschaft	ATSV.DE / AUS GY	25%	✗	1%
ATOSS Software AG	AOFG.DE / AOF GY	25%	✓	0.7%
AUDI AG	NSUG.DE / NSU GY	25%	✗	1%
Aareal Bank AG	ARLG.DE / ARL GY	10%	✓	1%
Adida SA	ADSGn.DE / ADS GY	5%	✓	0.3%
Adler Modemarkte AG	ADDG.DE / ADD GY	25%	✗	1%
Air Berlin PLC	AB1.DE / AB1 GY	25%	✗	1%
Airbus Group SE	AIRG.DE / AIR GY	10%	✗	0.7%
Aixtron SE	AIXGn.DE / AIXA GY	10%	✓	0.7%
Allgeier SE	AEIG.DE / AEI GY	25%	✗	1%
Allianz SE	ALVG.DE / ALV GY	5%	✓	0.3%
Alstria Office Reit-AG	AOXG.DE / AOX GY	25%	✓	0.7%

Amadeus FiRe AG	AMDG.DE / AAD GY	25%	✓	0.7%
Apple Inc	AAPL.DE / APC GY	10%	✓	0.3%
Aurelius SE & Co. KGaA	AR4G.DE / AR4 GY	20%	✓	0.7%
Aurubi SA	NAFG.DE / NDA GY	10%	✓	0.7%
Axel Springer SE	SPRGn.DE / SPR GY	10%	✓	0.7%
BASF SE	BASFn.DE / BAS GY	5%	✓	0.3%
BAUER Aktiengesellschaft	B5AG.DE / B5A GY	25%	✓	1%
BB Biotech AG	BIONn.DE / BBZA GY	20%	✓	0.3%
BRAAS Monier Building Group SA	BMSA.DE / BMSA GY	25%	✗	1%
BUWOG AG	BWOG.DE / BWO GY	25%	✗	1%
Baader Bank AG	BLMG.DE / BWB GY	25%	✗	1%
Balda AG	BAFG.DE / BAF GY	20%	✗	1%
Barrick Gold Corporation	ABX.DE / ABR GR	5%	✗	0.3%
Bastei Lubbe AG	BST1.DE / BST GY	25%	✗	1%
BayWa AG	BYWGNx.DE / BYW6 GY	20%	✓	0.7%
BayWa AG	BYWG.DE / BYW GY	25%	✗	1%
Bayer AG	BAYGn.DE / BAYN GY	5%	✓	0.3%
Bayerische Motoren Werke Aktiengesellschaft	BMWG.DE / BMW GY	5%	✓	0.3%
Bayerische Motoren Werke Aktiengesellschaft	BMWG_p.DE / BMW3 GY	15%	✓	0.7%
Bechtle AG	BC8G.DE / BC8 GY	20%	✓	0.7%
Beiersdorf AG	BEIG.DE / BEI GY	5%	✓	0.3%
Bertrandt AG	BDTG.DE / BDT GY	20%	✓	1%
Bijou Brigitte modische Accessoires Aktiengesellschaft	BIJG.DE / BIJ GY	25%	✓	1%
Bilfinger SE	GBFG.DE / GBF GY	10%	✓	0.7%
Biofrontera AG	B8FGn.DE / B8F GY	25%	✗	1%
Biotest AG	BIOG.DE / BIO GY	25%	✓	1%
Biotest AG	BIOG_p.DE / BIO3 GY	20%	✓	1%
Borussia Dortmund GmbH & Co. Kommanditgesellschaft auf Aktien	BVB.DE / BVB GY	25%	✓	1%
Brenntag AG	BNRGn.DE / BNR GY	10%	✓	0.7%
C.A.T. Oil AG	O2C.DE / O2C GY	15%	✗	1%
CENTROTEC Sustainable AG	CEVG.DE / CEV GY	25%	✓	1%
CEWE Stiftung & Co. KGaA	CWCG.DE / CWC GY	25%	✓	0.7%
CHORUS Clean Energy AG	CU1G.DE / CU1 GY	25%	✓	1%
CTS Eventim AG & Co. KGaA	EVDG.DE / EVD GY	20%	✓	0.7%
Cancom SE	COKG.DE / COK GY	15%	✓	1%
Capital Stage AG	HWAG.DE / CAP GY	25%	✓	1%
Carl Zeiss Meditec AG	AFXG.DE / AFX GY	25%	✓	0.3%
Celesio AG	CLSGn.DE / CLS1 GY	10%	✓	0.7%
Citigroup Inc	TRVC.DE / TRVC GY	25%	✗	1%
Colonia Real Estate AG	KBUG.DE / KBU GY	25%	✗	0.7%
ComStage PSI 20(r) UCITS ETF	CBPSI20.DE / CD47 GY	10%	✗	0.3%
ComStage STOXX (r) Europe 600 Real Estate NR UCITS ETF	CBSX86R.DE / CBSX86R GY	25%	✗	1%
Commerzbank AG	CBKG.DE / CBK GY	5%	✓	0.7%
Commerzbank ETC 2x Brent Oil Daily Long	XOC2.DE / XOC2 GY	25%	✗	1%
CompuGroup Medical SE	COPMa.DE / COP GY	25%	✓	1%
Constantin Medien AG	EV4G.DE / EV4 GY	25%	✓	0.7%
Continental Aktiengesellschaft	CONG.DE / CON GY	5%	✓	0.7%
Covestro AG	1COV.DE / 1COV GY	25%	✓	1%
CropEnergie SA	CE2G.DE / CE2 GY	25%	✓	1%
DIC Asset AG	DICn.DE / DIC GY	25%	✓	1%
DMG Mori AG	GILG.DE / GIL GY	10%	✓	1%
DO Deutsche Office AG	PMOX.DE / PMOX GY	25%	✓	1%

Daimler AG	DAIGn.DE / DAI GY	5%	✓	0.3%
Data Modul AG	DAMG.DE / DAM GY	25%	✗	1%
Delticom AG	DEXGn.DE / DEX GY	25%	✗	1%
Deutsche Bank AG	DBKGn.DE / DBK GY	5%	✓	0.3%
Deutsche Beteiligung SA	DBANn.DE / DBAN GY	25%	✓	0.7%
Deutsche Boerse AG	DB1Gn.DE / DB1 GY	5%	✓	0.3%
Deutsche Euroshop AG	DEQGn.DE / DEQ GY	25%	✓	0.3%
Deutsche Lufthansa Aktiengesellschaft	LHAG.DE / LHA GY	5%	✓	0.3%
Deutsche Pfandbriefbank AG	PBBG.DE / PBB GY	25%	✓	1%
Deutsche Post AG	DPWGn.DE / DPW GY	5%	✓	0.3%
Deutsche Rohstoff AG	DROG.DE / DR0 GY	25%	✗	1%
Deutsche Telekom AG	DTEGn.DE / DTE GY	5%	✓	0.3%
Deutsche Wohnen AG	DWNG.DE / DWNI GY	10%	✓	0.7%
Deutz AG	DEZG.DE / DEZ GY	15%	✓	1%
Dialog Semiconductor PLC	DLGS.DE / DLG GY	10%	✓	1%
Dr. Honle AG	HNLG.DE / HNL GY	25%	✗	1%
Dragerwerk AG & Co. KGaA	DRWG_p.DE / DRW3 GY	20%	✓	0.7%
Dragerwerk AG & Co. KGaA	DRWG.DE / DRW8 GY	25%	✓	1%
Drillisch Aktiengesellschaft	DRIG.DE / DRI GY	10%	✓	1%
Durr Aktiengesellschaft	DUEG.DE / DUE GY	10%	✓	1%
E.ON SE	EONGn.DE / EOAN GY	5%	✓	0.3%
EDAG Engineering Group AG	ED4.DE / ED4 GY	25%	✓	1%
ELMOS Semiconductor AG	ELGG.DE / ELG GY	25%	✓	1%
ETFS DAX Daily 2x Short GO UCITS	XDES2.DE / DES2 GY	10%	✗	0.3%
Eckert & Ziegler Strahlen & Medizintechnik AG	EUZG.DE / EUZ GY	25%	✓	1%
Einhell Germany AG	EING_p.DE / EIN3 GY	25%	✗	1%
ElringKlinger AG	ZILGn.DE / ZIL2 GY	20%	✓	1%
Elumeo SE	ELBG.DE / ELB GY	25%	✗	1%
Epigenomic SA	ECXn.DE / ECX GR	25%	✗	1%
Evonik Industrie SA	EVKn.DE / EVK GY	15%	✓	0.3%
Evotec AG	EVTG.DE / EVT GY	15%	✓	1%
Facebook Inc	FB2A.DE / FB2A GY	5%	✗	0.3%
Ferratum Oyj	FRU.DE / FRU GY	25%	✓	1%
Fielmann Aktiengesellschaft	FIEG.DE / FIE GY	20%	✓	0.3%
FinTech Group AG	FLAG.DE / FLA GY	25%	✗	1%
First Sensor AG	SISG.DE / SIS GY	25%	✗	1%
FrancoTyp Postalia Holding AG	FPHG.DE / FPH GY	25%	✗	1%
Fraport AG	FRAG.DE / FRA GY	15%	✓	0.7%
Fresenius Medical Care AG & Co. KGAA	FMEG.DE / FME GY	5%	✓	0.3%
Fresenius SE & Co KGaA	FREG.DE / FRE GY	5%	✓	0.3%
Fuchs Petrolub SE	FPEG.DE / FPE GY	20%	✓	0.7%
Fuchs Petrolub SE	FPEG_p.DE / FPE3 GY	10%	✓	0.7%
GEA Group Aktiengesellschaft	G1AG.DE / G1A GY	10%	✓	0.7%
GFT Technologie SA	GFTG.DE / GFT GY	25%	✓	1%
Gerresheimer AG	GXIG.DE / GXI GY	15%	✓	0.3%
Gerry Weber International AG	GWIG.DE / GWI1 GY	15%	✓	0.7%
Gesco AG	GSC1n.DE / GSC1 GY	25%	✓	1%
GfK SE	GFKG.DE / GFK GY	25%	✓	0.7%
Gigaset AG	GGG.DE / GGS GY	25%	✗	1%
Grammer AG	GMMG.DE / GMM GY	20%	✓	1%
Grand City Properties SA	GYC.DE / GYC GY	25%	✓	1%
GrenkeLeasing AG	GLJn.DE / GLJ GY	25%	✓	0.7%
H&R AG	2HR.DE / 2HR GY	25%	✓	0.7%
Hamborner REIT AG	HABG.DE / HAB GY	25%	✓	1%

Hamburger Hafen und Logistik AG	HHFGn.DE / HHFA GY	25%	✓	0.3%
Hannover Ruck SE	HNRGn.DE / HNR1 GY	10%	✓	0.7%
Hapag-Lloyd AG	HLAG.DE / HLAG GY	25%	✓	1%
Hawesko Holding AG	HAWG.DE / HAW GY	25%	✗	0.7%
HeidelbergCement AG	HEIG.DE / HEI GY	5%	✓	0.3%
Heidelberger Druckmaschinen Aktiengesellschaft	HDDG.DE / HDD GY	15%	✓	1%
Hella KGaA Hueck & Company	HLE.DE / HLE GY	15%	✓	0.7%
Helma Eigenheimbau AG	H5EG.DE / H5E GY	25%	✗	1%
Henkel AG & Co. KGaA	HNKG.DE / HEN GY	10%	✓	0.7%
Henkel AG & Co. KGaA	HNKG_p.DE / HEN3 GY	5%	✓	0.3%
Highlight Communication SA	HLGZ.DE / HLG GY	25%	✓	0.7%
Hochtief AG	HOTG.DE / HOT GY	10%	✓	0.7%
Hornbach Baumarkt AG	HBMG.DE / HBM GY	25%	✓	1%
Hornbach Holding AG & Co. KGaA	HBH.DE / HBH GY	25%	✓	1%
Hugo Bos SA	BOSSn.DE / BOSS GY	10%	✓	1%
Hypoport AG	HYQGn.DE / HYQ GY	25%	✓	1%
INDUS Holding AG	INHG.DE / INH GY	25%	✓	0.7%
ISARIA Wohnbau AG	JWBG.DE / IWB GY	25%	✗	1%
IVU Traffic Technologie SA	IVUG.DE / IVU GY	25%	✗	1%
Infineon Technologie SA	IFXGn.DE / IFX GY	5%	✓	0.3%
Init Innovation in Traffic System SA	IXXG.DE / IXX GY	25%	✗	0.7%
Isra Vision AG.	ISRG.DE / ISR GY	25%	✓	0.7%
Jenoptik AG	JENG.DE / JEN GY	20%	✓	0.7%
Jungheinrich AG	JUNG_p.DE / JUN3 GY	20%	✓	1%
K+S Aktiengesellschaft	SDFGn.DE / SDF GY	10%	✓	0.3%
KION GROUP AG	KGX.DE / KGX GY	20%	✓	0.7%
KSB Aktiengesellschaft	KSBG_p.DE / KSB3 GY	25%	✓	1%
KSB Aktiengesellschaft	KSBG.DE / KSB GY	25%	✗	1%
KUKA Aktiengesellschaft	KU2G.DE / KU2 GY	15%	⚠	0.7%
KWS Saat SE	KWSG.DE / KWS GY	25%	✓	0.7%
Kabel Deutschland Holding AG	KD8Gn.MU / KD8 GY	10%	✓	0.7%
Klockner & Co SE	KCOGn.DE / KCO GY	10%	✓	1%
Koenig & Bauer AG	SKBG.DE / SKB GY	25%	✓	1%
Kontron AG	KBCG.DE / KBC GY	25%	✓	0.7%
Krone SA	KRNG.DE / KRN GY	15%	✓	0.7%
LEG Immobilien AG	LEGn.DE / LEG GY	10%	✓	0.3%
LEONI AG	LEOGn.DE / LEO GY	10%	✓	1%
LPKF Laser & Electronic SA	LPKG.DE / LPK GY	15%	✗	1%
Lanxes SA	LXSG.DE / LXS GY	10%	✓	1%
Leifheit AG	LEIG.DE / LEI GY	25%	✓	1%
Linde Aktiengesellschaft	LING.DE / LIN GY	5%	✓	0.3%
Lyxor China Enterprise (HSCEI) UCITS ETF C-EUR	L8I1.DE / ASI GY	10%	✗	0.3%
Lyxor Daily LevDAX UCITS ETF	LYXLEVDAX.DE / LYXLEDAX GY	10%	✗	0.7%
Lyxor UCITS ETF MSCI India C-EUR	LYXINR.DE / LYXINR GY	10%	✗	0.3%
Lyxor UCITS ETF Russia (Dow Jones Russia GDR) C-EUR	LYXRUS.DE / LYXRUS GY	10%	✗	0.3%
M.A.X. Automation Ag	MAXG.DE / MXH GY	25%	✗	1%
MAN SE	MANG.DE / MAN GY	10%	✓	0.3%
MAN SE	MANG_p.DE / MAN3 GY	25%	✓	1%
METRO AG	MEOG.DE / MEO GY	10%	✓	0.3%
METRO AG	MEOG_p.DE / MEO3 GY	25%	✗	1%
MLP AG	MLPG.DE / MLP GY	25%	✓	0.7%
MS Industrie AG	MSAG.DE / MSAG GY	25%	✗	1%
MTU Aero Engine SA	MTXGn.DE / MTX GY	10%	✓	0.7%

MVV Energie AG	MVVGn.DE / MVV1 GY	25%	✗	0.3%
MagForce AG	MF6G.DE / MF6 GY	25%	✗	1%
Manz AG	M5ZG.DE / M5Z GY	20%	✗	1%
MediGene AG	MDG1k.DE / MDG1 GY	25%	✗	1%
Medion AG	MDNG.DE / MDN GY	25%	✗	0.7%
Mensch und Maschine Software SE	MUMG.DE / MUM GY	25%	✓	1%
Merck KGaA	MRCG.DE / MRK GY	5%	✓	0.3%
Mobotix AG	MBQGn.DE / MBQ GY	25%	✗	1%
Mologen AG	MGNG.DE / MGN GY	25%	✗	1%
Morphosy SA	MORG.DE / MOR GY	10%	✓	0.7%
Muhlbauer Holding AG & Co. Kommanditgesellschaft auf Aktien	MUBG.DE / MUB GY	25%	✗	1%
Munchener Ruckversicherungs-Gesellschaft Aktiengesellschaft	MUVGn.DE / MUV2 GY	5%	✓	0.3%
NORMA Group SE	NOEJ.DE / NOEJ GY	15%	✓	0.7%
Nemetschek AG	NEKG.DE / NEM GY	25%	✓	0.7%
Nexu SA	NXUG.DE / NXU GY	25%	✓	1%
Nordex SE	NDXG.DE / NDX1 GY	10%	✓	0.7%
OHB SE	OHBG.DE / OHB GY	25%	✗	0.7%
OSRAM Licht AG	OSRn.DE / OSR GR	10%	✓	0.3%
PNE Wind AG	PNEGn.DE / PNE3 GY	25%	✓	1%
PSI Aktiengesellschaft fur Produkte und Systeme der Informationstechnologie	PSAGn.DE / PSAN GY	25%	✓	1%
PT Bumi Resources Tbk	BUMI.F / PJM GR	25%	✗	1%
PUMA SE	PUMG.DE / PUM GY	20%	✓	0.7%
Paion AG	PA8G.DE / PA8 GY	25%	✓	1%
Patrizia Immobilien AG	P1ZGn.DE / P1Z GY	20%	✓	0.7%
Pfeiffer Vacuum Technology AG	PV.DE / PFV GY	20%	✓	0.7%
Porsche Automobil Holding SE	PSHG_p.DE / PAH3 GY	10%	✓	1%
ProSiebenSat.1 Media SE	PSMGn.DE / PSM GY	10%	✓	1%
Progress-Werk Oberkirch Aktiengesellschaft	PWOG.DE / PWO GY	25%	✗	1%
Public Oil Company LUKOIL	LKOHy.DE / LUK GY	25%	✗	0.7%
QSC AG	QSCG.DE / QSC GY	20%	✓	1%
Qiagen NV	QGEN.DE / QIA GY	10%	✓	0.7%
R. Stahl AG	RSL2.DE / RSL2 GR	25%	✗	0.7%
RIB Software AG	RIB.DE / RIB GR	25%	✗	1%
RTL Group SA	RRTL.DE / RRTL GY	10%	✓	0.7%
RWE AG	RWEG.DE / RWE GY	5%	✓	0.3%
RWE AG	RWEG_p.DE / RWE3 GY	15%	✓	1%
Rational AG	RAAG.DE / RAA GY	20%	✓	0.7%
Rheinmetall AG	RHMG.DE / RHM GY	10%	✓	0.7%
Rhon-Klinikum Aktiengesellschaft	RHKG.DE / RHK GY	10%	✓	0.3%
Rocket Internet SE	RKET.DE / RKET GY	10%	✓	0.3%
SAP SE	SAPG.DE / SAP GY	5%	✓	0.3%
SGL Carbon SE	SGCG.DE / SGL GY	15%	⚠	0.7%
SHW AG	SW1.DE / SW1 GY	25%	✓	1%
SLM Solutions Group AG	AM3D.DE / AM3D GY	25%	✗	1%
SMA Solar Technology AG	S92G.DE / S92 GY	15%	✓	1%
SNP Schneider-Neureither & Partner AG	SHFG.DE / SHF GY	25%	✓	1%
SPDR(r) S&P Euro Dividend Aristocrats UCITS ETF	SPYW.DE / SPYW GY	10%	✓	0.3%
SPDR(r) S&P UK Dividend Aristocrats UCITS ETF	SPYG.DE / SPYG GY	10%	✗	0.3%
STRATEC Biomedical AG	SBSG.DE / SBS GY	25%	✓	0.7%
Saf-Holland SA	SFQN.DE / SFQ GY	20%	✓	1%
Salzgitter AG	SZGG.DE / SZG GY	10%	✓	0.7%
Sartorius Aktiengesellschaft	SATG_p.DE / SRT3 GY	25%	✓	1%

Schaeffler AG	SHA_p.DE / SHA GR	25%	✓	1%
Schaltbau Holding AG	SLTG.DE / SLT GY	25%	✓	1%
Schweizer Electronic AG	SWEGn.DE / SCE GY	25%	✗	1%
Scout24 AG	G24n.DE / G24 GY	25%	✓	1%
Siemens Aktiengesellschaft	SIEGn.DE / SIE GY	5%	✓	0.3%
Siltronic AG	WAFGn.DE / WAF GY	25%	✓	1%
Sixt Leasing AG	LNSX.DE / LNSX GY	25%	✓	1%
Sixt Societas Europaea	SIXG_p.DE / SIX3 GY	25%	✓	1%
Sixt Societas Europaea	SIXG.DE / SIX2 GY	25%	✓	1%
Softing AG	SYTG.DE / SYT GY	25%	✗	1%
Software Aktiengesellschaft	SOWG.DE / SOW GY	10%	✓	0.7%
SolarWorld AG	SWVKk.DE / SWVK GY	25%	✗	1%
Source STOXX Eurozone Exporters UCITS ETF	EZEX.DE / EZEX GY	25%	✗	1%
Stabilus SA	STAB.DE / STM GY	20%	✓	0.7%
Stada-Arzneimittel AG	STAGn.DE / SAZ GY	10%	✓	0.7%
Steinhoff International Holdings NV	SNHG.DE / SNH GY	25%	✓	1%
Sto SE & Co. KGaA	STOG_p.DE / STO3 GY	25%	✓	1%
Stroer SE	SAXG.DE / SAX GY	25%	✓	1%
Suedzucker AG	SZUG.DE / SZU GY	10%	✓	0.7%
Surteco SE	SURG.DE / SUR GY	25%	✓	1%
Suss MicroTec AG	SMHNn.DE / SMHN GY	25%	✓	1%
Symrise AG	SY1G.DE / SY1 GY	10%	✓	0.7%
Syzygy AG	SYZG.DE / SYZ GY	25%	✗	1%
TAG Immobilien AG.	TEGG.DE / TEG GY	15%	✓	0.7%
TAKKT AG	TTKG.DE / TTK GY	25%	✓	0.7%
TLG Immobilien AG	TLGG.DE / TLG GY	25%	✓	1%
TUI AG	TUIGn.DE / TUI1 GY	10%	✓	0.7%
Talanx AG	TLXGn.DE / TLX GY	15%	✓	0.7%
Technotran SA	TTRGn.DE / TTR1 GY	25%	✗	1%
Tele Columbu SA	TC1n.DE / TC1 GY	25%	✓	1%
Telefonica Deutschland Holding AG	O2Dn.DE / O2D GY	10%	✓	0.7%
ThyssenKrupp AG	TKAG.DE / TKA GY	5%	✓	0.3%
Tom Tailor Holding AG	TTIGn.DE / TTI GY	25%	✓	0.3%
Tomorrow Focu SA	TFAG.DE / TFA GY	25%	✗	1%
UBS (Irl) ETF plc - Solactive Global Pure Gold Miners UCITS ETF (USD) A-dis	UBUD.DE / UBUD GY	10%	✗	0.3%
UBS ETFs plc - MSCI EMU Growth SF UCITS ETF (EUR) A-acc	UIQ1.DE / UIQ1 GY	25%	✗	1%
USU Software AG	OSPGk.DE / OSP2 GY	25%	✗	1%
United Internet AG	UTDI.DE / UTDI GY	10%	✓	0.7%
VERBIO Vereinigte BioEnergie AG	VBKG.DE / VBK GY	25%	✓	1%
VTG Aktiengesellschaft	VT9G.DE / VT9 GY	25%	✓	1%
Villeroy & Boch AG	VIBG_p.DE / VIB3 GY	25%	✓	1%
Volkswagen AG	VOWG.DE / VOW GY	10%	✓	1%
Volkswagen AG	VOWG_p.DE / VOW3 GY	5%	✓	0.3%
Vonovia SE	ANNGn.DE / VNA GR	15%	✓	1%
Vossloh AG	VOSG.DE / VOS GY	20%	✓	0.7%
WCM Beteiligungs- und Grundbesitz-AG	WCMKk.DE / WCMK GY	25%	✓	1%
Wacker Chemie AG	WCHG.DE / WCH GY	10%	✓	0.7%
Wacker Neuson SE	WACGn.DE / WAC GY	25%	✓	0.7%
Washtec AG	WSUG.DE / WSU GY	25%	✓	0.7%
Westag & Getalit Aktiengesellschaft	WUGGn.DE / WUG GY	25%	✗	1%
Westgrund Aktiengesellschaft	WEG1.DE / WEG1 GY	25%	✓	1%
Wild Bunch AG	WBAG.DE / WBAG GY	25%	✗	1%
Wincor Nixdorf Aktiengesellschaft	WING.DE / WIN GY	10%	✓	0.7%

Wirecard AG	WDIG.DE / WDI GY	10%	✘	0.7%
Wustenrot & Wurttembergische AG	WUWGn.DE / WUW GY	25%	✓	1%
XING AG	OBCGn.DE / O1BC GY	25%	✓	0.7%
ZEAL Network SE	TIMGn.DE / TIM GY	25%	✓	0.7%
Zalando SE	ZALG.DE / ZAL GY	10%	✓	0.3%
comdirect bank AG	CDBG.DE / COM GY	25%	✓	0.7%
db x-trackers CSI300 UCITS ETF 1C	XCHA.DE / XCHA GY	10%	✘	0.3%
db x-trackers DAX(r) UCITS ETF (DR) 1C	XDAX.DE / XDAX GY	10%	✓	0.3%
db x-trackers Euro Stoxx 50(r) Short Daily UCITS ETF 1C	XSSX.DE / XSSX GY	10%	✓	0.7%
db x-trackers Euro Stoxx(r) Select Dividend 30 UCITS ETF (DR) 1D	XD3E.DE / XD3E GY	10%	✓	0.3%
db x-trackers II iTraxx(r) Crossover UCITS ETF 1C	XTXC.DE / XTXC GY	10%	✓	0.3%
db x-trackers MSCI EMU Index UCITS ETF (DR) 1D	XD5E.DE / XD5E GY	10%	✓	0.3%
db x-trackers ShortDAX(r) Daily UCITS ETF 1C	XSDX.DE / XSDX GY	10%	✘	0.7%
db x-trackers Stoxx(r) Europe 600 Banks Short Daily UCITS ETF 1C	XS7S.DE / XS7S GY	25%	✘	1%
db x-trackers Stoxx(r) Global Select Dividend 100 UCITS ETF 1D	XGSD.DE / XGSD GY	10%	✓	0.3%
euromicron Aktiengesellschaft	EUCAn.DE / EUCA GY	25%	✘	0.7%
freenet AG	FNTGn.DE / FNTN GY	10%	✓	0.7%
iShares \$ Short Duration High Yield Corporate Bond UCITS ETF	IS3K.DE / IS3K GY	10%	✘	0.3%
iShares \$ Treasury Bond 20+yr UCITS ETF	IS04.DE / IS04 GY	10%	✘	0.3%
iShares Core DAX(r) UCITS ETF (DE)	GDAXIEX.DE / DAXEX GY	5%	✓	0.7%
iShares Core MSCI Pacific ex Japan UCITS ETF	SXR1.DE / SXR1 GY	10%	✘	0.3%
iShares Core S&P 500 UCITS ETF	SXR8.DE / SXR8 GY	10%	✘	0.3%
iShares Dow Jones U.S. Select Dividend UCITS ETF (DE)	DJDVPEX.DE / DJDVPEX GY	10%	✘	0.3%
iShares EURO STOXX 50 UCITS ETF (DE)	STX50EEX.DE / SX5EEX GY	10%	✓	0.7%
iShares EURO STOXX Banks 30-15 UCITS ETF (DE)	SX7EEX.DE / SX7EEX GY	10%	✓	0.7%
iShares Euro Government Bond 20yr Target Duration UCITS ETF	IS05.DE / IS05 GY	25%	✘	1%
iShares FTSE 100 UCITS ETF (Acc)	SXRW.DE / SXRW GY	10%	⚠	0.3%
iShares Global Government Bond UCITS ETF	EUN3.DE / EUN3 GY	10%	✓	0.3%
iShares MDAX(r) UCITS ETF (DE)	MDAXIEX.DE / MDAXEX GY	10%	✓	0.7%
iShares MSCI EMU USD Hedged UCITS ETF	CEBP.DE / CEBP GY	25%	✘	1%
iShares MSCI France UCITS ETF	IS3U.DE / IS3U GY	25%	✘	1%
iShares MSCI USA Dividend IQ UCITS ETF	QDVD.DE / QDVD GY	10%	✘	0.3%
iShares MSCI World UCITS ETF (Dist)	IQQW.DE / IQQW GY	10%	✘	0.7%
iShares STOXX Europe 600 Automobiles & Parts UCITS ETF (DE)	SXAPEX.DE / SXAPEX GY	10%	✘	0.3%
iShares STOXX Europe 600 Banks UCITS ETF (DE)	SX7PEX.DE / SX7PEX GY	10%	✓	0.7%
iShares STOXX Europe 600 Basic Resources UCITS ETF (DE)	SXPPEX.DE / SXPPEX GY	10%	✓	0.7%
iShares STOXX Europe 600 Chemicals UCITS ETF (DE)	SX4PEX.DE / SX4PEX GY	10%	✘	0.3%
iShares STOXX Europe 600 Construction & Materials UCITS ETF (DE)	SXOPEX.DE / SXOPEX GY	25%	✓	1%
iShares STOXX Europe 600 Financial Services UCITS ETF (DE)	SXFPEX.DE / SXFPEX GY	25%	✓	1%
iShares STOXX Europe 600 Food & Beverage UCITS ETF (DE)	SX3PEX.DE / SX3PEX GY	10%	✓	0.3%
iShares STOXX Europe 600 Health Care UCITS ETF (DE)	SXDPEX.DE / SXDPEX GY	10%	✓	0.7%
iShares STOXX Europe 600 Industrial Goods & Services UCITS ETF (DE)	SXNPEX.DE / SXNPEX GY	10%	✘	0.3%
iShares STOXX Europe 600 Insurance UCITS ETF (DE)	SXIPEX.DE / SXIPEX GY	10%	✘	0.3%
iShares STOXX Europe 600 Media UCITS ETF (DE)	SXMPEX.DE / SXMPEX GY	25%	✘	1%

iShares STOXX Europe 600 Oil & Gas UCITS ETF (DE)	SXEPEX.DE / SXEPEX GY	10%	✓	0.3%
iShares STOXX Europe 600 Personal & Household Goods UCITS ETF (DE)	SXQPEX.DE / SXQPEX GY	25%	✗	1%
iShares STOXX Europe 600 Real Estate UCITS ETF (DE)	SREEEX.DE / SREEEX GY	10%	✓	0.3%
iShares STOXX Europe 600 Retail UCITS ETF (DE)	SXRPEX.DE / SXRPEX GY	25%	✗	1%
iShares STOXX Europe 600 Technology UCITS ETF (DE)	SX8PEX.DE / SX8PEX GY	25%	✗	1%
iShares STOXX Europe 600 Telecommunications UCITS ETF (DE)	SXKPEX.DE / SXKPEX GY	10%	✓	0.3%
iShares STOXX Europe 600 Travel & Leisure UCITS ETF (DE)	SXTPEX.DE / SXTPEX GY	25%	✗	1%
iShares STOXX Europe 600 UCITS ETF (DE)	STOXXIEX.DE / SXXPIEX GY	10%	✓	0.7%
iShares STOXX Europe 600 Utilities UCITS ETF (DE)	SX6PEX.DE / SX6PEX GY	10%	✗	0.3%
iShares STOXX Europe Select Dividend 30 UCITS ETF (DE)	SD3PEX.DE / SD3PEX GY	10%	✓	0.3%
iShares STOXX Global Select Dividend 100 UCITS ETF (DE)	SDGPEX.DE / SDGPEX GY	10%	✗	0.3%
iShares TecDAX(r) UCITS ETF (DE)	TECDAXEX.DE / TDXPEX GY	10%	✗	0.3%
iShares US Equity Buyback Achievers UCITS ETF	CEM2.DE / CEM2 GY	25%	✗	1%
msg life ag	MSGL.DE / MSGL GR	25%	✗	1%
windeln.de AG	WDL.DE / WDL GY	25%	✓	1%
zooplu SA	ZO1G.DE / ZO1 GY	25%	✓	1%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Wichtiger Hinweis: Die Spalte 'Möglichkeit short zu gehen' gibt ausschließlich an, ob es momentan Faktoren gibt (die uns bekannt sind), welche die Short-Positionen für die betreffende Aktie untersagen. Wir geben keine Gewähr dafür, dass Sie auf jeglichen genannten Aktien Short gehen können. Des Weiteren kann eine zusätzliche Gebühr (eine Leihgebühr) erhoben werden, welche in der Zinsanpassung, die für Ihre Position gilt, beinhaltet ist. Um festzustellen, ob diese Gebühr zutrifft, rufen Sie bitte unsere Händler an, bevor Sie Ihre Handelsaktivitäten aufnehmen. Die Leihmöglichkeit und die Leihkosten können kurzfristig geändert werden.

Sollten Sie einen gewünschten Markt nicht finden, können wir diesen normalerweise innerhalb weniger Minuten für Sie hinzufügen. Kontaktieren Sie uns hierzu im Handel unter +49 800 181 8876

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

HONG KONG

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
361 Degrees International Limited	1361.HK / 1361 HK	25%	✓	0.3%
AAC Technologies Holdings Inc	2018.HK / 2018 HK	10%	✓	0.3%
AIA Group Limited	1299.HK / 1299 HK	5%	✓	0.3%
AMVIG Holdings Limited	2300.HK / 2300 HK	25%	✓	0.3%
ANTA Sports Products Limited	2020.HK / 2020 HK	15%	✓	0.3%
APT Satellite Holdings Limited	1045.HK / 1045 HK	25%	✓	0.3%
ASM Pacific Technology Limited	0522.HK / 522 HK	15%	✓	0.3%
AVIC International Holdings Limited	0161.HK / 161 HK	25%	✗	0.3%
Agile Property Holdings Limited	3383.HK / 3383 HK	15%	✓	0.3%
Agricultural Bank of China Limited	1288.HK / 1288 HK	10%	✓	0.3%
Air China Limited	0753.HK / 753 HK	15%	✓	0.3%
Ajisen China Holdings Limited	0538.HK / 538 HK	20%	✓	0.3%
Alibaba Health Information Technology Limited	0241.HK / 241 HK	25%	✗	0.3%
Alibaba Pictures Group Limited	1060.HK / 1060 HK	25%	✗	0.3%
Aluminum Corporation Of China Limited	2600.HK / 2600 HK	15%	✗	0.3%
Angang Steel Company Limited	0347.HK / 347 HK	10%	✗	0.3%
Anhui Conch Cement Company Limited	0914.HK / 914 HK	10%	✓	0.3%
Anhui Expressway Company Limited	0995.HK / 995 HK	25%	✓	0.3%
Asian Citrus Holdings Limited	0073.HK / 73 HK	25%	✗	1%

AviChina Industry & Technology Company Limited	2357.HK / 2357 HK	15%	✓	0.3%
BBMG Corporation	2009.HK / 2009 HK	15%	✓	0.3%
BOC Hong Kong Holdings Limited	2388.HK / 2388 HK	5%	✓	0.3%
BYD Company Limited	1211.HK / 1211 HK	10%	✓	0.3%
BYD Electronic International Company Limited	0285.HK / 285 HK	15%	✓	0.3%
Bank of China Limited	3988.HK / 3988 HK	5%	✓	0.3%
Bank of Communications Company Limited	3328.HK / 3328 HK	10%	✓	0.3%
Baofeng Modern International Holdings Company Limited	1121.HK / 1121 HK	25%	✗	0.3%
Beijing Capital International Airport Company Limited	0694.HK / 694 HK	20%	✓	0.3%
Beijing Enterprises Holdings Limited	0392.HK / 392 HK	10%	✓	0.3%
Beijing Enterprises Water Group Limited	0371.HK / 371 HK	10%	✓	0.3%
Beijing North Star Company Limited	0588.HK / 588 HK	25%	✓	0.3%
Beijing Urban Construction Design & Development Group Company Limited	1599.HK / 1599 HK	25%	✗	0.3%
Belle International Holdings Limited	1880.HK / 1880 HK	5%	✓	0.3%
Biostime International Holdings Limited (China)	1112.HK / 1112 HK	10%	✗	0.3%
Bloomage BioTechnology Corporation Limited	0963.HK / 963 HK	25%	✗	0.3%
Bosideng International Holdings Limited	3998.HK / 3998 HK	20%	✗	0.3%
Boyaa Interactive International Limited	0434.HK / 434 HK	20%	✗	0.3%
Bracell Limited	1768.HK / 1768 HK	25%	✗	0.3%
Brightoil Petroleum Holdings Limited	0933.HK / 933 HK	25%	✗	0.3%
Brilliance China Automotive Holdings Limited	1114.HK / 1114 HK	10%	✓	0.3%
C C Land Holdings Limited	1224.HK / 1224 HK	25%	✓	0.3%
CAR Inc	0699.HK / 699 HK	25%	✗	0.3%
CGN Power Company Limited	1816.HK / 1816 HK	10%	✗	0.3%
CIMC Enric Holdings Limited	3899.HK / 3899 HK	15%	✓	0.3%
CITIC Limited	0267.HK / 267 HK	10%	✓	0.3%
CITIC Resources Holdings Limited	1205.HK / 1205 HK	25%	✗	0.3%
CITIC Securities Company Limited	6030.HK / 6030 HK	10%	✓	0.3%
CK Hutchison Holdings Limited	0001.HK / 1 HK	5%	✓	0.3%
CNOOC Limited	0883.HK / 883 HK	5%	✓	0.3%
CRRC Corporation Limited	1766.HK / 1766 HK	15%	✗	0.3%
CSI Properties Limited	0497.HK / 497 HK	25%	✗	0.3%
CSPC Pharmaceutical Group Limited	1093.HK / 1093 HK	10%	✓	0.3%
CST Mining Group Limited	0985.HK / 985 HK	25%	✗	0.3%
Cafe de Coral Holdings Limited	0341.HK / 341 HK	25%	✓	0.3%
Cathay Pacific Airways Limited	0293.HK / 293 HK	10%	✓	0.3%
Champion REIT	2778.HK / 2778 HK	20%	✓	0.3%
Chaowei Power Holdings Limited	0951.HK / 951 HK	25%	✗	0.3%
Cheung Kong Infrastructure Holdings Limited	1038.HK / 1038 HK	10%	✓	0.3%
China Aerospace International Holdings Limited	0031.HK / 31 HK	25%	✓	0.3%
China Agri-Industries Holdings Limited	0606.HK / 606 HK	20%	✓	0.3%
China All Access (Holdings) Limited	0633.HK / 633 HK	25%	✗	0.3%
China Animal Healthcare Limited	0940.HK / 940 HK	25%	✗	0.3%
China BlueChemical Limited	3983.HK / 3983 HK	20%	✓	0.3%
China CITIC Bank Corporation Limited	0998.HK / 998 HK	10%	✓	0.3%
China COSCO Holdings Company Limited	1919.HK / 1919 HK	15%	✗	0.3%
China Child Care Corporation Limited	1259.HK / 1259 HK	20%	✗	0.3%
China Cinda Asset Management Company Limited	1359.HK / 1359 HK	10%	✓	0.3%
China Coal Energy Company Limited	1898.HK / 1898 HK	10%	✗	0.3%
China Communications Construction Company Limited	1800.HK / 1800 HK	10%	✓	0.3%
China Communications Services Corporation Limited	0552.HK / 552 HK	15%	✓	0.3%
China Construction Bank Corporation	0939.HK / 939 HK	5%	✓	0.3%
China Culiangwang Beverages Holdings Limited	0904.HK / 904 HK	25%	✗	0.3%

China Dongxiang (Group) Company Limited	3818.HK / 3818 HK	15%	✓	0.3%
China Eastern Airlines Corporation Limited	0670.HK / 670 HK	20%	✗	0.3%
China Everbright Bank Company Limited	6818.HK / 6818 HK	10%	✗	0.3%
China Everbright Limited	0165.HK / 165 HK	15%	✓	0.3%
China Foods Limited	0506.HK / 506 HK	20%	✓	0.3%
China Galaxy Securities Company Limited	6881.HK / 6881 HK	10%	✓	0.3%
China Gas Holdings Limited	0384.HK / 384 HK	10%	✓	0.3%
China High Speed Transmission Equipment Group Company Limited	0658.HK / 658 HK	15%	✓	0.3%
China Huarong Energy Company Limited	1101.HK / 1101 HK	15%	✗	0.3%
China Huishan Dairy Holdings Company Limited	6863.HK / 6863 HK	20%	✗	0.3%
China Huiyuan Juice Group Limited	1886.HK / 1886 HK	25%	✗	0.3%
China ITS (Holdings) Company Limited	1900.HK / 1900 HK	25%	✗	0.3%
China International Capital Corporation Limited	3908.HK / 3908 HK	15%	✗	0.3%
China International Marine Containers Group Company Limited	2039.HK / 2039 HK	15%	✗	0.3%
China Jicheng Holdings Limited	1027.HK / 1027 HK	25%	✗	1%
China Jinmao Holdings Group Limited	0817.HK / 817 HK	20%	✓	0.3%
China LNG Group Limited	0931.HK / 931 HK	25%	✗	0.3%
China Lesso Group Holdings Limited	2128.HK / 2128 HK	25%	✗	0.3%
China Life Insurance Company Limited	2628.HK / 2628 HK	5%	✓	0.3%
China Lilang Limited	1234.HK / 1234 HK	25%	✓	0.3%
China Longyuan Power Group Corporation Limited	0916.HK / 916 HK	10%	✓	0.3%
China LotSynergy Holdings Limited	1371.HK / 1371 HK	15%	✗	0.3%
China Machinery Engineering Corporation	1829.HK / 1829 HK	15%	✗	0.3%
China Mengniu Dairy Company Limited	2319.HK / 2319 HK	5%	✓	0.3%
China Merchants Bank Company Limited	3968.HK / 3968 HK	10%	✓	0.3%
China Merchants Holdings (International) Company Limited	0144.HK / 144 HK	10%	✓	0.3%
China Minsheng Banking Corporation Limited	1988.HK / 1988 HK	10%	✓	0.3%
China Mobile Limited	0941.HK / 941 HK	5%	✓	0.3%
China Modern Dairy Holdings Limited	1117.HK / 1117 HK	10%	✗	0.3%
China Molybdenum Company Limited	3993.HK / 3993 HK	20%	✗	0.3%
China National Building Material Company Limited	3323.HK / 3323 HK	10%	✓	0.3%
China Netcom Technology Holdings Limited	8071.HK / 8071 HK	25%	✗	0.3%
China Oil And Gas Group Limited	0603.HK / 603 HK	15%	✗	0.3%
China Oilfield Services Limited	2883.HK / 2883 HK	10%	✓	0.3%
China Oriental Group Company Limited	0581.HK / 581 HK	25%	✗	0.3%
China Overseas Land & Investment Limited	0688.HK / 688 HK	5%	✓	0.3%
China Pacific Insurance (Group) Company Limited	2601.HK / 2601 HK	10%	✓	0.3%
China Petroleum & Chemical Corporation	0386.HK / 386 HK	10%	✓	0.3%
China Pioneer Pharma Holdings Limited	1345.HK / 1345 HK	25%	✗	0.3%
China Power International Development Limited	2380.HK / 2380 HK	20%	✓	0.3%
China Power New Energy Development Company Limited	0735.HK / 735 HK	25%	✗	0.3%
China Precious Metal Resources Holdings Company Limited	1194.HK / 1194 HK	20%	✗	0.3%
China Railway Construction Corporation Limited	1186.HK / 1186 HK	10%	✗	0.3%
China Railway Group Limited	0390.HK / 390 HK	10%	✓	0.3%
China Railway Signal & Communication Corporation Limited	3969.HK / 3969 HK	20%	✗	0.3%
China Rare Earth Holdings Limited	0769.HK / 769 HK	25%	✗	0.3%
China Reinsurance (Group) Corporation	1508.HK / 1508 HK	20%	✗	0.3%
China Resources Beer (Holdings) Company Limited	0291.HK / 291 HK	10%	✓	0.3%
China Resources Land Limited	1109.HK / 1109 HK	10%	✓	0.3%
China Resources Power Holdings Company Limited	0836.HK / 836 HK	10%	✓	0.3%

China Shanshui Cement Group Limited	0691.HK / 691 HK	15%	✗	0.3%
China Shenhua Energy Company Limited	1088.HK / 1088 HK	5%	✓	0.3%
China Shipping Container Lines Company Limited	2866.HK / 2866 HK	10%	✓	0.3%
China Shipping Development Company Limited	1138.HK / 1138 HK	10%	✗	0.3%
China Singyes Solar Technologies Holdings Limited	0750.HK / 750 HK	10%	✗	0.3%
China Soft Power Technology Holdings Limited	0139.HK / 139 HK	25%	✗	0.3%
China South City Holdings Limited	1668.HK / 1668 HK	15%	✗	0.3%
China Southern Airlines Company Limited	1055.HK / 1055 HK	15%	✓	0.3%
China State Construction International Holdings Limited	3311.HK / 3311 HK	10%	✗	0.3%
China Suntien Green Energy Corporation Limited	0956.HK / 956 HK	20%	✓	0.3%
China Taiping Insurance Holdings Company Limited	0966.HK / 966 HK	15%	✓	0.3%
China Telecom Corporation Limited	0728.HK / 728 HK	10%	✓	0.3%
China Travel International Investment Hong Kong Limited	0308.HK / 308 HK	25%	✓	0.3%
China Unicom (Hong Kong) Limited	0762.HK / 762 HK	5%	✓	0.3%
China Vanke Company Limited	2202.HK / 2202 HK	10%	✗	0.3%
China Yurun Food Group Limited	1068.HK / 1068 HK	15%	✗	0.3%
China Zhongwang Holdings Limited	1333.HK / 1333 HK	25%	✗	0.3%
ChinaAMC ETF Series - ChinaAMC CSI 300 Index ETF	3188.HK / 3188 HK	20%	✗	0.3%
Chinese Estates Holdings Limited	0127.HK / 127 HK	25%	✓	0.3%
Chinese Strategic Holdings Limited	8089.HK / 8089 HK	25%	✗	0.3%
Chong Hing Bank Limited	1111.HK / 1111 HK	25%	✓	0.3%
Chongqing Rural Commercial Bank Company Limited	3618.HK / 3618 HK	15%	✗	0.3%
Chow Sang Sang Holdings International Limited	0116.HK / 116 HK	20%	✓	0.3%
Chow Tai Fook Jewellery Group Limited	1929.HK / 1929 HK	10%	✗	0.3%
Colour Life Services Group Company Limited	1778.HK / 1778 HK	25%	✗	0.3%
Comba Telecom Systems Holdings Limited	2342.HK / 2342 HK	20%	✓	0.3%
Coolpad Group Limited	2369.HK / 2369 HK	10%	✗	0.3%
Cosco Pacific Limited	1199.HK / 1199 HK	10%	✓	0.3%
Cosmo Lady (China) Holdings Company Limited	2298.HK / 2298 HK	25%	✗	0.3%
Country Garden Holdings Company Limited	2007.HK / 2007 HK	10%	✓	0.3%
Credit China Holdings Limited	8207.HK / 8207 HK	20%	✗	0.3%
Dah Sing Banking Group Limited	2356.HK / 2356 HK	20%	✓	0.3%
Dah Sing Financial Holdings Limited	0440.HK / 440 HK	20%	✓	0.3%
Dalian Port (PDA) Company Limited	2880.HK / 2880 HK	25%	✗	0.3%
Dalian Wanda Commercial Properties Company Limited	3699.HK / 3699 HK	10%	✗	0.3%
Daphne International Holdings Limited	0210.HK / 210 HK	15%	✗	0.3%
Datang International Power Generation Company Limited	0991.HK / 991 HK	15%	✗	0.3%
Digital China Holdings Limited	0861.HK / 861 HK	20%	✓	0.3%
Dongfang Electric Corporation Limited	1072.HK / 1072 HK	25%	✗	0.3%
Dongfeng Motor Group Company Limited	0489.HK / 489 HK	10%	✓	0.3%
Dongjiang Environmental Company Limited	0895.HK / 895 HK	25%	✗	0.3%
ENN Energy Holdings Limited	2688.HK / 2688 HK	10%	✓	0.3%
EVA Precision Industrial Holdings Limited	0838.HK / 838 HK	25%	✓	0.3%
Emperor Capital Group Limited	0717.HK / 717 HK	25%	✗	0.3%
Emperor Watch & Jewellery Limited	0887.HK / 887 HK	25%	✗	0.3%
Esprit Holdings Limited	0330.HK / 330 HK	10%	✓	0.3%
Evergrande Health Industry Group Limited	0708.HK / 708 HK	25%	✗	0.3%
Evergrande Real Estate Group Limited	3333.HK / 3333 HK	10%	✗	0.3%
FIH Mobile Limited	2038.HK / 2038 HK	15%	✓	0.3%
Fantasia Holdings Group Company Limited	1777.HK / 1777 HK	25%	✓	0.3%
Far East Horizon Limited	3360.HK / 3360 HK	25%	✗	0.3%
First Pacific Company Limited	0142.HK / 142 HK	15%	✓	0.3%
First Shanghai Investments Limited	0227.HK / 227 HK	25%	✗	0.3%

First Tractor Company Limited	0038.HK / 38 HK	25%	✓	0.3%
Fosun International Limited	0656.HK / 656 HK	15%	✓	0.3%
Fu Shou Yuan International Group Limited	1448.HK / 1448 HK	25%	✗	0.3%
GCL-Poly Energy Holdings Limited	3800.HK / 3800 HK	10%	✗	0.3%
GF Securities Company Limited	1776.HK / 1776 HK	10%	✗	0.3%
GOME Electrical Appliances Holding Limited	0493.HK / 493 HK	10%	✓	0.3%
Galaxy Entertainment Group Limited	0027.HK / 27 HK	5%	✓	0.3%
Geely Automobile Holdings Limited	0175.HK / 175 HK	10%	✓	0.3%
Giordano International Limited	0709.HK / 709 HK	25%	✓	0.3%
Glencore PLC	0805.HK / 805 HK	25%	✗	0.3%
Global Brands Group Holding Limited	0787.HK / 787 HK	5%	✓	0.3%
Glorious Sun Enterprises Limited	0393.HK / 393 HK	25%	✗	0.3%
Golden Eagle Retail Group Limited	3308.HK / 3308 HK	15%	✗	0.3%
Golden Meditech Holdings Limited	0801.HK / 801 HK	25%	✗	0.3%
Goodbaby International Holdings Limited	1086.HK / 1086 HK	20%	✓	0.3%
Great Eagle Holdings Limited	0041.HK / 41 HK	20%	✓	0.3%
Great Wall Motor Company Limited	2333.HK / 2333 HK	10%	✓	0.3%
Greentown China Holdings Limited	3900.HK / 3900 HK	15%	✓	0.3%
Guangdong Investment Limited	0270.HK / 270 HK	15%	✓	0.3%
Guangshen Railway Company Limited	0525.HK / 525 HK	20%	✓	0.3%
Guangzhou Automobile Group Company Limited	2238.HK / 2238 HK	10%	✓	0.3%
Guangzhou Baiyunshan Pharmaceutical Holdings Company Limited	0874.HK / 874 HK	25%	✓	0.3%
Guangzhou R&F Properties Company Limited	2777.HK / 2777 HK	15%	✓	0.3%
Guolian Securities Company Limited	1456.HK / 1456 HK	25%	✗	0.3%
HC International Inc	2280.HK / 2280 HK	15%	✗	0.3%
HKC (Holdings) Limited	0190.HK / 190 HK	25%	✗	0.3%
HKR International Limited	0480.HK / 480 HK	25%	✓	0.3%
HKT Trust and HKT Limited	6823.HK / 6823 HK	15%	✓	0.3%
HSBC Holdings PLC	0005.HK / 5 HK	5%	✓	0.3%
Haier Electronics Group Company Limited	1169.HK / 1169 HK	10%	✓	0.3%
Haitong International Securities Group Limited	0665.HK / 665 HK	25%	✗	0.3%
Haitong Securities Company Limited	6837.HK / 6837 HK	10%	✓	0.3%
Hang Lung Group Limited	0010.HK / 10 HK	20%	✓	0.3%
Hang Lung Properties Limited	0101.HK / 101 HK	10%	✓	0.3%
Hang Seng Bank Limited	0011.HK / 11 HK	10%	✓	0.3%
Hang Seng H-Share Index ETF	2828.HK / 2828 HK	10%	✗	0.3%
Harbin Electric Company Limited	1133.HK / 1133 HK	20%	✓	0.3%
Harvest Funds (Hong Kong) ETF - Harvest MSCI China A Index ETF	3118.HK / 3118 HK	25%	✗	0.3%
Henderson Investment Limited	0097.HK / 97 HK	25%	✗	0.3%
Henderson Land Development Company Limited	0012.HK / 12 HK	10%	✓	0.3%
HengTen Networks Group Ltd	0136.HK / 136 HK	15%	✗	0.3%
Hengan International Group Company Limited	1044.HK / 1044 HK	10%	✓	0.3%
Hengdeli Holdings Limited	3389.HK / 3389 HK	25%	✗	0.3%
Hi Sun Technology (China) Limited	0818.HK / 818 HK	25%	✓	0.3%
Hidili Industry International Development Limited	1393.HK / 1393 HK	25%	✗	0.3%
Hoifu Energy Group Limited	0007.HK / 7 HK	25%	✗	0.3%
Hong Kong Aircraft Engineering Company Limited	0044.HK / 44 HK	25%	✓	0.3%
Hong Kong Exchanges & Clearing Limited	0388.HK / 388 HK	5%	✓	0.3%
Hopewell Highway Infrastructure Limited	0737.HK / 737 HK	25%	✓	0.3%
Hopewell Holdings Limited	0054.HK / 54 HK	20%	✓	0.3%
Hopson Development Holdings Limited	0754.HK / 754 HK	25%	✓	0.3%
Huabao International Holdings Limited	0336.HK / 336 HK	20%	✓	0.3%
Huadian Power International Corporation Limited	1071.HK / 1071 HK	15%	✓	0.3%

Huaneng Power International Inc	0902.HK / 902 HK	10%	✓	0.3%
Huaneng Renewables Corporation Limited	0958.HK / 958 HK	10%	✓	0.3%
Huishang Bank Corporation Limited	3698.HK / 3698 HK	20%	✗	0.3%
Hutchison Telecommunications Hong Kong Holdings Limited	0215.HK / 215 HK	20%	✓	0.3%
Hysan Development Company Limited	0014.HK / 14 HK	15%	✓	0.3%
IGG Inc	0799.HK / 799 HK	15%	✗	0.3%
Industrial and Commercial Bank of China Limited	1398.HK / 1398 HK	5%	✓	0.3%
Intime Retail (Group) Company Limited	1833.HK / 1833 HK	15%	✓	0.3%
Jiangsu Expressway Company Limited	0177.HK / 177 HK	15%	✓	0.3%
Jiangxi Copper Company Limited	0358.HK / 358 HK	10%	✓	0.3%
Johnson Electric Holdings Limited	0179.HK / 179 HK	20%	✓	0.3%
K. Wah International Holdings Limited	0173.HK / 173 HK	15%	✓	0.3%
KWG Property Holdings Limited	1813.HK / 1813 HK	20%	✓	0.3%
Kerry Properties Limited	0683.HK / 683 HK	15%	✓	0.3%
Kingboard Chemical Holdings Limited	0148.HK / 148 HK	20%	✓	0.3%
Kingboard Laminates Holdings Limited	1888.HK / 1888 HK	25%	✓	0.3%
Kingdee International Software Group Company Limited	0268.HK / 268 HK	10%	✗	0.3%
Kingsoft Corporation Limited	3888.HK / 3888 HK	10%	✗	0.3%
Kowloon Development Company Limited	0034.HK / 34 HK	25%	✓	0.3%
KuangChi Science Limited	0439.HK / 439 HK	25%	✗	0.3%
Kunlun Energy Company Limited	0135.HK / 135 HK	5%	✗	0.3%
L'Occitane International SA	0973.HK / 973 HK	15%	✓	0.3%
Lee & Man Paper Manufacturing Limited	2314.HK / 2314 HK	20%	✓	0.3%
Lenovo Group Limited	0992.HK / 992 HK	5%	✓	0.3%
Leyou Technologies Holdings Limited	1089.HK / 1089 HK	20%	✗	0.3%
Li & Fung Limited	0494.HK / 494 HK	5%	✓	0.3%
Li Ning Company Limited	2331.HK / 2331 HK	15%	✓	0.3%
Lifestyle International Holdings Limited	1212.HK / 1212 HK	20%	✓	0.3%
Link Real Estate Investment Trust	0823.HK / 823 HK	10%	✓	0.3%
Liu Chong Hing Investment Limited	0194.HK / 194 HK	25%	✓	0.3%
Lonking Holdings Limited	3339.HK / 3339 HK	20%	✓	0.3%
Luk Fook Holdings (International) Limited	0590.HK / 590 HK	15%	✓	0.3%
MGM China Holdings Limited	2282.HK / 2282 HK	10%	✓	0.3%
MIE Holdings Corporation	1555.HK / 1555 HK	25%	✗	0.3%
MMG Limited	1208.HK / 1208 HK	25%	✗	0.3%
MTR Corporation Limited	0066.HK / 66 HK	10%	✓	0.3%
Maanshan Iron & Steel Company Limited	0323.HK / 323 HK	20%	✗	0.3%
Man Wah Holdings Limited	1999.HK / 1999 HK	20%	✓	0.3%
Mason Financial Holdings Limited	0273.HK / 273 HK	25%	✗	0.3%
Melco International Development Limited	0200.HK / 200 HK	10%	✓	0.3%
Metallurgical Corporation of China Limited	1618.HK / 1618 HK	25%	✗	0.3%
Midland Holdings Limited	1200.HK / 1200 HK	25%	✓	0.3%
Minth Group Limited	0425.HK / 425 HK	20%	✓	0.3%
NWS Holdings Limited	0659.HK / 659 HK	25%	✓	0.3%
Naga Corporation Limited	3918.HK / 3918 HK	15%	✓	0.3%
Neo-Neon Holdings Limited	1868.HK / 1868 HK	25%	✗	0.3%
New China Life Insurance Company Limited	1336.HK / 1336 HK	10%	✗	0.3%
New World China Land Limited	0917.HK / 917 HK	20%	✗	0.3%
New World Department Store China Limited	0825.HK / 825 HK	25%	✗	0.3%
New World Development Company Limited	0017.HK / 17 HK	10%	✓	0.3%
Nine Dragons Paper (Holdings) Limited	2689.HK / 2689 HK	10%	✓	0.3%
North Mining Shares Company Limited	0433.HK / 433 HK	20%	✗	0.3%
Orient Overseas International Limited	0316.HK / 316 HK	15%	✓	0.3%

Oriental Watch Holdings Limited	0398.HK / 398 HK	25%	✗	0.3%
PAX Global Technology Limited	0327.HK / 327 HK	15%	✗	0.3%
PCCW Limited	0008.HK / 8 HK	15%	✓	0.3%
PICC Property and Casualty Company Limited	2328.HK / 2328 HK	10%	✓	0.3%
Pacific Basin Shipping Limited	2343.HK / 2343 HK	15%	✗	0.3%
Parkson Retail Group Limited	3368.HK / 3368 HK	20%	✗	0.3%
PetroChina Company Limited	0857.HK / 857 HK	5%	✓	0.3%
Ping An Insurance (Group) Company of China Limited	2318.HK / 2318 HK	5%	✓	0.3%
Poly Culture Group Corporation Limited	3636.HK / 3636 HK	25%	✗	0.3%
Poly Property Group Company Limited	0119.HK / 119 HK	15%	✓	0.3%
Polytec Asset Holdings Limited	0208.HK / 208 HK	25%	✗	0.3%
Ports Design Limited	0589.HK / 589 HK	25%	✗	0.3%
Pou Sheng International (Holdings) Limited	3813.HK / 3813 HK	25%	✓	0.3%
Power Assets Holdings Limited	0006.HK / 6 HK	5%	✓	0.3%
REXLot Holdings Limited	0555.HK / 555 HK	10%	✗	0.3%
Real Nutraceutical Group Limited	2010.HK / 2010 HK	25%	✗	0.3%
Regent Pacific Group Limited	0575.HK / 575 HK	25%	✗	0.3%
Renhe Commercial Holdings Company Limited	1387.HK / 1387 HK	25%	✗	0.3%
Road King Infrastructure Limited	1098.HK / 1098 HK	25%	✓	0.3%
SITC International Holdings Company Limited	1308.HK / 1308 HK	25%	✓	0.3%
SJM Holdings Limited	0880.HK / 880 HK	10%	✓	0.3%
SPDR(r) Gold Sh AE	2840.HK / 2840 HK	5%	✗	0.3%
Sa Sa International Holdings Limited	0178.HK / 178 HK	25%	✗	0.3%
Samson Holding Limited	0531.HK / 531 HK	25%	✗	0.3%
Samsonite International SA	1910.HK / 1910 HK	10%	✗	0.3%
Sands China Limited	1928.HK / 1928 HK	10%	✓	0.3%
Semiconductor Manufacturing International Corporation	0981.HK / 981 HK	10%	✗	0.3%
Shandong Weigao Group Medical Polymer Company Limited	1066.HK / 1066 HK	10%	✗	0.3%
Shanghai Dasheng Agriculture Finance Technology Company Limited	1103.HK / 1103 HK	20%	✗	0.3%
Shanghai Electric Group Company Limited	2727.HK / 2727 HK	20%	✗	0.3%
Shanghai Fosun Pharmaceutical (Group) Company Limited	2196.HK / 2196 HK	10%	✗	0.3%
Shanghai Fudan Microelectronics Group Company Limited	1385.HK / 1385 HK	25%	✗	0.3%
Shanghai Industrial Holdings Limited	0363.HK / 363 HK	15%	✓	0.3%
Shanghai Pharmaceuticals Holding Company Limited	2607.HK / 2607 HK	15%	✗	0.3%
Shangri-La Asia Limited	0069.HK / 69 HK	20%	✓	0.3%
Shengjing Bank Company Limited	2066.HK / 2066 HK	25%	✗	0.3%
Shenzhen Expressway Company Limited	0548.HK / 548 HK	20%	✓	0.3%
Shenzhen International Holdings Limited	0152.HK / 152 HK	10%	✓	0.3%
Shenzhen Investment Limited	0604.HK / 604 HK	20%	✓	0.3%
Shimao Property Holdings Limited	0813.HK / 813 HK	10%	✓	0.3%
Shougang Concord International Enterprises Company Limited	0697.HK / 697 HK	25%	✗	0.3%
Shougang Fushan Resources Group Limited	0639.HK / 639 HK	20%	✓	0.3%
Shui On Land Limited	0272.HK / 272 HK	20%	✓	0.3%
Shun Tak Holdings Limited	0242.HK / 242 HK	20%	✓	0.3%
Shunfeng International Clean Energy Limited	1165.HK / 1165 HK	15%	✗	0.3%
Sichuan Expressway Company Limited	0107.HK / 107 HK	25%	✓	0.3%
Silver Base Group Holdings Limited	0886.HK / 886 HK	25%	✗	0.3%
Singamas Container Holdings Limited	0716.HK / 716 HK	25%	✓	0.3%
Sino Biopharmaceutical Limited	1177.HK / 1177 HK	10%	✗	0.3%
Sino Land Company Limited	0083.HK / 83 HK	10%	✓	0.3%

Sino Oil and Gas Holdings Limited	0702.HK / 702 HK	25%	✗	0.3%
Sino-Ocean Land Holdings Limited	3377.HK / 3377 HK	20%	✓	0.3%
SinoMedia Holding Limited	0623.HK / 623 HK	20%	✗	0.3%
Sinofert Holdings Limited	0297.HK / 297 HK	20%	✓	0.3%
Sinopec Shanghai Petrochemical Company Limited	0338.HK / 338 HK	15%	✓	0.3%
Sinopec Yizheng Chemical Fibre Company Limited	1033.HK / 1033 HK	20%	✗	0.3%
Sinopharm Group Company Limited	1099.HK / 1099 HK	10%	✓	0.3%
Sinosoft Technology Group Limited	1297.HK / 1297 HK	25%	✗	0.3%
Sinotrans Limited	0598.HK / 598 HK	15%	✓	0.3%
Sinotrans Shipping Limited	0368.HK / 368 HK	25%	✓	0.3%
Skyworth Digital Holdings Limited	0751.HK / 751 HK	10%	✓	0.3%
Soho China Limited	0410.HK / 410 HK	15%	✓	0.3%
Sound Global Limited	0967.HK / 967 HK	20%	✗	0.3%
SouthGobi Resources Limited	1878.HK / 1878 HK	25%	✗	0.3%
Springland International Holdings Limited	1700.HK / 1700 HK	25%	✓	0.3%
Standard Chartered PLC	2888.HK / 2888 HK	10%	✓	0.3%
Stella International Holdings Limited	1836.HK / 1836 HK	25%	✓	0.3%
Sun Hung Kai & Company Limited	0086.HK / 86 HK	25%	✓	0.3%
Sun Hung Kai Properties Limited	0016.HK / 16 HK	5%	✓	0.3%
Sunac China Holdings Limited	1918.HK / 1918 HK	10%	✗	0.3%
Sunlight REIT	0435.HK / 435 HK	25%	✗	0.3%
Sunny Optical Technology (Group) Company Limited	2382.HK / 2382 HK	15%	✓	0.3%
Swire Pacific Limited	0019.HK / 19 HK	10%	✓	0.3%
Swire Pacific Limited	0087.HK / 87 HK	25%	✗	0.3%
TCL Multimedia Technology Holdings Limited	1070.HK / 1070 HK	25%	✗	0.3%
TPV Technology Limited	0903.HK / 903 HK	25%	✓	0.3%
TSC Group Holdings Limited	0206.HK / 206 HK	20%	✗	0.3%
Tech Pro Technology Development Limited	3823.HK / 3823 HK	15%	✗	0.3%
Techtronic Industries Company Limited	0669.HK / 669 HK	10%	✓	0.3%
Television Broadcasts Limited	0511.HK / 511 HK	20%	✓	0.3%
Tencent Holdings Limited	0700.HK / 700 HK	5%	✓	0.3%
Texwinca Holdings Limited	0321.HK / 321 HK	25%	✓	0.3%
The Bank of East Asia Limited	0023.HK / 23 HK	10%	✗	0.3%
The Hong Kong and China Gas Company Limited	0003.HK / 3 HK	10%	✓	0.3%
The Hongkong and Shanghai Hotels Limited	0045.HK / 45 HK	25%	✓	0.3%
The People's Insurance Company (Group) of China Limited	1339.HK / 1339 HK	10%	✗	0.3%
The Wharf (Holdings) Limited	0004.HK / 4 HK	5%	✓	0.3%
Tiangong International Company Limited	0826.HK / 826 HK	20%	✗	0.3%
Tianjin Development Holdings Limited	0882.HK / 882 HK	20%	✓	0.3%
Tianjin Port Development Holdings Limited	3382.HK / 3382 HK	25%	✓	0.3%
Tianneng Power International Limited	0819.HK / 819 HK	15%	✗	0.3%
Tingyi Cayman Islands Holding Corporation	0322.HK / 322 HK	10%	✓	0.3%
Tracker Fund of Hong Kong	2800.HK / 2800 HK	5%	✗	0.3%
Tradelink Electronic Commerce Limited	0536.HK / 536 HK	25%	✗	0.3%
Transport International Holdings Limited	0062.HK / 62 HK	25%	✓	0.3%
TravelSky Technology Limited	0696.HK / 696 HK	10%	✗	0.3%
Trinity Limited	0891.HK / 891 HK	25%	✓	0.3%
Truly International Holdings Limited	0732.HK / 732 HK	15%	✓	0.3%
Tsingtao Brewery Company Limited	0168.HK / 168 HK	10%	✓	0.3%
Uni-President China Holdings Limited	0220.HK / 220 HK	20%	✓	0.3%
United Energy Group Limited	0467.HK / 467 HK	25%	✗	0.3%
United Photovoltaics Group Limited	0686.HK / 686 HK	20%	✗	0.3%
V1 Group Limited	0082.HK / 82 HK	15%	✗	0.3%

Value Partners Group Limited	0806.HK / 806 HK	25%	✗	0.3%
Viva China Holdings Limited	8032.HK / 8032 HK	25%	✗	0.3%
Vtech Holdings Limited	0303.HK / 303 HK	15%	✓	0.3%
W.I.S.E. - CSI 300 China Tracker	2827.HK / 2827 HK	15%	✗	0.3%
WH Group Limited	0288.HK / 288 HK	10%	✗	0.3%
Want Want China Holdings Limited	0151.HK / 151 HK	10%	✗	0.3%
Wasion Group Holdings Limited	3393.HK / 3393 HK	20%	✗	0.3%
Weichai Power Company Limited	2338.HK / 2338 HK	10%	✓	0.3%
Weiqiao Textile Company Limited	2698.HK / 2698 HK	25%	✓	0.3%
West China Cement Limited	2233.HK / 2233 HK	25%	✗	0.3%
Wheelock and Company Limited	0020.HK / 20 HK	20%	✓	0.3%
Winsway Enterprises Holdings Limited	1733.HK / 1733 HK	25%	✗	0.3%
Wisdom Sports Group	1661.HK / 1661 HK	15%	✗	0.3%
Wuzhou International Holdings Limited	1369.HK / 1369 HK	10%	✗	0.3%
Wynn Macau Limited	1128.HK / 1128 HK	10%	✓	0.3%
Xiamen International Port Company Limited	3378.HK / 3378 HK	25%	✗	0.3%
Xinchen China Power Holdings Limited	1148.HK / 1148 HK	20%	✗	0.3%
Xingda International Holdings Limited	1899.HK / 1899 HK	25%	✓	0.3%
Xinjiang GoldWind Science & Technology Company Limited	2208.HK / 2208 HK	15%	✗	0.3%
Xinyi Glass Holdings Limited	0868.HK / 868 HK	10%	✓	0.3%
Yanzhou Coal Mining Company Limited	1171.HK / 1171 HK	25%	✗	0.3%
Yue Yuen Industrial (Holdings) Limited	0551.HK / 551 HK	15%	✓	0.3%
Yuexiu Property Company Limited	0123.HK / 123 HK	15%	✓	0.3%
Yuexiu Transport Infrastructure Limited	1052.HK / 1052 HK	25%	✓	0.3%
ZTE Corporation	0763.HK / 763 HK	10%	✓	0.3%
Zhaojin Mining Industry Company Limited	1818.HK / 1818 HK	10%	✗	0.3%
Zhejiang Expressway Company Limited	0576.HK / 576 HK	20%	✓	0.3%
Zhidao International (Holdings) Limited	1220.HK / 1220 HK	25%	✗	0.3%
Zhuzhou CSR Times Electric Company Limited	3898.HK / 3898 HK	15%	✗	0.3%
Zijin Mining Group Company Limited	2899.HK / 2899 HK	50%	✓	0.3%
Zoomlion Heavy Industry Science and Technology Company Limited	1157.HK / 1157 HK	15%	✗	0.3%
iShares FTSE A50 China Index ETF	2823.HK / 2823 HK	5%	✗	0.3%
iShares MSCI China Index ETF	2801.HK / 2801 HK	10%	✗	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Important note: The column 'Can go short?' only indicates whether there are currently any factors (of which we are aware) prohibiting short positions on the share concerned. There is no guarantee that you will be able to go short of any share cited. In addition, there may be an extra charge (a borrowing charge) which will be included in the interest adjustment applied to your position. To determine whether a charge applies, call our dealers in advance of trading. The ability to borrow and the cost of borrowing stock can be changed at short notice.

If you cannot find the market you are looking for, please call our dealing desk (on +44 20 7896 0000) and we will normally be able to add it for you in a matter of minutes

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

IRELAND

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
ARYZTA AG	ARYN.I / YZA ID	20%	✓	0.3%
Abbey PLC	ABBY.I / ABBY ID	25%	✗	0.3%
Allied Irish Banks PLC	ALBK.I / ALBK ID	30%	✗	0.3%
Aminex PLC	AMNX.I / AEX ID	25%	✗	0.3%
Applegreen PLC	APGNA.I / APGN ID	25%	✓	0.3%
C&C Group PLC	GCC.I / GCC ID	10%	✓	0.3%

CRH PLC	CRH.I / CRH ID	5%	✓	0.3%
Dalata Hotel Group PLC	DHG.I / DHG ID	20%	✓	0.3%
FBD Holdings PLC	FBD.I / FBD ID	25%	✓	0.3%
Fyffes PLC	FFY.I / FFY ID	25%	✓	0.3%
Glanbia PLC	GL9.I / GLB ID	15%	✓	0.3%
Green REIT PLC	GN1.I / GRN ID	20%	✓	0.3%
Hibernia REIT PLC	HBRN.I / HBRN ID	20%	✓	0.3%
Irish Continental Group PLC	IR5B_u.I / IR5B ID	25%	✓	0.3%
Irish Residential Properties REIT PLC	IRES.I / IRES ID	25%	✗	0.3%
Kenmare Resources PLC	JEV.I / KMR ID	25%	✗	0.3%
Kerry Group PLC	KYGa.I / KYG ID	10%	✓	0.3%
Kingspan Group PLC	KSP.I / KSP ID	15%	✓	0.3%
Mincon Group PLC	MCON.I / MIO ID	25%	✗	0.3%
Origin Enterprises PLC	OGN.I / OGN ID	25%	✓	0.3%
Paddy Power PLC	PPB.I / PPB ID	10%	✓	0.3%
Ryanair Holdings PLC	RYA.I / RYA ID	10%	✓	0.3%
Smurfit Kappa Group PLC	SKG.I / SKG ID	10%	✓	0.3%
The Governor and Company of the Bank of Ireland	BKIR.I / BKIR ID	10%	✓	0.3%
Total Produce PLC	T7O.I / TOT ID	25%	✓	0.3%
Tullow Oil PLC	TLW.I / TLW ID	20%	✓	0.3%
permanent tsb Group Holdings PLC	ILOA.I / ILOA ID	25%	✓	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

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† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

ITALY

Stock	Ticker	1.Tasso di margine nuovo	2.Vendita allo scoperto*	3.Premio Rischio Limitato
A.S. Rom ASA	ASR.MI / ASR IM	20%	✗	0.3%
A2 ASA	A2.MI / A2A IM	10%	✓	0.3%
ACE ASA	ACE.MI / ACE IM	25%	✓	0.3%
ASTM SpA	ATMI.MI / AT IM	25%	✓	0.3%
Acotel Group SpA	ACO.MI / ACO IM	25%	✗	0.3%
Aedes SIIQ SpA	AEDI.MI / AE IM	25%	✗	0.3%
Aeffe SpA	AEF.MI / AEF IM	25%	✗	1%
Alerion Clean Power SpA	ARN.MI / ARN IM	25%	✗	0.3%
Amplifon SpA	AMPF.MI / AMP IM	25%	✓	0.3%
Anima Holding SpA	ANIM.MI / ANIM IM	10%	✓	0.3%
Ansaldo STS SpA	STS.MI / STS IM	10%	✓	0.3%
Arnoldo Mondadori Editore SpA	MOED.MI / MN IM	25%	✓	0.3%
Ascopiave SpA	ASCI.MI / ASC IM	25%	✓	0.3%
Assicurazioni Generali SpA	GASI.MI / G IM	5%	✓	0.3%
Astaldi SpA	AST.MI / AST IM	20%	✓	0.3%
Atlanti ASA	ATL.MI / ATL IM	5%	✓	0.3%
Autogrill SpA	AGL.MI / AGL IM	10%	✓	0.3%
Azimut Holding Spa	AZMT.MI / AZM IM	10%	✓	0.3%
BRUNELLO CUCINELLI SpA	BCU.MI / BC IM	15%	✓	0.3%

Banca Carige SpA	CRGI.MI / CRG IM	20%	✗	0.3%
Banca Finnat Eurameric ASA	BFE.MI / BFE IM	25%	✗	0.3%
Banca Generali SpA	BGN.MI / BGN IM	10%	✓	0.3%
Banca IFIS SpA	IF.MI / IF IM	20%	✓	0.3%
Banca Intermobiliare di Investimenti e Gestioni SpA	BIM.MI / BIM IM	25%	✗	0.3%
Banca Mediolanum SpA	BMED.MI / BMED IM	25%	✓	0.3%
Banca Monte dei Paschi di Sien ASA	BMPS.MI / BMPS IM	10%	✓	0.3%
Banca Popolare di Milano Scarl	PMII.MI / PMI IM	10%	✓	0.3%
Banca Popolare di Sondrio S.C.p.A.	BPSI.MI / BPSO IM	15%	✓	0.3%
Banca Profilo SpA	PRO.MI / PRO IM	25%	✗	0.3%
Banca Sistem ASA	BSTA.MI / BST IM	25%	✓	0.3%
Banca popolare dell'Emilia Romagna Societa cooperativa	EMII.MI / BPE IM	10%	✓	0.3%
Banco Popolare Societa Cooperativa Scarl	BAPO.MI / BP IM	10%	✗	0.3%
Banco di Desio e della Brianza ASA	DESI.MI / BDB IM	25%	✗	0.3%
BasicNet SpA	BCNT.MI / BAN IM	25%	✓	0.3%
Beghelli SpA	BE.MI / BE IM	25%	✗	0.3%
Beni Stabili SpA	BNSI.MI / BNS IM	20%	✓	0.3%
Biesse SpA	BSS.MI / BSS IM	25%	✓	0.3%
Bonifiche Ferraresi S.p.A. Societa Agricola	BFFI.MI / BF IM	25%	✗	0.3%
Brembo SpA	BRBI.MI / BRE IM	15%	✓	0.3%
Brioschi Sviluppo Immobiliare spa	BRUI.MI / BRI IM	25%	✗	0.3%
Buzzi Unicem SpA	BZU.MI / BZU IM	10%	✓	0.3%
Buzzi Unicem SpA	BZUn.MI / BZUR IM	25%	✓	0.3%
CNH Industrial NV	CNHI.MI / CNHI IM	10%	✓	0.3%
COFIDE - Gruppo De Benedetti SpA	COFI.MI / COF IM	25%	✓	0.3%
CTI BioPharma Corporation	CTIC.MI / CTIC IM	25%	✗	0.3%
Cairo Communication SpA	CAI.MI / CAI IM	25%	✗	0.3%
Caltagirone Editore SpA	CED.MI / CED IM	25%	✗	0.3%
Carraro SpA	CARRA.MI / CARR IM	25%	✗	0.3%
Cementir Holding SpA	CEMI.MI / CEM IM	25%	✓	0.3%
Compagnie Industriali Riunite SpA	CIRX.MI / CIR IM	25%	✓	0.3%
Conafi Prestito SpA	COPR.MI / CNP IM	25%	✗	0.3%
Credito Emiliano SpA	EMBI.MI / CE IM	20%	✓	0.3%
Credito Valtellinese Societa Cooperativa	PCVI.MI / CVAL IM	20%	✓	0.3%
D'Amico International Shipping SA	B7C.MI / DIS IM	25%	✓	0.3%
DAD ASA	DA.MI / DA IM	25%	✗	0.3%
Danieli & C. Officine Meccaniche SpA	DANI.MI / DAN IM	20%	✓	0.3%
Danieli & C. Officine Meccaniche SpA	DANIn.MI / DANR IM	20%	✓	0.3%
Datalogic SpA	DAL.MI / DAL IM	25%	✓	0.3%
Davide Campari - Milano SpA	CPRI.MI / CPR IM	10%	✓	0.3%
De' Longhi SpA	DLG.MI / DLG IM	15%	✓	0.3%
DeA Capital SpA	DEA.MI / DEA IM	25%	✓	0.3%
DiaSorin SpA	DIAS.MI / DIA IM	15%	✓	0.3%
EEMS Itali ASA	EEMS.MI / EEMS IM	25%	✗	0.3%
EI Towers SpA	EIT.MI / EIT IM	20%	✓	0.3%
EL.EN. Societa per Azioni	ELEN.MI / ELN IM	25%	✓	0.3%
ERG SpA	ERG.MI / ERG IM	20%	✓	0.3%
ETFS Physical Gold	PHAU.MI / PHAU IM	10%	✗	0.3%
Edison SpA	EDNn.MI / EDNR IM	25%	✓	0.3%
Elic ASA	ELC.MI / ELC IM	25%	✗	0.3%
Enel Green Power SpA	EGPW.MI / EGPW IM	10%	✓	0.3%
Enel SpA	ENEI.MI / ENEL IM	5%	✓	0.3%
Energy Lab SpA	ELAB.MI / ELAB IM	25%	✗	0.3%

Engineering Ingegneria Informatic ASA	ENG.MI / ENG IM	25%	✓	0.3%
Eni SpA	ENI.MI / ENI IM	5%	✓	0.3%
Esprinet SpA	PRT.MI / PRT IM	20%	✓	0.3%
Exor SpA	EXOR.MI / EXO IM	10%	✓	0.3%
Exprivi ASA	XPR.MI / XPR IM	25%	✗	0.3%
Falck Renewables SpA	AA4.MI / FKR IM	20%	✓	0.3%
Ferrari NV	RACE.MI / RACE IM	10%	✓	0.3%
Fiat Chrysler Automobiles NV	FCHA.MI / FCA IM	5%	✓	0.3%
Fiera Milano SA	FIMI.MI / FM IM	20%	✗	0.3%
Fincantieri SpA	FCT.MI / FCT IM	15%	✗	0.3%
FincoBank Banca Fineco SpA	FBK.MI / FBK IM	10%	✓	0.3%
Finmeccanic ASA	SIFI.MI / FNC IM	10%	✓	0.3%
Geox SpA	GEO.MI / GEO IM	20%	✓	0.3%
Gruppo Editoriale L' Espresso SpA	ESPI.MI / ES IM	25%	✓	0.3%
Gruppo MutuiOnline SpA	MOL.MI / MOL IM	25%	✗	0.3%
Her ASA	HRA.MI / HER IM	15%	✓	0.3%
I.M.A. Industria Macchine Automatiche SpA	IMAI.MI / IMA IM	15%	✓	0.3%
Il Sole 24 ORE SpA	S24.MI / S24 IM	25%	✗	0.3%
Immobiliare Grande Distribuzione Societa di Investimento Immobiliare Quotat ASA	IGD.MI / IGD IM	25%	✓	0.3%
Immsi SpA	IMSI.MI / IMS IM	25%	✓	0.3%
Infrastrutture Wireless Italiane SpA	INWT.MI / INW IM	25%	✓	0.3%
Intek Group SpA	IKG.MI / IKG IM	25%	✓	0.3%
Intek Group SpA	IKGn.MI / IKGR IM	25%	✓	0.3%
Interpump Group SpA	ITPG.MI / IP IM	20%	✓	0.3%
Intesa Sanpaolo SpA	ISP.MI / ISP IM	5%	✓	0.3%
Iren SpA	IREE.MI / IRE IM	20%	✓	0.3%
Isagro SpA	IGS.MI / ISG IM	25%	✗	0.3%
Italcementi SpA	ITAI.MI / IT IM	20%	✓	0.3%
Italmobiliare SpA	ITMI.MI / ITM IM	25%	✓	0.3%
Juventus Football Club SpA	JUVE.MI / JUVE IM	20%	✗	0.3%
La Dori ASA	LDO.MI / LD IM	25%	✓	0.3%
Luxottica Group SpA	LUX.MI / LUX IM	5%	✓	0.3%
Lyxor UCITS ETF FTSE MIB	ETFMIB.MI / ETFMIB IM	10%	✓	0.3%
M&C SpA	MACA.MI / MEC IM	25%	✗	0.3%
MARR SpA	MARR.MI / MARR IM	25%	✓	0.3%
Maire Tecnimont SpA	MTCM.MI / MT IM	15%	✓	0.3%
Mediaset SpA	MS.MI / MS IM	5%	✓	0.3%
Mediobanca Banca di Credito Finanziario SpA	MDBI.MI / MB IM	5%	✓	0.3%
Mittel SpA	MTTI.MI / MIT IM	25%	✗	0.3%
MolMed SpA	MLMD.MI / MLM IM	25%	✗	0.3%
Moleskine SpA	MSK.MI / MSK IM	25%	✓	0.3%
Moncler SpA	MONC.MI / MONC IM	25%	✓	0.3%
Nice SpA	NICE.MI / NICE IM	25%	✓	0.3%
OVS SpA	OVS.MI / OVS IM	25%	✓	0.3%
PIRELLI & C. Societa per Azioni	PECIn.MI / PCP IM	25%	✓	0.3%
Panariagroup Industrie Ceramiche SpA	PAGR.MI / PAN IM	25%	✗	0.3%
Parmalat SpA	PLT.MI / PLT IM	15%	✓	0.3%
Piaggio & C. SpA	PIA.MI / PIA IM	20%	✓	0.3%
Pininfarin ASA	PNNI.MI / PINF IM	25%	✗	0.3%
Poste Italiane SpA	PST.MI / PST IM	10%	✓	0.3%
Prelios SpA	PCRE.MI / PRS IM	25%	✗	0.3%
Premud ASA	PRNI.MI / PR IM	25%	✗	0.3%
Prima Industrie SpA	PRII.MI / PRI IM	25%	✗	0.3%

Prysmian SpA	PRY.MI / PRY IM	10%	✓	0.3%
RCS MediaGroup SpA	RCSM.MI / RCS IM	15%	✗	0.3%
Rai Way SpA	RWAY.MI / RWAY IM	25%	✓	0.3%
Recordati SpA	RECI.MI / REC IM	20%	✓	0.3%
Reno de Medici SpA	RDM.MI / RM IM	25%	✓	0.3%
Reply SpA	REY.MI / REY IM	25%	✓	0.3%
Retelit SpA	LIT.MI / LIT IM	25%	✗	0.3%
Risanamento SpA	RN.MI / RN IM	25%	✗	0.3%
SAES Getters SpA	SAEI.MI / SG IM	25%	✗	0.3%
STMMicroelectronics NV	STM.MI / STM IM	5%	✓	0.3%
Sabaf SpA	SABF.MI / SAB IM	25%	✓	0.3%
Safilo Group SpA	SFLG.MI / SFL IM	15%	✓	0.3%
Saipem SpA	SPMI.MI / SPM IM	5%	✓	0.3%
Salini Impregilo SpA	SALI.MI / SAL IM	20%	✓	0.3%
Salvatore Ferragamo SpA	SFER.MI / SFER IM	10%	✓	0.3%
Saras SpA	SRS.MI / SRS IM	20%	✓	0.3%
Se SAA	SES.MI / SES IM	25%	✓	0.3%
Seat Pagine Gialle SpA	PGIT.MI / PG IM	25%	✗	0.3%
Snai SpA	SNAI.MI / SNA IM	25%	✗	0.3%
Snam SpA	SRG.MI / SRG IM	5%	✓	0.3%
Societa Cattolica di Assicurazione - Societa Cooperativa	CASS.MI / CASS IM	25%	✓	0.3%
Societa Iniziative Autostradali e Servizi SpA	SIS.MI / SIS IM	25%	✓	0.3%
Sogefi SpA	SGFI.MI / SO IM	25%	✓	0.3%
Stefanel SpA	STEP.MI / STEF IM	25%	✗	0.3%
TERNA - Rete Elettrica Nazionale Societa per Azioni	TRN.MI / TRN IM	5%	✓	0.3%
TREVI - Finanziaria Industriale SpA	TFI.MI / TFI IM	25%	✓	0.3%
Tamburi Investment Partners SpA	TIP.MI / TIP IM	25%	✓	0.3%
Telecom Itali ASA	TLIT.MI / TIT IM	5%	✓	0.3%
Telecom Itali ASA	TLITn.MI / TITR IM	10%	✓	0.3%
Tenaris SA	TENR.MI / TEN IM	5%	✓	0.3%
Tiscali SpA	TIS.MI / TIS IM	25%	✗	0.3%
Tod's SpA	TOD.MI / TOD IM	10%	✓	0.3%
UniCredit SpA	CRDI.MI / UCG IM	5%	✓	0.3%
UniCredit SpA	CRDIn.MI / UCGR IM	25%	✗	0.3%
Unione di Banche Italiane SpA	UBI.MI / UBI IM	5%	✓	0.3%
Unipol Gruppo Finanziario SpA	UNPI.MI / UNI IM	10%	✓	0.3%
UnipolSai Assicurazioni SpA	US.MI / US IM	5%	✓	0.3%
Vianini Lavori SpA	VIAI.MI / VLA IM	25%	✓	0.3%
Vittoria Assicurazioni SpA	VITI.MI / VAS IM	25%	✓	0.3%
YOOX Net-A-Porter Group SpA	YNAP.MI / YNAP IM	10%	✓	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Nota importante: La colonna 'vendita allo scoperto' indica la presenza o l'assenza di fattori (di cui siamo a conoscenza) che ci consentono di aprire posizioni short su un certo titolo. Non possiamo offrirvi alcuna garanzia sulla possibilità di vendere allo scoperto un certo titolo. Inoltre, ci potrebbe essere una commissione extra (per il prestito titoli) che vi sarà addebitata unitamente agli interessi calcolati sulla posizione. Per sapere in anticipo se verrà applicata tale commissione, vi invitiamo a contattare i nostri trader prima di operare. La disponibilità di titoli per operazioni short e il costo per il prestito titoli possono essere modificati con un breve preavviso.

Se non riesce a trovare il mercato desiderato, la prego di contattarci sul numero +39 800 979 2981 e saremo in grado di aggiungerlo per lei in pochi minuti

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

JAPAN

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
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ANA Holdings Inc	9202.T / 9202 JT	5%	✗	0.3%
ASICS Corporation	7936.T / 7936 JT	10%	✓	0.3%
Access Company Limited	4813.T / 4813 JT	20%	✗	0.3%
Advantest Corporation	6857.T / 6857 JT	10%	✗	0.3%
Aeon Company Limited	8267.T / 8267 JT	5%	✓	0.3%
Air Water Inc	4088.T / 4088 JT	15%	✓	0.3%
Aisin Seiki Company Limited	7259.T / 7259 JT	10%	✓	0.3%
Ajinomoto Co. Inc	2802.T / 2802 JT	5%	✓	0.3%
Alps Electric Company Limited	6770.T / 6770 JT	10%	✓	0.3%
Amada Holdings Company Limited	6113.T / 6113 JT	10%	✓	0.3%
Aozora Bank Limited	8304.T / 8304 JT	10%	✓	0.3%
Asahi Glass Company Limited	5201.T / 5201 JT	10%	✓	0.3%
Asahi Group Holdings Limited	2502.T / 2502 JT	5%	✓	0.3%
Asahi Kasei Corporation	3407.T / 3407 JT	5%	✓	0.3%
Astellas Pharma Inc	4503.T / 4503 JT	5%	✓	0.3%
BANDAI NAMCO Holdings Inc	7832.T / 7832 JT	10%	✓	0.3%
Bridgestone Corporation	5108.T / 5108 JT	5%	✓	0.3%
Brother Industries Limited	6448.T / 6448 JT	10%	✓	0.3%
COMSYS Holdings Corporation	1721.T / 1721 JT	10%	✗	0.3%
Canon Inc	7751.T / 7751 JT	5%	✓	0.3%
Casio Computer Company Limited	6952.T / 6952 JT	10%	✗	0.3%
Central Japan Railway Company	9022.T / 9022 JT	5%	✓	0.3%
Chiyoda Corporation	6366.T / 6366 JT	10%	✓	0.3%
Chubu Electric Power Company Inc	9502.T / 9502 JT	10%	✓	0.3%
Chugai Pharmaceutical Company Limited	4519.T / 4519 JT	10%	✓	0.3%
Citizen Holdings Company Limited	7762.T / 7762 JT	10%	✗	0.3%
Concordia Financial Group Limited	7186.T / 7186 JP	25%	✗	☐
Cookpad Inc	2193.T / 2193 JT	10%	✗	0.3%
Cosmo Energy Holdings Company Limited	5021.T / 5021 JT	25%	✗	0.3%
Credit Saison Company Limited	8253.T / 8253 JT	10%	✓	0.3%
CyberAgent Inc	11111.HK / 4751 JT	10%	✓	0.3%
Dai Nippon Printing Company Limited	7912.T / 7912 JT	10%	✓	0.3%
Daicel Corporation	4202.T / 4202 JT	10%	✓	0.3%
Daido Steel Company Limited	5471.T / 5471 JT	15%	✓	0.3%
Daihatsu Motor Company Limited	7262.T / 7262 JT	10%	✓	0.3%
Daiichi Sankyo Company Limited	4568.T / 4568 JT	5%	✓	0.3%
Daikin Industries Limited	6367.T / 6367 JT	5%	✓	0.3%
Daito Trust Construction Company Limited	1878.T / 1878 JT	5%	✓	0.3%
Daiwa House Industry Company Limited	1925.T / 1925 JT	5%	✓	0.3%
Daiwa Securities Group Inc	8601.T / 8601 JT	5%	✓	0.3%
Denka Company Limited	4061.T / 4061 JT	10%	✓	0.3%
Denso Corporation	6902.T / 6902 JT	5%	✓	0.3%
Dentsu Inc	4324.T / 4324 JT	10%	✓	0.3%
Don Quijote Holdings Company Limited	7532.T / 7532 JT	10%	✓	0.3%
Dowa Holdings Company Limited	5714.T / 5714 JT	10%	✗	0.3%
East Japan Railway Company	9020.T / 9020 JT	5%	✓	0.3%
Eisai Company Limited	4523.T / 4523 JT	5%	✓	0.3%
Electric Power Development Company Limited	9513.T / 9513 JT	10%	✓	0.3%
FUJIFILM Holdings Corporation	4901.T / 4901 JT	5%	✓	0.3%
FamilyMart Company Limited	8028.T / 8028 JT	10%	✓	0.3%
Fanuc Corporation	6954.T / 6954 JT	5%	✓	0.3%
Fast Retailing Company Limited	9983.T / 9983 JT	5%	✓	0.3%
Fuji Heavy Industries Limited	7270.T / 7270 JT	5%	✓	0.3%
Fuji Media Holdings Inc	4676.T / 4676 JT	10%	✓	0.3%

Fujikura Limited	5803.T / 5803 JT	10%	✗	0.3%
Fujitsu Limited	6702.T / 6702 JT	5%	✓	0.3%
Fukuoka Financial Group Inc	8354.T / 8354 JT	10%	✓	0.3%
Furukawa Electric Company Limited	5801.T / 5801 JT	10%	✓	0.3%
GS Yuasa Corporation	6674.T / 6674 JT	10%	✓	0.3%
Gree Inc	3632.T / 3632 JT	10%	✓	0.3%
H.I.S. Company Limited	9603.T / 9603 JT	10%	✗	0.3%
Hankyu Hanshin Holdings Inc	9042.T / 9042 JT	10%	✓	0.3%
Hino Motors Limited	7205.T / 7205 JT	10%	✓	0.3%
Hirose Electric Company Limited	6806.T / 6806 JT	10%	✓	0.3%
Hisamitsu Pharmaceutical Co. Inc	4530.T / 4530 JT	15%	✓	0.3%
Hitachi Chemical Company Limited	4217.T / 4217 JT	10%	✓	0.3%
Hitachi Construction Machinery Company Limited	6305.T / 6305 JT	10%	✓	0.3%
Hitachi Limited	6501.T / 6501 JT	5%	✓	0.3%
Hitachi Metals Limited	5486.T / 5486 JT	10%	✓	0.3%
Hokkaido Electric Power Co. Inc	9509.T / 9509 JT	10%	✓	0.3%
Hokuetsu Kishu Paper Company Limited	3865.T / 3865 JT	15%	✗	0.3%
Hokuhoku Financial Group Inc	8377.T / 8377 JT	15%	✓	0.3%
Hokuriku Electric Power Company	9505.T / 9505 JT	15%	✓	0.3%
Honda Motor Company Limited	7267.T / 7267 JT	5%	✓	0.3%
Hoya Corporation	7741.T / 7741 JT	5%	✓	0.3%
IHI Corporation	7013.T / 7013 JT	10%	✓	0.3%
ITOCU Corporation	8001.T / 8001 JT	5%	✓	0.3%
Ibiden Company Limited	4062.T / 4062 JT	10%	✓	0.3%
Idemitsu Kosan Company Limited	5019.T / 5019 JT	15%	✓	0.3%
Inpex Corporation	1605.T / 1605 JT	5%	✗	0.3%
Isetan Mitsukoshi Holdings Limited	3099.T / 3099 JT	10%	✓	0.3%
Isuzu Motors Limited	7202.T / 7202 JT	5%	✓	0.3%
J. Front Retailing Company Limited	3086.T / 3086 JT	10%	✓	0.3%
JFE Holdings Inc	5411.T / 5411 JT	5%	✓	0.3%
JGC Corporation	1963.T / 1963 JT	5%	✓	0.3%
JTEKT Corporation	6473.T / 6473 JT	10%	✓	0.3%
JX Holdings Inc	5020.T / 5020 JT	5%	✓	0.3%
Japan Airlines Company Limited	9201.T / 9201 JT	10%	✗	0.3%
Japan Exchange Group Inc	8697.T / 8697 JT	10%	✓	0.3%
Japan Post Bank Company Limited	7182.T / 7182 JT	5%	✗	0.3%
Japan Post Holdings Company Limited	6178.T / 6178 JT	5%	✗	0.3%
Japan Post Insurance Company Limited	7181.T / 7181 JT	10%	✗	0.3%
Japan Tobacco Inc	2914.T / 2914 JT	5%	✓	0.3%
KDDI Corporation	9433.T / 9433 JT	5%	✓	0.3%
Kajima Corporation	1812.T / 1812 JT	10%	✓	0.3%
Kakaku.com. Inc	2371.T / 2371 JT	10%	✓	0.3%
Kansai Paint Company Limited	4613.T / 4613 JT	10%	✓	0.3%
Kao Corporation	4452.T / 4452 JT	5%	✓	0.3%
Kawasaki Heavy Industries Limited	7012.T / 7012 JT	10%	✓	0.3%
Kawasaki Kisen Kaisha Limited	9107.T / 9107 JT	10%	✗	0.3%
Keio Corporation	9008.T / 9008 JT	15%	✓	0.3%
Keisei Electric Railway Company Limited	9009.T / 9009 JT	10%	✓	0.3%
Keyence Corporation	6861.T / 6861 JT	5%	✓	0.3%
Kikkoman Corporation	2801.T / 2801 JT	10%	✓	0.3%
Kintetsu Group Holdings Company Limited	9041.T / 9041 JT	10%	✓	0.3%
Kirin Holdings Company Limited	2503.T / 2503 JT	5%	✓	0.3%
Kobe Steel Limited	5406.T / 5406 JT	10%	✓	0.3%
Koito Manufacturing Company Limited	7276.T / 7276 JT	10%	✓	0.3%

Komatsu Limited	6301.T / 6301 JT	5%	✓	0.3%
Konami Holdings Corporation	9766.T / 9766 JT	10%	✓	0.3%
Konica Minolta Inc	4902.T / 4902 JT	10%	✓	0.3%
Kubota Corporation	6326.T / 6326 JT	5%	✓	0.3%
Kuraray Company Limited	3405.T / 3405 JT	10%	✓	0.3%
Kyocera Corporation	6971.T / 6971 JT	5%	✓	0.3%
Kyowa Hakko Kirin Company Limited	4151.T / 4151 JT	10%	✗	0.3%
Kyushu Electric Power Company Inc	9508.T / 9508 JT	10%	✓	0.3%
LIXIL Group Corporation	5938.T / 5938 JT	10%	✓	0.3%
Laox Company Limited	8202.T / 8202 JT	10%	✗	0.3%
Lawson Inc	2651.T / 2651 JT	10%	✓	0.3%
M3 Inc	2413.T / 2413 JT	10%	✗	0.3%
MISUMI Group Inc	9962.T / 9962 JT	15%	✓	0.3%
Makita Corporation	6586.T / 6586 JT	10%	✓	0.3%
Marubeni Corporation	8002.T / 8002 JT	5%	✓	0.3%
Marui Group Company Limited	8252.T / 8252 JT	10%	✓	0.3%
Matsui Securities Company Limited	8628.T / 8628 JT	10%	✗	0.3%
Mazda Motor Corporation	7261.T / 7261 JT	10%	✓	0.3%
MediPal Holdings Corporation	7459.T / 7459 JT	10%	✓	0.3%
Meidensha Corporation	6508.T / 6508 JT	25%	✗	0.3%
Meiji Holdings Company Limited	2269.T / 2269 JT	10%	✗	0.3%
Minebea Company Limited	6479.T / 6479 JT	10%	✓	0.3%
Miraca Holdings Inc	4544.T / 4544 JT	15%	✓	0.3%
Mitsubishi Chemical Holdings Corporation	4188.T / 4188 JT	10%	✓	0.3%
Mitsubishi Corporation	8058.T / 8058 JT	5%	✓	0.3%
Mitsubishi Electric Corporation	6503.T / 6503 JT	5%	✓	0.3%
Mitsubishi Estate Company Limited	8802.T / 8802 JT	5%	✓	0.3%
Mitsubishi Gas Chemical Company Inc	4182.T / 4182 JT	10%	✓	0.3%
Mitsubishi Heavy Industries Limited	7011.T / 7011 JT	5%	✓	0.3%
Mitsubishi Materials Corporation	5711.T / 5711 JT	10%	✓	0.3%
Mitsubishi Motors Corporation	7211.T / 7211 JT	10%	✗	0.3%
Mitsubishi Tanabe Pharma Corporation	4508.T / 4508 JT	10%	✓	0.3%
Mitsubishi UFJ Financial Group Inc	8306.T / 8306 JT	5%	✓	0.3%
Mitsubishi UFJ Lease & Finance Company Limited	8593.T / 8593 JT	10%	✓	0.3%
Mitsui & Company Limited	8031.T / 8031 JT	5%	✓	0.3%
Mitsui Chemicals Inc	4183.T / 4183 JT	10%	✓	0.3%
Mitsui Engineering & Shipbuilding Company Limited	7003.T / 7003 JT	10%	✗	0.3%
Mitsui Fudosan Company Limited	8801.T / 8801 JT	5%	✓	0.3%
Mitsui OSK Lines Limited	9104.T / 9104 JT	10%	✓	0.3%
Mitsumi Electric Company Limited	6767.T / 6767 JT	15%	✗	0.3%
Mizuho Financial Group Inc	8411.T / 8411 JT	5%	✓	0.3%
Monex Group Inc	8698.T / 8698 JT	15%	✓	0.3%
NEC Corporation	6701.T / 6701 JT	10%	✗	0.3%
NGK Insulators Limited	5333.T / 5333 JT	10%	✓	0.3%
NGK Spark Plug Company Limited	5334.T / 5334 JT	10%	✓	0.3%
NH Foods Limited	2282.T / 2282 JT	10%	✓	0.3%
NIPPON STEEL & SUMITOMO METAL Corporation	5401.T / 5401 JT	5%	✓	0.3%
NOK Corporation	7240.T / 7240 JT	15%	✓	0.3%
NSK Limited	6471.T / 6471 JT	10%	✓	0.3%
NTN Corporation	6472.T / 6472 JT	10%	✓	0.3%
NTT DOCOMO Inc	9437.T / 9437 JT	5%	✓	0.3%
NTT Data Corporation	9613.T / 9613 JT	10%	✓	0.3%
Nabtesco Corporation	6268.T / 6268 JT	10%	✓	0.3%
Nidec Corporation	6594.T / 6594 JT	5%	✗	0.3%

Nintendo Company Limited	7974.T / 7974 JT	10%	✓	0.3%
Nippon Electric Glass Company Limited	5214.T / 5214 JT	10%	✓	0.3%
Nippon Express Company Limited	9062.T / 9062 JT	10%	✓	0.3%
Nippon Paper Industries Company Limited	3863.T / 3863 JT	10%	✓	0.3%
Nippon Sheet Glass Company Limited	5202.T / 5202 JT	10%	✓	0.3%
Nippon Shokubai Company Limited	4114.T / 4114 JT	10%	✓	0.3%
Nippon Telegraph and Telephone Corporation	9432.T / 9432 JT	5%	✓	0.3%
Nissan Motor Company Limited	7201.T / 7201 JT	5%	✓	0.3%
Nisshin Seifun Group Inc	2002.T / 2002 JT	10%	✓	0.3%
Nissin Foods Holdings Company Limited	2897.T / 2897 JT	15%	✓	0.3%
Nitori Holdings Company Limited	9843.T / 9843 JT	10%	✓	0.3%
Nitto Denko Corporation	6988.T / 6988 JT	5%	✓	0.3%
Nomura Holdings Inc	8604.T / 8604 JT	5%	✓	0.3%
Nomura Research Institute Limited	4307.T / 4307 JT	10%	✓	0.3%
OMRON Corporation	6645.T / 6645 JT	10%	✗	0.3%
ORIX Corporation	8591.T / 8591 JT	5%	✓	0.3%
Obayashi Corporation	1802.T / 1802 JT	10%	✓	0.3%
Odakyu Electric Railway Company Limited	9007.T / 9007 JT	10%	✓	0.3%
Oji Holdings Corporation	3861.T / 3861 JT	10%	✓	0.3%
Olympus Corporation	7733.T / 7733 JT	25%	✓	0.3%
Oriental Land Company Limited	4661.T / 4661 JT	5%	✓	0.3%
Osaka Gas Company Limited	9532.T / 9532 JT	10%	✓	0.3%
Otsuka Holdings Company Limited	4578.T / 4578 JT	5%	✓	0.3%
Panasonic Corporation	6752.T / 6752 JT	10%	✓	0.3%
Pigeon Corporation	7956.T / 7956 JT	10%	✗	0.3%
ROHM Company Limited	6963.T / 6963 JT	5%	✓	0.3%
Rakuten Inc	4755.T / 4755 JT	10%	✓	0.3%
Ricoh Company Limited	7752.T / 7752 JT	5%	✓	0.3%
SECOM Company Limited	9735.T / 9735 JT	5%	✓	0.3%
SMC Corporation	6273.T / 6273 JT	5%	✓	0.3%
Sankyo Company Limited	6417.T / 6417 JT	15%	✓	0.3%
Sanrio Company Limited	8136.T / 8136 JT	10%	✗	0.3%
Sega Sammy Holdings Inc	6460.T / 6460 JT	10%	✓	0.3%
Seiko Epson Corporation	6724.T / 6724 JT	10%	✓	0.3%
Sekisui Chemical Company Limited	4204.T / 4204 JT	10%	✓	0.3%
Sekisui House Limited	1928.T / 1928 JT	5%	✓	0.3%
Seven & i Holdings Company Limited	3382.T / 3382 JT	5%	✓	0.3%
Sharp Corporation	6753.T / 6753 JT	10%	✗	0.3%
Shikoku Electric Power Co. Inc	9507.T / 9507 JT	10%	✓	0.3%
Shimadzu Corporation	7701.T / 7701 JT	10%	✓	0.3%
Shimamura Company Limited	8227.T / 8227 JT	10%	✓	0.3%
Shimano Inc	7309.T / 7309 JT	25%	✓	0.3%
Shimizu Corporation	1803.T / 1803 JT	10%	✓	0.3%
Shin-Etsu Chemical Company Limited	4063.T / 4063 JT	5%	✓	0.3%
Shinsei Bank Limited	8303.T / 8303 JT	10%	✓	0.3%
Shionogi & Company Limited	4507.T / 4507 JT	10%	✓	0.3%
Shiseido Company Limited	4911.T / 4911 JT	10%	✓	0.3%
Showa Denko K.K.	4004.T / 4004 JT	10%	✓	0.3%
Showa Shell Sekiyu K.K.	5002.T / 5002 JT	10%	✓	0.3%
SoftBank Group Corporation	9984.T / 9984 JT	5%	✓	0.3%
Sojitz Corporation	2768.T / 2768 JT	10%	✓	0.3%
Sompo Japan Nipponkoa Holdings Inc	8630.T / 8630 JT	5%	✓	0.3%
Sony Corporation	6758.T / 6758 JT	5%	✓	0.3%
Sony Financial Holdings Inc	8729.T / 8729 JT	10%	✓	0.3%

Stanley Electric Company Limited	6923.T / 6923 JT	10%	✓	0.3%
Start Today Company Limited	3092.T / 3092 JT	10%	✗	0.3%
Sumco Corporation	3436.T / 3436 JT	10%	✓	0.3%
Sumitomo Chemical Company Limited	4005.T / 4005 JT	10%	✓	0.3%
Sumitomo Corporation	8053.T / 8053 JT	5%	✓	0.3%
Sumitomo Electric Industries Limited	5802.T / 5802 JT	5%	✓	0.3%
Sumitomo Heavy Industries Limited	6302.T / 6302 JT	10%	✓	0.3%
Sumitomo Mitsui Financial Group Inc	8316.T / 8316 JT	5%	✓	0.3%
Sumitomo Mitsui Trust Holdings Inc	8309.T / 8309 JT	5%	✓	0.3%
Sumitomo Realty & Development Company Limited	8830.T / 8830 JT	5%	✓	0.3%
Sumitomo Rubber Industries Limited	5110.T / 5110 JT	10%	✓	0.3%
Suzuki Motor Corporation	7269.T / 7269 JT	5%	✓	0.3%
System Corporation	6869.T / 6869 JT	10%	✓	0.3%
T&D Holdings Inc	8795.T / 8795 JT	5%	✓	0.3%
TDK Corporation	6762.T / 6762 JT	10%	✓	0.3%
THK Company Limited	6481.T / 6481 JT	10%	✓	0.3%
Taiheiyo Cement Corporation	5233.T / 5233 JT	10%	✓	0.3%
Taisei Corporation	1801.T / 1801 JT	10%	✓	0.3%
Taiyo Nippon Sanso Corporation	4091.T / 4091 JT	15%	✓	0.3%
Taiyo Yuden Company Limited	6976.T / 6976 JT	10%	✓	0.3%
Takashimaya Company Limited	8233.T / 8233 JT	10%	✓	0.3%
Takeda Pharmaceutical Company Limited	4502.T / 4502 JT	5%	✓	0.3%
Teijin Limited	3401.T / 3401 JT	10%	✓	0.3%
Temp Holdings Company Limited	2181.T / 2181 JT	10%	✗	0.3%
Terumo Corporation	4543.T / 4543 JT	10%	✓	0.3%
The Bank of Yokohama Limited	8332.T / 8332 JT	10%	✗	0.3%
The Chiba Bank Limited	8331.T / 8331 JT	10%	✓	0.3%
The Chugoku Bank Limited	8382.T / 8382 JT	20%	✓	0.3%
The Chugoku Electric Power Co. Inc	9504.T / 9504 JT	10%	✓	0.3%
The Dai-ichi Life Insurance Company Limited	8750.T / 8750 JT	5%	✓	0.3%
The Hiroshima Bank Limited	8379.T / 8379 JT	20%	✓	0.3%
The Iyo Bank Limited	8385.T / 8385 JT	20%	✓	0.3%
The Japan Steel Works Limited	5631.T / 5631 JT	10%	✓	0.3%
The Joyo Bank Limited	8333.T / 8333 JT	15%	✓	0.3%
The Kansai Electric Power Company Inc	9503.T / 9503 JT	5%	✓	0.3%
The Nishi-Nippon City Bank Limited	8327.T / 8327 JT	20%	✓	0.3%
The Shizuoka Bank Limited	8355.T / 8355 JT	10%	✓	0.3%
The Yokohama Rubber Company Limited	5101.T / 5101 JT	10%	✓	0.3%
Tobu Railway Company Limited	9001.T / 9001 JT	10%	✓	0.3%
Toho Company Limited	9602.T / 9602 JT	15%	✓	0.3%
Toho Gas Company Limited	9533.T / 9533 JT	20%	✓	0.3%
Tohoku Electric Power Co. Inc	9506.T / 9506 JT	10%	✓	0.3%
Tokio Marine Holdings Inc	8766.T / 8766 JT	5%	✓	0.3%
Tokuyama Corporation	4043.T / 4043 JT	10%	✓	0.3%
Tokyo Electric Power Company Inc	9501.T / 9501 JT	10%	✓	0.3%
Tokyo Electron Limited	8035.T / 8035 JT	5%	✓	0.3%
Tokyo Gas Company Limited	9531.T / 9531 JT	5%	✓	0.3%
Tokyo Tatemono Company Limited	8804.T / 8804 JT	10%	✓	0.3%
Tokyu Corporation	9005.T / 9005 JT	10%	✓	0.3%
Tokyu Fudosan Holdings Corporation	3289.T / 3289 JT	10%	✓	0.3%
TonenGeneral Sekiyu k.k.	5012.T / 5012 JT	10%	✓	0.3%
Toppan Printing Company Limited	7911.T / 7911 JT	10%	✓	0.3%
Toray Industries Inc	3402.T / 3402 JT	5%	✓	0.3%
Toshiba Corporation	6502.T / 6502 JT	5%	✗	0.3%

Toto Limited	5332.T / 5332 JT	10%	✓	0.3%
Toyo Seikan Group Holdings Limited	5901.T / 5901 JT	10%	✓	0.3%
Toyo Suisan Kaisha Limited	2875.T / 2875 JT	10%	✓	0.3%
Toyota Industries Corporation	6201.T / 6201 JT	10%	✓	0.3%
Toyota Motor Corporation	7203.T / 7203 JT	5%	✓	0.3%
Toyota Tsusho Corporation	8015.T / 8015 JT	10%	✓	0.3%
Trend Micro Inc	4704.T / 4704 JT	10%	✓	0.3%
Tsuruha Holdings Inc	3391.T / 3391 JT	10%	✗	0.3%
USS Company Limited	4732.T / 4732 JT	10%	✓	0.3%
Ube Industries Limited	4208.T / 4208 JT	10%	✓	0.3%
Unicharm Corporation	8113.T / 8113 JT	5%	✓	0.3%
Ushio Inc	6925.T / 6925 JT	15%	✓	0.3%
West Japan Railway Company	9021.T / 9021 JT	5%	✓	0.3%
Yahoo Japan Corporation	4689.T / 4689 JT	10%	✓	0.3%
Yakult Honsha Company Limited	2267.T / 2267 JT	10%	✓	0.3%
Yamada Denki Company Limited	9831.T / 9831 JT	10%	✓	0.3%
Yamaguchi Financial Group Inc	8418.T / 8418 JT	15%	✓	0.3%
Yamaha Motor Company Limited	7272.T / 7272 JT	10%	✓	0.3%
Yamato Holdings Company Limited	9064.T / 9064 JT	5%	✓	0.3%
Yaskawa Electric Corporation	6506.T / 6506 JT	10%	✓	0.3%
Yokogawa Electric Corporation	6841.T / 6841 JT	10%	✓	0.3%
ZEON Corporation	4205.T / 4205 JT	10%	✓	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Important note: The column 'Can go short?' only indicates whether there are currently any factors (of which we are aware) prohibiting short positions on the share concerned. There is no guarantee that you will be able to go short of any share cited. In addition, there may be an extra charge (a borrowing charge) which will be included in the interest adjustment applied to your position. To determine whether a charge applies, call our dealers in advance of trading. The ability to borrow and the cost of borrowing stock can be changed at short notice.

If you cannot find the market you are looking for, please call our dealing desk (on +44 20 7896 0000) and we will normally be able to add it for you in a matter of minutes

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

NETHERLANDS

Stock	Ticker	1.Nieuw margin vereiste	2.Kan ik short gaan?*	3.Beperkt risico premie
ABN AMRO Group NV	ABNd.AS / ABN NA	10%	✓	0.3%
AEGON NV	AEGN.AS / AGN NA	5%	✓	0.3%
AMG Advanced Metallurgical Group NV	AMG.AS / AMG NA	25%	✓	0.3%
AP Alternative Assets LP	AAA.AS / AAA NA	25%	✓	0.3%
ARCADIS NV	ARDS.AS / ARCAD NA	15%	✓	0.3%
ASM International NV	ASMI.AS / ASM NA	15%	✓	0.3%
ASML Holding NV	ASML.AS / ASML NA	5%	✓	0.3%
Aalberts Industries NV	AALB.AS / AALB NA	15%	✓	0.3%
Accell Group NV	ACCG.AS / ACCEL NA	25%	✓	0.3%
Akzo Nobel NV	AKZO.AS / AKZA NA	5%	✓	0.3%
Altice NV	ATCA.AS / ATC NA	10%	✓	0.3%
Altice NV	ATCB.AS / ATCB NA	10%	✓	0.3%
Amsterdam Commodities NV	ARBNA.S / ACOMO NA	25%	✓	0.3%
Aperam	APAM.AS / APAM NA	10%	✓	0.3%
ArcelorMittal	ISPA.AS / MT NA	5%	✓	0.3%
BE Semiconductor Industries NV	BESI.AS / BESI NA	25%	✓	0.3%
Beter Bed Holding NV	BETR.AS / BBED NA	25%	✓	0.3%
BinckBank NV	BINCK.AS / BINCK NA	20%	✓	0.3%

Brunel International NV	BRUN.AS / BRNL NA	20%	✓	0.3%
Corbion NV	CORB.AS / CRBN NA	15%	✓	0.3%
DOCDATA NV	DOCD.AS / DOCD NA	25%	✗	0.3%
Delta Lloyd NV	DLL.AS / DL NA	10%	✓	0.3%
Eurocastle Investment Limited	ECT.AS / ECT NA	25%	✓	0.3%
Eurocommercial Properties NV	SIPFc.AS / ECMPA NA	20%	✓	0.3%
Fugro NV	FUGRc.AS / FUR NA	10%	✓	0.3%
Galapagos NV	GLPG.AS / GLPG NA	20%	✗	0.3%
Gemalto NV	GTO.AS / GTO NA	5%	✓	0.3%
Grandvision B.V.	GVNV.AS / GNVV NA	15%	✓	0.3%
HAL Trust	HLAN.AS / HAL NA	25%	✗	0.3%
Heijmans NV	HEIJ.AS / HEIJM NA	20%	✓	0.3%
Heineken Holding NV	HEIO.AS / HEIO NA	10%	✓	0.3%
Heineken NV	HEIN.AS / HEIA NA	5%	✓	0.3%
Hunter Douglas NV	HUDN.AS / HDG NA	25%	✓	0.3%
IMCD NV	IMCD.AS / IMCD NA	25%	✓	0.3%
ING Groep NV	ING.AS / INGA NA	5%	✓	0.3%
Intertrust NV	INTER.AS / INTER NA	25%	✓	0.3%
KAS BANK NV	KASNc.AS / KA NA	25%	✓	0.3%
Kendrion NV	SVEL.AS / KENDR NA	25%	✓	0.3%
Koninklijke Ahold NV	AHLN.AS / AH NA	5%	✓	0.3%
Koninklijke BAM Groep NV	BAMN.AS / BAMNB NA	10%	✓	0.3%
Koninklijke DSM NV	DSMN.AS / DSM NA	5%	✓	0.3%
Koninklijke KPN NV	KPN.AS / KPN NA	5%	✓	0.3%
Koninklijke Philips N.V.	PHG.AS / PHIA NA	5%	✓	0.3%
Koninklijke Ten Cate nv	NTCN.AS / KTC NA	20%	✓	0.3%
Koninklijke Wessanen NV	BSWSc.AS / WES NA	25%	✓	0.3%
Lucas Bols B.V.	BOLS.AS / BOLS NA	25%	✓	0.3%
N.V. Nederlandsche Apparatenfabriek "Nedap"	NEDP.AS / NEDAP NA	25%	✗	0.3%
NN Group NV	NN.AS / NN NA	10%	✗	0.3%
NSI NV	NSTEc.AS / NSI NA	25%	✓	0.3%
OCI NV	OCI.AS / OCI NA	10%	✓	0.3%
Ordina NV	ORDN.AS / ORDI NA	25%	✓	0.3%
Pershing Square Holdings Limited	PSH.AS / PSH NA	10%	✓	0.3%
Pharming Group NV	PHAR.AS / PHARM NA	20%	✗	0.3%
PostNL NV	PTNL.AS / PNL NA	10%	✓	0.3%
RELX NV	RELX.AS / REN NA	5%	✓	0.3%
Randstad Holding NV	RAND.AS / RAND NA	5%	✓	0.3%
Refresco Gerber NV	RFRG.AS / RFRG NA	25%	✓	0.3%
Royal Boskalis Westminster NV	BOSN.AS / BOKA NA	10%	✓	0.3%
Royal Dutch Shell PLC	RDSb.AS / RDSB NA	25%	✓	0.3%
Royal Dutch Shell PLC	RDSa.AS / RDSA NA	5%	✓	0.3%
Royal Imtech NV	IMUN.AS / IM NA	10%	✓	0.3%
Royal Vopak NV	VOPA.AS / VPK NA	10%	✓	0.3%
SBM Offshore NV	SBMO.AS / SBMO NA	25%	✓	0.3%
SPDR(r) AEX UCITS ETF	AEXT.AS / AEXT NA	5%	✗	0.3%
Sligro Food Group NV	SLIGR.AS / SLIGR NA	25%	✓	0.3%
TKH Group NV	TWKnc.AS / TWEKA NA	25%	✓	0.3%
TNT Express NV	TNTE.AS / TNTE NA	10%	✓	0.3%
Telegraaf Media Groep NV	TLGnc.AS / TMG NA	25%	✗	0.3%
TomTom NV	TOM2.AS / TOM2 NA	15%	✓	0.3%
USG People NV	USGP.AS / USG NA	10%	✓	0.3%
Unibail-Rodamco SE	UNBP.AS / UL NA	5%	✓	0.3%
Unilever NV	UNc.AS / UNA NA	5%	✓	0.3%

Value8 NV	VALU8.AS / VALUE NA	25%	✗	0.3%
Van Lanschot NV	VLAN.AS / LANS NA	25%	✓	0.3%
Vanguard FTSE Developed Europe ex UK UCITS ETF	VERX.AS / VERX NA	10%	✗	0.3%
VastNed Retail NV	VASN.AS / VASTN NA	20%	✓	0.3%
Volta Finance Limited	VTA.AS / VTA NA	10%	✗	0.3%
Wereldhave NV	WEHA.AS / WHA NA	15%	✓	0.3%
Wolters Kluwer NV	WLSNc.AS / WKL NA	10%	✓	0.3%
iShares \$ Short Duration Corporate Bond UCITS ETF	SDIG.AS / SDIG NA	10%	✗	0.3%
iShares \$ Ultrashort Bond UCITS ETF	ERND.AS / ERND NA	10%	✗	0.3%
iShares AEX UCITS ETF	IAEX.AS / IAEX NA	10%	✗	0.3%
iShares EURO STOXX 50 UCITS ETF (Dist)	EUEA.AS / EUEA NA	10%	✗	0.3%
iShares European Property Yield UCITS ETF	IPRP.AS / IPRP NA	10%	✗	0.3%

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*Belangrijke opmerking: de column 'Kan ik short gaan?' geeft aan of er op dat moment factoren zijn (waar wij van op de hoogte zijn) die er voor zorgen dat er geen short-posities op het betreffende aandeel kunnen worden ingenomen. Er is geen absolute garantie dat u short kunt gaan op welk aandeel dan ook, wij geven hier alleen een goede indicatie. Daarnaast kunnen er extra kosten bijkomen (leenkosten) die worden verwerkt met de rentekosten die worden verwerkt op uw positie. Om te bepalen of er een kostenpost geldt, neemt u dan contact op met onze dealingdesk voordat u handelt. De mogelijkheid om te lenen en de kosten om aandelen te lenen kunnen snel worden veranderd wanneer nodig.

Indien u de markt waarnaar u op zoek bent niet terug kunt vinden, gelieve onze dealing desk te contacteren (op +31 800 295 0001) waardoor we deze, normaal gesproken, in een korte tijdspanne manueel voor u kunnen toevoegen

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

NORWAY

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
African Petroleum Corporation Limited	AFPC.OL / APCL NO	25%	✗	0.3%
Akastor ASA	AKAS.OL / AKA NO	10%	✗	0.3%
Aker ASA	AKER.OL / AKER NO	25%	✓	0.3%
Aker Solutions	AKSOL.OL / AKSO NO	10%	✓	0.3%
American Shipping Company ASA	AMSCA.OL / AMSC NO	25%	✗	0.3%
Archer Limited	ARCHER.OL / ARCHER NO	25%	✗	0.3%
Atea ASA	ATEA.OL / ATEA NO	25%	✓	0.3%
Austevoll Seafood ASA	AUSS.OL / AUSS NO	25%	✓	0.3%
Avance Gas Holding Limited	AVANCE.OL / AVANCE NO	25%	✓	0.3%
Avocet Mining PLC	AVM.OL / AVM NO	25%	✗	0.3%
Awilco Drilling PLC	AWDR.OL / AWDR NO	20%	✗	0.3%
Axactor AB (publ)	AXAC.OL / AXA NO	25%	✗	0.3%
BW LPG Limited	BWLPG.OL / BWLPG NO	25%	✓	0.3%
BW Offshore Limited	BWO.OL / BWO NO	25%	✓	0.3%
Borregaard ASA	BRGD.OL / BRG NO	25%	✓	0.3%
DNB ASA	DNB.OL / DNB NO	5%	✓	0.3%
DNO ASA	DNO.OL / DNO NO	10%	✓	0.3%
DOF ASA	DOF.OL / DOF NO	25%	✗	0.3%
Deep Sea Supply PLC	DESSC.OL / DESSC NO	25%	✗	0.3%
Det Norske Oljeselskap ASA	DETNOR.OL / DETNOR NO	25%	✗	0.3%
Dolphin Group ASA	DLPH.OL / DOLP NO	25%	✗	0.3%
Ekorne SAA	EKO.OL / EKO NO	25%	✓	0.3%
Electromagnetic Geoservice SAA	EMGS.OL / EMGS NO	25%	✗	0.3%
Entra ASA	ENTRA.OL / ENTRA NO	25%	✓	0.3%
Europri SAA	EPRI.OL / EPR NO	25%	✓	0.3%
Fred Olsen Energy ASA	FOE.OL / FOE NO	20%	✗	0.3%
Frontline Limited	FRO.OL / FRO NO	20%	✓	0.3%

Gaming Innovation Group Inc	GAMIG.OL / GIG NO	25%	✗	0.3%
Gjensidige Forsikring ASA	GJFS.OL / GJF NO	10%	✓	0.3%
Golden Ocean Group Limited	GOGLT.OL / GOGL NO	20%	✓	0.3%
Grieg Seafood ASA	GSFO.OL / GSF NO	25%	✗	0.3%
Hafslund ASA	HNB.OL / HNB NO	25%	✗	0.3%
Hexagon Composite SAA	HEX.OL / HEX NO	25%	✓	0.3%
Hoegh LNG Holdings Limited	HLNGH.OL / HLNG NO	25%	✓	0.3%
Iindex ASA	IDEX.OL / IDEX NO	25%	✓	0.3%
InterOil Exploration & Production ASA	IOX.OL / IOX NO	25%	✗	0.3%
Intex Resource SAA	ITXX.OL / ITX NO	25%	✗	0.3%
Jinhui Shipping & Transportation Limited	JINS.OL / JIN NO	25%	✗	0.3%
Kongsberg Automotive ASA	KOA.OL / KOA NO	20%	✗	0.3%
Kongsberg Gruppen ASA	KOG.OL / KOG NO	25%	✗	0.3%
Kvaerner ASA	KVAER.OL / KVAER NO	25%	✓	0.3%
Leroy Seafood Group Asa	LSG.OL / LSG NO	25%	✓	0.3%
Marine Harvest ASA	MHG.OL / MHG NO	10%	✓	0.3%
NEL ASA	NEL.OL / NEL NO	25%	✓	0.3%
NEXT Biometrics Group ASA	NEXT.OL / NEXT NO	25%	✓	0.3%
Nordic Nanovector ASA	NANOV.OL / NANO NO	25%	✗	0.3%
Nordic Semiconductor ASA	NOD.OL / NOD NO	25%	✓	0.3%
Norsk Hydro ASA	NHY.OL / NHY NO	5%	✓	0.3%
Norske Skogindustrier ASA	NSG.OL / NSG NO	25%	✗	0.3%
Norwegian Air Shuttle ASA	NWC.OL / NAS NO	10%	✓	0.3%
Norwegian Property ASA	NPRO.OL / NPRO NO	25%	✓	0.3%
Ocean Yield AS	OCY.OL / OCY NO	25%	✗	0.3%
Olav Thon Eiendomsselskap ASA	OLT.OL / OLT NO	25%	✗	0.3%
Opera Software ASA	OPERA.OL / OPERA NO	10%	✓	0.3%
Orkla ASA	ORK.OL / ORK NO	10%	✓	0.3%
P F Bakkafrøst	BAKKA.OL / BAKKA NO	10%	✓	0.3%
Petroleum Geo-Service SAA	PGS.OL / PGS NO	10%	✓	0.3%
Petrolia SE	PDR.OL / PDR NO	25%	✗	0.3%
Polarcus Limited	PLCS.OL / PLCS NO	25%	✗	0.3%
Prosafe SE	PRSO.OL / PRS NO	20%	✓	0.3%
Protector Forsikring ASA	PROTCT.OL / PROTCT NO	25%	✓	0.3%
Questerre Energy Corporation	QEC.OL / QEC NO	25%	✗	0.3%
REC Silicon ASA	REC.OL / REC NO	10%	✓	0.3%
RenoNorden ASA	RENO.OL / RENO NO	25%	✗	0.3%
Royal Caribbean Cruises Limited	RCL.OL / RCL NO	10%	✗	0.3%
SalMar ASA	SALM.OL / SALM NO	20%	✓	0.3%
Scatec Solar ASA	SSOL.OL / SSO NO	25%	✗	0.3%
Schibsted ASA	SBSTA.OL / SCHA NO	10%	✓	0.3%
SeaDrill Limited	SDRL.OL / SDRL NO	5%	✓	0.3%
Selvaag Bolig ASA	SBOO.OL / SBO NO	25%	✓	0.3%
Sevan Drilling Limited	SEVDRL.OL / SEVDR NO	25%	✗	0.3%
Sevan Marine ASA	SEVAN.OL / SEVAN NO	25%	✗	0.3%
Siem Offshore Inc	SIOFF.OL / SIOFF NO	25%	✗	0.3%
Skandiabanken ASA	SKBN.OL / SKBN NO	25%	✓	0.3%
Songa Offshore SE	SONG.OL / SONG NO	25%	✗	0.3%
SpareBank 1 SR-Bank	SRBANK.OL / SRBANK NO	25%	✓	0.3%
Statoil ASA	STL.OL / STL NO	5%	✓	0.3%
Stolt-Nielsen Limited	SNI.OL / SNI NO	25%	✓	0.3%
Storebrand ASA	STB.OL / STB NO	10%	✓	0.3%
Subsea 7 SA	SUBC.OL / SUBC NO	10%	✓	0.3%
TGS Nopec Geophysical Co. ASA	TGS.OL / TGS NO	10%	✓	0.3%

Tanker Investments Limited	TANIL.OL / TIL NO	25%	✗	0.3%
Telenor ASA	TEL.OL / TEL NO	5%	✓	0.3%
Thin Film Electronic SAA	THIN.OL / THIN NO	25%	✓	0.3%
Tomra System SAA	TOM.OL / TOM NO	25%	✓	0.3%
Veidekke ASA	VEI.OL / VEI NO	25%	✓	0.3%
Weifa ASA	WEIFA.OL / WEIFA NO	25%	✗	0.3%
Wentworth Resources Limited	WRLT.OL / WRL NO	25%	✗	0.3%
XXL ASA	XXLA.OL / XXL NO	25%	✓	0.3%
Yara International ASA	YAR.OL / YAR NO	5%	✓	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Important note: The column 'Can go short?' only indicates whether there are currently any factors (of which we are aware) prohibiting short positions on the share concerned. There is no guarantee that you will be able to go short of any share cited. In addition, there may be an extra charge (a borrowing charge) which will be included in the interest adjustment applied to your position. To determine whether a charge applies, call our dealers in advance of trading. The ability to borrow and the cost of borrowing stock can be changed at short notice.

If you cannot find the market you are looking for, please call our dealing desk (on +47 2240 0220) and we will normally be able to add it for you in a matter of minutes

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

PORTUGAL

Stock	Ticker	1.Margem	2.Posições curtas?*	3.Prémio de Risco Limitado
Altri S.G.P.S.S.A	ALSS.LS / ALTR PL	20%	✓	0.3%
Banco BPI SA	BBPI.LS / BPI PL	20%	✓	0.3%
Banco Comercial Portugues SA	BCP.LS / BCP PL	10%	✓	0.3%
Banco Santander SA	SANT.LS / SANT PL	10%	✗	0.3%
CIMPOR - Cimentos De Portugal SGPS SA	CPR.LS / CPR PL	25%	✗	0.3%
CTT - Correios De Portugal SA	CTT.LS / CTT PL	15%	✓	0.3%
Cofina SGPS SA	CFN.LS / CFN PL	25%	✗	0.3%
Corticeira Amorim SGPS SA	CORA.LS / COR PL	25%	✓	0.3%
EDP Renovaveis SA	EDPR.LS / EDPR PL	20%	✓	0.3%
EDP-Energias de Portugal SA	EDP.LS / EDP PL	10%	✓	0.3%
Galp Energia SGPS SA	GALP.LS / GALP PL	10%	✓	0.3%
Ibersol SGPS SA	IBS.LS / IBS PL	25%	✗	0.3%
Impresa-Sociedade Gestora de Participacoes Sociais SA	IMPA.LS / IPR PL	25%	✗	0.3%
Jeronimo Martins SGPS SA	JMT.LS / JMT PL	10%	✓	0.3%
Mota-Engil SGPS SA	MOTA.LS / EGL PL	20%	✓	0.3%
NOS SGPS SA	NOS.LS / NOS PL	15%	✓	0.3%
Novabase SGPS SA	NBA.LS / NBA PL	25%	✗	0.3%
Pharol SGPS SA	PHRA.LS / PHR PL	10%	✓	0.3%
Portucel SA	PTI.LS / PTI PL	25%	✓	0.3%
REN - Redes Energeticas Nacionais SGPS SA	RENE.LS / RENE PL	25%	✓	0.3%
SEMAPA - Sociedade de Investimento e Gestao SGPS SA	SEM.LS / SEM PL	25%	✓	0.3%
Sonae Capital S.g.p.s. SA	SONAC.LS / SONC PL	25%	✓	0.3%
Sonae Industria S.G.P.S. SA	SONI.LS / SONI PL	25%	✗	0.3%
Sonae SGPS SA	YSO.LS / SON PL	15%	✓	0.3%
Sonaecom S.G.P.S. SA	SNC.LS / SNC PL	20%	✓	0.3%
Teixeira Duarte SA	TDSA.LS / TDSA PL	25%	✗	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Nota importante: A Coluna "Posições curtas?" só indica se actualmente há algum factor (que tenhamos conhecimento) que proíba as posições curtas na acção específica. Não há qualquer garantia que poderá abrir posições curtas na acção específica. Adicionalmente, poderá ser cobrada uma taxa extra (de

empréstimo) que será incluída nos ajustes de juros aplicados à sua posição. De modo a determinar se será aplicada alguma taxa adicional, por favor contacte com a mesa de dealing. A possibilidade de empréstimo de ações bem como o seu custo pode ser cobrada com pouco aviso prévio.

Se você não consegue encontrar o mercado que está a procurar, por favor ligue para a nossa mesa de negociação (em +351 800 814 662) e que normalmente vai poder de adicioná-lo para em questão de minutos

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SINGAPORE

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
AIMS AMP Capital Industrial REIT	AART.SI / AAREIT SP	25%	✓	0.3%
ARA Asset Management Limited	ARAM.SI / ARA SP	25%	✓	0.3%
Accordia Golf Trust	ACCO.SI / AGT SP	25%	✗	0.3%
Ascendas Hospitality Trust	ASHP.SI / ASCHT SP	20%	✓	0.3%
Ascendas India Trust	AIN.T.SI / AIT SP	25%	✓	0.3%
Ascendas Real Estate Investment Trust	AEMN.SI / AREIT SP	10%	✓	0.3%
Ascott Residence Trust	ASRT.SI / ART SP	25%	✗	0.3%
Asian Pay Television Trust	ASIA.SI / APTT SP	20%	✓	0.3%
AusGroup Limited	AUSG.SI / AUSG SP	25%	✗	0.3%
Biosensors International Group Limited	BIOS.SI / BIG SP	20%	✗	0.3%
Boustead Projects Limited	BOUS.SI / BOCJ SP	25%	✗	0.3%
Boustead Singapore Limited	BTSS.SI / BOCS SP	25%	✓	0.3%
BreadTalk Group Limited	BRET.SI / BREAD SP	25%	✗	0.3%
CDL Hospitality Trusts	CDLT.SI / CDREIT SP	25%	✓	0.3%
CH Offshore Limited	CHOF.SI / CHO SP	25%	✗	0.3%
CITIC Envirotech Limited	CITI.SI / CEL SP	20%	✗	0.3%
COSCO Corporation (Singapore) Limited	COSC.SI / COS SP	25%	✗	0.3%
CSE Global Limited	CSES.SI / CSE SP	25%	✓	0.3%
CWT Limited	CWTD.SI / CWT SP	25%	✓	0.3%
Cache Logistics Trust	CALT.SI / CACHE SP	25%	✓	0.3%
Cambridge Industrial Trust	CMIT.SI / CREIT SP	25%	✓	0.3%
CapitaLand Commercial Trust	CACT.SI / CCT SP	10%	✓	0.3%
CapitaLand Limited	CATL.SI / CAPL SP	10%	✓	0.3%
CapitaLand Mall Trust	CMLT.SI / CT SP	10%	✓	0.3%
CapitaLand Retail China Trust	CRCT.SI / CRCT SP	25%	✓	0.3%
Charisma Energy Services Ltd	CHAR.SI / CHEN SP	25%	✗	0.3%
China Aviation Oil (Singapore) Corporation Limited	CNAO.SI / CAO SP	25%	✗	0.3%
China Environment Limited	CHEN.SI / CENV SP	20%	✗	0.3%
China Everbright Water Limited	CEWL.SI / CEWL SP	20%	✗	0.3%
China Merchants Holdings (Pacific) Limited	CAEP.SI / CMH SP	25%	✗	0.3%
Chip Eng Seng Corporation Limited	CESE.SI / CHIP SP	25%	✓	0.3%
City Developments Limited	CTDM.SI / CIT SP	10%	✓	0.3%
ComfortDelGro Corporation Limited	CMDG.SI / CD SP	10%	✓	0.3%
Cordlife Group Limited	CORD.SI / CLGL SP	20%	✗	0.3%
Croesus Retail Trust	CROE.SI / CRT SP	25%	✗	0.3%
DBS Group Holdings Limited	DBSM.SI / DBS SP	10%	✓	0.3%
Del Monte Pacific Limited	DMPL.SI / DELM SP	25%	✗	0.3%
Eu Yan Sang International Limited	EYSI.SI / EYSAN SP	25%	✗	0.3%
Ezion Holdings Limited	EZHL.SI / EZI SP	20%	✗	0.3%
Ezra Holdings Limited	EZRA.SI / EZRA SP	15%	✗	0.3%
Falcon Energy Group Limited	FEGL.SI / FALE SP	25%	✗	0.3%
Far East Hospitality Trust	FAEH.SI / FEHT SP	25%	✓	0.3%
Far East Orchard Limited	FARE.SI / FEOR SP	25%	✓	0.3%
First Real Estate Investment Trust	FRET.SI / FIRT SP	25%	✓	0.3%
First Resources Limited	FRLD.SI / FR SP	20%	✓	0.3%

Fortune Real Estate Investment Trust	FORT.SI / FRT SP	25%	✗	0.3%
Fraser and Neave Limited	FRNM.SI / FNN SP	20%	✗	0.3%
Frasers Centrepoint Limited	FRCT.SI / FCL SP	20%	✓	0.3%
Frasers Centrepoint Trust	FCRT.SI / FCT SP	25%	✓	0.3%
Frasers Commercial Trust	FRCR.SI / FCOT SP	25%	✓	0.3%
Fu Yu Corporation Limited	FUYU.SI / FUYU SP	25%	✗	0.3%
GL Limited	GLLI.SI / GLL SP	25%	✓	0.3%
GMG Global Limited	GMGG.SI / GGL SP	25%	✗	0.3%
Gallant Venture Limited	GLVT.SI / GALV SP	25%	✗	0.3%
Genting Hong Kong Limited	GENH.SI / GENHK SP	20%	✓	0.3%
Genting Singapore PLC	GENS.SI / GENS SP	10%	✓	0.3%
Geo Energy Resources Limited	GEOE.SI / GERL SP	20%	✗	0.3%
Global Logistic Properties Limited	GLPL.SI / GLP SP	10%	✓	0.3%
Golden Agri-Resources Limited	GAGR.SI / GGR SP	10%	✓	0.3%
Great Eastern Holdings Limited	GELA.SI / GE SP	25%	✓	0.3%
GuocoLand Limited	GUOC.SI / GUOL SP	25%	✓	0.3%
Haw Par Corporation Limited	HPAR.SI / HPAR SP	25%	✗	0.3%
Hi-P International Limited	HIPI.SI / HIP SP	25%	✗	0.3%
Ho Bee Land Limited	HBEE.SI / HOBEE SP	25%	✓	0.3%
Hong Fok Corporation Limited	HONG.SI / HFC SP	25%	✓	0.3%
Hong Leong Finance Limited	HLSF.SI / HLF SP	25%	✓	0.3%
Hongkong Land Holdings Limited	HKLD.SI / HKL SP	10%	✓	0.3%
Hotel Properties Limited	HPPS.SI / HPL SP	25%	✗	0.3%
Hutchison Port Holdings Trust	HPHT.SI / HPHT SP	10%	✓	0.3%
Hyflux Limited	HYFL.SI / HYF SP	25%	✓	0.3%
IHH Healthcare Berhad	IHHH.SI / IHH SP	25%	✗	0.3%
Indofood Agri Resources Limited	IFAR.SI / IFAR SP	25%	✓	0.3%
Japfa Limited	JAPF.SI / JAP SP	25%	✗	0.3%
Jardine Cycle & Carriage Limited	JCYC.SI / JCNC SP	10%	✓	0.3%
Jardine Matheson Holdings Limited	JARD.SI / JM SP	10%	✓	0.3%
Jardine Strategic Holdings Limited	JSH.SI / JS SP	15%	✓	0.3%
Jiutian Chemical Group Limited	JUTN.SI / JIUC SP	25%	✗	0.3%
K1 Ventures Limited	KMAR.SI / KONE SP	25%	✗	0.3%
Keppel Corporation Limited	KPLM.SI / KEP SP	10%	✓	0.3%
Keppel Infrastructure Trust	KEPL.SI / KIT SP	25%	✓	0.3%
Keppel REIT	KASA.SI / KREIT SP	15%	✓	0.3%
Keppel Telecommunications & Transportation Limited	KTEL.SI / KPTT SP	25%	✓	0.3%
KrisEnergy Limited	KRIS.SI / KRIS SP	25%	✗	0.3%
Lian Beng Group Limited	LIBG.SI / LBG SP	25%	✓	0.3%
Linc Energy Limited	LINC.SI / LNC SP	20%	✗	0.3%
Lippo Malls Indonesia Retail Trust	LMRT.SI / LMRT SP	25%	✓	0.3%
Low Keng Huat (Singapore) Limited	LKH.SI / LKH SP	25%	✗	0.3%
M1 Limited	MONE.SI / M1 SP	20%	✓	0.3%
MMP Resources Limited	SICL.SI / MMP SP	25%	✗	0.3%
Mandarin Oriental International Limited	MOIL.SI / MAND SP	25%	✗	0.3%
Mapletree Commercial Trust	MACT.SI / MCT SP	20%	✓	0.3%
Mapletree Greater China Commercial Trust	MAPE.SI / MAGIC SP	20%	✓	0.3%
Mapletree Industrial Trust	MAPI.SI / MIINT SP	20%	✓	0.3%
Mapletree Logistics Trust	MAPL.SI / MLT SP	20%	✓	0.3%
Metro Holdings Limited	MTHL.SI / METRO SP	25%	✓	0.3%
Midas Holdings Limited	MIDA.SI / MIDAS SP	20%	✓	0.3%
Nam Cheong Limited	NMCG.SI / NCL SP	25%	✗	0.3%
Neptune Orient Lines Limited	NEPS.SI / NOL SP	20%	✗	0.3%
Noble Group Limited	NOBG.SI / NOBL SP	25%	✗	0.3%

OKH Global Limited	OKHG.SI / OKH SP	20%	✗	0.3%
OSIM International Limited	OSIL.SI / OSIM SP	20%	✗	0.3%
OUE Commercial Real Estate Investment Trust	OUEC.SI / OUECT SP	25%	✗	0.3%
OUE Limited	OVES.SI / OUE SP	25%	✓	0.3%
Olam International Limited	OLAM.SI / OLAM SP	10%	✓	0.3%
Otto Marine Limited	OTTO.SI / OTML SP	20%	✗	0.3%
Oversea-Chinese Banking Corporation Limited	OCBC.SI / OCBC SP	10%	✓	0.3%
PACC Offshore Services Holdings Limited	PACC.SI / POSH SP	10%	✗	0.3%
Pacific Radiance Limited	PACI.SI / PACRA SP	25%	✗	0.3%
Pan-United Corporation Limited	PANU.SI / PAN SP	25%	✗	0.3%
Parkway Life Real Estate Investment Trust	PWLR.SI / PREIT SP	25%	✓	0.3%
Penguin International Limited	PGUN.SI / PBS SP	25%	✗	0.3%
Perennial Real Estate Holdings Limited	PERE.SI / PREH SP	25%	✗	0.3%
Petra Foods Limited	PEFO.SI / PETRA SP	25%	✓	0.3%
Q & M Dental Group (Singapore) Limited	QMDT.SI / QNM SP	25%	✗	0.3%
QT Vascular Ltd	QTVA.SI / QTVC SP	20%	✗	0.3%
RH PetroGas Limited	RHPG.SI / RHP SP	25%	✗	0.3%
Raffles Education Corporation Limited	RLSE.SI / RLS SP	25%	✓	0.3%
Raffles Medical Group Limited	RAFG.SI / RFMD SP	25%	✓	0.3%
Religare Health Trust	RELI.SI / RHT SP	25%	✓	0.3%
Rex International Holding Ltd	REXI.SI / REXI SP	25%	✗	0.3%
Rickmers Maritime	RIMT.SI / RMT SP	25%	✗	0.3%
Riverstone Holdings Limited	RVHL.SI / RSTON SP	25%	✗	0.3%
Rotary Engineering Limited	ROTE.SI / RTRY SP	25%	✗	0.3%
Rowsley Limited	ROWS.SI / ROWS SP	25%	✗	0.3%
SATS Limited	SATS.SI / SATS SP	20%	✓	0.3%
SBS Transit Limited	SBVV.SI / SBUS SP	25%	✗	0.3%
SIA Engineering Company Limited	SIAE.SI / SIE SP	25%	✓	0.3%
SIIC Environment Holdings Limited	SIIC.SI / SIIC SP	20%	✗	0.3%
SMRT Corporation Limited	SMRT.SI / MRT SP	20%	✗	0.3%
SPDR(r) Gold Sh AE	SGLD.SI / GLD SP	20%	✗	0.3%
SPDR(r) Straits Times Index ETF	STTF.SI / STTF SP	20%	✗	0.3%
Sabana Shari'ah Compliant Industrial Real Estate Investment Trust	SABA.SI / SSREIT SP	25%	☒	0.3%
Saizen Real Estate Investment Trust	SZNR.SI / SZREIT SP	25%	✗	0.3%
Sarine Technologies Limited	SARI.SI / SARINE SP	25%	✓	0.3%
Sembcorp Industries Limited	SCIL.SI / SCI SP	10%	✓	0.3%
Sembcorp Marine Limited	SCMN.SI / SMM SP	10%	✗	0.3%
Sheng Siong Group Limited	SHEN.SI / SSG SP	25%	✗	0.3%
Silverlake Axis Limited	SLVX.SI / SILV SP	20%	✗	0.3%
Sinarmas Land Limited	SINA.SI / SML SP	25%	✓	0.3%
Sing Holdings Limited	SHDS.SI / SING SP	25%	✗	0.3%
Singapore Airlines Limited	SIAL.SI / SIA SP	10%	✓	0.3%
Singapore Exchange Limited	SGXL.SI / SGX SP	10%	✓	0.3%
Singapore Post Limited	SPOS.SI / SPOST SP	20%	✓	0.3%
Singapore Press Holdings Limited	SPRM.SI / SPH SP	10%	✓	0.3%
Singapore Technologies Engineering Limited	STEG.SI / STE SP	10%	✗	0.3%
Singapore Telecommunications Limited	STEL.SI / ST SP	10%	✓	0.3%
Sino Grandness Food Industry Group Limited	SOGF.SI / SFGI SP	20%	✗	0.3%
Soilbuild Business Space REIT	SBSR.SI / SBREIT SP	20%	✓	0.3%
Stamford Land Corporation Limited	SFLD.SI / STL SP	25%	✓	0.3%
StarHub Limited	STAR.SI / STH SP	10%	✓	0.3%
Starhill Global Real Estate Investment Trust	STHL.SI / SGREIT SP	25%	✓	0.3%
Sunningdale Tech Limited	SUND.SI / SUNN SP	25%	✗	0.3%

Suntec Real Estate Investment Trust	SUNT.SI / SUN SP	10%	✓	0.3%
Super Group Limited	SPGP.SI / SUPER SP	20%	✓	0.3%
Swiber Holdings Limited	SWBR.SI / SWIB SP	25%	✗	0.3%
Swissco Holdings Limited	SWCO.SI / SWCH SP	25%	✗	0.3%
Tan Chong International Limited	TCIL.SI / TCI SP	25%	✗	0.3%
Tat Hong Holdings Limited	TAT.SI / TAT SP	25%	✓	0.3%
Thai Beverage Public Company Limited	TBEV.SI / THBEV SP	10%	✓	0.3%
The Stratch Group Limited	STRT.SI / STGL SP	25%	✗	0.3%
Trek 2000 International Limited	TRKL.SI / TREK SP	25%	✗	0.3%
Triyards Holdings Limited	TRIY.SI / ETL SP	25%	✗	0.3%
Tuan Sing Holdings Limited	TSHS.SI / TSH SP	25%	✓	0.3%
UMS Holdings Limited	UMSH.SI / UMSH SP	25%	✗	0.3%
UOB-Kay Hian Holdings Limited	UOKH.SI / UOBK SP	25%	✓	0.3%
UOL Group Limited	UTOS.SI / UOL SP	10%	✓	0.3%
United Engineers Limited	UTES.SI / UEM SP	25%	✓	0.3%
United Industrial Corporation Limited	UICS.SI / UIC SP	25%	✓	0.3%
United Overseas Bank Limited	UOBH.SI / UOB SP	10%	✓	0.3%
United SSE 50 China ETF	USCH.SI / USSE50 SP	10%	✗	0.3%
Vallianz Holdings Ltd	VHLD.SI / VALZ SP	25%	✗	0.3%
Vard Holdings Limited	VARD.SI / VARD SP	20%	✗	0.3%
Venture Corporation Limited	VENM.SI / VMS SP	20%	✓	0.3%
Vibrant Group Limited	VIBR.SI / VIBG SP	25%	✗	0.3%
Wee Hur Holdings Limited	WHHL.SI / WHUR SP	25%	✗	0.3%
Wheelock Properties (Singapore) Limited	WPSL.SI / WP SP	25%	✓	0.3%
Wilmar International Limited	WLIL.SI / WIL SP	10%	✓	0.3%
Wing Tai Holdings Limited	WTHS.SI / WINGT SP	20%	✓	0.3%
Yangzijiang Shipbuilding (Holdings) Limited	YAZG.SI / YZJSGD SP	10%	✓	0.3%
Yanlord Land Group Limited	YNLG.SI / YLLG SP	20%	✗	0.3%
Ying Li International Real Estate Limited	YING.SI / YINGLI SP	25%	✗	0.3%
Yoma Strategic Holdings Limited	YOMA.SI / YOMA SP	20%	✗	0.3%
Yongnam Holdings Limited	YNAM.SI / YNH SP	25%	✗	0.3%
Yuuzoo Corporation Limited	YUUZ.SI / YUZ SP	25%	✗	0.3%
iFAST Corporation Limited	IFAS.SI / IFAST SP	25%	✗	0.3%
iShares MSCI India Index ETF	INDI.SI / INDIA SP	20%	✗	0.3%
mm2 Asia Ltd	MM2A.SI / MM2 SP	25%	✗	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Important note: The column 'Can go short?' only indicates whether there are currently any factors (of which we are aware) prohibiting short positions on the share concerned. There is no guarantee that you will be able to go short of any share cited. In addition, there may be an extra charge (a borrowing charge) which will be included in the interest adjustment applied to your position. To determine whether a charge applies, call our dealers in advance of trading. The ability to borrow and the cost of borrowing stock can be changed at short notice.

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† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

SOUTH AFRICA

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
ADvTECH Limited	ADHJ.J / ADH SJ	25%	✓	1%
AECI Limited	AFEJ.J / AFE SJ	20%	✓	1%
Adcock Ingram Holdings Limited	AIPJ.J / AIP SJ	15%	✓	1%
Adcorp Holdings Limited	ADRJ.J / ADR SJ	25%	✓	1%
AfricaGold ETF	ETFGLDJ.J / ETFGLD SJ	25%	✓	1%

African Oxygen Limited	AFXJ.J / AFX SJ	25%	✓	1%
African Rainbow Minerals Limited	ARIJ.J / ARI SJ	10%	✓	1%
Alexander Forbes Group Holdings Limited	AFHJ.J / AFH SJ	15%	✓	1%
Allied Electronics Corporation Limited	AELJ.J / AEL SJ	25%	✓	1%
Allied Electronics Corporation Limited	AENJ.J / AEN SJ	25%	✓	1%
Anglo American PLC	AGLJ.J / AGL SJ	5%	✓	1%
Anglo American Platinum Limited	AMSJ.J / AMS SJ	10%	✓	1%
AngloGold Ashanti Limited	ANGJ.J / ANG SJ	5%	✓	1%
ArcelorMittal South Africa Limited	ACLJ.J / ACL SJ	25%	✓	1%
Argent Industrial Limited	ARTJ.J / ART SJ	25%	✓	1%
Aspen Pharmacare Holdings Limited	APNJ.J / APN SJ	5%	✓	1%
Assore Limited	ASRJ.J / ASR SJ	20%	✓	1%
Astral Foods Limited	ARLJ.J / ARL SJ	25%	✓	1%
Astrapak Limited	APKJ.J / APK SJ	25%	✓	1%
Attacq Limited	ATTJ.J / ATT SJ	15%	✓	1%
Aveng Limited	AEGJ.J / AEG SJ	20%	✓	1%
Avi Limited	AVIJ.J / AVI SJ	20%	✓	1%
BHP Billiton PLC	BILJ.J / BIL SJ	5%	✓	1%
Barclays Africa Group Limited	BGAJ.J / BGA SJ	10%	✓	1%
Barloworld Limited	BAWJ.J / BAW SJ	15%	✓	1%
Basil Read Holding Limited	BSRJ.J / BSR SJ	25%	✓	1%
Blue Label Telecoms Limited	BLUJ.J / BLU SJ	25%	✓	1%
Brait S.E.	BATJ.J / BAT SJ	20%	✓	1%
Calgro M3 Holdings Limited	CGRJ.J / CGR SJ	25%	✓	1%
Capitec Bank Holdings Limited	CPIJ.J / CPI SJ	20%	✓	1%
Cashbuild Limited	CSBJ.J / CSB SJ	25%	✓	1%
Choppies Enterprises Limited	CHPJ.J / CHP SJ	25%	✓	1%
City Lodge Hotels Limited	CLHJ.J / CLH SJ	25%	✓	1%
Clicks Group Limited	CLSJ.J / CLS SJ	15%	✓	1%
Clover Industries Limited	CLRJ.J / CLR SJ	25%	✓	1%
Coal of Africa Limited	CZAJ.J / CZA SJ	25%	✓	1%
Comair Limited	COMJ.J / COM SJ	25%	✓	1%
Compagnie Financiere Richemont SA	CFRJ.J / CFR SJ	5%	✓	1%
Consolidated Infrastructure Group Limited	CILJ.J / CIL SJ	25%	✓	1%
Coronation Fund Managers Limited	CMLJ.J / CML SJ	15%	✓	1%
Curro Holdings Limited	COHJ.J / COH SJ	25%	✓	1%
DRDGOLD Limited	DRDJ.J / DRD SJ	25%	✓	1%
Datatec Limited	DTCJ.J / DTC SJ	25%	✓	1%
Delta Property Fund Limited	DLTJ.J / DLT SJ	25%	✓	1%
Discovery Limited	DSYJ.J / DSY SJ	10%	✓	1%
Distell Group Limited	DSTJ.J / DST SJ	20%	✓	1%
EOH Holdings Limited	EOHJ.J / EOH SJ	25%	✓	1%
Ellies Holdings Limited	ELIJ.J / ELI SJ	25%	✓	1%
Emira Property Fund Limited	EMIJ.J / EMI SJ	25%	✓	1%
Eqstra Holdings Limited	EQSJ.J / EQS SJ	25%	✓	1%
Exxaro Resources Limited	EXXJ.J / EXX SJ	10%	✓	1%
Famous Brands Limited	FBRJ.J / FBR SJ	25%	✓	1%
FirstRand Limited	FSRJ.J / FSR SJ	5%	✓	1%
Fortress Income Fund Limited	FFBJ.J / FFB SJ	25%	✓	1%
Fortress Income Fund Limited	FFAJ.J / FFA SJ	25%	✓	1%
Gold Fields Limited	GFIJ.J / GFI SJ	10%	✓	1%
Grand Parade Investments Limited	GPLJ.J / GPL SJ	25%	✓	1%
Grindrod Limited	GNDJ.J / GND SJ	20%	✓	1%
Group Five Limited	GRFJ.J / GRF SJ	25%	✓	1%

Growthpoint Properties Limited	GRTJ.J / GRT SJ	10%	✓	1%
Harmony Gold Mining Company Limited	HARJ.J / HAR SJ	15%	✓	1%
Hosken Consolidated Investments Limited	HCIJ.J / HCI SJ	25%	✓	1%
Hospitality Property Fund Limited	HPAJ.J / HPA SJ	25%	✓	1%
Hulamin Limited	HLMJ.J / HLM SJ	25%	✓	1%
Hyprop Investments Limited	HYPJ.J / HYP SJ	20%	✓	1%
Illovo Sugar Limited	ILVJ.J / ILV SJ	25%	✓	1%
Impala Platinum Holdings Limited	IMPJ.J / IMP SJ	10%	✓	1%
Imperial Holdings Limited	IPLJ.J / IPL SJ	10%	✓	1%
Investec Limited	INLJ.J / INL SJ	10%	✓	1%
Investec PLC	INPJ.J / INP SJ	10%	✓	1%
Investec Property Fund Limited	IPFJ.J / IPF SJ	25%	✓	1%
Invicta Holdings Limited	IVTJ.J / IVT SJ	25%	✓	1%
JSE Limited	JSEJ.J / JSE SJ	25%	✓	1%
KAP Industrial Holdings Limited	KAPJ.J / KAP SJ	25%	✓	1%
Keaton Energy Holdings Limited	KEHJ.J / KEH SJ	25%	✓	1%
Kumba Iron Ore Limited	KIOJ.J / KIO SJ	10%	✓	1%
Lewis Group Limited	LEWJ.J / LEW SJ	20%	✓	1%
Liberty Holdings Limited	LBHJ.J / LBH SJ	20%	✓	1%
Life Healthcare Group Holdings Limited	LHCJ.J / LHC SJ	10%	✓	1%
Lonmin PLC	LONJ.J / LON SJ	15%	✓	1%
MMI Holdings Limited	MMIJ.J / MMI SJ	15%	✓	1%
MTN Group Limited	MTNJ.J / MTN SJ	5%	✓	1%
Massmart Holdings Limited	MSMJ.J / MSM SJ	15%	✓	1%
Mediclinic International Limited	MDCJ.J / MDC SJ	10%	✓	1%
Merafe Resources Limited	MRFJ.J / MRF SJ	25%	✓	1%
Mondi Limited	MNDJ.J / MND SJ	10%	✓	1%
Mpact Limited	MPTJ.J / MPT SJ	25%	✓	1%
Mr Price Group Limited	MRPJ.J / MRP SJ	10%	✓	1%
Murray & Roberts Holdings Limited	MURJ.J / MUR SJ	20%	✓	1%
Nampak Limited	NPKJ.J / NPK SJ	15%	✓	1%
Naspers Limited	NPNJ.J / NPN SJ	5%	✓	1%
Nedbank Group Limited	NEDJ.J / NED SJ	10%	✓	1%
Netcare Limited	NTCJ.J / NTC SJ	15%	✓	1%
New Europe Property Investments PLC	NEPJ.J / NEP SJ	25%	✓	1%
NewGold Debentures	GLDJ.J / GLD SJ	10%	✓	1%
NewGold Platinum ETF	NGPLTJ.J / NGPLT SJ	10%	✓	1%
Northam Platinum Limited	NHMJ.J / NHM SJ	20%	✓	1%
Oceana Group Limited	OCEJ.J / OCE SJ	25%	✓	1%
Octodec Investments Limited	OCTJ.J / OCT SJ	25%	✓	1%
Old Mutual PLC	OMLJ.J / OML SJ	10%	✓	1%
Omnia Holdings Limited	OMNJ.J / OMN SJ	20%	✓	1%
PPC Limited	PPCJ.J / PPC SJ	20%	✓	1%
PSG Group Limited	PSGJ.J / PSG SJ	25%	✓	1%
Pallinghurst Resources Limited	PGLJ.J / PGL SJ	10%	✓	1%
Pan African Resources PLC	PANJ.J / PAN SJ	25%	✓	1%
Peregrine Holdings Limited	PGRJ.J / PGR SJ	25%	✓	1%
Petmin Limited	PETJ.J / PET SJ	25%	✓	1%
Phumelela Gaming & Leisure Limited	PHMJ.J / PHM SJ	25%	✓	1%
Pick n Pay Holdings Limited	PWKJ.J / PWK SJ	25%	✓	1%
Pick n Pay Stores Limited	PIKJ.J / PIK SJ	20%	✓	1%
Pinnacle Holdings Limited	PNCJ.J / PNC SJ	25%	✓	1%
Pioneer Food Group Limited	PFGJ.J / PFG SJ	20%	✓	1%
Quantum Foods Holdings Limited	QFHJ.J / QFH SJ	25%	✓	1%

RCL Foods Limited	RCLJ.J / RCL SJ	25%	✓	1%
RMB Holdings Limited	RMHJ.J / RMH SJ	10%	✓	1%
Rand Merchant Insurance Holdings Limited	RMIJ.J / RMI SJ	20%	✓	1%
Raubex Group Limited	RBXJ.J / RBX SJ	25%	✓	1%
Redefine International PLC	RPLJ.J / RPL SJ	25%	✓	1%
Redefine Properties Limited	RDFJ.J / RDF SJ	15%	✓	1%
Reinet Investments S.C.A.	REIJ.J / REI SJ	10%	✓	1%
Remgro Limited	REMJ.J / REM SJ	10%	✓	1%
Resilient Property Income Fund Limited	RESJ.J / RES SJ	25%	✓	1%
Reunert Limited	RLOJ.J / RLO SJ	20%	✓	1%
Rhodes Food Group Holdings Limited	RFGJ.J / RFG SJ	25%	✓	1%
Rockcastle Global Real Estate Company Limited	ROCJ.J / ROC SJ	15%	✓	1%
SA Corporate Real Estate Limited	SACJ.J / SAC SJ	25%	✓	1%
SABMiller PLC	SABJ.J / SAB SJ	5%	✓	1%
Sanlam Limited	SLMJ.J / SLM SJ	10%	✓	1%
Santam Limited	SNTJ.J / SNT SJ	25%	✓	1%
Sappi Limited	SAPJ.J / SAP SJ	15%	✓	1%
Sasol Limited	SOLJ.J / SOL SJ	5%	✓	1%
Satrix 40	STX40J.J / STX40 SJ	5%	✓	1%
Satrix Fini	STXFINJ.J / STXFIN SJ	10%	✓	1%
Satrix Indi	STXINDJ.J / STXIND SJ	10%	✓	1%
Shoprite Holdings Limited	SHPJ.J / SHP SJ	10%	✓	1%
Sibanye Gold Limited	SGLJ.J / SGL SJ	15%	✓	1%
Sirius Real Estate Limited	SREJ.J / SRE SJ	25%	✓	1%
Spur Corporation Limited	SURJ.J / SUR SJ	25%	✓	1%
Standard Bank Group Limited	SBKJ.J / SBK SJ	5%	✓	1%
Stefanutti Stocks Holdings Limited	SSKJ.J / SSK SJ	25%	✓	1%
Steinhoff International Holdings N.V.	SNHJ.J / SHF SJ	5%	✓	1%
Sun International Limited	SUIJ.J / SUI SJ	25%	✓	1%
Super Group Limited	SPGJ.J / SPG SJ	25%	✓	1%
Telkom SA SOC Limited	TKGJ.J / TKG SJ	20%	✓	1%
The Bidvest Group Limited	BVTJ.J / BVT SJ	10%	✓	1%
The Foschini Group Limited	TFGJ.J / TFG SJ	10%	✓	1%
The SPAR Group Limited	SPPJ.J / SPP SJ	15%	✓	1%
Tiger Brands Limited	TBSJ.J / TBS SJ	10%	✓	1%
Tongaat Hulett Limited	TONJ.J / TON SJ	20%	✓	1%
Trencor Limited	TREJ.J / TRE SJ	25%	✓	1%
Truworths International Limited	TRUJ.J / TRU SJ	10%	✓	1%
Tsogo Sun Holdings Limited	TSHJ.J / TSH SJ	25%	✓	1%
Vodacom Group Limited	VODJ.J / VOD SJ	10%	✓	1%
Vukile Property Fund Limited	VKEJ.J / VKE SJ	25%	✓	1%
Wesizwe Platinum Limited	WEZJ.J / WEZ SJ	25%	✓	1%
Wilson Bayly Holmes-Ovcon Limited	WBOJ.J / WBO SJ	25%	✓	1%
Woolworths Holdings Limited	WHLJ.J / WHL SJ	10%	✓	1%
Zeder Investments Limited	ZEDJ.J / ZED SJ	25%	✓	1%
db x-trackers Euro STOXX 50 (South Africa)	DBXEJ.J / DBXEU SJ	10%	✓	1%
intu properties PLC	ITUJ.J / ITU SJ	10%	✓	1%

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SPAIN

Stock	Ticker	1.Nuevo tipo de margen	2.¿Posición corta?*	3.Prima de riesgo limitado
Abengoa SA	ABG.MC / ABG SM	20%	✗	0.3%
Abengoa SA	ABGek.MC / ABG/P SM	10%	✓	0.3%
Abertis Infraestructuras SA	ABE.MC / ABE SM	5%	✓	0.3%
Acciona SA	ANA.MC / ANA SM	10%	✓	0.3%
Acerinox SA	ACX.MC / ACX SM	10%	✓	0.3%
Actividades de Construccion y Servicios SA	ACS.MC / ACS SM	5%	✓	0.3%
Adveo Group International SA	ADVGR.MC / ADV SM	25%	✗	0.3%
Almirall SA	ALM.MC / ALM SM	15%	✓	0.3%
Amadeus IT Holding SA	AMA.MC / AMS SM	5%	✓	0.3%
Amper SA	APE.MC / AMP SM	25%	✓	0.3%
Applus Services SA	APPS.MC / APPS SM	10%	✓	0.3%
ArcelorMittal	MTS.MC / MTS SM	10%	✓	0.3%
Atresmedia Corporacion de Medios de Comunicacion SA	A3M.MC / A3M SM	10%	✓	0.3%
Azkoyen SA	AZK.MC / AZK SM	25%	✗	0.3%
Banco Bilbao Vizcaya Argentaria SA	BBVA.MC / BBVA SM	5%	✓	0.3%
Banco Popular Espanol SA	POP.MC / POP SM	5%	✓	0.3%
Banco Santander SA	SAN.MC / SAN SM	5%	✓	0.3%
Banco de Sabadell SA	SABE.MC / SAB SM	5%	✓	0.3%
Bankia SA	BKIA.MC / BKIA SM	20%	✓	0.3%
Bankinter SA	BKT.MC / BKT SM	5%	✓	0.3%
Baron de Ley SA	BDL.MC / BDL SM	25%	✗	0.3%
Bolsas y Mercados Espanoles Sociedad Holding de Mercados y Sistemas Financieros SA	BME.MC / BME SM	10%	✓	0.3%
CaixaBank SA	CABK.MC / CABK SM	5%	✓	0.3%
Cellnex Telecom SA	CLNX.MC / CLNX SM	25%	✓	0.3%
Cementos Portland Valderrivas SA	CPLN.MC / CPL SM	25%	✓	0.3%
Cie Automotive SA	CIEA.MC / CIE SM	20%	✓	0.3%
Codere SA	CDRE.MC / CDR SM	25%	✗	0.3%
Compania de Distribucion Integral Logista Holdings SA	LOG.MC / LOG SM	25%	✓	0.3%
Construcciones y Auxiliar de Ferrocarriles SA	CAF.MC / CAF SM	20%	✓	0.3%
Corporacion Empresarial de Materiales de Construccion SA	CCMC.MC / CMC SM	25%	✗	0.3%
Corporacion Financiera Alba SA	ALB.MC / ALB SM	20%	✓	0.3%
Deoleo SA	OLEO.MC / OLE SM	20%	✓	0.3%
Distribuidora Internacional de Alimentacion SA	DIDA.MC / DIA SM	10%	✓	0.3%
Duro Felguera SA	MDF.MC / MDF SM	15%	✓	0.3%
ENCE Energia y Celulosa SA	ENC.MC / ENC SM	20%	✓	0.3%
Ebro Foods SA	EBRO.MC / EBRO SM	10%	✓	0.3%
Elecnor SA	ENOR.MC / ENO SM	25%	✓	0.3%
Enagas SA	ENAG.MC / ENG SM	5%	✓	0.3%
Endesa SA	ELE.MC / ELE SM	10%	✓	0.3%
Ercros SA	ECR.MC / ECR SM	25%	✗	0.3%
Eurona Wireless Telecom SA	EUWT.MC / EWT SM	25%	✗	0.3%
Euskaltel SA	EKTL.MC / EKT SM	25%	✓	0.3%
Faes Farma SA	FAE.MC / FAE SM	25%	✓	0.3%
Ferrovial SA	FER.MC / FER SM	5%	✓	0.3%
Fersa Energias Renovables SA	FRS.MC / FRS SM	25%	✗	0.3%

Fluidra SA	FLUI.MC / FDR SM	25%	✗	0.3%
Fomento de Construcciones y Contratas SA	FCC.MC / FCC SM	10%	✓	0.3%
Gamesa Corporacion Tecnologica SA	GAM.MC / GAM SM	10%	✓	0.3%
Gas Natural SDG SA	GAS.MC / GAS SM	5%	✓	0.3%
Grifols SA	GRLS.MC / GRF SM	5%	✓	0.3%
Grifols SA	GRLSbn.MC / GRF/P SM	15%	✓	0.3%
Grupo Catalana Occidente SA	GCO.MC / GCO SM	15%	✓	0.3%
Grupo Ezentis SA	EZEN.MC / EZE SM	20%	✓	0.3%
Hispania Activos Inmobiliarios SA	HIS.MC / HIS SM	15%	✓	0.3%
Iberdrola SA	IBE.MC / IBE SM	5%	✓	0.3%
Indra Sistemas SA	IDR.MC / IDR SM	10%	✓	0.3%
Industria de Diseno Textil SA	ITX.MC / ITX SM	5%	✓	0.3%
Inmobiliaria Colonial SA	COL.MC / COL SM	20%	✓	0.3%
International Consolidated Airlines Group SA	ICAG.MC / IAG SM	5%	✓	0.3%
Laboratorio Reig Jofre SA	RJFE.MC / RJF SM	25%	✗	0.3%
Laboratorios Farmaceuticos ROVI SA	ROVI.MC / ROVI SM	25%	✓	0.3%
Lar Espana Real Estate SOCIMI SA	LRES.MC / LRE SM	20%	✓	0.3%
Liberbank SA	LBK.MC / LBK SM	20%	✓	0.3%
Lyxor UCITS ETF Ibox 35 Doble Apalancado Diario C-EUR	IBEXA.MC / IBEXA SM	15%	✗	0.3%
Mapfre SA	MAP.MC / MAP SM	5%	✓	0.3%
Mediaset Espana Comunicacion SA	TL5.MC / TL5 SM	10%	✓	0.3%
Melia Hotels International SA	MEL.MC / MEL SM	10%	✓	0.3%
Merlin Properties Socimi SA	MRL.MC / MRL SM	25%	✓	0.3%
NH Hotel Group SA	NHH.MC / NHH SM	10%	✓	0.3%
Nmas1 Dinamia SA	DN1.MC / N1 SM	25%	✗	0.3%
Obrascon Huarte Lain SA	OHL.MC / OHL SM	10%	✓	0.3%
Papeles y Cartones de Europa SA	PYCE.MC / PAC SM	25%	✓	0.3%
Pharma Mar SA	PHMR.MC / PHM SM	25%	✓	0.3%
Prim SA	PRIM.MC / PRM SM	25%	✗	0.3%
Promotora de Informaciones SA	PRS.MC / PRS SM	20%	✓	0.3%
Prosegur Compania de Seguridad SA	PSG.MC / PSG SM	10%	✓	0.3%
Quabit Inmobiliaria SA	QBT.MC / QBT SM	20%	✓	0.3%
Realia Business SA	RLIA.MC / RLIA SM	20%	✓	0.3%
Red Electrica Corporacion SA	REE.MC / REE SM	5%	✓	0.3%
Repsol SA	REP.MC / REP SM	5%	✓	0.3%
Sacyr SA	SCYR.MC / SCYR SM	10%	✓	0.3%
Saeta Yield SA	SAY.MC / SAY SM	25%	✓	0.3%
Solaria Energia y Medio Ambiente SA	SLRS.MC / SLR SM	25%	✗	0.3%
TUBACEX SA	TUBA.MC / TUB SM	20%	✓	0.3%
Talgo SA	TLGO.MC / TLGO SM	25%	✓	0.3%
Tecnicas Reunidas SA	TRE.MC / TRE SM	10%	✓	0.3%
Tecnocom SA	TECM.MC / TEC SM	25%	✗	0.3%
Tecnoquark Trust SA	TQT.MC / TQT SM	25%	✗	0.3%
Telefonica SA	TEF.MC / TEF SM	5%	✓	0.3%
Tubos Reunidos SA	TUR.MC / TRG SM	25%	✗	0.3%
Urbas Grupo Financiero SA	UBS.MC / UBS SM	25%	✗	0.3%
Vidrala SA	VID.MC / VID SM	25%	✓	0.3%
Viscofan SA	VIS.MC / VIS SM	10%	✓	0.3%
Vocento	VOC.MC / VOC SM	25%	✗	0.3%
Zardoya Otis SA	ZOT.MC / ZOT SM	15%	✓	0.3%
eDreams ODIGEO SA	EDRE.MC / EDR SM	10%	✗	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Nota importante: la columna ¿Posición corta? sólo indica si actualmente hay algún factor (del que nosotros seamos conscientes) que prohíba las posiciones cortas en las acciones correspondientes. No hay garantías de que usted pueda ponerse corto en las acciones citadas. Adicionalmente, pueden existir cargos adicionales (comisiones por el préstamo de valores) que se incluirán en los ajustes por intereses aplicados a su posición. Para determinar si este cargo se va a aplicar, llame a nuestros dealers antes de abrir la operación. La posibilidad de tomar prestadas las acciones y su posible coste pueden ser cambiadas con poca antelación.

Si usted no encuentra el Mercado que está buscando, por favor llame a nuestra mesa de operaciones (en el teléfono +34 91 787 61 81) y normalmente lo podremos añadir en cuestión de minutos

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

SWEDEN

Stock	Ticker	1.Säkerhets-krav	2.Kan blankas?*	3.Premie för risk-begränsning
AAK AB	AAK.ST / AAK SS	20%	✓	0.3%
AB Sagax	SAGAb.ST / SAGAB SS	25%	✓	0.3%
AB Volvo (publ)	VOLVa.ST / VOLVA SS	20%	✓	0.3%
AB Volvo (publ)	VOLVb.ST / VOLVB SS	5%	✓	0.3%
ABB Limited	ABB.ST / ABB SS	5%	✓	0.3%
ASSA ABLOY AB	ASSAb.ST / ASSAB SS	5%	✓	0.3%
Africa Oil Corporation	AOIC.ST / AOI SS	10%	✓	0.3%
Aktiebolaget Industrivarden	INDUa.ST / INDUA SS	20%	✓	0.3%
Aktiebolaget Industrivarden	INDUc.ST / INDUC SS	20%	✓	0.3%
Alfa Laval AB (publ)	ALFA.ST / ALFA SS	5%	✓	0.3%
Anoto Group AB (publ)	ANOT.ST / ANOT SS	25%	✗	0.3%
Arcam AB	ARCM.ST / ARCM SS	20%	✓	0.3%
AstraZeneca PLC	AZN.ST / AZN SS	5%	✓	0.3%
Atlas Copco AB	ATCOa.ST / ATCOA SS	5%	✓	0.3%
Atlas Copco AB	ATCOb.ST / ATCOB SS	5%	✓	0.3%
Atrium Ljungberg AB (publ.)	ATRLJb.ST / ATRLJ SS	25%	✓	0.3%
Autoliv Inc	ALIVsdb.ST / ALIV SS	10%	✓	0.3%
Avanza Bank Holding AB (publ)	AVANZ.ST / AZA SS	20%	⚠	0.3%
Axfood AB	AXFO.ST / AXFO SS	20%	✓	0.3%
Axis AB (publ)	AXIS.ST / AXIS SS	15%	✓	0.3%
B&B Tools AB (publ)	BBTOb.ST / BBTOB SS	25%	✓	0.3%
Betsson AB	BETSb.ST / BETSB SS	15%	✓	0.3%
Bilia AB	BILla.ST / BILIA SS	25%	✓	0.3%
BillerudKorsnas Aktiebolag (publ)	BILL.ST / BILL SS	10%	✗	0.3%
Biolvent International AB	BINV.ST / BINV SS	25%	✗	0.3%
Bjorn Borg AB	BORG.ST / BORG SS	25%	✓	0.3%
Black Earth Farming Limited	BEFSdb.ST / BEFSDB SS	25%	✗	0.3%
BlackPearl Resources Inc	PXXSsdb.ST / PXXSSDB SS	25%	✗	0.3%
Boliden AB (publ)	BOL.ST / BOL SS	10%	✓	0.3%
Bufab AB (publ)	BUFAB.ST / BUFAB SS	25%	✓	0.3%
Bure Equity AB	BURE.ST / BURE SS	25%	✓	0.3%
Byggmax Group AB (publ)	BMAX.ST / BMAX SS	25%	✓	0.3%
Castellum AB (publ)	CAST.ST / CAST SS	15%	✓	0.3%
Catena AB (publ)	CATE.ST / CATE SS	25%	✗	0.3%
Clas Ohlson AB (publ)	CLASb.ST / CLASB SS	20%	✓	0.3%
Collector AB	COLLE.ST / COLL SS	25%	✗	0.3%
Com Hem Holding AB (publ)	COMH.ST / COMH SS	20%	✓	0.3%
Concentric AB	CONIC.ST / COIC SS	25%	✓	0.3%
Concordia Maritime AB (publ)	CCORb.ST / CCORB SS	25%	✓	0.3%
D. Carnegie & Co AB (publ)	DCARb.ST / DCARB SS	25%	✗	0.3%
Doro AB (publ)	DORO.ST / DORO SS	25%	✓	0.3%
East Capital Explorer AB	ECEX.ST / ECEX SS	25%	✓	0.3%
Electrolux AB	ELUXb.ST / ELUXB SS	5%	✓	0.3%
Elekta AB	EKTab.ST / EKTAB SS	10%	✓	0.3%

Etel AB (publ)	ELTEL.ST / ELTEL SS	25%	✓	0.3%
EnQuest PLC	ENQ.ST / ENQ SS	25%	✗	0.3%
Enea AB	ENEA.ST / ENEA SS	25%	✗	0.3%
Eniro AB	ENRO.ST / ENRO SS	15%	✗	0.3%
Ericsson	ERICb.ST / ERICB SS	5%	✓	0.3%
Evolution Gaming Group AB	EVOG.ST / EVO SS	25%	✓	0.3%
Fabege AB	FABG.ST / FABG SS	20%	✓	0.3%
Fastighets AB Balder (publ)	BALDb.ST / BALDB SS	15%	✓	0.3%
Fingerprint Cards AB (publ)	FINGb.ST / FINGB SS	20%	✓	0.3%
Getinge AB	GETIb.ST / GETIB SS	10%	✓	0.3%
Granges AB (publ)	GRANG.ST / GRNG SS	25%	✓	0.3%
Gunnebo AB	GUNN.ST / GUNN SS	25%	✓	0.3%
H & M Hennes & Mauritz AB (publ)	HMb.ST / HMB SS	5%	✓	0.3%
Haldex AB	HLDX.ST / HLDX SS	25%	✓	0.3%
Hemfosa Fastigheter AB (publ)	HEMF.ST / HEMF SS	20%	✓	0.3%
Hexagon AB	HEXAb.ST / HEXAB SS	10%	✓	0.3%
Hexpol AB (Publ)	HPOLb.ST / HPOLB SS	20%	✓	0.3%
HiQ International AB	HIQ.ST / HIQ SS	25%	✓	0.3%
Holmen Aktiebolag (publ)	HOLMb.ST / HOLMB SS	15%	✓	0.3%
Hufvudstaden AB (publ)	HUFVa.ST / HUFVA SS	20%	✓	0.3%
Husqvarna AB (publ)	HUSQa.ST / HUSQA SS	25%	✓	0.3%
Husqvarna AB (publ)	HUSQb.ST / HUSQB SS	10%	✓	0.3%
ICA Gruppen AB	ICAA.ST / ICA SS	10%	✓	0.3%
Industrial & Financial Systems IF SA	IFSb.ST / IFSB SS	25%	✗	0.3%
Indutrade AB (publ)	INDT.ST / INDT SS	20%	✓	0.3%
Intrum Justitia AB	IJ.ST / IJ SS	15%	✓	0.3%
Investment AB Kinnevik	KINVb.ST / KINVB SS	10%	✓	0.3%
Investment AB Latour (publ)	LATOb.ST / LATOB SS	25%	✓	0.3%
Investment AB Oresund	ORES.ST / ORES SS	25%	✓	0.3%
Investor AB	INVEa.ST / INVEA SS	20%	✓	0.3%
Investor AB	INVEb.ST / INVEB SS	5%	✓	0.3%
Inwido AB	INWI.ST / INWI SS	25%	✓	0.3%
JM AB (publ)	JM.ST / JM SS	15%	✓	0.3%
KappAhl AB (publ)	KAHL.ST / KAHL SS	25%	✓	0.3%
Karo Pharma AB (publ)	KARO.ST / KARO SS	25%	✗	0.3%
Klovern AB	KLOVa.ST / KLOVA SS	25%	✗	0.3%
Klovern AB	KLOVb.ST / KLOVB SS	25%	✓	0.3%
Kungsleden Aktiebolag	KLED.ST / KLED SS	25%	✓	0.3%
L E Lundbergforetagen AB	LUNDb.ST / LUNDB SS	20%	✓	0.3%
Lindab International AB	LIAB.ST / LIAB SS	25%	✓	0.3%
Loomi SA	LOOMb.ST / LOOMB SS	20%	✓	0.3%
Lucara Diamond Corporation	LUC.ST / LUC SS	25%	✗	0.3%
Lundin Mining Corporation	LUMIsdb.ST / LUMI SS	10%	✓	0.3%
Lundin Petroleum AB (publ)	LUPE.ST / LUPE SS	10%	✓	0.3%
MQ Holding AB	MQH.ST / MQ SS	25%	✓	0.3%
Meda AB	MEDAa.ST / MEDAA SS	10%	✓	0.3%
Medivir AB	MVIRb.ST / MVIRB SS	20%	✓	0.3%
Mekonomen AB	MEKO.ST / MEKO SS	20%	✓	0.3%
Melker Schorling AB	MELK.ST / MELK SS	25%	✓	0.3%
Millicom International Cellular SA	MICsdb.ST / MIC SS	10%	✓	0.3%
Modern Times Group Mtg AB	MTGb.ST / MTGB SS	10%	✓	0.3%
NCC AB	NCCb.ST / NCCB SS	10%	✓	0.3%
NIBE Industrier AB (publ)	NIBEb.ST / NIBEB SS	20%	✓	0.3%
NetEnt AB (publ)	NETb.ST / NETB SS	25%	✓	0.3%

NeuroVive Pharmaceutical AB	NVP.ST / NVP SS	25%	✗	0.3%
New Wave Group AB (publ)	NEWAb.ST / NEWAB SS	25%	✓	0.3%
Nexam Chemical Holding AB (publ)	NEXAM.ST / NEXAM SS	25%	✓	0.3%
Nobia AB (publ)	NOBI.ST / NOBI SS	20%	✓	0.3%
Nobina AB	NOBINA.ST / NOBINA SS	25%	✓	0.3%
Nokia Corporation	NOKI.ST / NOKIA SEK SS	5%	✓	0.3%
Nordax Group AB (publ)	NDX.ST / NDX SS	25%	✓	0.3%
Nordea Bank AB (publ)	NDA.ST / NDA SS	5%	✓	0.3%
Nordnet AB (publ)	NNb.ST / NNB SS	25%	✓	0.3%
Old Mutual PLC	OLDM.ST / OLDM SS	25%	✓	0.3%
Orexo AB (publ)	ORX.ST / ORX SS	25%	✗	0.3%
Oriflame Holding AG	ORIFL.ST / ORI SS	15%	✓	0.3%
Padox AB (publ)	PANDXb.ST / PNDXB SS	25%	✓	0.3%
Peab AB	PEABb.ST / PEABB SS	20%	✓	0.3%
Petrogrand AB (publ)	PETRO.ST / PETRO SS	25%	✗	0.3%
Platzer Fastigheter Holding AB (publ)	PLAZb.ST / PLAZB SS	25%	✓	0.3%
Precise Biometric SA	PREC.ST / PREC SS	25%	✗	0.3%
Qliro Group AB (publ.)	QLRO.ST / QLRO SS	20%	✗	0.3%
Rato SA	RATOb.ST / RATO B SS	15%	✓	0.3%
Rezidor Hotel Group AB (publ)	REZT.ST / REZT SS	20%	✓	0.3%
RusForest AB (publ)	RUSF.ST / RUSF SS	20%	✗	0.3%
SAAB AB (publ.)	SAABb.ST / SAABB SS	15%	✓	0.3%
SAS AB (publ)	SAS.ST / SAS SS	15%	✗	0.3%
SEMAFO Inc	SMF.ST / SMF SS	15%	✗	0.3%
SKF AB	SKFb.ST / SKFB SS	5%	✓	0.3%
SSAB AB	SSABa.ST / SSABA SS	10%	✗	0.3%
SSAB AB	SSABb.ST / SSABB SS	15%	✓	0.3%
Sandvik AB	SAND.ST / SAND SS	5%	✓	0.3%
Seamless Distribution AB	SEAM.ST / SEAM SS	20%	✗	0.3%
Securita SA	SECUb.ST / SECUB SS	10%	✓	0.3%
Sensys Gatso Group AB	SENS.ST / SENS SS	25%	✓	0.3%
ShaMaran Petroleum Corporation	SNMP.ST / SNM SS	20%	✗	0.3%
Skandinaviska Enskilda Banken AB (publ)	SEBa.ST / SEBA SS	5%	✓	0.3%
Skandinaviska Enskilda Banken AB (publ)	SEBc.ST / SEBC SS	25%	✗	0.3%
Skanska AB (publ)	SKAb.ST / SKAB SS	5%	✓	0.3%
SkiStar AB (publ)	SKISb.ST / SKISB SS	25%	✓	0.3%
Starbreeze AB	STZEb.ST / STARB SS	25%	✗	0.3%
Stendorren Fastigheter AB (publ)	STEFb.ST / STEFB SS	25%	✗	0.3%
Stora Enso Oyj	STeA.ST / STEA SS	25%	✗	0.3%
Stora Enso Oyj	STEr.ST / STER SS	10%	✗	0.3%
Svenska Cellulosa Aktiebolaget SCA (publ)	SCAb.ST / SCAB SS	5%	✓	0.3%
Svenska Handelsbanken AB (publ)	SHBa.ST / SHBA SS	5%	✓	0.3%
Sweco AB	SWECb.ST / SWECB SS	25%	✗	0.3%
Swedbank AB (publ)	SWEDa.ST / SWEDA SS	5%	✓	0.3%
Swedish Match AB	SWMA.ST / SWMA SS	5%	✓	0.3%
Swedish Orphan Biovitrum AB	SOBIV.ST / SOBI SS	15%	✓	0.3%
Systemair AB (publ)	SYSR.ST / SYSR SS	25%	✓	0.3%
Tele2 AB (publ)	TEL2b.ST / TEL2B SS	10%	✓	0.3%
Telia Company AB	TELIA.ST / TELIA SS	5%	✓	0.3%
Tieto Oyj	TIEN.ST / TIEN SS	25%	✗	0.3%
Tobii AB (publ)	TOBII.ST / TOBII SS	25%	✗	0.3%
TradeDoubler AB	TRAD.ST / TRAD SS	25%	✗	0.3%
Transcom WorldWide AB (publ)	TWW.ST / TWW SS	25%	✓	0.3%
Trelleborg AB	TRELb.ST / TREL B SS	10%	✓	0.3%

Unibet Group PLC	UNIBSdb.ST / UNIB SS	20%	✓	0.3%
Victoria Park AB (publ)	VICPb.ST / VICPB SS	25%	✓	0.3%
Vostok Nafta Investment Limited	VNVsdb.ST / VNVSDB SS	25%	✓	0.3%
Wallenstam AB (publ)	WALLb.ST / WALLB SS	20%	✓	0.3%
Wihlborgs Fastigheter AB (publ)	WIHL.ST / WIHL SS	20%	✓	0.3%
XACT Bear ETF	XACTBEAR.ST / XACBEAR SS	10%	✗	0.3%
XACT Bull ETF	XACTBULL.ST / XACBULL SS	10%	✗	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Couût Stop Garanti / Prémio de Risco Limitado

*Viktig information: Kolumnen "Kan blankas?" skall endast ses som en guide till huruvida det för närvarande finns några omständigheter (som vi känner till) som förhindrar korta positioner på den berörda aktien. Den är inte en garanti att du kommer att kunna blanka en aktie som anges. Det kan därutöver tillkomma en extra avgift (en belåningskostnad) som kommer att inkluderas i räntejusteringen som gäller för din position. För att fastställa huruvida en avgift tillkommer ber vi dig kontakta vår kundservice innan du handlar. Möjligheten att låna och kostnaden för att låna aktier kan förändras med kort varsel.

Om du inte hittar aktien du söker, vänligen ring vårt mäklarbord (+46 8 505 15 002) eftersom vi vanligtvis lägger till aktier i vårt utbud på några minuter

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

SWITZERLAND

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
ABB Limited	ABBN.VX / ABBN VX	5%	✓	0.3%
AFG Arbonia-Forster-Holding AG	AFGN.S / AFGN SW	25%	✗	0.3%
ARYZTA AG	ARYN.VX / ARYN VX	10%	✓	0.3%
Actelion Limited	ATLN.VX / ATLN VX	5%	✓	0.3%
Adecco SA	ADEN.VX / ADEN VX	5%	✓	0.3%
Allreal Holding AG	ALLN.S / ALLN SW	25%	✗	0.3%
Ascom Holding AG	ASCN.S / ASCN SW	20%	✓	0.3%
BB Biotech AG	BION.S / BION SW	15%	✗	0.3%
BFW Liegenschaften AG	BLIN.S / BLIN SW	25%	✓	0.3%
Baloise Holding AG	BALN.VX / BALN VX	10%	✓	0.3%
Barry Callebaut AG	BARN.S / BARN SW	15%	✓	0.3%
Basilea Pharmaceutica AG	BSLN.S / BSLN SW	25%	✓	0.3%
Bobst Group SA	BOBNN.S / BOBNN SW	25%	✗	0.3%
Bossard Holding AG	BOS.S / BOSN SW	25%	✓	0.3%
Bucher Industrie SA	BUCN.S / BUCN SW	20%	✓	0.3%
Burckhardt Compression Holding AG	BCHN.S / BCHN SW	20%	✓	0.3%
Charles Voegelé Holding AG	VCH.S / VCH SW	25%	✓	0.3%
Chocoladefabriken Lindt & Sprüngli AG	LISP.S / LISP SW	10%	✓	0.3%
Clariant AG	CLN.VX / CLN VX	10%	✓	0.3%
Compagnie Financière Richemont SA	CFR.VX / CFR VX	5%	✓	0.3%
Cosmo Pharmaceuticals SpA	COPN.S / COPN SW	20%	✓	0.3%
Credit Suisse Group AG	CSGN.VX / CSGN VX	5%	✓	0.3%
DKSH Holding Limited	DKSH.S / DKSH SW	10%	✓	0.3%
Datwyler Holding Inc	DAE.S / DAE SW	20%	✓	0.3%
Dufry AG	DUFN.S / DUFN SW	10%	✓	0.3%
EFG International AG	EFGN.S / EFGN SW	25%	✓	0.3%
EMS-Chemie Holding AG	EMSN.S / EMSN SW	15%	✓	0.3%
Emmi AG	EMMN.S / EMMN SW	25%	✓	0.3%
Evolva Holding SA	EVE.S / EVE SW	20%	✓	0.3%
Flughafen Zurich AG	FHZN.S / FHZN SW	15%	✗	0.3%
Forbo Holding AG	FORN.S / FORN SW	25%	✓	0.3%
GAM Holding AG	GAMH.S / GAM SW	10%	✓	0.3%
Galenica Limited	GALN.VX / GALN VX	10%	✓	0.3%

Geberit AG	GEBN.VX / GEBN VX	5%	✓	0.3%
Georg Fischer AG	FIN.S / FI/N SW	10%	✓	0.3%
Givaudan SA	GIVN.VX / GIVN VX	5%	✓	0.3%
Gurit Holding AG	GUR.S / GUR SW	25%	✓	0.3%
HBM Healthcare Investment SA	HBMN.S / HBMN SW	25%	✓	0.3%
HIAG Immobilien Holding AG	HIAG.S / HIAG SW	25%	✗	0.3%
Helvetia Holding AG	HELN.S / HELN SW	15%	✗	0.3%
INFICON Holding AG	IFCN.S / IFCN SW	25%	✓	0.3%
Intershop Holding AG	ISN.S / ISN SW	25%	✓	0.3%
Julius Baer Group Limited	BAER.VX / BAER VX	5%	✓	0.3%
Komax Holding AG	KOMN.S / KOMN SW	20%	✓	0.3%
Kudelski SA	KUD.S / KUD SW	25%	✓	0.3%
Kuehne + Nagel International AG	KNIN.VX / KNIN VX	10%	✓	0.3%
Kuoni Reisen Holding AG	KUNN.S / KUNN SW	20%	✓	0.3%
LafargeHolcim Limited	LHN.VX / LHN VX	5%	✓	0.3%
Leonteq AG	LEON.S / LEON SW	10%	✓	0.3%
Logitech International SA	LOGN.S / LOGN SW	10%	✓	0.3%
Lonza Group Limited	LONN.VX / LONN VX	10%	✓	0.3%
Meyer Burger Technology AG	MBTN.S / MBTN SW	10%	✓	0.3%
Micronas Semiconductor Holding AG	MASN.S / MASN SW	25%	✓	0.3%
Mobimo Holding AG	MOBN.S / MOBN SW	25%	✓	0.3%
Myriad Group AG	MYRN.S / MYRN SW	25%	✗	0.3%
Nestle SA	NESN.VX / NESN VX	5%	✓	0.3%
Newron Pharmaceuticals SpA	NWRN.S / NWRN SW	25%	✓	0.3%
Novartis SA	NOVN.VX / NOVN VX	5%	✓	0.3%
OC Oerlikon Corporation AG	OERL.S / OERL SW	10%	✓	0.3%
PSP Swiss Property AG	PSPN.S / PSPN SW	20%	✓	0.3%
Panalpina World Transport Holding Limited	PWTN.S / PWTN SW	15%	✓	0.3%
Pargesa Holding SA	PARG.S / PARG SW	15%	✓	0.3%
Partners Group Holding AG	PGHN.S / PGHN SW	10%	✓	0.3%
Rieter Holding AG	RIEN.S / RIEN SW	20%	✓	0.3%
Roche Holding AG	ROG.S / ROG VX	5%	✓	0.3%
SGS SA	SGSN.VX / SGSN VX	5%	✓	0.3%
Santhera Pharmaceuticals Holding AG	SANN.S / SANN SW	25%	✓	0.3%
Schaffner Holding AG	SAHN.S / SAHN SW	25%	✓	0.3%
Schindler Holding AG	SCHN.S / SCHN SW	15%	✓	0.3%
Schmolz + Bickenbach AG	STLN.S / STLN SW	15%	✓	0.3%
Siegfried Holding AG	SFZN.S / SFZN SW	25%	✓	0.3%
Sika AG	SIK.VX / SIK VX	10%	✓	0.3%
Sonova Holding AG	SOON.VX / SOON VX	10%	✓	0.3%
Straumann Holding AG	STMN.S / STMN SW	15%	✗	0.3%
Sulzer Limited	SUN.S / SUN SW	10%	✓	0.3%
Sunrise Communications Group AG	SRCG.S / SRCG SW	25%	✓	0.3%
Swiss Life Holding AG	SLHN.VX / SLHN VX	10%	✓	0.3%
Swiss Prime Site AG	SPSN.S / SPSN SW	10%	✓	0.3%
Swiss Re Limited	SRENH.VX / SREN VX	5%	✓	0.3%
Swisscom AG	SCMN.VX / SCMN VX	5%	✓	0.3%
Swissquote Group Holding Limited	SQN.S / SQN SW	25%	✓	0.3%
Syngenta AG	SYNN.VX / SYNN VX	5%	✓	0.3%
Tecan Group Limited	TECN.S / TECN SW	20%	✓	0.3%
Temenos Group AG	TEMN.S / TEMN SW	15%	✗	0.3%
The Swatch Group AG	UHRN.S / UHRN SW	10%	✓	0.3%
The Swatch Group AG	UHR.VX / UHR VX	5%	✓	0.3%
UBS ETFs plc - MSCI EMU Growth SF UCITS ETF (EUR)				

A-acc	EGEUAS.S / EGEUAS SW	25%	✗	0.3%
UBS Group AG	UBSG.VX / UBSG VX	5%	✓	0.3%
Valiant Holding AG	VATN.S / VATN SW	20%	✓	0.3%
Valora Holding AG	VALN.S / VALN SW	20%	✓	0.3%
Von Roll Holding AG	ROLS.S / ROL SW	25%	✗	0.3%
Vontobel Holding AG	VONN.S / VONN SW	25%	✓	0.3%
Wartec Invest AG	WARN.S / WARN SW	25%	✓	0.3%
Ypsomed Holding AG	YPSN.S / YPSN SW	25%	✓	0.3%
ZKB Gold ETF AA CHF Klasse	ZGLD.S / ZGLD SW	15%	✓	0.3%
ZKB Gold ETF AA EUR Klasse	ZGLDEU.S / ZGLDEU SW	10%	✓	0.3%
ZKB Silver ETF AA CHF Klasse	ZSIL.S / ZSIL SW	10%	✓	0.3%
Zurich Insurance Group AG	ZURN.VX / ZURN VX	5%	✓	0.3%
am SA	AMS.S / AMS SW	10%	✓	0.3%
gategroup Holding AG	GATE.S / GATE SW	20%	✓	0.3%
iShares S&P 500 Energy Sector UCITS ETF	ISIUES.S / IUES SW	25%	✗	0.3%
iShares SMI(r) (CH)	CSSMI.S / CSSMI SW	10%	✓	0.3%
u-blox Holding AG	UBXN.S / UBXN SW	20%	✓	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Important note: The column 'Can go short?' only indicates whether there are currently any factors (of which we are aware) prohibiting short positions on the share concerned. There is no guarantee that you will be able to go short of any share cited. In addition, there may be an extra charge (a borrowing charge) which will be included in the interest adjustment applied to your position. To determine whether a charge applies, call our dealers in advance of trading. The ability to borrow and the cost of borrowing stock can be changed at short notice.

If you cannot find the market you are looking for, please call our dealing desk (on +41 228 881 006) and we will normally be able to add it for you in a matter of minutes

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

UNITED KINGDOM

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
32Red PLC	TTRL.L / TTR LN	25%	✓	1%
3i Group PLC	III.L / III LN	10%	✓	0.3%
3i Infrastructure PLC	3IN.L / 3IN LN	20%	✓	0.3%
4imprint Group PLC	FOUR.L / FOUR LN	25%	✓	1%
7Digital Group PLC	7DIG.L / 7DIG LN	25%	✗	1%
88 Energy Limited	88E.L / 88E LN	25%	✗	1%
888 Holdings PLC	888.L / 888 LN	20%	✓	0.7%
A&J Mucklow Group PLC	MKLW.L / MKLW LN	25%	✓	0.7%
A.G.Barr PLC	BAG.L / BAG LN	25%	✓	0.7%
AA PLC	AAAA.L / AA/ LN	10%	✓	0.3%
AB Dynamics PLC	ABDP.L / ABDP LN	25%	✓	1%
ADVFN PLC	AFN.L / AFN LN	25%	✗	1%
AEW UK REIT PLC	AEWU.L / AEWU LN	25%	✗	1%
AFC Energy PLC	AFEN.L / AFC LN	25%	✓	1%
AFI Development PLC	AFRBb.L / AFRB LN	25%	✗	0.7%
AFI Development PLC	AFIDLq.L / AFID LI	25%	✗	1%
ANGLE PLC	AGLE.L / AGL LN	25%	✓	1%
AO World PLC	AO.L / AO/ LN	10%	✗	0.3%
APC Technology Group PLC	APCL.L / APC LN	25%	✗	1%
ARM Holdings PLC	ARM.L / ARM LN	5%	✓	0.7%
ASOS PLC	ASOS.L / ASC LN	10%	✓	0.7%
AVEVA Group PLC	AVV.L / AVV LN	15%	✓	0.3%
Abcam PLC	ABCA.L / ABC LN	20%	✓	0.7%

Aberdeen Asian Income Fund Limited	AAIF.L / AAIF LN	25%	✓	0.3%
Aberdeen Asian Smaller Companies Investment Trust PLC	AAS.L / AAS LN	25%	✓	0.7%
Aberdeen Asset Management PLC	ADN.L / ADN LN	5%	✓	0.3%
Aberdeen New Dawn Investment Trust PLC	ABD.L / ABD LN	25%	⊠	0.7%
Aberdeen New Thai Investment Trust PLC	ANW.L / ANW LN	25%	✓	1%
Aberdeen Private Equity Fund Limited	APEF.L / APEF LN	25%	✗	0.7%
Aberdeen Smaller Companies High Income Trust PLC	ASCHI.L / ASCH LN	25%	✗	1%
Aberdeen UK Tracker Trust PLC	AUKT.L / AUKT LN	25%	✓	0.3%
Aberforth Geared Income Trust PLC	AGITo.L / AGIZ LN	10%	✗	0.3%
Aberforth Geared Income Trust PLC	AGIT.L / AGIT LN	10%	✓	0.3%
Aberforth Smaller Companies Trust PLC	ASL.L / ASL LN	25%	✓	0.3%
Abzena PLC	ABZA.L / ABZA LN	25%	✗	1%
Acacia Mining PLC	ACAA.L / ACA LN	15%	✓	0.7%
Acal PLC	ACL.L / ACL LN	25%	✓	0.7%
Accsys Technologies PLC	ACCS.L / AXS LN	25%	✓	1%
Acencia Debt Strategies Limited	ACD.L / ACD LN	25%	✗	1%
Acorn Income Fund Limited	AIF.L / AIF LN	10%	✓	1%
Action Hotels PLC	AHCG.L / AHCG LN	25%	✗	1%
Active Energy Group PLC	AEGR.L / AEG LN	25%	✓	1%
AdEPT Telecom PLC	ADT.L / ADT LN	25%	✓	1%
Adamas Finance Asia Limited	ADAM.L / ADAM LN	25%	✗	1%
Adgorithms Limited	ADGO.L / ADGO LN	25%	✓	1%
Admiral Group PLC	ADML.L / ADM LN	10%	✓	0.7%
Advance Developing Markets Fund Limited	ADMF.L / ADMF LN	25%	✓	0.3%
Advance Frontier Markets Fund Limited	AFMF.L / AFMC LN	25%	✓	1%
Advanced Medical Solutions Group PLC	AMSU.L / AMS LN	25%	✓	1%
Advanced Oncotherapy PLC	AVO.L / AVO LN	25%	✗	1%
Afarak Group Oyj	AFRK.L / AFRK LN	25%	✗	1%
African Potash Limited	AFPO.L / AFPO LN	25%	✗	1%
Aggreko PLC	AGGK.L / AGK LN	10%	✓	0.3%
Air Partner PLC	AIRA.L / AIR LN	25%	✓	0.7%
Albion Development VCT PLC	AADV.L / AADV LN	10%	✗	1%
Albion Enterprise VCT PLC	AAEV.L / AAEV LN	10%	✗	1%
Albion Technology & General VCT PLC	AATG.L / AATG LN	10%	✗	1%
Albion Venture Capital Trust PLC	AAVC.L / AAVC LN	10%	✗	1%
Alcentra European Floating Rate Income Fund Limited	AEFS.L / AEFS LN	10%	✗	0.3%
Aldermore Group PLC	ALD.L / ALD LN	25%	✓	1%
Allergy Therapeutics PLC	AGY.L / AGY LN	25%	✗	1%
Alliance Pharma PLC	ALAPH.L / APH LN	25%	✓	1%
Alliance Trust PLC	ATST.L / ATST LN	20%	✓	0.3%
Allianz Technology Trust PLC	RTT.L / ATT LN	25%	✓	0.7%
Allied Minds PLC	ALML.L / ALM LN	25%	✓	1%
Alpha Real Trust Limited	ARTL.L / ARTL LN	10%	✗	1%
Alternative Asset Opportunities PCC Limited	TLI_p.L / TLI LN	10%	✗	1%
Alternative Liquidity Fund Limited	ALFAL.L / ALF LN	15%	✗	0.7%
Alternative Networks PLC	ALTAN.L / AN/ LN	25%	✓	1%
Altin AG	ALTNq.L / AIA LN	10%	✗	1%
Altona Energy PLC	ALRE.L / ANR LN	25%	✓	1%
Amati VCT 2 PLC	AT2A.L / AT2 LN	10%	✗	1%
Ambrian PLC	EWR.L / AMBR LN	25%	✓	1%
Amec Foster Wheeler PLC	AMFW.L / AMFW LN	10%	✓	0.7%
Amedeo Air Four Plus Limited Fund	AA4A.L / AA4 LN	25%	✓	1%
Amerisur Resources PLC	AMER.L / AMER LN	20%	✓	1%

Amiad Water Systems Limited	AFS.L / AFS LN	25%	✗	1%
Aminex PLC	AMNX.L / AEX LN	25%	✓	1%
Amino Technologies PLC	AMO.L / AMO LN	25%	✓	1%
Amlin PLC	AML.L / AML LN	15%	✓	0.3%
Amryt Pharma PLC	AMYT.L / AMYT LN	25%	✗	1%
Amundi ETF Euro Stoxx Small Cap UCITS ETF	ESM.L / ESM LN	10%	✗	0.3%
Amundi ETF FTSE EPRA Europe Real Estate UCITS ETF	EPRE.L / EPRE LN	10%	✗	0.3%
Amundi ETF Jpx-Nikkei 400 UCITS ETF Daily Hedged GBP	JPHG.L / JPHG LN	10%	✗	0.3%
Amundi ETF Jpx-Nikkei 400 UCITS ETF JPY	JPNY.L / JPNY LN	10%	✗	0.3%
Amundi ETF MSCI EM Asia UCITS ETF EUR	AASI.L / AASI LN	10%	✗	0.3%
Amundi ETF MSCI EM Latin America UCITS ETF EUR	ALAT.L / ALAT LN	10%	✗	0.3%
Amundi ETF MSCI Eastern Europe ex Russia UCITS ETF USD	CE9G.L / CE9G LN	25%	✗	1%
Amundi ETF MSCI Emerging Markets UCITS ETF EUR	AEEM.L / AEEM LN	10%	✗	0.3%
Amundi ETF MSCI Europe Consumer Discretionary UCITS ETF	CD6.L / CD6 LN	10%	✗	0.3%
Amundi ETF MSCI Europe Energy UCITS ETF	ANRJ.L / ANRJ LN	25%	✗	1%
Amundi ETF MSCI Europe Healthcare UCITS ETF	CH5.L / CH5 LN	10%	✗	0.3%
Amundi ETF MSCI India UCITS ETF USD	CI2G.L / CI2G LN	25%	✗	1%
Amundi ETF MSCI Italy UCITS ETF	CI1.L / CI1 LN	10%	✗	0.3%
Amundi ETF MSCI Pacific ex Japan UCITS ETF USD	CP9G.L / CP9G LN	25%	✗	1%
Amundi ETF MSCI World Energy UCITS ETF USD	AMCWEG.L / CWEG LN	25%	✗	1%
Amundi ETF MSCI World Financials UCITS ETF USD	CWFG.L / CWFG LN	25%	✗	1%
Amundi ETF NASDAQ-100 UCITS ETF USD	ANXU.L / ANXU LN	10%	✗	0.3%
Amundi ETF S&P 500 Buyback UCITS ETF USD	BYBG.L / BYBG LN	25%	✗	1%
Amur Minerals Corporation	A7L.L / AMC LN	25%	✓	1%
Andes Energia PLC	AEN.L / AEN LN	25%	✓	0.7%
Anglo American PLC	AAL.L / AAL LN	5%	✓	0.3%
Anglo Eastern Plantations PLC	ANEA.L / AEP LN	25%	✓	0.7%
Anglo Pacific Group PLC	APF.L / APF LN	25%	✓	0.7%
Animalcare Group PLC	ANCR.L / ANCR LN	25%	✓	1%
Anpario PLC	ANP.L / ANP LN	25%	✗	1%
Antofagasta PLC	ANTO.L / ANTO LN	5%	✓	0.3%
Apax Global Alpha Limited	APAX.L / APAX LN	25%	✓	1%
Applegreen PLC	APGNA.L / APGN LN	25%	✗	1%
Applied Graphene Materials PLC	AGMA.L / AGM LN	25%	✓	1%
AquaBounty Technologies Inc	ABTU.L / ABTU LN	25%	✗	1%
Aquatic Foods Group PLC	AFGA.L / AFG LN	25%	✗	1%
Argos Resources Limited	ARGR.L / ARG LN	25%	✓	1%
Arian Silver Corporation	AGQ.L / AGQ LN	25%	✓	1%
Ark Therapeutics Group PLC	PVGP.L / PVG LN	25%	✓	1%
Arria NLG PLC	NLG.L / NLG LN	25%	✗	1%
Arricano Real Estate PLC	ARO.A.L / ARO LN	25%	✗	1%
Arrow Global Group PLC	ARWA.L / ARW LN	25%	✓	1%
Artemis Alpha Trust PLC	ATS.L / ATS LN	25%	✓	0.7%
Artemis Alpha Trust PLC	ATSS.L / ATSS LN	10%	✗	1%
Artemis VCT PLC	AAM.L / AAM LN	10%	✗	1%
Asa Resource Group PLC	ASA.L / ASA LN	25%	✓	1%
Ascential Group Limited	ASCL.L / ASCL LN	25%	✓	1%
Aseana Properties Limited	ASPL.L / ASPL LN	25%	✗	1%
Ashmore Group PLC	ASHM.L / ASHM LN	15%	✓	0.3%
Ashtead Group PLC	AHT.L / AHT LN	5%	✓	0.7%
Asian Citrus Holdings Limited	ACHLA.L / ACHL LN	25%	✓	1%
Associated British Foods PLC	ABF.L / ABF LN	5%	✓	0.3%
Assura PLC	AGRP.L / AGR LN	25%	✓	0.7%

AstraZeneca PLC	AZN.L / AZN LN	5%	✓	0.3%
Atalaya Mining PLC	ATYM.L / ATYM LN	25%	✓	1%
Atlantis Japan Growth Fund Limited	AJG.L / AJG LN	25%	✓	1%
Atlas African Industries Limited	AAI.L / AAI LN	25%	✓	1%
Audioboom Group PLC	BOOMA.L / BOOM LN	25%	✗	1%
Augean PLC	AUG.L / AUG LN	25%	✓	1%
Aureus Mining Inc	AUE.L / AUE LN	25%	✓	1%
Aurora Investment Trust PLC	AURT.L / ARR LN	25%	✗	1%
Auto Trader Group PLC	AUTOA.L / AUTO LN	15%	✓	1%
Avacta Group PLC	AVTG.L / AVCT LN	25%	✓	1%
Avangardco Investments Public Limited	AVGRq.L / AVGR LI	25%	✗	1%
Avanti Communications Group PLC	AVN.L / AVN LN	25%	✓	0.7%
Avation PLC	AVAP.L / AVAP LN	25%	✓	1%
Avingtrans PLC	AVG.L / AVG LN	25%	✗	1%
Aviva PLC	AV.L / AV/ LN	5%	✓	0.7%
Avocet Mining PLC	AVM.L / AVM LN	25%	✓	0.7%
Avon Rubber PLC	AVON.L / AVON LN	25%	✓	0.7%
Axa Property Trust	APT.L / APT LN	25%	✓	0.7%
Axis Bank Ltd	AXBKq.L / AXB LI	25%	✓	1%
B&M European Value Retail SA	BMEB.L / BME LN	15%	✓	1%
B.P. Marsh & Partners PLC	BPM.L / BPM LN	25%	✓	1%
B.S.D. Crown Limited	EBLZ.L / BSD LN	25%	✓	1%
BAE Systems PLC	BAES.L / BA/ LN	5%	✓	0.3%
BASF SE	BFA.L / BFA LN	5%	✗	1%
BATM Advanced Communications Limited	BVC.L / BVC LN	25%	✓	0.7%
BBA Aviation PLC	BBA.L / BBA LN	20%	✓	0.7%
BCA Marketplace PLC	BCA.L / BCA LN	25%	✓	1%
BCRE-Brack Capital Real Estate Investments NV	BCRE.L / BCRE LN	25%	✗	1%
BECAP Fund LP	BCAP.L / BCAP LN	10%	✗	1%
BECAP12 Fund LP	BCAPx.L / BC12 LN	10%	✗	1%
BG Group PLC	BG.L / BG/ LN	5%	✓	0.3%
BGEO Group PLC	BGEO.L / BGEO LN	20%	✓	0.7%
BH Global Limited	BHGGu.L / BHGU LN	25%	✗	0.3%
BH Global Limited	BHGG.L / BHGG LN	25%	✓	0.3%
BH Macro Limited	BHMG.L / BHMG LN	20%	✓	0.7%
BH Macro Limited	BHMGx.L / BHME LN	25%	✗	0.3%
BH Macro Limited	BHMGu.L / BHMU LN	10%	✗	0.3%
BHP Billiton PLC	BLT.L / BLT LN	5%	✓	0.3%
BMR Mining PLC	BMRB.L / BMR LN	25%	✓	1%
BP PLC	BP.L / BP/ LN	5%	✓	0.3%
BT Group PLC	BT.L / BT/A LN	5%	✓	0.3%
BTG PLC	BTG.L / BTG LN	15%	✓	0.7%
Babcock International Group PLC	BAB.L / BAB LN	10%	✓	0.3%
Bacanora Minerals Limited	BCNB.L / BCN LN	25%	✗	1%
Bahamas Petroleum Company PLC	BPCB.L / BPC LN	25%	✓	1%
Baillie Gifford Shin Nippon PLC	BGS.L / BGS LN	25%	✓	1%
Baker Steel Resources Trust Limited	BSRT.L / BSRT LN	25%	✓	1%
Balfour Beatty PLC	BALF.L / BBY LN	10%	✓	0.3%
Banco Bilbao Vizcaya Argentaria SA	BVAB.L / BVA LN	5%	✗	1%
Banco Santander SA	SANq.L / BNC LN	10%	✓	0.7%
Bango PLC	BGO.L / BGO LN	25%	✓	1%
Bank Muscat SAOG	BMAOq.L / BKMA LN	25%	✗	1%
Bank of America Corporation	BAND.L / BAC LN	5%	✗	0.3%
Bankers Petroleum Limited	BNKq.L / BNK LN	15%	✗	1%

Barclays PLC	BARC.L / BARC LN	5%	✓	0.3%
Baring Emerging Europe PLC	BEE.L / BEE LN	25%	✓	0.7%
Barloworld Limited	BWOB.L / BWO LN	15%	✗	0.7%
Baronsmead VCT 2 PLC	BARV.L / BVT LN	10%	✗	1%
Baronsmead VCT 3 PLC	BRMD.L / BMD LN	10%	✗	1%
Baronsmead VCT 4 PLC	BNS4.L / BNS LN	10%	✗	1%
Baronsmead VCT 5	BAV.L / BAV LN	10%	✗	1%
Baronsmead VCT PLC	BDV.L / BDV LN	10%	✗	1%
Barratt Developments PLC	BDEV.L / BDEV LN	5%	✓	0.7%
Base Resources Limited	BSE.L / BSE LN	25%	✗	1%
Beazley PLC	BEZG.L / BEZ LN	20%	✓	0.3%
Begbies Traynor Group PLC	BEG.L / BEG LN	25%	✓	0.7%
Bellway PLC	BWY.L / BWY LN	10%	✓	0.7%
Belvoir Lettings PLC	BLVB.L / BLV LN	25%	✗	1%
Benchmark Holdings PLC	BMKB.L / BMK LN	25%	✗	1%
Beowulf Mining PLC	BEM.L / BEM LN	25%	✓	1%
Berendsen PLC	BRSN.L / BRSN LN	20%	✓	0.3%
Berkeley Energia Limited	BKY.L / BKY LN	25%	✓	1%
Big Yellow Group PLC	BYG.L / BYG LN	25%	✓	0.7%
Bilby PLC	BILBI.L / BILB LN	25%	✗	1%
Bioquell PLC	BIOQ.L / BQE LN	25%	✓	1%
BlackRock Commodities Income Investment Trust PLC	BRCI.L / BRCI LN	25%	✓	0.3%
BlackRock Emerging Europe PLC	BEEP.L / BEEP LN	25%	✓	0.7%
BlackRock Frontiers Investment Trust PLC	BRFI.L / BRFI LN	10%	✓	0.3%
BlackRock Greater Europe Investment Trust PLC	BRGE.L / BRGE LN	25%	✓	0.3%
BlackRock Greater Europe Investment Trust PLC	BRGS.L / BRGS LN	10%	✗	0.3%
BlackRock Income Strategies Trust PLC	BIST.L / BIST LN	25%	✓	0.7%
BlackRock Income and Growth Investment Trust PLC	BRIGB.L / BRIG LN	25%	✗	1%
BlackRock Latin American Investment Trust PLC	BRLA.L / BRLA LN	25%	✓	0.7%
BlackRock North American Income Trust PLC	BRNA.L / BRNA LN	25%	✗	1%
BlackRock Smaller Companies Trust PLC	BRSC.L / BRSC LN	25%	✓	0.7%
BlackRock Throgmorton Trust PLC	THRG.L / THRG LN	25%	✓	0.3%
BlackRock World Mining Trust PLC	BRWM.L / BRWM LN	20%	✓	0.7%
Blackstone GSO Loan Financing Limited	BGLF.L / BGLF LN	10%	✗	1%
Blinkx PLC	BLNX.L / BLNX LN	25%	✓	0.7%
Bloomsbury Publishing PLC	BLPU.L / BMY LN	25%	✓	0.7%
Blue Capital Global Reinsurance Fund Limited	BCGR.L / BCGR LN	10%	✗	1%
Blue Planet Investment Trust PLC	BLP.L / BLP LN	25%	✗	1%
Blue Prism PLC	PRSMB.L / PRSM LN	25%	✗	1%
BlueCrest AllBlue Fund Limited	HMSF.L / HMSF LN	20%	✓	0.3%
BlueCrest AllBlue Fund Limited	BABSu.L / BABU LN	10%	✗	1%
BlueCrest BlueTrend Limited	BBTS.L / BBTS LN	10%	✓	0.3%
Bluefield Solar Income Fund Limited	BSIF.L / BSIF LN	10%	✓	0.3%
Bodycote PLC	BOY.L / BOY LN	20%	✓	0.7%
Bond International Software PLC	BDI.L / BDI LN	25%	✓	1%
Bonmarche Holdings PLC	BONB.L / BON LN	25%	✗	1%
Booker Group PLC	BOK.L / BOK LN	15%	✓	0.7%
Boost Copper 3x Leverage Daily ETP	3HCL.L / 3HCL LN	25%	✗	1%
Boost Copper 3x Short Daily ETP	3HCS.L / 3HCS LN	25%	✗	1%
Boost FTSE 100 3x Leverage Daily ETP	3UKL.L / 3UKL LN	25%	✗	1%
Boost FTSE 100 3x Short Daily ETP	3UKS.L / 3UKS LN	25%	✗	1%
Boost FTSE 250 2X Leverage Daily ETP	2MCL.L / 2MCL LN	25%	✗	1%
Boost Gold 3x Short Daily ETP	3SGO.L / 3SGO LN	20%	✗	1%
Boost LevDAX(r) 3x Daily ETP	3LDE.L / 3LDE LN	25%	✗	1%

Boost LevDAX(r) 3x Daily ETP	3DEL.L / 3DEL LN	10%	✗	0.3%
Boost Natural Gas 3x Leverage Daily ETP	3NGL.L / 3NGL LN	20%	✗	0.7%
Boost Natural Gas 3x Short Daily ETP	3NGS.L / 3NGS LN	20%	✗	1%
Boost Silver 3x Leverage Daily ETP	3SIL.L / 3SIL LN	25%	✗	1%
Boost Silver 3x Short Daily ETP	3SIS.L / 3SIS LN	25%	✗	1%
Boost US Large Cap 3x Leverage Daily ETP	3USL.L / 3USL LN	25%	✗	1%
Boost US Large Cap 3x Short Daily ETP	3USS.L / 3USS LN	25%	✗	1%
Boost US Treasuries 10Y 3X Short daily ETP	3TYS.L / 3TYS LN	10%	✗	0.3%
Boost WTI Oil 3x Leverage Daily ETP	3OIL.L / 3OIL LN	25%	✓	1%
Boost WTI Oil 3x Leverage Daily ETP	3LOI.L / 3LOI LN	25%	✓	1%
Boost WTI Oil 3x Short Daily ETP	3OIS.L / 3OIS LN	25%	✗	1%
Boost WTI Oil 3x Short Daily ETP	3SOI.L / 3SOI LN	25%	✗	1%
Borders & Southern Petroleum PLC	BSTH.L / BOR LN	25%	✓	0.7%
Boussard & Gavaudan Holding Limited	BGHSx.L / BGHL LN	10%	✗	1%
Bovis Homes Group PLC	BVS.L / BVS LN	15%	✓	0.7%
BowLeven PLC	BLVN.L / BLVN LN	25%	✓	0.7%
Brady PLC	BRDY.L / BRY LN	25%	✓	1%
Braemar Shipping Services PLC	BRMS.L / BMS LN	25%	✓	0.7%
Brainjuicer Group PLC	BJU.L / BJU LN	25%	✗	1%
Brammer PLC	BRAM.L / BRAM LN	25%	✓	0.7%
Breedon Aggregates Limited	BREE.L / BREE LN	25%	✓	1%
Brewin Dolphin Holdings PLC	BRW.L / BRW LN	20%	✓	0.7%
Brit PLC	BRIT.L / BRIT LN	15%	✓	0.7%
British & American Investment Trust PLC	BAF.L / BAF LN	10%	✗	1%
British American Tobac Company PLC	BATS.L / BATS LN	5%	✓	0.3%
British Empire Trust PLC	BTEM.L / BTEM LN	20%	✓	0.3%
British Polythene Industries PLC	BRPI.L / BPI LN	25%	✓	0.7%
British Smaller Companies VCT 2 PLC	BSC.L / BSC LN	10%	✗	1%
British Smaller Companies Vct PLC	BSV.L / BSV LN	10%	✗	1%
Britvic PLC	BVIC.L / BVIC LN	15%	✓	0.3%
Brooks Macdonald Group PLC	BRK.L / BRK LN	25%	✓	1%
Bunzl PLC	BNZL.L / BNZL LN	10%	✓	0.3%
Burberry Group PLC	BRBY.L / BRBY LN	5%	✓	0.3%
Burford Capital Limited	BURF.L / BUR LN	25%	✓	1%
C&C Group PLC	GCC.L / CCR LN	10%	✓	0.3%
CATCo Reinsurance Opportunities Fund Limited	CATC.L / CAT LN	10%	✗	1%
CLS Holdings PLC	CLSH.L / CLI LN	25%	✓	0.7%
CMC Markets PLC	CMCX.L / CMCX LN	10%	✓	1%
CML Microsystems PLC	CML.L / CML LN	25%	✗	1%
CPP Group PLC	CPPG.L / CPP LN	25%	✓	0.7%
CQS New City High Yield Fund Limited	NCYF.L / NCYF LN	25%	✓	1%
CRH PLC	CRH.L / CRH LN	5%	✓	0.3%
CVC Credit Partners European Opportunities Limited	CCPE.L / CCPE LN	10%	✗	0.3%
CVC Credit Partners European Opportunities Limited	CCPG.L / CCPG LN	10%	✓	0.3%
CVS Group PLC	CVSG.L / CVSG LN	25%	✓	1%
CYBG PLC	CYBG.L / CYBG LN	10%	✓	1%
Cable & Wireless Communications PLC	CWC.L / CWC LN	15%	✓	0.7%
Cairn Energy PLC	CNE.L / CNE LN	10%	✓	0.3%
Cairn Homes PLC	CRN.L / CRN LN	25%	✓	1%
Caledonia Investments PLC	CLDN.L / CLDN LN	25%	✓	0.3%
Cambian Group PLC	CMBNC.L / CMBN LN	20%	✓	0.7%
Cambria Automobiles PLC	CAMBA.L / CAMB LN	25%	✓	1%
Camellia PLC	CAME.L / CAM LN	25%	✓	1%
Camkids Group PLC	CAMK.L / CAMK LN	25%	✓	1%

Canadian General Investments Limited	CGIq.L / CGI LN	10%	✗	1%
Canadian Overseas Petroleum Limited	COPL.L / COPL LN	25%	✗	1%
Candover Investments PLC	CDI.L / CDI LN	25%	✓	0.7%
Cap-XX Limited	CAPX.L / CPX LN	25%	✓	1%
Cape PLC	CIU.L / CIU LN	25%	✓	0.7%
Capita PLC	CPI.L / CPI LN	10%	✓	0.3%
Capital & Counties Properties PLC	CAPCC.L / CAPC LN	15%	✓	0.7%
Capital & Regional PLC	CAL.L / CAL LN	25%	✓	0.7%
Capital Gearing Trust PLC	CGT.L / CGT LN	25%	✗	1%
Carador Income Fund PLC	CIFu.L / CIFU LN	25%	✗	1%
Carclo PLC	C1Y.L / CAR LN	25%	✓	0.7%
Card Factory PLC	CARDC.L / CARD LN	10%	✓	0.3%
CareTech Holdings PLC	CTH.L / CTH LN	25%	✓	1%
Carillion PLC	CLLN.L / CLLN LN	10%	✓	0.7%
Carnival PLC	CCL.L / CCL LN	5%	✓	0.3%
Carpentright PLC	CPRC.L / CPR LN	25%	✓	0.7%
Carr's Group PLC	CARRC.L / CARR LN	25%	✓	1%
Castings PLC	CGS.L / CGS LN	25%	✓	0.7%
Castle Street Investments PLC	CSI.L / CSI LN	25%	✓	1%
Castleton Technology PLC	CTPC.L / CTP LN	25%	✗	1%
Caza Oil & Gas Inc	CAZA.L / CAZA LN	25%	✓	1%
Cello Group PLC	CLL.L / CLL LN	25%	✗	1%
Celtic PLC	CCP.L / CCP LN	25%	✗	1%
Kenkos Securities PLC	CNKS.L / CNKS LN	25%	✓	1%
Centamin PLC	CEY.L / CEY LN	15%	✓	0.7%
Centaur Media PLC	CAU.L / CAU LN	25%	✓	0.7%
Central Asia Metals PLC	CAML.L / CAML LN	25%	✓	0.3%
Central Rand Gold Limited	CRND.L / CRND LN	25%	✓	1%
CentralNic Group PLC	CNIC.L / CNIC LN	25%	✗	1%
Centrica PLC	CNA.L / CNA LN	5%	✓	0.3%
Ceres Power Holdings PLC	CWR.L / CWR LN	25%	✓	1%
Cerillion Technologies Limited	CER.L / CER LN	25%	✗	1%
Chaarat Gold Holdings Limited	CGH.L / CGH LN	25%	✓	0.3%
Character Group PLC	CCT.L / CCT LN	25%	✓	1%
Chariot Oil & Gas Limited	CHARC.L / CHAR LN	25%	✓	0.7%
Charlemagne Capital Limited	CHAR.L / CCAP LN	25%	✗	1%
Charles Stanley Group PLC	CAY.L / CAY LN	25%	✓	0.7%
Charles Taylor PLC	CHAT.L / CTR LN	25%	✓	0.7%
Chemring Group PLC	CHG.L / CHG LN	20%	✓	0.7%
Chenavari Capital Solutions Limited	CCSL.L / CCSL LN	10%	✗	1%
Chesnara PLC	CSN.L / CSN LN	25%	✓	0.7%
China Nonferrous Gold Limited	CNGC.L / CNG LN	25%	✓	0.7%
Chrysalis VCT PLC	CRYS.L / CYS LN	10%	✗	1%
Churchill China PLC	CHCH.L / CHH LN	25%	✓	1%
Churchill Mining PLC	CHLL.L / CHL LN	25%	✓	1%
Cineworld Group PLC	CINE.L / CINE LN	20%	✓	0.7%
Circassia Limited	CIRCI.L / CIR LN	15%	✓	0.7%
Circle Holdings PLC	CIRC.L / CIRC LN	25%	✓	1%
Circle Oil PLC	COPU.L / COP LN	25%	✓	1%
City Merchants High Yield Trust Limited	CMHY.L / CMHY LN	25%	✓	0.3%
City Natural Resources High Yield Trust PLC	CYNL.L / CYN LN	25%	✓	0.7%
City of London Investment Group PLC	CLIG.L / CLIG LN	25%	✓	0.3%
CityFibre Infrastructure Holdings PLC	CITYC.L / CITY LN	25%	✓	1%
Clarkson PLC	CKN.L / CKN LN	25%	✓	0.7%

ClearStar Inc	CLSU.L / CLSU LN	25%	✗	1%
Clinigen Group PLC	CLINC.L / CLIN LN	20%	✓	1%
Clipper Logistics PLC	CLG.L / CLG LN	25%	✓	1%
Close Brothers Group PLC	CBRO.L / CBG LN	15%	✓	0.7%
CloudBuy PLC	CBUY.L / CBUY LN	25%	✓	1%
Coal of Africa Limited	CZA.L / CZA LN	25%	✓	0.7%
Coats Group PLC	COA.L / COA LN	25%	✗	1%
Cobham PLC	COB.L / COB LN	10%	✓	0.3%
Coca-Cola HBC AG	CCH.L / CCH LN	10%	✓	0.3%
Cohort PLC	CHRT.L / CHRT LN	25%	✓	1%
Colefax Group PLC	CFX.L / CFX LN	25%	✓	1%
Commercial International Bank (Egypt) S. AE	COMIq.L / CBKD LI	20%	✓	1%
Commerzbank AG	CZB.L / CZB LN	5%	✗	1%
Communis PLC	CMS.L / CMS LN	25%	✓	0.7%
Compagnie de Saint-Gobain SA	CODC.L / COD LN	5%	✗	0.3%
Compass Group PLC	CPG.L / CPG LN	5%	✓	0.3%
Computacenter PLC	CCC.L / CCC LN	25%	✓	0.7%
Coms PLC	AMAN.L / COMS LN	25%	✓	1%
Concha PLC	CHA.L / CHA LN	25%	✓	1%
Concurrent Technologies PLC	CNCT.L / CNC LN	25%	✓	1%
Condor Gold PLC	CNDR.L / CNR LN	25%	✗	1%
Connect Group PLC	CNCTC.L / CNCT LN	25%	✓	0.7%
Consort Medical PLC	CSRT.L / CSRT LN	25%	✓	0.7%
Constellation Healthcare Technologies Inc	CHT.L / CHT LN	25%	✗	1%
Conviviality PLC	CVRC.L / CVR LN	25%	✓	1%
Conygar Investment Company PLC	CIC.L / CIC LN	25%	✓	1%
Conygar ZDP PLC	CIC_p.L / CICZ LN	25%	✗	1%
Corero Network Security PLC	CNSP.L / CNS LN	25%	✗	1%
Costain Group PLC	COSG.L / COST LN	25%	✓	0.7%
Countryside Properties PLC	CSPC.L / CSP LN	25%	✓	1%
Countrywide PLC	CWD.L / CWD LN	20%	✓	0.7%
Craneware PLC	CRW.L / CRW LN	25%	✓	1%
Cranswick PLC	CWK.L / CWK LN	25%	✓	0.7%
Crawshaw Group PLC	CRAW.L / CRAW LN	25%	✓	1%
Crest Nicholson Holdings PLC	CRST.L / CRST LN	20%	✓	0.7%
Creston PLC	CRCRE.L / CRE LN	25%	✓	1%
Croda International PLC	CRDA.L / CRDA LN	10%	✓	0.3%
Crossrider PLC	CROSC.L / CROS LN	25%	✓	1%
Crown Place VCT PLC	CRPL.L / CRWN LN	10%	✗	1%
Crystal Amber Fund Limited	CRSL.L / CRS LN	25%	✓	1%
Curtis Banks Group PLC	CBP.L / CBP LN	25%	✗	1%
Custodian REIT PLC	CREI.L / CREI LN	25%	✗	1%
Cyan Holdings PLC	CYAN.L / CYAN LN	25%	✓	1%
Cyprotex PLC	CN8.L / CRX LN	25%	✗	1%
DCC PLC	DCC.L / DCC LN	10%	✓	0.7%
DFS Furniture PLC	DFSD.L / DFS LN	25%	✓	1%
DJI Holdings PLC	DJI.L / DJI LN	25%	✗	1%
DP Aircraft I Limited	DPAD.L / DPA LN	25%	✗	1%
DP Poland PLC	DPP.L / DPP LN	25%	✓	1%
DS Smith PLC	SMDS.L / SMDS LN	10%	✓	0.3%
DW Catalyst Fund Limited	DWCG.L / DWCG LN	10%	✗	0.3%
DW Catalyst Fund Limited	DWCU.L / DWCU LN	10%	✗	0.3%
DX (Group) PLC	DXDX.L / DX/ LN	25%	✓	1%
Daejan Holdings PLC	DJAN.L / DJAN LN	25%	✓	0.7%

Daily Mail and General Trust PLC	DMGOa.L / DMGT LN	10%	✓	0.3%
Dairy Crest Group PLC	DCG.L / DCG LN	20%	✓	0.3%
Dairy Farm International Holdings Ltd	DFIB.L / DFIB LN	25%	✗	1%
Dairy Farm International Holdings Ltd	DFIJ.L / DFIJ LN	25%	✗	1%
Dalata Hotel Group PLC	DALD.L / DAL LN	20%	✓	0.7%
Dalradian Resources Inc	DALR.L / DALR LN	25%	✗	1%
Damille Investments II Limited	DIL2.L / DIL2 LN	10%	✗	0.3%
Dart Group PLC	DTG.L / DTG LN	20%	✓	0.7%
Darty PLC	DRTY.L / DRTY LN	25%	✓	0.7%
Datatec Limited	DTC.L / DTC LN	25%	✗	1%
De La Rue PLC	DLAR.L / DLAR LN	20%	✓	0.7%
Debenhams PLC	DEB.L / DEB LN	15%	✓	0.7%
Dechra Pharmaceuticals PLC	DECP.L / DPH LN	20%	✓	0.7%
Dee Valley Group PLC	DVWt.L / DVWA LN	25%	✗	1%
Deltex Medical Group PLC	DEMG.L / DEMG LN	25%	✓	1%
Derwent London PLC	DLN.L / DLN LN	20%	✓	0.3%
Devro PLC	DVO.L / DVO LN	25%	✓	0.7%
Dewhurst PLC	DWHT.L / DWHT LN	25%	✗	1%
Dewhurst PLC	DWHTt.L / DWHA LN	25%	✗	1%
Dexion Absolute Limited	DAB.L / DAB LN	25%	✓	0.3%
Diageo PLC	DGE.L / DGE LN	5%	✓	0.3%
Dialight PLC	DIAL.L / DIA LN	20%	✓	0.7%
Diamondcorp PLC	DCP.L / DCP LN	25%	✓	1%
Digital Barriers PLC	DGB.L / DGB LN	25%	✓	1%
Digital Globe Services Inc	DGS.L / DGS LN	25%	✗	1%
Dignity PLC	DTY.L / DTY LN	25%	✓	0.7%
Diploma PLC	DPLM.L / DPLM LN	25%	✓	0.7%
Direct Line Insurance Group PLC	DLGD.L / DLG LN	10%	✓	0.3%
Dixons Carphone PLC	DC.L / DC/ LN	20%	✓	0.3%
Dods (Group) PLC	DODS.L / DODS LN	25%	✗	1%
Dolphin Capital Investors Limited	DOLC.L / DCI LN	25%	✓	1%
Domino Printing Sciences PLC	DOPR.L / DNO LN	25%	✓	0.3%
Domino's Pizza Group PLC	DOM.L / DOM LN	15%	✓	0.7%
Doric Nimrod Air 3 Limited	DNA3.L / DNA3 LN	25%	✓	1%
Doric Nimrod Air One Limited	DNAO_p.L / DNA LN	25%	✗	1%
Doric Nimrod Air Two Limited	DNA2_p.L / DNA2 LN	25%	✗	1%
Downing FOUR VCT PLC	DO1Bb.L / DO1B LN	10%	✗	0.3%
Downing ONE VCT PLC	DDV1.L / DDV1 LN	10%	✗	1%
Downing THREE VCT PLC	DPV3c.L / DP3C LN	10%	✗	0.3%
Downing THREE VCT PLC	DPV3a.L / DP3A LN	10%	✗	0.3%
Downing THREE VCT PLC	DP3E.L / DP3E LN	20%	✗	0.7%
Downing THREE VCT PLC	DP3J.L / DP3J LN	10%	✗	0.3%
Downing THREE VCT PLC	DP3H.L / DP3H LN	10%	✗	0.3%
Downing THREE VCT PLC	DP2Fx.L / DP3F LN	10%	✗	0.3%
Downing THREE VCT PLC	DP3Ex.L / DP3D LN	20%	✗	0.7%
Downing Two VCT PLC	DP2G.L / DP2G LN	10%	✗	0.3%
Downing Two VCT PLC	DP2Ex.L / DP2D LN	20%	✗	0.7%
Downing Two VCT PLC	DPV2c.L / DP2C LN	10%	✗	0.3%
Downing Two VCT PLC	DP2F.L / DP2F LN	10%	✗	0.3%
Downing Two VCT PLC	DP2E.L / DP2E LN	10%	✗	0.3%
Downing Two VCT PLC	DPV2a.L / DP2A LN	10%	✗	0.3%
Drax Group PLC	DRX.L / DRX LN	10%	✓	0.3%
Driver Group PLC	DRV.L / DRV LN	25%	✓	1%
Duet Real Estate Finance Limited	DREF.L / DREF LN	10%	✓	0.3%

Dunedin Enterprise Investment Trust PLC	DUNE.L / DNE LN	10%	✓	0.3%
Dunedin Income Growth Investment Trust PLC	DIG.L / DIG LN	25%	✓	0.3%
Dunedin Smaller Companies Investment Trust PLC	DNDL.L / DNDL LN	25%	✓	0.3%
Dunelm Group PLC	DNLM.L / DNLM LN	20%	✓	0.7%
ECO Animal Health Group PLC	EAH.L / EAH LN	25%	✓	1%
EIH PLC	EIH.L / EIH LN	25%	✗	1%
EKF Diagnostics Holdings PLC	EKF.L / EKF LN	25%	✓	0.7%
EMIS Group PLC	EMISG.L / EMIS LN	25%	✓	1%
EP Global Opportunities Trust PLC	EPGT.L / EPG LN	10%	✗	0.3%
EPE Special Opportunities PLC	ESOE.L / ESO LN	10%	✗	0.3%
ETFS 1x Daily Short Brent Crude ETC	SBRT.L / SBRT LN	25%	✗	0.7%
ETFS 1x Daily Short Cocoa ETC	SCOC.L / SCOC LN	10%	✗	1%
ETFS 1x Daily Short Coffee ETC	SCFE.L / SCFE LN	25%	✗	1%
ETFS 1x Daily Short Copper ETC	SCPR.L / SCOP LN	10%	✓	0.7%
ETFS 1x Daily Short Corn ETC	SCRN.L / SCOR LN	10%	✗	0.7%
ETFS 1x Daily Short Cotton ETC	SCTON.L / SCTO LN	10%	✗	0.7%
ETFS 1x Daily Short Gold ETC	SBUL.L / SBUL LN	10%	✓	0.3%
ETFS 1x Daily Short Industrial MetalsETC	SIME.L / SIMT LN	25%	✗	1%
ETFS 1x Daily Short Nickel ETC	SNIK.L / SNIK LN	10%	✗	0.3%
ETFS 1x Daily Short Petroleum ETC	SPTO.L / SPET LN	10%	✗	1%
ETFS 1x Daily Short Platinum ETC	SPLA.L / SPLA LN	10%	✗	1%
ETFS 1x Daily Short Silver ETC	SSIL.L / SSIL LN	10%	✗	0.7%
ETFS 1x Daily Short Soybeans ETC	SSOB.L / SSOB LN	10%	✗	0.3%
ETFS 1x Daily Short Sugar ETC	SSUG.L / SSUG LN	10%	✗	0.3%
ETFS 1x Daily Short WTI Crude Oil ETC	SOIL.L / SOIL LN	10%	✗	0.7%
ETFS 1x Daily Short Wheat ETC	SWEA.L / SWEA LN	10%	✗	0.7%
ETFS 1x Daily Short Zinc ETC	SZIC.L / SZIC LN	10%	✗	0.7%
ETFS 2x Daily Long Cocoa ETC	LCOC.L / LCOC LN	10%	✗	0.3%
ETFS 2x Daily Long Coffee ETC	LCFE.L / LCFE LN	15%	✗	0.7%
ETFS 2x Daily Long Copper ETC	LCOP.L / LCOP LN	25%	✗	1%
ETFS 2x Daily Long Gasoline ETC	LGAS.L / LGAS LN	15%	✗	1%
ETFS 2x Daily Long Gold ETC	LBUL.L / LBUL LN	10%	✗	0.7%
ETFS 2x Daily Long Live Cattle ETC	LLCT.L / LLCT LN	15%	✗	1%
ETFS 2x Daily Long Natural Gas ETC	LNGA.L / LNGA LN	10%	✗	0.7%
ETFS 2x Daily Long Nickel ETC	LNK.L / LNIK LN	15%	✗	1%
ETFS 2x Daily Long Silver ETC	LSIL.L / LSIL LN	10%	✗	0.7%
ETFS 2x Daily Long Soybean Oil ETC	LSYO.L / LSYO LN	15%	✗	1%
ETFS 2x Daily Long Sugar ETC	LSUG.L / LSUG LN	10%	✗	0.3%
ETFS 2x Daily Long Tin ETC	LTIM.L / LTIM LN	25%	✗	1%
ETFS 2x Daily Long WTI Crude Oil ETC	LOIL.L / LOIL LN	10%	✓	0.7%
ETFS 3x Daily Long FTSE 100	UK3L.L / UK3L LN	25%	✓	1%
ETFS 3x Daily Long WTI Crude Oil Exchange Traded Commodity	3CRL.L / 3CRL LN	25%	✗	1%
ETFS 3x Daily Short FTSE 100	UK3S.L / UK3S LN	25%	✓	1%
ETFS 3x Long AUD Short GBP	AUP3.L / AUP3 LN	20%	✗	1%
ETFS 3x Long USD Short GBP	USP3.L / USP3 LN	25%	✗	1%
ETFS 3x Short AUD Long GBP	SAP3.L / SAP3 LN	20%	✗	1%
ETFS 3x Short EUR Long USD	SEU3.L / SEU3 LN	10%	✗	0.3%
ETFS 3x Short JPY Long GBP	SYP3.L / SYP3 LN	20%	✗	1%
ETFS 3x Short JPY Long USD	SJP3.L / SJP3 LN	20%	✗	1%
ETFS 5x Long EUR Short GBP ETC	EUP5.L / EUP5 LN	25%	✗	1%
ETFS 5x Long USD Short GBP ETC	USP5.L / USP5 LN	25%	✗	1%
ETFS Agriculture ETC	AIGA.L / AIGA LN	10%	✓	0.7%
ETFS Agriculture ETC	AGAP.L / AGAP LN	10%	✗	0.3%

ETFS All Commodities ETC	AIGC.L / AIGC LN	10%	✓	0.7%
ETFS Aluminium ETC	ALMN.L / ALUM LN	10%	✗	0.7%
ETFS Brent 1yr ETC	OSB1.L / OSB1 LN	10%	✗	1%
ETFS Brent 2yr ETC	OSB2.L / OSB2 LN	10%	✗	1%
ETFS Brent 3yr ETC	OSB3.L / OSB3 LN	25%	✗	1%
ETFS Brent Crude ETC	BRNT.L / BRNT LN	10%	✓	0.3%
ETFS Brent Oil 1 month ETC	OILB.L / OILB LN	10%	✓	0.7%
ETFS Brent Oil 1 month ETC	OLBP.L / OLBP LN	10%	✓	0.3%
ETFS Carbon ETC	CARB.L / CARB LN	10%	✗	1%
ETFS Coffee ETC	COFF.L / COFF LN	10%	✓	0.7%
ETFS Copper ETC	COPA.L / COPA LN	10%	✓	0.7%
ETFS Corn ETC	CORN.L / CORN LN	10%	✗	0.7%
ETFS Cotton ETC	COTNC.L / COTN LN	10%	✗	0.7%
ETFS Crude Oil ETC	CRUD.L / CRUD LN	10%	✓	0.7%
ETFS DAX(r) Daily 2x Long GO UCITS ETF	DEL2.L / DEL2 LN	10%	✗	0.3%
ETFS DAX(r) Daily 2x Long GO UCITS ETF	DL2P.L / DL2P LN	10%	✗	0.3%
ETFS DAX(r) Daily 2x Short GO UCITS ETF	DES2.L / DES2 LN	15%	✗	0.7%
ETFS DAXglobal Gold Mining GO UCITS ETF	AUCOP.L / AUCP LN	10%	✗	0.3%
ETFS Energy ETC	AIGE.L / AIGE LN	10%	✗	0.7%
ETFS Ex-Energy ETC	AIGX.L / AIGX LN	10%	✗	0.7%
ETFS FTSE 100(r) Leveraged (Daily 2x) GO UCITS ETF	LUK2.L / LUK2 LN	10%	✓	0.7%
ETFS FTSE 100(r) Super Short Strategy (Daily 2x) GO UCITS ETF	SUK2.L / SUK2 LN	10%	✓	0.7%
ETFS GBP Daily Hedged Agriculture DJ-UBS PDSM ETC	ECPAGR.L / PAGR LN	10%	✗	1%
ETFS GBP Daily Hedged All Commodities DJ-UBS PD ETC	PALL.L / PALL LN	10%	✗	1%
ETFS GBP Daily Hedged Copper ETC	PCOP.L / PCOP LN	25%	✗	1%
ETFS GBP Daily Hedged Energy DJ-UBS PDSM ETC	PENR.L / PENR LN	10%	✗	1%
ETFS GBP Daily Hedged Forward All Commodities DJ-UBS PD-F3SM ETC	PFCM.L / PFCM LN	10%	✗	1%
ETFS GBP Daily Hedged Forward Energy DJ-UBS PD-F3SM ETC	PFEN.L / PFEN LN	10%	✗	1%
ETFS GBP Daily Hedged Gold ETC	PBUL.L / PBUL LN	10%	✗	1%
ETFS GBP Daily Hedged Industrial Metals DJ-UBS PDSM ETC	PIMT.L / PIMT LN	10%	✗	1%
ETFS GBP Daily Hedged Natural Gas ETC	PNGA.L / PNGA LN	10%	✗	1%
ETFS GBP Daily Hedged Physical Gold ETC	GBSP.L / GBSP LN	10%	✗	1%
ETFS Gasoline ETC	UGAS.L / UGAS LN	10%	✗	0.7%
ETFS Gold Bullion Securities ETC	GBSx.L / GBS LN	10%	✓	0.3%
ETFS Gold ETC	BULL.L / BULL LN	10%	✗	0.7%
ETFS Grains ETC	GRNS.L / AIGG LN	10%	✗	0.7%
ETFS Heating Oil ETC	HEAT.L / HEAT LN	10%	✗	0.7%
ETFS ISE Cyber Security GO UCITS ETF Accumulating ETF SAE	ISPY.L / ISPY LN	10%	✓	0.3%
ETFS Industrial Metals ETC	AIGI.L / AIGI LN	10%	✓	0.7%
ETFS Lean Hogs ETC	HOGS.L / HOGS LN	10%	✗	0.3%
ETFS Live Cattle ETC	CATL.L / CATL LN	10%	✗	0.3%
ETFS Livestock ETC	AIGL.L / AIGL LN	10%	✗	0.3%
ETFS Lombard Odier IM Emerging Market Local Government Bond Fundamental GO UCITS ETF	LOCL.L / LOCL LN	10%	✗	0.3%
ETFS Lombard Odier IM Global Government Bond Fundamental GO UCITS ETF	ECCORE.L / CORE LN	10%	✗	0.3%
ETFS Long CHF Short USD	CHLP.L / CHFP LN	10%	✗	1%
ETFS Long CNY Short USD	LCNP.L / LCNP LN	10%	✗	1%
ETFS Long EUR Short GBP	GBUR.L / GBUR LN	25%	✗	1%
ETFS Long EUR Short USD	EURP.L / EURP LN	10%	✗	1%
ETFS Long EUR Short USD	LERO.L / LEUR LN	10%	✗	0.3%

ETFS Long GBP Short USD	GBPP.L / GBPP LN	25%	✗	1%
ETFS Long GBP Short USD	LGBP.L / LGBP LN	25%	✗	1%
ETFS Long INR Short USD	LINRP.L / LINP LN	10%	✗	1%
ETFS Long INR Short USD	LINRR.L / LINR LN	10%	✗	0.3%
ETFS Long NZD Short USD	NZDP.L / NZDP LN	10%	✗	1%
ETFS Longer Dated Agriculture ETC	FAGR.L / FAGR LN	10%	✗	1%
ETFS Longer Dated All Commodities GO UCITS ETF	COMF.L / COMF LN	10%	✗	0.3%
ETFS Longer Dated All Commodities GO UCITS ETF	CMFPP.L / CMFP LN	10%	✓	0.3%
ETFS Longer Dated Energy ETC	ENEF.L / ENEF LN	10%	✗	0.3%
ETFS Longer Dated Grains ETC	GRAF.L / GRAF LN	10%	✗	1%
ETFS Longer Dated Livestock ETC	FLIV.L / FLIV LN	25%	✗	1%
ETFS Longer Dated Petroleum ETC	FPET.L / FPET LN	10%	✗	1%
ETFS Natural Gas ETC	NGAS.L / NGAS LN	10%	✓	0.7%
ETFS Nickel ETC	NICK.L / NICK LN	10%	✓	0.7%
ETFS Petroleum ETC	AIGO.L / AIGO LN	10%	✗	0.7%
ETFS Physical Gold	PHAU.L / PHAU LN	10%	✓	0.7%
ETFS Physical Gold	PHGP.L / PHGP LN	10%	✓	0.7%
ETFS Physical PM Basket ETC	PHPM.L / PHPM LN	10%	✗	0.7%
ETFS Physical Palladium ETC	PHPD.L / PHPD LN	10%	✗	0.7%
ETFS Physical Platinum ETC	PHPT.L / PHPT LN	10%	✓	0.7%
ETFS Physical Silver ETC	PHAG.L / PHAG LN	10%	✓	0.7%
ETFS Physical Silver ETC	PHSP.L / PHSP LN	10%	✗	0.3%
ETFS Precious Metals ETC	AIGP.L / AIGP LN	10%	✗	0.7%
ETFS Russell 2000 US Small Cap GO UCITS ETF	RTWP.L / RTWP LN	10%	✗	0.3%
ETFS Short CNY Long USD	SCYP.L / SCYP LN	10%	✗	0.3%
ETFS Short EUR Long GBP	URGB.L / URGB LN	25%	✗	1%
ETFS Short EUR Long USD	SERO.L / SEUR LN	10%	✗	1%
ETFS Short GBP Long USD	USD2.L / USD2 LN	10%	✗	0.3%
ETFS Short GBP Long USD	SGBP.L / SGBP LN	10%	✗	0.3%
ETFS Short INR Long USD	SINP.L / SINP LN	10%	✗	1%
ETFS Silver ETC	SLVR.L / SLVR LN	10%	✗	0.7%
ETFS Softs ETC	AIGS.L / AIGS LN	10%	✗	0.7%
ETFS Soybean Oil ETC	SOYO.L / SOYO LN	10%	✗	0.7%
ETFS Soybeans ETC	SOYB.L / SOYB LN	10%	✗	0.7%
ETFS Sugar ETC	SUGA.L / SUGA LN	10%	✓	0.7%
ETFS Tin ETC	TINM.L / TINM LN	25%	✗	1%
ETFS US Energy Infrastructure MLP GO UCITS ETF	MLPX.L / MLPX LN	10%	✗	1%
ETFS US Energy Infrastructure MLP GO UCITS ETF	MLPI.L / MLPI LN	10%	✗	0.3%
ETFS WTI 2mth ETC	OILW.L / OILW LN	10%	✗	0.7%
ETFS WTI 3yr ETC	OSW3.L / OSW3 LN	10%	✗	1%
ETFS Wheat ETC	WEAT.L / WEAT LN	10%	✓	0.7%
ETFS Zinc ETC	ZINC.L / ZINC LN	10%	✗	0.7%
ETFS-E Fund MSCI China A GO UCITS ETF	CASH.L / CASH LN	10%	✗	0.3%
EVRAZ PLC	EVRE.L / EVR LN	20%	✓	0.7%
Ebiquity PLC	EBQ.L / EBQ LN	25%	✓	1%
Eckoh PLC	ECK.L / ECK LN	25%	✓	1%
Ecofin Water & Power Opportunities PLC	ECWo.L / ECWZ LN	10%	✗	0.3%
Eden Research PLC	EDENE.L / EDEN LN	25%	✗	1%
Edge Performance VCT PLC	EDGF.L / EDGF LN	10%	✗	0.3%
Edge Performance VCT PLC	EDGG.L / EDGG LN	10%	✗	0.3%
Edge Performance VCT PLC	EDGI.L / EDGI LN	10%	✗	0.3%
Edge Performance VCT PLC	EDGx.L / EDGD LN	10%	✗	0.3%
Edge Performance VCT PLC	EDGc.L / EDGC LN	10%	✗	0.3%
Edge Performance VCT PLC	EDGE.L / EDGE LN	10%	✗	0.3%

Edge Performance VCT PLC	EDGH.L / EDGH LN	10%	✗	0.3%
Edinburgh Dragon Trust PLC	EFM.L / EFM LN	25%	✓	0.3%
Edinburgh Worldwide Investment Trust PLC	EWI.L / EWI LN	25%	✓	0.7%
Edita Food Industries S. AE	EFIDq.L / EFID LI	25%	✓	1%
Egdon Resources PLC	EGRE.L / EDR LN	25%	✓	1%
El Oro Limited	ELX.L / ELX LN	10%	✗	0.3%
Eland Oil & Gas PLC	ELA.L / ELA LN	25%	✓	1%
Elecosoft PLC	ELCO.L / ELCO LN	25%	✗	1%
Electra Private Equity Investments PLC	ELTAo.L / ELTZ LN	10%	✗	0.3%
Electra Private Equity PLC	ELTA.L / ELTA LN	20%	✓	0.7%
Electric Word PLC	ELWO.L / ELE LN	25%	✗	1%
Electrocomponents PLC	ECM.L / ECM LN	20%	✓	0.3%
Elegant Hotels Group PLC	EHGE.L / EHG LN	25%	✓	1%
Elementis PLC	ELM.L / ELM LN	20%	✓	0.7%
Empiric Student Property PLC	ESP.L / ESP LN	25%	✓	1%
Empresaria Group PLC	EMPR.L / EMR LN	25%	✗	1%
Empyrean Energy PLC	EMEL.L / EME LN	25%	✓	1%
EnQuest PLC	ENQ.L / ENQ LN	20%	✓	0.7%
Energy Assets Group PLC	EASE.L / EAS LN	25%	✓	1%
Enteq Upstream PLC	NTQ.L / NTQ LN	25%	✓	1%
Enterprise Inns PLC	ETI.L / ETI LN	20%	✓	0.7%
Entertainment One Limited	ETO.L / ETO LN	15%	✓	1%
Epwin Group PLC	EPWN.L / EPWN LN	25%	✓	1%
Equatorial Palm Oil PLC	PALO.L / PAL LN	25%	✗	1%
Equiniti Group PLC	EQN.L / EQN LN	25%	✓	1%
Ergomed PLC	ERGO.L / ERGO LN	25%	✗	1%
Essentra PLC	ESNT.L / ESNT LN	15%	✓	0.3%
Etalon Group Limited	ETLNGq.L / ETLN LI	25%	✓	1%
Eurocell PLC	ECEL.L / ECEL LN	25%	✗	1%
Euromoney Institutional Investor PLC	ERM.L / ERM LN	25%	✓	0.7%
Europa Oil & Gas (Holdings) PLC	EOG.L / EOG LN	25%	✓	1%
European Assets Trust NV	EUNZ.L / EAT LN	25%	✓	1%
European Metals Holdings Limited	EMHE.L / EMH LN	25%	✗	1%
European Real Estate Investment Trust Limited	ERET_p.L / ERET LN	25%	✓	1%
Evgen Pharma PLC	EVG.L / EVG LN	25%	✓	1%
Exillon Energy PLC	EXIL.L / EXI LN	25%	✓	0.7%
Exova Group PLC	EXO.L / EXO LN	25%	☐	1%
Experian PLC	EXPN.L / EXPN LN	5%	✓	0.3%
F&C Capital and Income Investment Trust PLC	FCI.L / FCI LN	25%	✓	0.7%
F&C Commercial Property Trust Limited	FCPTL.L / FCPT LN	25%	✓	0.3%
F&C Global Smaller Companies PLC	FCS.L / FCS LN	25%	✓	0.7%
F&C Managed Portfolio Trust plc - Growth Portfolio	FMPG.L / FMPG LN	10%	✗	0.3%
F&C Managed Portfolio Trust plc - Income Sh AE	FMPGi.L / FMPI LN	10%	✗	0.3%
F&C Private Equity Trust PLC	FPERx.L / FPEO LN	25%	✓	0.7%
F&C UK Real Estate Investments Limited	FCRE.L / FCRE LN	25%	✓	0.7%
F.W. Thorpe PLC	TFW.L / TFW LN	25%	✓	0.3%
FBD Holdings PLC	FBH.L / FBH LN	20%	✗	1%
FDM Group (Holdings) PLC	FDM.L / FDM LN	20%	✓	0.3%
Fair Oaks Income Fund Limited	FAIR.L / FAIR LN	10%	✗	1%
Fair Oaks Income Fund Limited	FAIC.L / FAIC LN	10%	✗	0.3%
FairFX Group PLC	FFX.L / FFX LN	25%	✓	1%
Fairpoint Group PLC	FRPG.L / FRP LN	25%	✓	1%
Falkland Islands Holdings PLC	FKL.L / FKL LN	25%	✓	1%
Falkland Oil and Gas Limited	FOGL.L / FOGL LN	25%	✓	0.7%

Faroe Petroleum PLC	FPM.L / FPM LN	25%	✓	0.7%
Faron Pharmaceuticals Oy	FARN.L / FARN LN	25%	✗	1%
FastJet PLC	FJET.L / FJET LN	25%	✓	1%
Fastnet Equity PLC	FASTF.L / FAST LN	25%	✗	1%
Fenner PLC	FENR.L / FENR LN	20%	✓	0.7%
Ferrexpo PLC	FXPO.L / FXPO LN	20%	✓	0.7%
Fevertree Drinks PLC	FEVR.L / FEVR LN	25%	✓	1%
Fidelity Asian Values PLC	FAS.L / FAS LN	25%	✓	0.3%
Fidelity China Special Situations PLC	FCSS.L / FCSS LN	20%	✓	0.7%
Fidelity Investment Trust - Fidelity European Values PLC	FEV.L / FEV LN	25%	✓	0.3%
Fidelity Investment Trust - Fidelity Special Values PLC	FSV.L / FSV LN	25%	✓	0.7%
Fidelity Japanese Values PLC	FJV.L / FJV LN	25%	✓	0.7%
Fidelity Japanese Values PLC	FJVS.L / FJVS LN	20%	✗	0.7%
Fidessa group PLC	FDSA.L / FDSA LN	25%	✓	0.7%
Filtronic PLC	FTC.L / FTC LN	25%	✓	1%
Findel PLC	FDL.L / FDL LN	25%	✓	1%
Finsbury Food Group PLC	FIF.L / FIF LN	25%	✓	1%
Finsbury Growth & Income Trust PLC	FGT.L / FGT LN	20%	✓	0.7%
Firestone Diamonds PLC	FDI.L / FDI LN	25%	✓	1%
First Derivatives PLC	FRST.L / FDP LN	25%	✓	1%
First Property Group PLC	FSTP.L / FPO LN	25%	✓	1%
First Quantum Minerals Limited	FQM.L / FQM LN	25%	✓	1%
First Trust Emerging Markets AlphaDEX(r) UCITS ETF Class A USD	FEM.L / FEM LN	10%	✗	1%
First Trust Emerging Markets AlphaDEX(r) UCITS ETF Class A USD	FEMU.L / FEMU LN	10%	✗	0.3%
First Trust Eurozone AlphaDEX(r) UCITS ETF Class A Sh AE	FEUZ.L / FEUZ LN	10%	✗	0.3%
First Trust US Large Cap Core AlphaDEX(r) UCITS ETF Class A USD	FEX.L / FEX LN	10%	✗	0.3%
First Trust US Large Cap Core AlphaDEX(r) UCITS ETF Class B	FEXD.L / FEXD LN	10%	✗	1%
First Trust United Kingdom AlphaDEX(r) UCITS ETF Class A GBP	FKU.L / FKU LN	10%	✗	0.3%
Firstgroup PLC	FGP.L / FGP LN	15%	✓	0.3%
Fitbug Holdings PLC	FITBF.L / FITB LN	25%	✓	1%
Flowgroup PLC	FLOWF.L / FLOW LN	25%	✓	1%
Flowtech Fluidpower PLC	FLOL.L / FLO LN	25%	✓	1%
Flybe Group PLC	FLYB.L / FLYB LN	25%	✓	1%
Focusrite PLC	TUNE.L / TUNE LN	25%	✓	1%
Foreign & Colonial Investment Trust PLC	FRCL.L / FRCL LN	25%	✓	0.3%
Foresight 2 VCT PLC	FTN.L / FTN LN	10%	✗	1%
Foresight 3 VCT PLC	FST3.L / FTD LN	10%	✗	1%
Foresight Solar & Infrastructure VCT PLC	FTSV.L / FTSV LN	10%	✗	1%
Foresight Solar Fund PLC	FSFL.L / FSFL LN	10%	⚠	0.3%
Foresight VCT PLC	FTV.L / FTV LN	25%	✗	1%
Foxtons Group PLC	FOXT.L / FOXT LN	20%	✓	0.7%
French Connection Group PLC	FCCN.L / FCCN LN	25%	✓	1%
Frenkel Topping Group PLC	FEN.L / FEN LN	25%	✗	1%
Fresnillo PLC	FRES.L / FRES LN	10%	✓	0.7%
Frontera Resources Corporation	FRR.L / FRR LN	25%	✓	1%
Frontier Developments PLC	FDEV.L / FDEV LN	25%	✗	1%
Fulcrum Utility Services Limited	FCRM.L / FCRM LN	25%	✓	1%
Fuller Smith & Turner PLC	FSTA.L / FSTA LN	25%	✓	0.7%
FundLogic Alternatives plc MS Scientific Beta US Equity Factors UCITS ETF Share Class USD	USEF.L / USEF LN	10%	✗	0.3%

Funding Circle SME Income Fund Limited	FCIF.L / FCIF LN	10%	✗	0.3%
Fundsmith Emerging Equities Trust PLC	FEET.L / FEET LN	10%	✗	0.3%
Fusionex International PLC	FXIF.L / FXI LN	25%	✓	1%
Futura Medical PLC	FUM.L / FUM LN	25%	✓	1%
Future PLC	FUTR.L / FUTR LN	25%	✓	0.7%
Fyffes PLC	FFY.L / FFY LN	25%	✓	1%
G4S PLC	GFS.L / GFS LN	10%	✓	0.3%
GAME Digital PLC	GMDG.L / GMD LN	20%	✗	0.7%
GB Group PLC	GBGP.L / GBG LN	25%	✓	1%
GCP Infrastructure Investments Limited	GCPI.L / GCP LN	15%	✓	0.7%
GCP Student Living PLC	DIGS.L / DIGS LN	25%	✓	1%
GETECH Group PLC	GTC.L / GTC LN	25%	✓	1%
GKN PLC	GKN.L / GKN LN	5%	✓	0.3%
GLI Alternative Finance PLC	GLAF.L / GLAF LN	10%	✗	0.3%
GLI Finance Limited	GLIF.L / GLIF LN	25%	✓	1%
GVC Holdings PLC	GVC.L / GVC LN	25%	✓	1%
GW Pharmaceuticals PLC	GWP.L / GWP LN	25%	✓	0.7%
Gabelli Value Plus+ Trust PLC	GVP.L / GVP LN	10%	✗	0.3%
Gable Holdings Inc	GAH.L / GAH LN	25%	✓	1%
Galliford Try PLC	GFRD.L / GFRD LN	20%	✓	0.7%
Gama Aviation PLC	GMAA.L / GMAA LN	25%	✓	1%
Games Workshop Group PLC	GAW.L / GAW LN	25%	✓	1%
Gaming Realms PLC	GMRG.L / GMR LN	25%	✓	1%
Gamma Communications PLC	GAMA.L / GAMA LN	25%	✓	1%
Gateley (Holdings) PLC	GTLY.L / GTLY LN	25%	✓	1%
Gem Diamonds Limited	GEMD.L / GEMD LN	25%	✓	0.7%
Gemfields PLC	GEM.L / GEM LN	25%	✓	1%
Genel Energy PLC	GENL.L / GENL LN	20%	✓	0.7%
General Industries PLC	GNIG.L / GNI LN	25%	✗	1%
Genesis Emerging Markets Fund	GENE.L / GSS LN	25%	✓	0.7%
Genus PLC	GENS.L / GNS LN	25%	✓	0.3%
Glanbia PLC	GL9.L / GLB LN	25%	✓	0.3%
GlaxoSmithKline PLC	GSK.L / GSK LN	5%	✓	0.3%
Glencore PLC	GLEN.L / GLEN LN	10%	✓	0.3%
Glenwick PLC	GWIK.L / GWIK LN	25%	✗	1%
Global Energy Development PLC	GBLE.L / GED LN	25%	✓	1%
Global Ports Investments PLC	GLPRq.L / GLPR LI	25%	✓	1%
Global Telecom Holding S. AE	GLTDq.L / GLTD LI	20%	✓	1%
Globaltrans Investment PLC	GLTRq.L / GLTR LI	20%	✗	1%
Globalworth Real Estate Investment Limited	GWIL.L / GWI LN	25%	✗	1%
Gloo Networks PLC	GLOO.L / GLOO LN	25%	✗	1%
Goals Soccer Centres PLC	GOAL.L / GOAL LN	25%	✓	1%
Golden Prospect Precious Metals Limited	GPM.L / GPM LN	10%	✓	1%
Goldenport Holdings Inc	GPRT.L / GPRT LN	25%	✗	0.7%
Gooch & Housego PLC	GHH.L / GHH LN	25%	✓	0.7%
Goodwin PLC	GDWN.L / GDWN LN	25%	✓	0.7%
Grafton Group PLC	GRF_u.L / GFTU LN	15%	✓	0.7%
Grainger PLC	GRI.L / GRI LN	20%	✓	0.7%
Grand Group Investment PLC	GIPO.L / GIPO LN	25%	✗	1%
Graphene NanoChem PLC	GRPHG.L / GRPH LN	25%	✓	1%
Great Portland Estates PLC	GPOR.L / GPOR LN	20%	✓	0.3%
Green REIT PLC	GRNG.L / GRN LN	20%	✓	0.7%
Greencoat UK Wind PLC	UKWG.L / UKW LN	25%	✓	1%
Greencore Group PLC	GNC.L / GNC LN	10%	✓	0.7%

Greene King PLC	GNK.L / GNK LN	15%	✓	0.3%
Greenko Group PLC	GKO.L / GKO LN	25%	✓	0.7%
Greggs PLC	GRG.L / GRG LN	20%	✓	0.7%
Greka Drilling Limited	GDL.L / GDL LN	25%	✓	1%
Griffin Mining Limited	GFM.L / GFM LN	25%	✓	1%
Ground Rents Income Fund PLC	GRIO.L / GRIO LN	25%	✗	1%
Gulf Keystone Petroleum Limited	GKP.L / GKP LN	15%	✓	0.7%
Gulf Marine Services PLC	GMS.L / GMS LN	25%	✓	1%
Gulfsands Petroleum PLC	GPX.L / GPX LN	25%	✓	1%
H&T Group PLC	HTGR.L / HAT LN	25%	✓	0.7%
HICL Infrastructure Company Limited	HICL.L / HICL LN	15%	✓	0.3%
HMS Hydraulic Machines & Systems Group PLC	HMSGq.L / HMSG LI	25%	✗	1%
HSBC FTSE 100 UCITS ETF	HUKX.L / HUKX LN	10%	✗	0.3%
HSBC FTSE EPRA NAREIT Developed UCITS ETF	HPROP.L / HPRO LN	10%	✗	0.3%
HSBC FTSE EPRA NAREIT Developed UCITS ETF	HPRD.L / HPRD LN	10%	✗	0.3%
HSBC Holdings PLC	HSBA.L / HSBA LN	5%	✓	0.3%
HSBC MSCI China UCITS ETF	HMCH.L / HMCH LN	10%	✗	0.3%
HSBC MSCI Emerging Markets UCITS ETF	HMEF.L / HMEF LN	10%	✗	0.3%
HSBC MSCI Russia Capped UCITS ETF	HRUB.L / HRUB LN	10%	✗	0.3%
HSBC MSCI Russia Capped UCITS ETF	HRUD.L / HRUD LN	10%	✗	0.3%
HSBC MSCI USA UCITS ETF	HMUD.L / HMUD LN	10%	✗	0.3%
HSBC MSCI World UCITS ETF	HMWO.L / HMWO LN	10%	✗	0.3%
HSBC S&P 500 UCITS ETF	HSPX.L / HSPX LN	10%	✗	0.3%
HSS Hire Group PLC	HSS.L / HSS LN	15%	✗	1%
Halfords Group PLC	HFD.L / HFD LN	20%	✓	0.3%
Halma PLC	HLMA.L / HLMA LN	15%	✓	0.3%
HaloSource Inc	HALH.L / HAL LN	25%	✗	1%
Halyk Savings Bank Of Kazakh SA	HSBKq.L / HSBK LI	25%	✓	1%
Hammerson PLC	HMSO.L / HMSO LN	10%	✓	0.3%
Hansa Trust PLC	HAN.L / HAN LN	25%	✓	0.7%
Hansa Trust PLC	HANa.L / HANA LN	10%	✗	0.3%
Hansard Global PLC	HSD.L / HSD LN	25%	✓	1%
Hansteen Holdings PLC	HSTN.L / HSTN LN	20%	✓	0.7%
HarbourVest Global Private Equity Limited	HVPEa.L / HVPE LN	10%	✓	0.3%
Hardide PLC	HDD.L / HDD LN	25%	✗	1%
Hargreave Hale AIM VCT 1 PLC	HHV.L / HHV LN	10%	✗	0.3%
Hargreave Hale AIM VCT 2 PLC	HHVx.L / HHVT LN	10%	✗	0.3%
Hargreaves Lansdown PLC	HRGV.L / HL/ LN	10%	✓	0.3%
Hargreaves Services PLC	HASE.L / HSP LN	20%	✓	0.7%
Harvey Nash Group PLC	HARV.L / HVN LN	25%	✓	1%
Harworth Group PLC	HWG.L / HWG LN	25%	✗	1%
Hastings Group Holdings PLC	HSTG.L / HSTG LN	25%	✓	1%
Haydale Graphene Industries PLC	HAYD.L / HAYD LN	25%	✗	1%
Haynes Publishing Group PLC	HYNS.L / HYNS LN	25%	✗	1%
Hays PLC	HAYS.L / HAS LN	15%	✓	0.3%
Hayward Tyler Group PLC	HAYT.L / HAYT LN	25%	✓	1%
Hazel Renewable Energy VCT1 PLC	HR1O.L / HR1O LN	10%	✗	0.3%
Hazel Renewable Energy VCT2 PLC	HR2O.L / HR2O LN	10%	✗	0.3%
Headlam Group PLC	HEAD.L / HEAD LN	25%	✓	0.7%
Helical Bar PLC	HLCL.L / HLCL LN	25%	✓	0.7%
Helios Underwriting PLC	HUW.L / HUW LN	25%	✗	1%
Henderson Alternative Strategies Trust PLC	HAST.L / HAST LN	25%	✓	0.3%
Henderson Diversified Income Limited	HDIV.L / HDIV LN	10%	✗	0.3%
Henderson EuroTrust PLC	HNE.L / HNE LN	25%	✓	0.7%

Henderson European Focus Trust PLC	HEFT.L / HEFT LN	25%	✓	0.7%
Henderson Far East Income Limited	HFEL.L / HFEL LN	25%	✓	0.7%
Henderson Global Trust PLC	HGLH.L / HGL LN	25%	✓	0.7%
Henderson Group PLC	HGGH.L / HGG LN	15%	✓	0.7%
Henderson High Income Trust PLC	HHI.L / HHI LN	25%	✓	0.7%
Henderson International Income Trust PLC	HINT.L / HINT LN	10%	✗	0.3%
Henderson Opportunities Trust PLC	HENT.L / HOT LN	10%	✓	0.3%
Henry Boot PLC	BHY.L / BHY LN	25%	✓	0.7%
Herald Investment Trust PLC	HRI.L / HRI LN	25%	✓	0.3%
HgCapital Trust PLC	HGT.L / HGT LN	25%	✓	0.7%
Hibernia REIT PLC	HBRN.L / HBRN LN	20%	✓	0.7%
Highland Gold Mining Limited	HGM.L / HGM LN	25%	✓	0.7%
Hikma Pharmaceuticals PLC	HIK.L / HIK LN	15%	✓	0.3%
Hill & Smith Holdings PLC	HILS.L / HILS LN	25%	✓	0.7%
Hilton Food Group PLC	HFG.L / HFG LN	25%	✓	0.7%
Hiscox Limited	HSX.L / HSX LN	20%	✓	0.3%
Hochschild Mining PLC	HOCM.L / HOC LN	20%	✓	0.7%
Hogg Robinson Group PLC	HRG.L / HRG LN	25%	✓	1%
Home Retail Group PLC	HOME.L / HOME LN	15%	✓	0.7%
HomeServe PLC	HSV.L / HSV LN	25%	✓	0.7%
Hongkong Land Holdings Limited	HKLB.L / HKLB LN	10%	✗	0.3%
Hongkong Land Holdings Limited	HKLJ.L / HKLJ LN	10%	✗	0.3%
Horizon Discovery Group PLC	HZDH.L / HZD LN	25%	✓	1%
Horizonte Minerals PLC	HZM.L / HZM LN	25%	✓	1%
Hornby PLC	HRN.L / HRN LN	25%	✓	0.7%
Hostelworld Group PLC	HSW.L / HSW LN	25%	✓	1%
Howden Joinery Group PLC	HWDN.L / HWDN LN	15%	✓	0.7%
Hummingbird Resources PLC	HUMR.L / HUM LN	25%	✓	1%
Hunters Property Group Limited	HUNT.L / HUNT LN	25%	✗	1%
Hunting PLC	HTG.L / HTG LN	20%	✓	0.3%
Huntsworth PLC	HNTS.L / HNT LN	25%	✓	0.7%
Hurricane Energy PLC	HUR.L / HUR LN	25%	✓	1%
HydroDec Group PLC	HYR.L / HYR LN	25%	✓	1%
Hyundai Motor Co	0538q.L / HYUD LI	25%	✓	1%
IBEX Global Solutions PLC	IBEX.L / IBEX LN	25%	✗	1%
ICAP PLC	IAP.L / IAP LN	10%	✓	0.7%
ICG-Longbow Senior Secured UK Property Debt Investments Limited	LBOW.L / LBOW LN	25%	✓	1%
IDOX PLC	IDOX.L / IDOX LN	25%	✓	1%
IG Group Holdings PLC	IGG.L / IGG LN	15%	✓	0.3%
IGas Energy PLC	IGAS.L / IGAS LN	25%	✓	1%
IMI PLC	IMI.L / IMI LN	10%	✓	0.3%
INVESCO Perpetual UK Smaller Companies Investment Trust PLC	IPU.L / IPU LN	25%	✓	0.3%
IP Group PLC	IPO.L / IPO LN	25%	✓	0.7%
IQE PLC	IQE.L / IQE LN	25%	✓	1%
IS Solutions PLC	ISSL.L / ISL LN	25%	✗	1%
ISG PLC	ISG.L / ISG LN	25%	✗	1%
ITE Group PLC	ITE.L / ITE LN	25%	✓	0.7%
ITM Power PLC	ITM.L / ITM LN	25%	✓	1%
ITV PLC	ITV.L / ITV LN	5%	✓	0.3%
Ibstock PLC	IBST.L / IBST LN	25%	✓	1%
Ideagen PLC	IDEA.L / IDEA LN	25%	✓	1%
Imagination Technologies Group PLC	IMG.L / IMG LN	20%	✓	0.7%
ImmuPharma PLC	IMM.L / IMM LN	25%	✓	1%

Immunodiagnostic Systems Holdings PLC	IDH.L / IDH LN	25%	✗	1%
Impax Asset Management Group PLC	IPX.L / IPX LN	25%	✗	1%
Impax Environmental Markets PLC	IMPX.L / IEM LN	25%	✓	0.7%
Imperial Brands PLC	IMB.L / IMB LN	5%	✓	0.3%
Inch Kenneth Kajang Rubber PLC	IKK.L / IKK LN	25%	✗	1%
Inchcape PLC	INCH.L / INCH LN	15%	✓	0.7%
India Capital Growth Fund Limited	IGC.L / IGC LN	25%	✓	1%
India Capital Growth Fund Limited	IGCS.L / IGCS LN	25%	✗	1%
IndigoVision Group PLC	ID2A.L / IND LN	25%	✓	1%
Indivior PLC	INDV.L / INDV LN	10%	✓	0.7%
Indus Gas Limited	INDII.L / INDI LN	25%	✓	0.7%
Informa PLC	INF.L / INF LN	15%	✓	0.3%
Inland Homes PLC	ILND.L / INL LN	25%	✓	1%
Inland ZDP PLC	INLZ_p.L / INLZ LN	25%	✗	1%
Inmarsat PLC	ISA.L / ISAT LN	15%	✓	0.3%
Innovation Group PLC	TIG.L / TIG LN	20%	✓	0.7%
Inspired Energy PLC	INSEI.L / INSE LN	25%	✓	1%
Integrated Diagnostics Holdings PLC	IDHC.L / IDHC LN	25%	✓	1%
Intelligent Energy Holdings PLC	IEH.L / IEH LN	25%	✓	1%
Intercontinental Hotels Group PLC	IHG.L / IHG LN	5%	✓	0.3%
Intermediate Capital Group PLC	ICP.L / ICP LN	15%	✓	0.7%
International Biotechnology Trust PLC	IBT.L / IBT LN	25%	✓	1%
International Consolidated Airlines Group SA	ICAG.L / IAG LN	5%	✓	0.3%
International Ferro Metals Limited	IFL.L / IFL LN	25%	✓	1%
International Greetings PLC	INGR.L / IGR LN	25%	✓	1%
International Personal Finance PLC	IPF.L / IPF LN	15%	✓	0.7%
International Public Partnerships Limited	INPP.L / INPP LN	20%	✓	0.3%
InternetQ PLC	INTQ.L / INTQ LN	25%	✓	1%
Interquest Group PLC	ITQ.L / ITQ LN	25%	✓	1%
Interserve PLC	IRV.L / IRV LN	20%	✓	0.7%
Intertek Group PLC	ITRK.L / ITRK LN	10%	✓	0.3%
Invesco Asia Trust PLC	IAT.L / IAT LN	25%	✓	0.3%
Invesco Income Growth Trust PLC	IVI.L / IVI LN	25%	✗	0.3%
Invesco Perpetual Enhanced Income Limited	IPEI.L / IPE LN	10%	✗	0.3%
Invesco Perpetual Select Trust plc - Balanced Risk Share Portfolio	IVPB.L / IVPB LN	25%	✗	1%
Invesco Perpetual Select Trust plc - Global Equity Income Share Portfolio	IVPG.L / IVPG LN	10%	✗	0.3%
Investec PLC	INVP.L / INVP LN	10%	✓	0.3%
Investment Company PLC	INVM.L / INV LN	10%	✗	0.3%
Investors Capital Trust PLC	ICTAa.L / ICTA LN	10%	✗	0.3%
Investors Capital Trust PLC	ICTA_u.L / ICTU LN	10%	✗	0.3%
Investors Capital Trust PLC	ICTAb.L / ICTB LN	10%	✗	0.3%
Iofina PLC	IOFI.L / IOF LN	25%	✓	1%
Irish Continental Group PLC	ICG_u.L / ICGC LN	25%	✓	0.3%
Ironveld PLC	IRONI.L / IRON LN	25%	✓	1%
Ithaca Energy Inc	IAE.L / IAE LN	20%	✓	0.7%
J D Wetherspoon PLC	JDW.L / JDW LN	25%	✓	0.3%
J Sainsbury PLC	SBRY.L / SBRY LN	5%	✓	0.3%
J.P. Morgan Private Equity Limited	JPEL_p.L / JPEL LN	10%	⊠	1%
JD Sports Fashion PLC	JD.L / JD/ LN	25%	✓	0.7%
JKX Oil & Gas PLC	JKX.L / JKX LN	25%	✓	1%
JP Morgan Income & Growth Investment Trust PLC	JIGI_u.L / JIGU LN	10%	✗	0.3%
JP Morgan Income & Growth Investment Trust PLC	JIGIi.L / JIGI LN	10%	✗	0.3%
JPMorgan American Investment Trust PLC	JAM.L / JAM LN	25%	✓	0.7%

JPMorgan Asian Investment Trust PLC	JAI.L / JAI LN	25%	✓	0.3%
JPMorgan Brazil Investment Trust PLC	JPB.L / JPB LN	25%	✓	1%
JPMorgan Chinese Investment Trust PLC	JMC.L / JMC LN	25%	✓	0.7%
JPMorgan Claverhouse Investment Trust PLC	JCH.L / JCH LN	25%	✓	0.7%
JPMorgan Elect plc - Managed Cash	JPEX.L / JPEC LN	10%	✗	0.3%
JPMorgan Elect plc - Managed Growth	JPE.L / JPE LN	10%	✗	0.3%
JPMorgan Elect plc - Managed Income	JPEI.L / JPEI LN	10%	✗	0.3%
JPMorgan Emerging Markets Investment Trust PLC	JMG.L / JMG LN	25%	✓	0.7%
JPMorgan European Investment Trust plc - Growth Sh AE	JETx.L / JETG LN	25%	✓	0.3%
JPMorgan European Investment Trust plc - Growth Sh AE	JETi.L / JETI LN	25%	✓	0.3%
JPMorgan European Smaller Companies Trust PLC	JESC.L / JESC LN	20%	✓	0.7%
JPMorgan Global Convertibles Income Fund Limited	JGCI.L / JGCI LN	10%	✗	0.3%
JPMorgan Global Emerging Markets Income Trust PLC	JEMI.L / JEMI LN	10%	✓	0.3%
JPMorgan Income & Capital Trust PLC	JPIPU.L / JPIU LN	25%	✗	1%
JPMorgan Income & Capital Trust PLC	JPIPO.L / JPIZ LN	10%	✗	0.3%
JPMorgan Indian Investment Trust PLC	JII.L / JII LN	25%	✓	0.7%
JPMorgan Japan Smaller Companies Trust PLC	JPS.L / JPS LN	25%	✓	0.7%
JPMorgan Japanese Investment Trust PLC	JFJ.L / JFJ LN	25%	✓	0.7%
JPMorgan Mid Cap Investment Trust PLC	JMF.L / JMF LN	25%	✓	0.7%
JPMorgan Overseas Investment Trust PLC	JMO.L / JMO LN	25%	✓	0.7%
JPMorgan Russian Securities PLC	JRS.L / JRS LN	25%	✓	0.7%
JPMorgan Senior Secured Loan Fund Limited	JPSL.L / JPSL LN	10%	✗	0.3%
JPMorgan Smaller Companies Investment Trust PLC	JMI.L / JMI LN	25%	✓	0.3%
JPMorgan Smaller Companies Investment Trust PLC	JMIS.L / JMIS LN	25%	✓	1%
JPMorgan US Smaller Companies Investment Trust PLC	JUSC.L / JUSC LN	25%	✓	0.7%
JSC KazMunaiGas Exploration Production	KMGq.L / KMG LI	20%	✓	1%
JSC VTB Bank	VTBRq.L / VTBR LI	10%	✓	1%
JUST EAT PLC	JE.L / JE/ LN	10%	✓	0.3%
JZ Capital Partners Limited	JZCP.L / JZCP LN	25%	✓	1%
JZ Capital Partners Limited	JZCP_p.L / JZCN LN	10%	✗	0.3%
JZ Capital Partners Limited	JZCzo.L / JZCZ LN	10%	✗	0.3%
James Cropper PLC	CRPR.L / CRPR LN	25%	✓	1%
James Fisher and Sons PLC	FSJ.L / FSJ LN	25%	✓	0.7%
James Halstead PLC	JHD.L / JHD LN	25%	✓	0.7%
James Latham PLC	LTHM.L / LTHM LN	25%	✓	1%
Jardine Lloyd Thompson Group PLC	JLT.L / JLT LN	20%	✓	0.3%
Jarvis Securities PLC	JIM.L / JIM LN	25%	✓	1%
Jimmy Choo PLC	CHOO.L / CHOO LN	10%	✗	0.3%
John Laing Environmental Assets Group Limited	JLEN.L / JLEN LN	10%	✓	0.3%
John Laing Group PLC	JLG.L / JLG LN	15%	✓	1%
John Laing Infrastructure Fund Limited	JLIF.L / JLIF LN	10%	✓	0.3%
John Menzies PLC	MNZS.L / MNZS LN	25%	✓	0.7%
John Wood Group PLC	WG.L / WG/ LN	10%	✓	0.7%
Johnson Matthey PLC	JMAT.L / JMAT LN	10%	✓	0.7%
Johnson Service Group PLC	JSG.L / JSG LN	25%	✓	1%
Johnston Press PLC	JPR.L / JPR LN	25%	✓	1%
Jubilee Platinum PLC	JLP.L / JLP LN	25%	✓	1%
Judges Scientific PLC	JDG.L / JDG LN	25%	✓	1%
Jupiter Dividend & Growth Trust PLC	JDTx.L / JDTC LN	10%	✗	0.3%
Jupiter Dividend & Growth Trust PLC	JDTi.L / JDT LN	10%	✗	0.3%
Jupiter Dividend & Growth Trust PLC	JDToz.L / JDTZ LN	10%	✗	0.3%
Jupiter Energy Limited	JPRL.L / JPRL LN	25%	✗	1%
Jupiter European Opportunities Trust PLC	JEO.L / JEO LN	25%	✓	0.3%

Jupiter Fund Management PLC	JUP.L / JUP LN	15%	✓	0.7%
Jupiter Global Trust PLC	JPG.L / JPG LN	25%	✗	0.7%
Jupiter Green Investment Trust PLC	JGC.L / JGC LN	25%	✓	1%
Jupiter US Smaller Companies PLC	JUS.L / JUS LN	25%	✓	0.7%
Juridica Investments Limited	JIL.L / JIL LN	25%	✓	1%
Just Retirement Group PLC	JRP.L / JRP LN	20%	✓	0.7%
K3 Business Technology Group PLC	KBT.L / KBT LN	25%	✓	1%
KAZ Minerals PLC	KAZ.L / KAZ LN	15%	✓	0.7%
KBC Advanced Technologies PLC	KBCAT.L / KBC LN	25%	✓	1%
KCOM Group PLC	KCOM.L / KCOM LN	25%	✓	0.7%
KEFI Minerals PLC	KEFI.L / KEFI LN	25%	✓	1%
Kainos Group PLC	KNOS.L / KNOS LN	25%	✓	1%
Kakuzi Limited	KAKU.L / KAKU LN	25%	✗	1%
Kcell	KCELq.L / KCEL LI	20%	✓	1%
Keller Group PLC	KLR.L / KLR LN	20%	✓	0.7%
Kemin Resources PLC	KEMK.L / KEM LN	25%	✗	1%
Kenmare Resources PLC	JEV.L / KMR LN	25%	✓	0.3%
Kennedy Wilson Europe Real Estate PLC	KWE.L / KWE LN	20%	✓	0.7%
Kerry Group PLC	KYGa.L / KYGA LN	15%	✓	0.3%
Keystone Investment Trust PLC	KIT.L / KIT LN	25%	✓	0.7%
Kibo Mining PLC	KIBO.L / KIBO LN	25%	✗	1%
Kier Group PLC	KIE.L / KIE LN	20%	✓	0.7%
Kingfisher PLC	KGF.L / KGF LN	5%	✓	0.7%
Kings Arms Yard VCT PLC	KAY.L / KAY LN	10%	✗	0.3%
Kingspan Group PLC	KSP.L / KGP LN	15%	✓	0.3%
Koovs PLC	KOOV.L / KOOV LN	25%	✗	1%
Kromek Group PLC	KMK.L / KMK LN	25%	✓	1%
Kuala Innovations Limited	FFWD.L / FFWD LN	25%	✗	1%
LGO Energy PLC	LGO.L / LGO LN	25%	✓	1%
LMS Capital PLC	LMS.L / LMS LN	25%	✓	1%
LSL Property Services PLC	LSL.L / LSL LN	20%	✓	0.7%
LXB Retail Properties PLC	LXB.L / LXB LN	20%	✓	0.7%
Ladbrokes PLC	LAD.L / LAD LN	10%	✓	0.3%
Laird PLC	LRD.L / LRD LN	20%	✓	0.7%
Lakehouse PLC	LAKE.L / LAKE LN	25%	✓	1%
Lamprell PLC	LAM.L / LAM LN	20%	✓	0.7%
Lancashire Holdings Limited	LRE.L / LRE LN	15%	✓	0.7%
Land Securities Group PLC	LAND.L / LAND LN	5%	✓	0.3%
Lansdowne Oil & Gas PLC	LOGP.L / LOGP LN	25%	✓	1%
Larsen & Toubro Limited	LARTq.L / LTOD LI	25%	✓	1%
Laura Ashley Holdings PLC	ALY.L / ALY LN	25%	✓	0.7%
Lavendon Group PLC	LVD.L / LVD LN	25%	✓	0.7%
Legal & General Group PLC	LGEM.L / LGEM LN	5%	✓	0.7%
Lekoil Limited	LEK.L / LEK LN	25%	✓	1%
Lenta Ltd	LNTAq.L / LNTA LI	25%	✗	1%
LiDCO Group PLC	LID.L / LID LN	25%	✗	1%
Lindsell Train Investment Trust PLC	LDIT.L / LTI LN	10%	✗	0.3%
Liontrust Asset Management PLC	LIO.L / LIO LN	25%	✓	1%
Litebulb Group Limited	LBB.L / LBB LN	25%	✓	1%
LivaNova PLC	LIVN.L / LIVN LN	10%	✓	0.3%
Lloyds Banking Group PLC	LLOY.L / LLOY LN	5%	✓	0.3%
Lok'n Store Group PLC	LOK.L / LOK LN	25%	✓	1%
Lombard Risk Management PLC	LRM.L / LRM LN	25%	✓	1%
London & Associated Properties PLC	LAS.L / LAS LN	25%	✓	1%

London Capital Group Holdings PLC	LCG.L / LCG LN	25%	✓	1%
London Stock Exchange Group PLC	LSE.L / LSE LN	10%	✓	0.3%
London and St. Lawrence Investment Company PLC	LSLI.L / LSLI LN	10%	✗	0.3%
LondonMetric Property PLC	LMPL.L / LMP LN	20%	✓	0.7%
Lonmin PLC	LMI.L / LMI LN	15%	✓	0.7%
Lookers PLC	LOOK.L / LOOK LN	25%	✓	0.7%
Low & Bonar PLC	LWB.L / LWB LN	25%	✓	0.7%
Lowland Investment Company PLC	LWI.L / LWI LN	25%	✓	0.7%
Ludgate Environmental Fund Limited	LEF.L / LEF LN	25%	✗	1%
Lyxor Brazil (Ibovespa) UCITS ETF C-USD	RIOL.L / RIOL LN	10%	✗	1%
Lyxor Broad Commodities Optimix TR UCITS ETF - C-USD	OPTG.L / OPTG LN	10%	✗	1%
Lyxor China Enterprise (HSCEI) UCITS ETF C-USD	LYASIL.L / ASIL LN	10%	✗	0.3%
Lyxor Commodities Thomson Reuters Corecommodity CRB TR UCITS ETF C-USD	CRBL.L / CRBL LN	10%	✗	0.3%
Lyxor Commodities Thomson Reuters Corecommodity CRB ex-Energy TR UCITS ETF C-USD	CRNL.L / CRNL LN	10%	✗	0.3%
Lyxor Emerging Markets Local Currency Bond (DR) - UCITS ETF D-USD	EMBL.L / EMBL LN	10%	✗	1%
Lyxor Euro Corporate Bond UCITS ETF C-EUR	CRPX.L / CRPX LN	10%	✗	0.3%
Lyxor Euro Stoxx 300 (DR) - GBP Monthly Hedged UCITS ETF	MFEG.L / MFEG LN	10%	✗	1%
Lyxor Euro Stoxx 50 (DR) - UCITS ETF C-EUR	MSED.L / MSED LN	10%	✗	0.3%
Lyxor Euro Stoxx 50 (DR) UCITS ETF Dailly Hedged C-GBP	MSEX.L / MSEX LN	10%	✗	1%
Lyxor Euro Stoxx 50 (DR) UCITS ETF Dailly Hedged C-USD	MSEU.L / MSEU LN	10%	✗	0.3%
Lyxor FTSE USA Minimum Variance UCITS ETF C-USD	MVUX.L / MVUX LN	10%	✗	0.3%
Lyxor Fed Funds US Dollar Cash UCITS ETF - C-USD	FEDG.L / FEDG LN	10%	✗	0.3%
Lyxor Fed Funds US Dollar Cash UCITS ETF - C-USD	FEDF.L / FEDF LN	10%	✗	0.3%
Lyxor Fortune SG UCITS ETF MSCI China A (DR) C-USD	CNAL.L / CNAL LN	10%	✗	0.3%
Lyxor J.P. Morgan Europe Low Beta Factor Index UCITS ETF - C-EUR	LYXL.L / LYXL LN	10%	✗	1%
Lyxor JPX-Nikkei 400 UCITS ETF (DR) C-EUR	JPXG.L / JPXG LN	10%	✗	0.3%
Lyxor JPX-Nikkei 400 UCITS ETF (DR) C-EUR	JPX4.L / JPX4 LN	10%	✗	0.3%
Lyxor JPX-Nikkei 400 UCITS ETF (DR) Daily Hedged C-GBP	JPXX.L / JPXX LN	10%	✗	0.3%
Lyxor JPX-Nikkei 400 UCITS ETF (DR) Daily Hedged C-USD	JPXU.L / JPXU LN	10%	✗	0.3%
Lyxor MSCI Emerging Markets UCITS ETF C-USD	LYLEML.L / LEML LN	10%	✗	0.3%
Lyxor MSCI Emerging Markets UCITS ETF C-USD	LEMD.L / LEMD LN	10%	✗	0.3%
Lyxor MSCI World Consumer Staples TR UCITS ETF - C-USD	STPW.L / STAW LN	10%	✗	1%
Lyxor MSCI World Health Care TR UCITS ETF - C-USD	HLTG.L / HLTG LN	10%	✓	0.3%
Lyxor MSCI World Industrials TR UCITS ETF - C-USD	INDW.L / INDW LN	10%	✗	1%
Lyxor MSCI World Risk Weighted UCITS ETF - C-USD	WDRL.L / WDRL LN	10%	✗	1%
Lyxor S&P 500 UCITS ETF - D-USD	LSPU.L / LSPU LN	10%	✗	0.3%
Lyxor SG Global Quality Income NTR UCITS ETF - C-GBP	SGQL.L / SGQL LN	10%	✗	0.3%
Lyxor SG Global Quality Income NTR UCITS ETF - Monthly Hedged C-GBP	SGQX.L / SGQX LN	10%	✗	0.3%
Lyxor SG Global Value Beta UCITS ETF - C-USD	SGVL.L / SGVL LN	10%	✗	0.3%
Lyxor Smart Cash - UCITS ETF C-GBP	LYCSH2.L / CSH2 LN	10%	✓	0.3%
Lyxor Smart Cash - UCITS ETF C-USD	LYSMTC.L / SMTC LN	10%	✗	0.3%
Lyxor UCITS ETF CSI 300 A-Share C-USD	LYCSIL.L / CSIL LN	10%	✗	0.3%
Lyxor UCITS ETF Daily Double Short 10Y Japan Govt Bonds C-USD	JGBS.L / JGBS LN	25%	✗	1%
Lyxor UCITS ETF Daily Double Short 10Y UK Gilts C-GBP	DSUK.L / DSUK LN	10%	✗	1%
Lyxor UCITS ETF Daily Double Short 10Y US Treasury C-USD	DSUS.L / DSUS LN	10%	✗	0.3%
Lyxor UCITS ETF Daily Double Short 10Y US Treasury				

C-USD	DSUG.L / DSUG LN	10%	✗	0.3%
Lyxor UCITS ETF Dow Jones Industrial Average D-EUR	DJEU.L / DJEU LN	10%	✗	0.3%
Lyxor UCITS ETF Eastern Europe (CECE NTR EUR) C-EUR	CECL.L / CECL LN	10%	✗	0.3%
Lyxor UCITS ETF Euro Cash	CSHD.L / CSHD LN	10%	✗	0.3%
Lyxor UCITS ETF EuroMTS All-Maturity Investment Grade (DR)	MTXX.L / MTXX LN	10%	✗	0.3%
Lyxor UCITS ETF EuroMTS Inflation Linked Investment Grade (DR)	MTIX.L / MTIX LN	10%	✗	0.3%
Lyxor UCITS ETF FTSE 100 C-GBP	L100.L / L100 LN	10%	✗	0.3%
Lyxor UCITS ETF FTSE 250	L250.L / L250 LN	25%	✗	1%
Lyxor UCITS ETF FTSE MIB	MIBX.L / MIBX LN	10%	✗	0.3%
Lyxor UCITS ETF Kuwait (FTSE Coast Kuwait 40)	LKUU.L / LKUU LN	25%	✗	1%
Lyxor UCITS ETF Kuwait (FTSE Coast Kuwait 40)	LKUW.L / LKUW LN	25%	✗	1%
Lyxor UCITS ETF MSCI AC Asia ex Japan Information Technology TR C-USD	TNOA.L / TNOA LN	10%	✗	1%
Lyxor UCITS ETF MSCI AC Asia-Pacific ex Japan C-USD	AEJL.L / AEJL LN	10%	✗	0.3%
Lyxor UCITS ETF MSCI AC Asia-Pacific ex Japan C-USD	LYAEJ.L / AEJ LN	10%	✗	0.3%
Lyxor UCITS ETF MSCI EM Latin America C-USD	LYLTML.L / LTML LN	10%	✗	1%
Lyxor UCITS ETF MSCI Europe D-EUR	MEUG.L / MEUG LN	10%	✗	0.3%
Lyxor UCITS ETF MSCI India C-USD	LYINRL.L / INRL LN	10%	✗	0.3%
Lyxor UCITS ETF MSCI India C-USD	INRU.L / INRU LN	10%	✗	0.3%
Lyxor UCITS ETF MSCI Indonesia C-USD	INDL.L / INDL LN	25%	✗	1%
Lyxor UCITS ETF MSCI Malaysia USD	LYMALL.L / MALL LN	10%	✗	1%
Lyxor UCITS ETF MSCI Mexico C USD	MEXL.L / MEXL LN	10%	✗	1%
Lyxor UCITS ETF MSCI Taiwan C-USD	LYTWNL.L / TWNL LN	25%	✗	1%
Lyxor UCITS ETF MSCI USA D-USD	USAL.L / USAL LN	10%	✗	0.3%
Lyxor UCITS ETF MSCI World D-USD	WLDL.L / WLDL LN	10%	✗	0.3%
Lyxor UCITS ETF MSCI World D-USD	WLDD.L / WLDD LN	10%	✗	0.3%
Lyxor UCITS ETF MSCI World Monthly Hedged D-USD	WLDU.L / WLDU LN	10%	✗	0.3%
Lyxor UCITS ETF NASDAQ-100 D-USD	LYUSTL.L / USTL LN	10%	✗	1%
Lyxor UCITS ETF Russell 1000 Growth C-USD	RSGL.L / RSGL LN	25%	✗	1%
Lyxor UCITS ETF Russell 1000 Value C-USD	RSVL.L / RSVL LN	10%	✗	1%
Lyxor UCITS ETF Russia (Dow Jones Russia GDR) D-GBP	RUSU.L / RUSU LN	10%	✗	0.3%
Lyxor UCITS ETF S&P 500 Capped Materials Sector C-USD	MATL.L / MATL LN	10%	✗	1%
Lyxor UCITS ETF South Africa (FTSE JSE Top 40) C-EUR	LYAFSL.L / AFSL LN	10%	✗	0.3%
Lyxor UCITS ETF Thailand (SET50 Net TR) C-USD	THAG.L / THAG LN	10%	✗	1%
Lyxor UCITS ETF Turkey (DJ Turkey Titans 20)	LYTURL.L / TURL LN	10%	✗	0.3%
Lyxor UCITS ETF iBoxx \$ Treasuries 5-7Y (DR)	US57.L / US57 LN	10%	✗	0.3%
Lyxor UCITS ETF iBoxx (GBP) Gilts (DR)	GILS.L / GILS LN	10%	✗	0.3%
Lyxor USD Liquid Investment Grade Corporate Bonds UCITS ETF - C-USD	USIG.L / USIG LN	10%	✗	0.3%
M&C Saatchi PLC	SAA.L / SAA LN	25%	✓	1%
M.P. Evans Group PLC	MPE.L / MPE LN	25%	✓	0.7%
MHP SA	MHPCq.L / MHPC LI	25%	✓	1%
MITIE Group PLC	MTO.L / MTO LN	15%	✓	0.3%
MJ Gleeson PLC	GLEG.L / GLE LN	25%	✓	1%
MXC Capital Limited	MXCP.L / MXCP LN	25%	✓	1%
MacFarlane Group PLC	MACF.L / MACF LN	25%	✓	1%
Macao Property Opportunities Fund	MPO.L / MPO LN	20%	✓	0.7%
Madagascar Oil Limited	MOIL.L / MOIL LN	25%	✓	1%
Magnitogorsk Iron & Steel Works	MAGNq.L / MMK LI	25%	✓	1%
Mahindra & Mahindra Limited	MAHMq.L / MHID LI	25%	✓	1%
Mail.Ru Group Limited	MAILRq.L / MAIL LI	10%	✓	1%
Maintel Holdings PLC	MAIH.L / MAI LN	25%	✗	1%
Majedie Investments PLC	MAJE.L / MAJE LN	25%	✓	0.7%

Majestic Wine PLC	WINEW.L / WINE LN	25%	✓	0.7%
Man Group PLC	EMG.L / EMG LN	10%	✓	0.3%
Management Consulting Group PLC	NZX.L / MMC LN	25%	✓	0.7%
Manchester & London Investment Trust PLC	MNL.L / MNL LN	25%	✓	0.7%
Mandarin Oriental International Ltd	MDOB.L / MDOB LN	25%	✗	1%
Mandarin Oriental International Ltd	MDOJ.L / MDOJ LN	25%	✗	1%
Manx Financial Group PLC	MFX.L / MFX LN	25%	✓	1%
Manx Telecom PLC	MANX.L / MANX LN	25%	✓	1%
Mariana Resources Limited	MRRE.L / MARL LN	25%	✓	1%
Market Tech Holdings Limited	MKT.L / MKT LN	25%	✓	0.7%
Market Vectors Gold Miners UCITS ETF Class A USD Acc	GDX.L / GDX LN	10%	✗	0.3%
Market Vectors Junior Gold Miners UCITS ETF Class A USD Acc	GDXJ.L / GDXJ LN	10%	✗	1%
Marks & Spencer Group PLC	MKS.L / MKS LN	5%	✓	0.3%
Marshall Motor Holdings PLC	MMHM.L / MMH LN	25%	✗	1%
Marshalls PLC	MSLH.L / MSLH LN	25%	✓	0.7%
Marston's PLC	MARS.L / MARS LN	20%	✓	0.7%
Martin Currie Asia Unconstrained Trust PLC	MACP.L / MCP LN	25%	✓	0.7%
Martin Currie Global Portfolio Trust PLC	MNP.L / MNP LN	25%	✓	0.3%
Marwyn Management Partners PLC	MMPT.L / MMP LN	25%	✗	1%
Marwyn Value Investors Limited	MRWN.L / MVI LN	25%	✓	1%
Masawara PLC	MASAM.L / MASA LN	25%	✗	1%
Matchtech Group PLC	MTEC.L / MTEC LN	25%	✓	1%
Matomy Media Group Limited	MTMY.L / MTMY LN	25%	✗	1%
Mattioli Woods PLC	MTWL.L / MTW LN	25%	✓	1%
Maven Income and Growth VCT 2 PLC	MIG2.L / MIG2 LN	10%	✗	0.3%
Maven Income and Growth VCT 3 PLC	MIG3.L / MIG3 LN	10%	✗	1%
Maven Income and Growth VCT 4 PLC	MAV4.L / MAV4 LN	10%	✗	1%
Maven Income and Growth VCT 5 PLC	MIG5.L / MIG5 LN	10%	✗	0.3%
Maven Income and Growth VCT PLC	MIG1.L / MIG1 LN	10%	✗	0.3%
MayAir Group PLC	MAYA.L / MAYA LN	25%	✗	1%
McBride PLC	MCB.L / MCB LN	25%	✓	0.7%
McCarthy & Stone PLC	MCS.L / MCS LN	10%	✓	1%
McColl's Retail Group PLC	MCLSM.L / MCLS LN	25%	✓	1%
McKay Securities PLC	MCKS.L / MCKS LN	25%	✓	0.7%
Mears Group PLC	MERG.L / MER LN	25%	✓	0.7%
Mediclinic International Limited	MDCM.L / MDC LN	25%	✓	0.7%
Medicx Fund Limited	MXF.L / MXF LN	25%	✓	1%
Meggitt PLC	MGGT.L / MGGT LN	10%	✓	0.7%
Melrose Industries PLC	MRON.L / MRO LN	10%	✓	0.7%
Menhaden Capital PLC	MHN.L / MHN LN	25%	✗	1%
Mercia Technologies PLC	MERC.L / MERC LN	25%	✓	1%
Merlin Entertainments PLC	MERL.L / MERL LN	10%	✓	0.3%
Metals Exploration PLC	MTL.L / MTL LN	25%	✓	1%
Metmin Company Limited	MNC.L / MNC LN	25%	✓	1%
Metro Bank PLC	MTRO.L / MTRO LN	25%	✓	1%
Michael Page International PLC	MPI.L / MPI LN	15%	✓	0.7%
Michelmersh Brick Holdings PLC	MBH.L / MBH LN	25%	✓	1%
Micro Focus International PLC	MCRO.L / MCRO LN	20%	✓	0.7%
Microgen PLC	MCGN.L / MCGN LN	25%	✓	1%
Microsaic Systems PLC	MSYS.L / MSYS LN	25%	✗	1%
Mid Wynd International Investment Trust PLC	MIDW.L / MWY LN	25%	✓	1%
Midatech Pharma PLC	MTPH.L / MTPH LN	25%	✓	1%
Middlefield Canadian Income PCC - Middlefield Canadian Income - GBP PC	MCT_p.L / MCT LN	10%	✗	0.3%

Millennium & Copthorne Hotels PLC	MLC.L / MLC LN	25%	✓	0.3%
Mincon Group PLC	MCON.L / MCON LN	25%	✗	1%
Minds + Machines Group Limited	MMXM.L / MMX LN	25%	✓	1%
Minera IRL Limited	MIRL.L / MIRL LN	25%	✓	1%
Minoan Group PLC	MIN.L / MIN LN	25%	✓	1%
MirLand Development Corporation PLC	MLD.L / MLD LN	25%	✗	0.7%
Mirada PLC	MIRA.L / MIRA LN	25%	✓	1%
Mitchells & Butlers PLC	MAB.L / MAB LN	20%	✓	0.3%
Mithras Investment Trust PLC	MIIT.L / MTH LN	10%	✓	1%
Miton Global Opportunities PLC	MIGO.L / MIGO LN	25%	✗	1%
Miton Group PLC	MGRM.L / MGR LN	25%	✓	1%
Miton UK MicroCap Trust PLC	MINI.L / MINI LN	25%	✓	1%
Mobeus Income & Growth 2 VCT PLC	MIG.L / MIG LN	10%	✗	1%
Mobeus Income & Growth 4 VCT PLC	MIG4.L / MIG4 LN	10%	✗	1%
Mobeus Income & Growth VCT PLC	MIX.L / MIX LN	10%	✗	0.3%
Modern Water PLC	MWG.L / MWG LN	25%	✓	1%
Molins PLC	MLIN.L / MLIN LN	25%	✓	1%
Mondi PLC	MNDI.L / MNDI LN	5%	✓	0.3%
Moneysupermarket.com Group PLC	MONY.L / MONY LN	20%	✓	0.7%
Monitise PLC	MONI.L / MONI LN	15%	✓	0.7%
Montanaro European Smaller Companies Trust PLC	MONT.L / MTE LN	25%	✓	1%
Montanaro UK Smaller Companies Investment Trust PLC	MTU.L / MTU LN	25%	✓	0.7%
Morgan Advanced Materials PLC	MGAMM.L / MGAM LN	20%	✓	0.7%
Morgan Sindall Group PLC	MGNS.L / MGNS LN	25%	✓	0.7%
Mortgage Advice Bureau (Holdings) PLC	MAB1.L / MAB1 LN	25%	✓	1%
Mosman Oil And Gas Limited	MSMN.L / MSMN LN	25%	✗	1%
Mothercare PLC	MTC.L / MTC LN	25%	✓	0.7%
Motif Bio PLC	MTFB.L / MTFB LN	25%	✓	1%
Mporium Group PLC	MPM.L / MPM LN	25%	✗	1%
Mulberry Group PLC	MUL.L / MUL LN	25%	✓	0.7%
Murray Income Trust PLC	MUT.L / MUT LN	25%	✓	0.7%
Murray International Trust PLC	MYI.L / MYI LN	20%	✓	0.7%
MySQUAR Limited	MYSQ.L / MYSQ LN	25%	✗	1%
MySale Group PLC	MYSL.L / MYSL LN	15%	✓	1%
Myanmar Investments International Limited	MILM.L / MIL LN	25%	✗	1%
Mytrah Energy Limited	MYT.L / MYT LN	25%	✓	0.7%
N Brown Group PLC	BWNG.L / BWNG LN	20%	✓	0.3%
NAHL Group PLC	NAH.L / NAH LN	25%	✓	1%
NB Distressed Debt Investment Fund Limited	NBDD.L / NBDD LN	10%	✗	0.3%
NB Distressed Debt Investment Fund Limited	NBDX.L / NBDX LN	10%	✗	0.3%
NB Distressed Debt Investment Fund Limited	NBDG.L / NBDG LN	10%	✗	0.3%
NB Global Floating Rate Income Fund Limited	NBLS.L / NBLS LN	10%	✓	0.3%
NB Global Floating Rate Income Fund Limited	NBLU.L / NBLU LN	10%	✗	0.3%
NB Private Equity Partners Limited	NBPE.L / NBPE LN	10%	✗	0.3%
NCC Group PLC	NCCG.L / NCC LN	25%	✓	0.7%
NMC Health PLC	NMC.L / NMC LN	25%	✓	0.7%
NOV AE	NVTKq.L / NVTK LI	10%	✓	1%
NWF Group PLC	NWF.L / NWF LN	25%	✓	1%
Nanoco Group PLC	NANON.L / NANO LN	20%	✓	0.7%
National Express Group PLC	NEX.L / NEX LN	20%	✓	0.3%
National Grid PLC	NG.L / NG/ LN	5%	✓	0.3%
Nature Group PLC	NGRP.L / NGR LN	25%	✗	1%
NetDimensions (Holdings) Limited	NETD.L / NETD LN	25%	✓	1%

NetPlay TV PLC	NPT.L / NPT LN	25%	✓	1%
NetScientific PLC	NSCI.L / NSCI LN	25%	✓	1%
Netcall PLC	NETC.L / NET LN	25%	✓	1%
New Europe Property Investments PLC	NEPI.L / NEPI LN	25%	✓	1%
New India Investment Trust PLC	NII.L / NII LN	25%	✓	0.7%
New Star Investment Trust PLC	NESI.L / NSI LN	10%	✗	0.3%
NewRiver Retail Limited	NRRT.L / NRR LN	25%	✓	1%
Next Fifteen Communications Group PLC	NFC.L / NFC LN	25%	✓	1%
Next PLC	NXT.L / NXT LN	5%	✓	0.3%
NextEnergy Solar Fund Limited	NESF.L / NESF LN	10%	✓	0.3%
Nichols PLC	NICL.L / NICL LN	25%	✓	0.7%
Nighthawk Energy PLC	NGTE.L / HAWK LN	25%	✓	1%
Nimrod Sea Assets Limited	NSA.L / NSA LN	25%	✗	1%
Nomura JPX-Nikkei 400 Net Total Return Daily EUR Hedged UCITS ETF	NJXE.L / NJXE LN	10%	✗	0.3%
Nomura Nikkei 225 USD-Hedged UCITS ETF	NXKU.L / NXKU LN	10%	✗	0.3%
Non-Standard Finance PLC	NSF.L / NSF LN	25%	✓	1%
Norcros PLC	NXR.L / NXR LN	25%	✓	0.7%
Nord Gold NV	NORDNq.L / NORD LI	25%	✗	1%
North Atlantic Smaller Companies Investment Trust PLC	NTAS.L / NAS LN	25%	✓	0.7%
Northbridge Industrial Services PLC	NBI.L / NBI LN	25%	✓	1%
Northcote Energy Limited	NCTN.L / NCT LN	25%	✓	1%
Northern Investors Company PLC	NRI.L / NRI LN	10%	✗	1%
Northern Venture Trust PLC	NTHV.L / NVT LN	10%	✗	1%
Northgate PLC	NTG.L / NTG LN	20%	✓	0.7%
Nostrum Oil & Gas PLC	NOGN.L / NOG LN	25%	✓	1%
Novae Group PLC	NVA.L / NVA LN	25%	✓	0.7%
Novorossiysk Commercial Sea Port PJSC	NCSPq.L / NCSP LI	25%	✗	1%
Numis Corporation PLC	NUM.L / NUM LN	25%	✓	0.7%
OJSC Novolipetsk Steel	NLMKq.L / NLMK LI	15%	✓	1%
OPG Power Ventures PLC	OPG.L / OPG LN	25%	✓	1%
Oakley Capital Investments Limited	OCL.L / OCL LN	25%	✓	1%
Obtala Resources Limited	OBT.L / OBT LN	25%	✓	1%
Ocado Group PLC	OCDO.L / OCDO LN	10%	✓	0.7%
Octopus AIM VCT 2 PLC	OSEC.L / OSEC LN	10%	✗	1%
Octopus AIM VCT PLC	OOA.L / OOA LN	10%	✗	1%
Octopus Apollo VCT PLC	OAP3.L / OAP3 LN	10%	✗	0.3%
Octopus Eclipse VCT PLC	OEC1.L / OEC1 LN	10%	✗	0.3%
Octopus Titan VCT PLC	OTV2.L / OTV2 LN	10%	✗	1%
Octopus VCT 2 PLC	OVC2.L / OVC2 LN	10%	✗	0.3%
Octopus VCT 3 PLC	OCV3.L / OCV3 LN	10%	✗	0.3%
Oilex Limited	OEX.L / OEX LN	25%	✓	1%
Old Mutual PLC	OML.L / OML LN	5%	✓	0.7%
On the Beach Group PLC	OTB.L / OTB LN	25%	✓	1%
OneSavings Bank PLC	OSBO.L / OSB LN	15%	✓	0.7%
Ophir Energy PLC	OPHR.L / OPHR LN	20%	✓	0.7%
OptiBiotix Health PLC	OPTIO.L / OPTI LN	25%	✓	1%
Optos PLC	OPTS.L / OPTS LN	25%	✗	0.7%
Origin Enterprises PLC	OGN.L / OGN LN	25%	✓	1%
Orosur Mining Inc	OMIN.L / OMI LN	25%	✓	1%
Osirium Technologies Limited	OSIO.L / OSI LN	25%	✗	1%
Oxford BioMedica PLC	OXB.L / OXB LN	25%	✓	1%
Oxford Instruments PLC	OXIG.L / OXIG LN	20%	✓	0.7%
Oxford Pharmascience Group PLC	EXP.L / EXP LN	25%	✓	1%

Oxus Gold PLC	OXS.L / OXS LN	25%	✓	0.7%
P2P Global Investments PLC	P2PG.L / P2P LN	25%	✓	0.7%
P2P Global Investments PLC	P2P2.L / P2P2 LN	20%	✓	0.7%
PAO Sever SA	SVSTq.L / SVST LI	10%	✓	1%
PAO TMK	TRMKq.L / TMKS LI	20%	✗	1%
PIMCO Emerging Markets Advantage Local Bond Index Source UCITS ETF	EMLB.L / EMLB LN	10%	✗	0.3%
PIMCO Short-Term High Yield Corporate Bond Index Source UCITS ETF	STHY.L / STHY LN	10%	✓	0.3%
PIMCO Short-Term High Yield Corporate Bond Index Source UCITS ETF	SSHY.L / SSHY LN	10%	✗	0.3%
PIMCO Short-Term High Yield Corporate Bond Index Source UCITS ETF EUR Hedged Class	STHE.L / STHE LN	10%	✓	0.3%
PIMCO Short-Term High Yield Corporate Bond Index Source UCITS ETF USD Accumulation	STYC.L / STYC LN	10%	✗	0.3%
PJSC LSR Group	LSRGq.L / LSRG LI	20%	✗	1%
PJSC PIK Group	PKGPq.L / PIK LI	25%	✗	1%
PJSC Tatneft	TATNxq.L / ATAD LI	10%	✓	1%
PPHE Hotel Group Limited	PPH.L / PPH LN	25%	✓	1%
PROACTIS Holdings PLC	PHD.L / PHD LN	25%	✓	1%
PV Crystalox Solar PLC	PVCS.L / PVCS LN	25%	✓	1%
PZ Cussons PLC	PZC.L / PZC LN	20%	✓	0.7%
Pace PLC	PIC.L / PIC LN	15%	✓	0.7%
Pacific Assets Trust PLC	PACA.L / PAC LN	25%	✓	0.7%
Pacific Horizon Investment Trust PLC	PHI.L / PHI LN	25%	✓	0.7%
Paddy Power PLC	PPB.L / PPB LN	10%	✓	0.3%
Pan African Resources PLC	PAFR.L / PAF LN	25%	✓	1%
Panmure Gordon & Company PLC	PMR.L / PMR LN	25%	✗	1%
Pantheon International PLC	PANI.L / PIN LN	25%	✓	0.7%
Pantheon International PLC	PINx.L / PINR LN	10%	✗	0.3%
Pantheon Resources	PANR.L / PANR LN	25%	✓	1%
Paragon Group of Companies PLC	PARA.L / PAG LN	15%	✓	0.7%
Park Group PLC	PRKG.L / PKG LN	25%	✓	1%
Partnership Assurance Group PLC	PA.L / PA/ LN	20%	✓	1%
Patagonia Gold PLC	PGD.L / PGD LN	25%	✓	1%
Patisserie Holdings PLC	CAKEP.L / CAKE LN	20%	✓	0.7%
PayPoint PLC	PAYP.L / PAY LN	25%	✓	0.7%
Paysafe Group PLC	PAYS.L / PAYS LN	15%	✓	0.7%
Pearson PLC	PSON.L / PSON LN	5%	✓	0.3%
Pendragon PLC	PDG.L / PDG LN	25%	✓	0.7%
Penna Consulting PLC	PECO.L / PNA LN	25%	✓	1%
Pennant International Group PLC	PNIG.L / PEN LN	25%	✓	1%
Pennon Group PLC	PNN.L / PNN LN	10%	✓	0.3%
Perpetual Income and Growth Investment Trust PLC	PLI.L / PLI LN	20%	✓	0.3%
Persimmon PLC	PSN.L / PSN LN	5%	✓	0.3%
Personal Assets Trust PLC	PNL.L / PNL LN	25%	✓	0.7%
Personal Group Holdings PLC	PGH.L / PGH LN	25%	✗	1%
Petra Diamonds Limited	PDL.L / PDL LN	25%	✓	0.7%
Petro Matad Limited	MATD.L / MATD LN	25%	✓	1%
Petroceltic International PLC	PCI.L / PCI LN	25%	✗	1%
Petrofac Limited	PFC.L / PFC LN	10%	✓	0.7%
Petropavlovsk PLC	POG.L / POG LN	20%	✓	0.7%
Pets at Home Group PLC	PETSP.L / PETS LN	10%	✓	0.3%
Pharmstandard OJSC	PHSTq.L / PHST LI	25%	✗	1%
Phoenix Group Holdings PLC	PHNX.L / PHNX LN	20%	✓	0.7%
Phoenix Spree Deutschland Limited	PSDL.L / PSDL LN	25%	✓	1%

Phorm Corporation Limited	PHRM.L / PHRM LN	25%	✓	1%
Photo-Me International PLC	PHTM.L / PHTM LN	25%	✓	1%
Picton Property Income Limited	PCTN.L / PCTN LN	25%	✓	0.7%
Picton ZDP Limited	PCTZ.L / PCTZ LN	25%	✗	1%
Pinewood Group PLC	PWS.L / PWS LN	25%	✓	1%
Plant Impact PLC	PIM.L / PIM LN	25%	✗	1%
Playtech PLC	PTEC.L / PTEC LN	10%	✓	0.7%
Plaza Centers NV	PLAZ.L / PLAZ LN	25%	✗	1%
Plexus Holdings PLC	PLEX.L / POS LN	25%	✓	0.7%
Plus500 Limited	PLUSP.L / PLUS LN	25%	✓	1%
Polar Capital Global Financials Trust PLC	PCFT.L / PCFT LN	10%	✓	0.3%
Polar Capital Global Financials Trust PLC	PCFS.L / PCFS LN	10%	✗	0.3%
Polar Capital Global Healthcare Growth and Income Trust PLC	PCGH.L / PCGH LN	10%	✗	0.3%
Polar Capital Holdings PLC	POLR.L / POLR LN	25%	✓	0.7%
Polar Capital Technology Trust PLC	PCT.L / PCT LN	25%	✓	0.3%
Polo Resources Limited	POLO.L / POL LN	25%	✓	0.7%
Polymetal International PLC	POLYP.L / POLY LN	10%	✓	0.7%
Polypipe Group PLC	PLP.L / PLP LN	25%	✓	1%
Porta Communications PLC	PTCM.L / PTCM LN	25%	✓	1%
Portmeirion Group PLC	PMGR.L / PMP LN	25%	✓	1%
Porvair PLC	PORV.L / PRV LN	25%	✓	1%
Poundland Group PLC	PLND.L / PLND LN	10%	✓	0.3%
PowerShares Dynamic US Market UCITS ETF	PSWCG.L / PSWC LN	10%	✗	0.3%
PowerShares EQQQ Nasdaq-100 UCITS ETF	EQQQ.L / EQQQ LN	10%	✗	0.3%
PowerShares FTSE RAFI Asia Pacific Ex-Japan UCITS ETF	PSRA.L / PSRA LN	25%	✗	1%
PowerShares FTSE RAFI Developed 1000 UCITS ETF	PSRD.L / PSRD LN	25%	✗	1%
PowerShares FTSE RAFI Emerging Markets UCITS ETF	PSRM.L / PSRM LN	25%	✗	1%
PowerShares FTSE RAFI Europe Mid-Small UCITS ETF	PSEG.L / PSES LN	10%	✗	0.3%
PowerShares FTSE RAFI Europe UCITS ETF	PSREG.L / PSRE LN	25%	✗	1%
PowerShares FTSE RAFI Hong Kong China UCITS ETF	PSRH.L / PSRH LN	25%	✗	1%
PowerShares FTSE RAFI US 1000 UCITS ETF	PSRFG.L / PSRF LN	10%	✗	0.3%
PowerShares Global Agriculture UCITS ETF	PSGA.L / PSGA LN	25%	✗	1%
PowerShares Global Clean Energy UCITS ETF	PSBWG.L / PSBW LN	25%	✗	1%
PowerShares Global Listed Private Equity UCITS ETF	PSSPG.L / PSSP LN	25%	✗	1%
PowerShares Global Water UCITS ETF	PSHOG.L / PSHO LN	25%	✗	1%
PowerShares S&P 500 High Dividend Low Volatility UCITS ETF	HDLG.L / HDLG LN	10%	✗	0.7%
PowerShares S&P 500 VEQTOR UCITS ETF	SPVG.L / SPVG LN	10%	✗	1%
Powerflute Oyj	POWR.L / POWR LN	25%	✓	1%
Prairie Mining Limited	PDZP.L / PDZ LN	25%	✗	1%
Premaitha Health PLC	NIPT.L / NIPT LN	25%	✓	1%
Premier African Minerals Limited	PREM.L / PREM LN	25%	✗	1%
Premier Energy and Water Trust PLC	PEW.L / PEW LN	25%	✓	1%
Premier Farnell PLC	PFL.L / PFL LN	20%	✓	0.7%
Premier Foods PLC	PFD.L / PFD LN	20%	✓	1%
Premier Oil PLC	PMO.L / PMO LN	15%	✓	0.7%
Premier Technical Services Group PLC	PTSG.L / PTSG LN	25%	✗	1%
President Energy PLC	PPTC.L / PPC LN	25%	✓	1%
Pressure Technologies PLC	PRES.L / PRES LN	25%	✓	1%
Primary Health Properties PLC	PHP.L / PHP LN	25%	✓	0.7%
Private & Commercial Finance Group PLC	PCF.L / PCF LN	25%	✗	1%
Private Equity Investor PLC	PEQ.L / PEQ LN	25%	✗	1%
ProVen Growth & Income VCT PLC	PGOO.L / PGOO LN	10%	✗	1%
ProVen VCT PLC	PVN.L / PVN LN	10%	✗	1%

Produce Investments PLC	PIL.L / PIL LN	25%	✗	1%
Progressive Digital Media Group PLC	DATA.L / DATA LN	25%	✓	1%
Project Finance Investments Limited	PROJ.L / PROJ LN	10%	✗	0.3%
Provexis PLC	NTR.L / PXS LN	25%	✓	1%
Providence Resources PLC	PRR.L / PVR LN	25%	✓	1%
Provident Financial PLC	PFG.L / PFG LN	15%	✓	0.7%
Proxama PLC	PROXP.L / PROX LN	25%	✓	1%
Prudential PLC	PRU.L / PRU LN	5%	✓	0.7%
Public Gazprom	GAZPq.L / OGZD LI	10%	✓	1%
Public Gazprom Neft	SIBNq.L / GAZ LI	20%	✓	1%
Public HALS-Development	HALSq.L / HALS LI	25%	✗	1%
Public Long-Distance and International Telecommunications Rostelecom	ROSyq.L / RKMD LI	25%	✓	1%
Public Magnit	MGNTq.L / MGNT LI	10%	✓	1%
Public MegaFon	MFONq.L / MFON LI	10%	✓	1%
Public Mining and Metallurgical Company Norilsk Nickel	NKELyq.L / MNOD LI	10%	✓	1%
Public Oil Company LUKOIL	LKOHyq.L / LKOD LI	10%	✓	1%
Public Service Properties Investments Limited	PSPI.L / PSPI LN	25%	✗	1%
Public Uralkali	URKAq.L / URKA LI	10%	✗	1%
Puma VCT 10 PLC	PUMX.L / PUMX LN	10%	✗	0.3%
Puma VCT 11 PLC	PU11.L / PU11 LN	10%	✗	0.3%
Puma VCT 9 PLC	PUM9.L / PUM9 LN	10%	✗	0.3%
Punch Taverns PLC	PUB.L / PUB LN	25%	☐	0.7%
Pure Wafer PLC	PUR.L / PUR LN	25%	✓	1%
PureCircle Limited	PURE.L / PURE LN	25%	✓	1%
PureTech Health PLC	PRTC.L / PRTC LN	25%	✓	1%
PuriCore PLC	PURI.L / PURI LN	25%	✓	1%
Purplebricks Group PLC	PURP.L / PURP LN	25%	✗	1%
Qannas Investments Limited	QIL.L / QIL LN	10%	✗	0.3%
QinetiQ Group PLC	QQ.L / QQ/ LN	15%	✓	0.7%
Quadrise Fuels International PLC	QFI.L / QFI LN	25%	✓	1%
Quantum Pharma PLC	QP.L / QP/ LN	25%	✓	1%
Quartix Holdings PLC	QTX.L / QTX LN	25%	✗	1%
Quixant PLC	QXT.L / QXT LN	25%	✓	1%
R.E.A. Holdings PLC	REAH.L / RE/ LN	25%	✗	0.7%
RAB Special Situations Company Limited	RSS.L / RSS LN	25%	✗	1%
RELX PLC	REL.L / REL LN	5%	✓	0.3%
RIT Capital Partners PLC	RCP.L / RCP LN	20%	✓	0.7%
RM PLC	RM.L / RM/ LN	25%	✓	0.7%
RM2 International SA	RM2.L / RM2 LN	25%	✓	1%
ROBO Global(r) Robotics and Automation GO UCITS ETF	ROBG.L / ROBG LN	10%	✗	0.3%
ROBO Global(r) Robotics and Automation GO UCITS ETF	ROBO.L / ROBO LN	10%	✗	0.3%
RPC Group PLC	RPC.L / RPC LN	20%	✓	0.7%
RPS Group PLC	RPS.L / RPS LN	20%	✓	0.7%
RQIH Limited	RQIH.L / RQIH LN	25%	✓	1%
RSA Insurance Group PLC	RSA.L / RSA LN	10%	✓	0.3%
RWS Holdings PLC	RWS.L / RWS LN	25%	✓	0.7%
Rambler Metals & Mining PLC	RMM.L / RMM LN	25%	✓	1%
Rame Energy PLC	RAME.L / RAME LN	25%	✗	1%
Randgold Resources Limited	RRS.L / RRS LN	5%	✓	0.7%
Range Resources Limited	RGRS.L / RRL LN	25%	✓	1%
Rare Earth Minerals PLC	REMP.L / REM LN	25%	✓	1%
Rathbone Brothers PLC	RAT.L / RAT LN	25%	✓	0.7%
Raven Russia Limited	RUS.L / RUS LN	25%	✓	0.7%

ReNeuron Group PLC	RQE.L / RENE LN	25%	✓	1%
Real Estate Credit Investments PCC Limited	RECIV.L / RECI LN	25%	✓	1%
Real Good Food PLC	RGD.L / RGD LN	25%	✓	1%
Reckitt Benckiser Group PLC	RB.L / RB/ LN	5%	✓	0.3%
Reconstruction Capital II Limited	RC2.L / RC2 LN	10%	✗	0.3%
Record PLC	RECL.L / REC LN	25%	✓	0.7%
Red Emperor Resources NL	RMPq.L / RMP LN	25%	✓	0.7%
RedT Energy PLC	RED.L / RED LN	25%	✗	1%
Redcentric PLC	RCN.L / RCN LN	25%	✓	1%
Redde PLC	REDD.L / REDD LN	25%	✓	1%
Redefine International PLC	RD.I.L / RDI LN	25%	✓	0.7%
Redrow PLC	RDW.L / RDW LN	20%	✓	0.7%
Redx Pharma PLC	REDX.L / REDX LN	25%	✓	1%
Regenersis PLC	BLTGB.L / BLTG LN	25%	✗	1%
Regional REIT Limited	RGLR.L / RGL LN	25%	✓	1%
Regus PLC	RGU.L / RGU LN	20%	✓	0.7%
Reliance Industries Limited	RELIq.L / RIGD LI	15%	✓	1%
Renew Holdings PLC	RNWH.L / RNWH LN	25%	✓	1%
Renewable Energy Generation Limited	WINDR.L / WIND LN	25%	✓	1%
Renishaw PLC	RSW.L / RSW LN	25%	✓	0.7%
Renold PLC	RNO.L / RNO LN	25%	✓	1%
Rentokil Initial PLC	RTO.L / RTO LN	15%	✓	0.3%
Restaurant Group PLC	RTN.L / RTN LN	20%	✓	0.3%
Restore PLC	RSTP.L / RST LN	25%	✓	1%
Revolution Bars Group PLC	RBG.L / RBG LN	25%	✗	1%
Revolymmer PLC	REVO.L / REVO LN	25%	✗	1%
Rexam PLC	REX.L / REX LN	10%	✓	0.3%
Ricardo PLC	RCDO.L / RCDO LN	25%	✓	0.7%
Rightmove PLC	RMV.L / RMV LN	15%	✓	0.7%
Rights and Issues Investment Trust PLC	RIICi.L / RIIL LN	10%	✗	0.3%
Rio Tinto PLC	RIO.L / RIO LN	5%	✓	0.3%
River and Mercantile Group PLC	RIV.L / RIV LN	25%	✓	1%
River and Mercantile UK Micro Cap Investment Company Limited	RMMC.L / RMMC LN	10%	✗	0.3%
Riverstone Energy Limited	RSER.L / RSE LN	10%	✓	0.3%
Robert Walters PLC	RWA.L / RWA LN	25%	✓	0.7%
Rockhopper Exploration PLC	RKH.L / RKH LN	25%	✓	0.7%
Rolls Royce Holdings PLC	RR.L / RR/ LN	5%	✓	0.3%
Ros Agro PLC	AGRORq.L / AGRO LI	25%	✗	1%
Rose Petroleum PLC	ROSER.L / ROSE LN	25%	✓	1%
Rosneft Oil Company	ROSNq.L / ROSN LI	10%	✓	1%
Rotala PLC	ROTL.L / ROL LN	25%	✗	1%
Rotork PLC	ROR.L / ROR LN	15%	✓	0.3%
Roxi Petroleum PLC	RXP.L / RXP LN	25%	✓	1%
Royal Dutch Shell PLC	RDSa.L / RDSA LN	5%	✓	0.3%
Royal Dutch Shell PLC	RDSb.L / RDSB LN	5%	✓	0.3%
Royal Mail PLC	RMG.L / RMG LN	10%	✓	0.3%
Ruffer Investment Company Limited	RICA_p.L / RICA LN	25%	✗	0.3%
Rurelec PLC	RUR.L / RUR LN	25%	✓	1%
RusPetro PLC	RPO.L / RPO LN	25%	✓	0.7%
Ryanair Holdings PLC	RYA.L / RYA LN	10%	✓	0.3%
S&U PLC	SUS.L / SUS LN	25%	✓	1%
SABMiller PLC	SAB.L / SAB LN	5%	✓	0.3%
SDL PLC	SDL.L / SDL LN	20%	✓	0.3%

SECURE PROPERTY Development & Investment PLC	SPDI.L / SPDI LN	25%	✗	1%
SEGRO PLC	SGRO.L / SGRO LN	15%	✓	0.3%
SIG PLC	SHI.L / SHI LN	15%	✓	0.7%
SOCO International PLC	SIA.L / SIA LN	25%	✓	0.7%
SPDR(r) Barclays 0-3 Year Euro Corporate Bond UCITS ETF	SEUC.L / SEUC LN	10%	✗	0.3%
SPDR(r) Barclays 0-3 Year US Corporate Bond UCITS ETF	SUSD.L / SUSD LN	10%	✗	0.3%
SPDR(r) Barclays 0-5 Year Sterling Corporate Bond UCITS ETF	SUKC.L / SUKC LN	10%	✗	0.3%
SPDR(r) Barclays 0-5 Year US High Yield Bond UCITS ETF	SJNK.L / SJNK LN	10%	✗	0.3%
SPDR(r) Barclays 0-5 Year US High Yield Bond UCITS ETF	JNKS.L / JNKS LN	10%	✗	0.3%
SPDR(r) Barclays 1-3 Year Euro Government Bond UCITS ETF	EU13.L / EU13 LN	10%	✗	0.3%
SPDR(r) Barclays 1-3 Year US Treasury Bond UCITS ETF	TRS3.L / TRS3 LN	10%	✗	0.3%
SPDR(r) Barclays 1-5 Year Gilt UCITS ETF	GLTS.L / GLTS LN	10%	✗	0.3%
SPDR(r) Barclays 10+ Year U.S. Corporate Bond UCITS ETF	LCRP.L / LCRP LN	10%	✗	0.3%
SPDR(r) Barclays Emerging Markets Local Bond UCITS ETF	EMDL.L / EMDL LN	10%	✓	0.3%
SPDR(r) Barclays Emerging Markets Local Bond UCITS ETF	EMDD.L / EMDD LN	10%	✓	0.3%
SPDR(r) Barclays Euro Aggregate Bond UCITS ETF	EUAG.L / EUAG LN	10%	✗	0.3%
SPDR(r) Barclays Euro Corporate Bond UCITS ETF	EUCO.L / EUCO LN	10%	✗	0.3%
SPDR(r) Barclays Euro High Yield Bond UCITS ETF	JNKE.L / JNKE LN	10%	✗	0.3%
SPDR(r) Barclays Sterling Corporate Bond UCITS ETF	UKCO.L / UKCO LN	10%	✓	0.3%
SPDR(r) Barclays U.S. TIPS UCITS ETF	SPTIPS.L / TIPS LN	10%	✗	1%
SPDR(r) Barclays UK Gilt UCITS ETF	GLTY.L / GLTY LN	10%	✗	0.3%
SPDR(r) Barclays US Aggregate Bond UCITS ETF	USAG.L / USAG LN	10%	✗	0.3%
SPDR(r) Barclays US Treasury Bond UCITS ETF	TRSY.L / TRSY LN	10%	✓	0.7%
SPDR(r) Barclays US Treasury Bond UCITS ETF	USTY.L / USTY LN	10%	✗	0.3%
SPDR(r) Dow Jones Global Real Estate UCITS ETF	GLRE.L / GLRE LN	10%	✗	0.3%
SPDR(r) FTSE EPRA Europe ex UK Real Estate UCITS ETF	EURE.L / EURE LN	10%	✗	0.7%
SPDR(r) FTSE EPRA Europe ex UK Real Estate UCITS ETF	EURL.L / EURL LN	10%	✗	0.3%
SPDR(r) FTSE UK All Share UCITS ETF	FTAL.L / FTAL LN	10%	✓	0.3%
SPDR(r) MSCI ACWI UCITS ETF	ACWD.L / ACWD LN	10%	✗	0.3%
SPDR(r) MSCI ACWI UCITS ETF	ACWI.L / ACWI LN	10%	✗	0.3%
SPDR(r) MSCI EM Asia UCITS ETF	EASD.L / EMAD LN	10%	✗	0.3%
SPDR(r) MSCI EMU UCITS ETF	EURO.L / EURO LN	10%	✗	0.3%
SPDR(r) MSCI Emerging Markets UCITS ETF	EMRG.L / EMRG LN	10%	✗	0.3%
SPDR(r) MSCI USA Small Cap Value Weighted UCITS ETF USD Acc	USSC.L / USSC LN	10%	✗	0.3%
SPDR(r) MSCI World Small Cap UCITS ETF	WDSC.L / WDSC LN	10%	✓	0.3%
SPDR(r) Russell 2000 US Small Cap UCITS ETF	R2US.L / R2US LN	10%	✗	0.3%
SPDR(r) S&P 400 US Mid Cap UCITS ETF	SPY4.L / SPY4 LN	10%	✓	0.3%
SPDR(r) S&P 500 UCITS ETF	SPY5.L / SPY5 LN	10%	✓	0.3%
SPDR(r) S&P 500 UCITS ETF	SPX5.L / SPX5 LN	10%	✗	0.3%
SPDR(r) S&P Euro Dividend Aristocrats UCITS ETF	EUDV.L / EUDV LN	10%	✓	0.3%
SPDR(r) S&P Global Dividend Aristocrats UCITS ETF	GBDV.L / GBDV LN	10%	✗	0.3%
SPDR(r) S&P UK Dividend Aristocrats UCITS ETF	UKDV.L / UKDV LN	10%	✗	0.3%
SPDR(r) S&P US Dividend Aristocrats UCITS ETF	USDV.L / USDV LN	10%	✗	0.7%
SPDR(r) Thomson Reuters Global Convertible Bond UCITS ETF	GCVB.L / GCVB LN	10%	✓	0.3%
SQN Asset Finance Income Fund Limited	SQNS.L / SQN LN	10%	✓	0.3%
SQS Software Quality System SA	SQS.L / SQS LN	25%	✓	1%
SSE PLC	SSE.L / SSE LN	5%	✓	0.3%
SSP Group PLC	SSPG.L / SSPG LN	15%	✓	0.7%
STV Group PLC	STVG.L / STVG LN	25%	✓	1%

SThree PLC	STHR.L / STHR LN	25%	✓	0.7%
SVG Capital PLC	SVI.L / SVI LN	20%	✓	0.7%
Sable Mining Africa Limited	SBLM.L / SBLM LN	25%	✓	0.7%
Sacoven PLC	SCNS.L / SCN LN	25%	✗	1%
SafeCharge International Group Limited	SCHS.L / SCH LN	20%	✗	1%
Safestay PLC	SSTY.L / SSTY LN	25%	✓	1%
Safestore Holdings PLC	SAFE.L / SAFE LN	20%	✓	0.7%
Safestyle UK PLC	SFES.L / SFE LN	20%	✓	0.7%
Saga PLC	SAGAG.L / SAGA LN	10%	✓	0.3%
Sage Group PLC	SGE.L / SGE LN	10%	✓	0.3%
Sagicor Financial Corporation	SAFI.L / SFI LN	25%	✗	1%
Samsung Electronics Company Limited	0593xq.L / SMSN LI	10%	✓	1%
San Leon Energy PLC	SLEN.L / SLE LN	25%	✓	1%
Sanderson Group PLC	SND.L / SND LN	25%	✗	1%
Sanditon Investment Trust PLC	SIT.L / SIT LN	10%	✗	0.3%
Sanne Group PLC	SNNS.L / SNN LN	25%	✓	0.7%
Sareum Holdings PLC	SAR.L / SAR LN	25%	✓	1%
Savannah Petroleum PLC	SAVP.L / SAVP LN	25%	✓	1%
Savills PLC	SVS.L / SVS LN	25%	✓	0.7%
Sberbank of Russia OJSC	SBNCyq.L / SBER LI	10%	✓	1%
ScS Group PLC	SCSS.L / SCS LN	25%	✓	1%
Scancell Holdings PLC	SCLP.L / SCLP LN	25%	✓	1%
Scapa Group PLC	SCPA.L / SCPA LN	25%	✓	1%
Schroder Global Real Estate Securities Limited	SGRES.L / SGRE LN	25%	✗	0.3%
Schroder Income Growth Fund PLC	SCF.L / SCF LN	25%	✓	0.7%
Schroder Investment Trust - Schroder AsiaPacific Fund PLC	SDP.L / SDP LN	25%	✓	0.3%
Schroder Japan Growth Fund PLC	SJG.L / SJG LN	25%	✓	0.7%
Schroder Oriental Income Fund Limited	SOI.L / SOI LN	25%	✓	0.3%
Schroder Real Estate Investment Trust Limited	SREI.L / SREI LN	25%	✓	0.7%
Schroder UK Growth Fund PLC	SDU.L / SDU LN	25%	✓	0.3%
Schroder UK Mid Cap Fund PLC	SCP.L / SCP LN	25%	✓	0.7%
Schroders Investment Trusts - Asian Total Return Investment Company PLC	ATRAS.L / ATR LN	25%	✓	0.3%
Schroders PLC	SDR.L / SDR LN	10%	✓	0.7%
Schroders PLC	SDRt.L / SDRC LN	25%	✓	0.7%
Science Group PLC	SAGS.L / SAG LN	25%	✗	1%
Science in Sport PLC	SISS.L / SIS LN	25%	✓	1%
Scottish Mortgage Investment Trust PLC	SMT.L / SMT LN	15%	✓	0.7%
Secure Income REIT PLC	SIRE.L / SIR LN	25%	✗	1%
Secure Trust Bank PLC	STBS.L / STB LN	25%	✓	1%
Securities Trust of Scotland PLC	SESTS.L / STS LN	25%	✓	0.3%
Seeing Machines Limited	MZZ.L / SEE LN	25%	✓	1%
Seneca Global Income & Growth Trust PLC	SIGT.L / SIGT LN	25%	✗	1%
Senior PLC	SNR.L / SNR LN	25%	✓	0.3%
Seplat Petroleum Development Company PLC	SEPL.L / SEPL LN	25%	✓	1%
Sepura PLC	SEPU.L / SEPU LN	25%	✓	0.7%
Sequoia Economic Infrastructure Income Fund Limited	SEQL.L / SEQL LN	10%	✗	0.3%
Serco Group PLC	SRP.L / SRP LN	10%	✓	0.3%
Serica Energy PLC	SQZ.L / SQZ LN	25%	✓	1%
Servelec Group PLC	SERV.L / SERV LN	25%	✓	1%
Severfield PLC	SFR.L / SFR LN	25%	✓	0.7%
Severn Trent PLC	SVT.L / SVT LN	5%	✓	0.3%
Shaftesbury PLC	SHB.L / SHB LN	20%	✓	0.3%
Shanks Group PLC	SKS.L / SKS LN	25%	✓	0.7%

Shanta Gold Limited	SHAN.L / SHG LN	25%	✓	1%
Shawbrook Group PLC	SHAW.L / SHAW LN	25%	✓	1%
Sherborne Investors (Guernsey) B Limited	SIGB.L / SIGB LN	25%	✗	1%
Shield Therapeutics PLC	STXS.L / STX LN	25%	✗	1%
Shires Income PLC	SHRS.L / SHRS LN	25%	✗	0.7%
Shoe Zone PLC	SHOE.L / SHOE LN	25%	✗	1%
Sierra Rutile Limited	SRXR.L / SRX LN	25%	✓	1%
Sigma Capital Group PLC	SISGM.L / SGM LN	25%	✗	1%
Signet Jewelers Limited	SIG.L / SIG LN	25%	✓	0.7%
Sinclair Pharma PLC	SPH.L / SPH LN	25%	✓	0.7%
Sirius Minerals PLC	SXX.L / SXX LN	20%	✓	0.7%
Sirius Petroleum PLC	SRSP.L / SRSP LN	25%	✓	1%
Sirius Real Estate Limited	SRET.L / SRE LN	25%	✓	1%
Sistema JSFC	SSAq.L / SSA LI	10%	✓	1%
Skil Ports & Logistics Limited	SKPL.L / SPL LN	25%	✓	1%
Sky PLC	SKYB.L / SKY LN	5%	✓	0.3%
Skyepharma PLC	SKP.L / SKP LN	25%	✓	1%
Small Companies Dividend Trust PLC	SDV.L / SDV LN	10%	✗	1%
Small Companies ZDP PLC	SDVZ_p.L / SDVZ LN	10%	✗	0.3%
Smart Metering Systems PLC	SMSS.L / SMS LN	25%	✓	0.7%
Smith & Nephew PLC	SN.L / SN/ LN	5%	✓	0.3%
Smiths Group PLC	SMIN.L / SMIN LN	10%	✓	0.3%
Smurfit Kappa Group PLC	SKG.L / SKG LN	10%	✓	0.3%
Snoozebox Holdings PLC	ZZZ.L / ZZZ LN	25%	✓	1%
Softcat PLC	SCTS.L / SCT LN	25%	✓	1%
Software Radio Technology PLC	SORA.L / SRT LN	25%	✓	1%
SolGold PLC	SOLG.L / SOLG LN	25%	✓	1%
Solid State PLC	SOLI.L / SOLI LN	25%	✗	1%
Solo Oil PLC	SOLO.L / SOLO LN	25%	✓	1%
Somero Enterprises Inc	SOM.L / SOM LN	25%	✓	1%
Sophos Group PLC	SOPH.L / SOPH LN	25%	✓	1%
Sound Energy PLC	SOU.L / SOU LN	25%	✓	1%
Source BioScience PLC	SBSC.L / SBS LN	25%	✗	1%
Source Consumer Staples S&P US Select Sector UCITS ETF	XLPP.L / XLPP LN	10%	✗	0.3%
Source EURO STOXX 50 UCITS ETF	SX5S.L / SX5S LN	10%	✗	0.3%
Source EURO STOXX Optimised Banks UCITS ETF	S7XP.L / S7XP LN	10%	✗	0.3%
Source Energy S&P US Select Sector UCITS ETF	XLEP.L / XLEP LN	10%	✗	0.3%
Source FTSE 100 UCITS ETF	S100.L / S100 LN	10%	✗	0.3%
Source Financials S&P US Select Sector UCITS ETF	XLFS.L / XLFS LN	10%	✓	0.3%
Source Goldman Sachs Equity Factor Index Europe UCITS ETF	EFIE.L / EFIE LN	10%	✗	0.3%
Source Goldman Sachs Equity Factor Index World UCITS ETF	EFIS.L / EFIS LN	10%	✗	0.3%
Source Goldman Sachs Equity Factor Index World UCITS ETF	EFIW.L / EFIW LN	10%	✗	0.3%
Source Health Care S&P US Select Sector UCITS ETF	XLVS.L / XLVS LN	10%	✓	0.3%
Source Industrials S&P US Select Sector UCITS ETF	XLIP.L / XLIP LN	10%	✗	0.3%
Source JPX-Nikkei 400 UCITS ETF	S400.L / S400 LN	10%	✗	0.7%
Source JPX-Nikkei 400 UCITS ETF	N400.L / N400 LN	10%	✗	0.3%
Source JPX-Nikkei 400 UCITS ETF USD Hedged	N4US.L / N4US LN	10%	✗	0.3%
Source LGIM Commodity Composite UCITS ETF	LGCU.L / LGCU LN	10%	✗	0.3%
Source MSCI Europe UCITS ETF	MXEU.L / MXEU LN	10%	✗	0.3%
Source MSCI USA UCITS ETF	MXUS.L / MXUS LN	10%	✗	0.3%
Source MSCI World UCITS ETF	MXWS.L / MXWS LN	10%	✗	0.3%

Source MSCI World UCITS ETF	MXWO.L / MXWO LN	10%	✗	0.3%
Source Man GLG Asia Plus UCITS ETF	MPAS.L / MPAS LN	10%	✗	0.3%
Source Man GLG Europe Plus UCITS ETF	MPFE.L / MPFE LN	10%	✗	0.3%
Source Materials S&P US Select Sector UCITS ETF	XLBP.L / XLBP LN	10%	✗	1%
Source Morningstar US Energy Infrastructure MLP UCITS ETF	MLPQ.L / MLPQ LN	10%	✗	0.3%
Source Morningstar US Energy Infrastructure MLP UCITS ETF	MLPS.L / MLPS LN	10%	✗	0.3%
Source Morningstar US Energy Infrastructure MLP UCITS ETF B	MLPP.L / MLPP LN	10%	✗	0.3%
Source Morningstar US Energy Infrastructure MLP UCITS ETF B	MLPD.L / MLPD LN	10%	✓	0.3%
Source NASDAQ Biotech UCITS ETF	SBIO.L / SBIO LN	10%	✗	0.3%
Source Physical Gold P-ETC	SGLP.L / SGLP LN	10%	✗	0.3%
Source Physical Gold P-ETC	SGLD.L / SGLD LN	10%	✓	0.3%
Source Physical Palladium ETC (P-ETC)	SPAP.L / SPAP LN	10%	✗	0.3%
Source Physical Platinum ETC (P-ETC)	SPPP.L / SPPP LN	10%	✗	0.3%
Source R Equal-Risk European Equity UCITS ETF	REQR.L / REQR LN	10%	✗	0.3%
Source RDX UCITS ETF	RDXS.L / RDXS LN	25%	✗	1%
Source Russell 2000 UCITS ETF	RTYS.L / RTYS LN	10%	✗	0.3%
Source S&P 500 UCITS ETF	SPXP.L / SPXP LN	10%	✗	0.3%
Source S&P 500 UCITS ETF	SPXS.L / SPXS LN	10%	✓	0.3%
Source STOXX Europe 600 Optimised Banks UCITS ETF	X7PS.L / X7PS LN	10%	✗	0.3%
Source STOXX Europe 600 Optimised Banks UCITS ETF	X7PP.L / X7PP LN	10%	✗	0.3%
Source STOXX Europe 600 UCITS ETF	S600.L / S600 LN	10%	✗	0.3%
Source STOXX Eurozone Exporters UCITS ETF USD Hedged	EZXU.L / EZXU LN	10%	✗	1%
Source STOXX Japan Exporters UCITS ETF A USD	JPEX.L / JPEX LN	10%	✗	1%
Source Technology S&P US Select Sector UCITS ETF	XLKQ.L / XLKQ LN	10%	✗	0.3%
Source Utilities S&P US Select Sector UCITS ETF	XLUP.L / XLUP LN	10%	✗	1%
Spectris PLC	SXS.L / SXS LN	15%	✓	0.7%
Speedy Hire PLC	SDY.L / SDY LN	20%	✓	0.7%
Spirax-Sarco Engineering PLC	SPX.L / SPX LN	15%	✓	0.3%
Spire Healthcare Group PLC	SPI.L / SPI LN	15%	✓	0.7%
Spirent Communications PLC	SPT.L / SPT LN	20%	✓	0.7%
Sportech PLC	ROD.L / SPO LN	25%	✓	0.7%
Sports Direct International PLC	SPD.L / SPD LN	10%	✓	0.3%
Sprue Aegis PLC	SPRP.L / SPRP LN	25%	✓	1%
St Ives PLC	SIV.L / SIV LN	25%	✓	0.7%
St. James's Place PLC	SJP.L / STJ LN	10%	✓	0.7%
St. Modwen Properties PLC	SMP.L / SMP LN	25%	✓	0.7%
Stadium Group PLC	SDMS.L / SDM LN	25%	✓	1%
Staffline Group PLC	STAF.L / STAF LN	25%	✓	1%
Stagecoach Group PLC	SGC.L / SGC LN	20%	✓	0.3%
Standard Chartered PLC	STAN.L / STAN LN	5%	✓	0.3%
Standard Life Equity Income Trust PLC	SLET.L / SLET LN	25%	✗	0.7%
Standard Life Equity Income Trust PLC	SLETx.L / SLES LN	10%	✗	0.3%
Standard Life European Private Equity Trust PLC	STLI.L / SEP LN	25%	✓	0.7%
Standard Life Investments Property Income Trust Limited	SLI.L / SLI LN	25%	✓	0.7%
Standard Life PLC	SL.L / SL/ LN	5%	✓	0.3%
Standard Life UK Smaller Companies Trust PLC	SLS.L / SLS LN	25%	✓	0.7%
Starwood European Real Estate Finance Limited	SWEF.L / SWEF LN	10%	✗	0.3%
StatPro Group PLC	SOG.L / SOG LN	25%	✓	1%
State Bank of India	SBIq.L / SBID LI	15%	✓	1%
Stobart Group Limited	STOB.L / STOB LN	25%	✓	0.7%

Stock Spirits Group PLC	STCK.L / STCK LN	15%	✓	0.7%
Strategic Equity Capital PLC	SEC.L / SEC LN	10%	✓	0.3%
Stratex International PLC	STIL.L / STI LN	25%	✓	1%
Styles&Wood Group PLC	STY.L / STY LN	25%	✓	1%
Summit Germany Limited	SMTG.L / SMTG LN	25%	✗	1%
Summit Therapeutics PLC	SUMM.L / SUMM LN	25%	✓	1%
SuperGroup PLC	SGP.L / SGP LN	15%	✓	0.7%
Surgical Innovations Group PLC	SUNU.L / SUN LN	25%	✓	1%
Surgutneftegas	SNGSyq.L / SGGD LI	10%	✓	1%
Sutton Harbour Holdings PLC	SUH.L / SUH LN	25%	✓	1%
Sweett Group PLC	CSWG.L / CSG LN	25%	✓	1%
Sylvania Platinum Limited	SLPL.L / SLP LN	25%	✓	1%
Symphony Environmental Technologies PLC	SYMU.L / SYM LN	25%	✓	1%
Symphony International Holdings Limited	SIHL.L / SIHL LN	25%	✓	0.7%
Synectics PLC	SNXS.L / SNX LN	25%	✓	1%
Synety Group PLC	SNTY.L / SNTY LN	25%	✓	1%
Synthomer PLC	SYNTS.L / SYNT LN	25%	✓	0.7%
T Clarke PLC	CTO.L / CTO LN	25%	✓	1%
TCS Group Holding PLC	TCSq.L / TCS LI	10%	✗	1%
TLA Worldwide PLC	TLAT.L / TLA LN	25%	✗	1%
TP Group PLC	TPG.L / TPG LN	25%	✓	1%
TR European Growth Trust PLC	TRG.L / TRG LN	25%	✓	0.3%
TR Property Investment Trust PLC	TRY.L / TRY LN	20%	✓	0.3%
TT Electronics PLC	TTG.L / TTG LN	25%	✓	0.7%
TUI AG	TUIT.L / TUI LN	10%	✓	0.7%
Taliesin Property Fund Limited	TPF.L / TPF LN	25%	✗	1%
TalkTalk Telecom Group PLC	TALK.L / TALK LN	10%	✓	0.7%
Tangent Communications PLC	TATNG.L / TNG LN	25%	✗	1%
Taptica International Limited	TAP.L / TAP LN	25%	✓	1%
Target Healthcare REIT Limited	THRLT.L / THRL LN	25%	✓	1%
Tarsus Group PLC	TRS.L / TRS LN	25%	✓	0.7%
Tasty PLC	TAST.L / TAST LN	25%	✓	1%
Tata Steel Limited	TISCq.L / TTST LI	25%	✓	1%
Tate & Lyle PLC	TATE.L / TATE LN	10%	✓	0.3%
Taylor Wimpey PLC	TW.L / TW/ LN	5%	✓	0.7%
Ted Baker PLC	TED.L / TED LN	25%	✓	0.3%
Tekcapital PLC	TEKT.L / TEK LN	25%	✗	1%
Telecity Group PLC	TCY.L / TCY LN	10%	✓	0.7%
Telecom Plus PLC	TEP.L / TEP LN	20%	✓	0.7%
Telefonica SA	TDET.L / TDE LN	5%	✗	1%
Telford Homes PLC	TELF.L / TEF LN	25%	✓	1%
Telit Communications PLC	TELT.L / TCM LN	25%	✓	1%
Temple Bar Investment Trust PLC	TMPL.L / TMPL LN	25%	✓	0.7%
Templeton Emerging Markets Investment Trust PLC	TEM.L / TEM LN	15%	✓	0.7%
Tes Company PLC	TSCO.L / TSCO LN	5%	✓	0.3%
Tethys Petroleum Limited	TPL.L / TPL LN	25%	✓	1%
Thalassa Holdings Limited	THAL.L / THAL LN	25%	✓	1%
The 600 Group PLC	SIXH.L / SIXH LN	25%	✓	1%
The Alumasc Group PLC	ALUG.L / ALU LN	25%	✓	1%
The Baillie Gifford Japan Trust PLC	BGFD.L / BGFD LN	25%	✓	0.7%
The Bankers Investment Trust PLC	BNKR.L / BNKR LN	25%	✓	0.7%
The Berkeley Group Holdings PLC	BKGH.L / BKG LN	5%	✓	0.7%
The Biotech Growth Trust PLC	BIOGW.L / BIOG LN	20%	✓	0.7%
The British Land Company PLC	BLND.L / BLND LN	5%	✓	0.3%

The Brunner Investment Trust PLC	BUT.L / BUT LN	25%	✓	0.7%
The City of London Investment Trust PLC	CTY.L / CTY LN	20%	✓	0.3%
The Diverse Income Trust PLC	DIVI.L / DIVI LN	25%	✓	1%
The Edinburgh Investment Trust PLC	EDIN.L / EDIN LN	20%	✓	0.3%
The Establishment Investment Trust PLC	ET.L / ET LN	10%	✗	0.3%
The European Investment Trust PLC	EUTP.L / EUT LN	25%	✓	0.7%
The Fulham Shore PLC	FULH.L / FUL LN	25%	✓	1%
The Go-Ahead Group PLC	GOG.L / GOG LN	20%	✓	0.7%
The Governor and Company of the Bank of Ireland	BKIR.L / BKIR LN	10%	✓	0.3%
The Gym Group PLC	GYM.L / GYM LN	25%	✓	1%
The Henderson Smaller Companies Investment Trust PLC	HSL.L / HSL LN	20%	✓	0.3%
The Income & Growth VCT PLC	IGVI.L / IGV LN	10%	✗	0.3%
The Law Debenture Corporation PLC	LWDB.L / LWDB LN	25%	✓	0.7%
The Local Shopping REIT PLC	LSR.L / LSR LN	25%	✓	1%
The Mercantile Investment Trust PLC	MRCM.L / MRC LN	25%	✓	0.3%
The Merchants Trust PLC	MRCH.L / MRCH LN	25%	✓	0.7%
The Mission Marketing Group PLC	TMMG.L / TMMG LN	25%	✓	1%
The Monks Investment Trust PLC	MNKS.L / MNKS LN	25%	✓	0.7%
The North American Income Trust PLC	NAIT.L / NAIT LN	25%	✓	0.3%
The Parkmead Group PLC	PMG.L / PMG LN	25%	✓	1%
The People's Operator PLC	TPOP.L / TPOP LN	25%	✗	1%
The Prospect Japan Fund Limited	PJF.L / PJF LN	10%	✗	0.3%
The Rank Group PLC	RNK.L / RNK LN	25%	✓	0.3%
The Renewables Infrastructure Group Limited	TRIG.L / TRIG LN	25%	✓	1%
The Royal Bank of Scotland Group PLC	RBS.L / RBS LN	5%	✓	0.3%
The Scottish American Investment Company PLC	SCAM.L / SCAM LN	25%	✓	0.3%
The Scottish Investment Trust PLC	SCIN.L / SCIN LN	25%	✓	0.3%
The Scottish Oriental Smaller Companies Trust PLC	SOST.L / SST LN	25%	✓	0.7%
The Stanley Gibbons Group PLC	SGI.L / SGI LN	25%	✓	1%
The Vitec Group PLC	VTC.L / VTC LN	25%	✓	0.7%
The Weir Group PLC	WEIR.L / WEIR LN	10%	✓	0.3%
The World Trust Fund	WTR.L / WTR LN	10%	✓	0.3%
Third Point Offshore Investors Limited	TPOGu.L / TPOU LN	25%	✗	1%
Third Point Offshore Investors Limited	TPOG.L / TPOG LN	25%	✗	1%
Thomas Cook Group PLC	TCG.L / TCG LN	10%	✓	1%
Threadneedle UK Select Trust Limited	UKT.L / UKT LN	10%	✗	0.3%
Tiso Blackstar Group SE	TBGR.L / TBGR LN	25%	✓	1%
Tissue Regenix Group PLC	TRX.L / TRX LN	25%	✓	1%
Tiziana Life Sciences PLC	TILST.L / TILS LN	25%	✓	1%
Topps Tiles PLC	TPT.L / TPT LN	20%	✓	0.7%
Toro Limited	TORO.L / TORO LN	10%	✗	0.3%
Torotrak PLC	TRK.L / TRK LN	25%	✓	0.7%
Toumaz Limited	TMZ.L / TMZ LN	25%	✓	1%
Tower Resources PLC	TOWR.L / TRP LN	25%	✓	1%
Town Centre Securities PLC	TOWNT.L / TOWN LN	25%	✓	0.7%
Tracsis PLC	TRCS.L / TRCS LN	25%	✓	1%
Trakm8 Holdings PLC	TKM8.L / TRAK LN	25%	✓	1%
Transense Technologies PLC	TRT.L / TRT LN	25%	✓	1%
Travis Perkins PLC	TPK.L / TPK LN	10%	✓	0.3%
Treatt PLC	TET.L / TET LN	25%	✓	1%
Tri-Star Resources PLC	TSTRE.L / TSTR LN	25%	✓	1%
Tribal Group PLC	TRBG.L / TRB LN	25%	✓	0.7%
Trifast PLC	TRFT.L / TRI LN	25%	✓	0.7%

Trinity Exploration & Production PLC	TRIN.L / TRIN LN	25%	✓	0.7%
Trinity Mirror PLC	TNI.L / TNI LN	20%	✓	1%
Tristel PLC	TSTL.L / TSTL LN	25%	✓	1%
Tritax Big Box REIT PLC	BBOX.L / BBOX LN	25%	✓	1%
Troy Income & Growth Trust PLC	TIGT.L / TIGT LN	25%	✓	0.7%
Tullett Prebon PLC	TLPR.L / TLPR LN	25%	✓	0.7%
Tullow Oil PLC	TLW.L / TLW LN	5%	✓	0.3%
Tungsten Corporation PLC	TUNG.L / TUNG LN	25%	✓	1%
TwentyFour Income Fund Limited	TFIF.L / TFIF LN	10%	✓	0.7%
TwentyFour Select Monthly Income Fund Limited	SMIF.L / SMIF LN	10%	✓	0.3%
Tyman PLC	TYMN.L / TYMN LN	20%	✓	0.7%
U and I Group PLC	UAI.L / UAI LN	25%	✓	0.7%
UBM PLC	UBM.L / UBM LN	15%	✓	0.3%
UBS (Irl) ETF plc - DJ Global Select Dividend UCITS ETF (USD) A-dis	UC75.L / UC75 LN	10%	✗	0.3%
UBS (Irl) ETF plc - Factor MSCI USA Quality UCITS ETF (USD) A-dis	UC99.L / UC99 LN	10%	✗	0.3%
UBS (Irl) ETF plc - MSCI USA Value UCITS ETF (USD) A-dis	UC07.L / UC07 LN	10%	✗	0.3%
UBS (Irl) ETF plc - MSCI USA hedged to GBP UCITS ETF (GBP) A-acc	UC74.L / UC74 LN	10%	✗	0.3%
UBS (Irl) ETF plc - MSCI United Kingdom IMI Socially Responsible UCITS ETF (GBP) A-dis	UKSR.L / UKSR LN	10%	✗	0.3%
UBS (Irl) ETF plc - Solactive Global Oil Equities UCITS ETF (USD) A-dis	UC51.L / UC51 LN	10%	✗	1%
UBS (Irl) ETF plc - Solactive Global Pure Gold Miners UCITS ETF (USD) A-dis	UC53.L / UC53 LN	10%	✗	0.3%
UBS ETF - EURO STOXX 50 UCITS ETF (EUR) A-dis	UB01.L / UB01 LN	10%	✗	0.3%
UBS ETF - FTSE 100 UCITS ETF (GBP) A-dis	UB03.L / UB03 LN	10%	✗	0.3%
UBS ETF - MSCI Japan UCITS ETF (JPY) A-dis	UB02.L / UB02 LN	10%	✗	0.3%
UBS ETF - MSCI Turkey UCITS ETF (EUR) A-dis	UB36.L / UB36 LN	10%	✗	1%
UBS ETFs plc - CMCI Composite SF UCITS ETF (USD) A-acc	UC15.L / UC15 LN	10%	✗	0.3%
UBS ETFs plc - CMCI Composite SF UCITS ETF (USD) A-acc	UC14.L / UC14 LN	10%	✗	0.3%
UBS ETFs plc - MSCI Canada SF UCITS ETF (CAD) A-acc	UC24.L / UC24 LN	10%	✗	0.3%
UBS ETFs plc - MSCI EMU SF UCITS ETF (EUR) A-acc	UC30.L / UC30 LN	10%	✗	0.3%
UBS ETFs plc - MSCI Japan SF UCITS ETF (JPY) A-acc	UC32.L / UC32 LN	10%	✗	0.3%
UBS ETFs plc - MSCI USA SF UCITS ETF (USD) A-acc	UC38.L / UC38 LN	10%	✗	0.3%
UCP PLC	UCP.L / UCP LN	25%	✓	0.7%
UDG Healthcare PLC	UDG.L / UDG LN	20%	✓	0.7%
UK Commercial Property Trust Limited	UKCM.L / UKCM LN	25%	✓	0.7%
UK Mail Group PLC	UKM.L / UKM LN	25%	✓	0.7%
UK Mortgages Limited	UKML.L / UKML LN	10%	✓	0.3%
UK Oil & Gas Investments PLC	UKOGa.L / UKOG LN	25%	✗	1%
Ubisense Group PLC	UBIS.L / UBI LN	25%	✓	1%
Ultimate Sports Group PLC	USGU.L / USG LN	25%	✗	1%
Ultra Electronics Holdings PLC	ULE.L / ULE LN	20%	✓	0.3%
Unicorn AIM VCT PLC	UAVP.L / UAV LN	10%	✗	1%
Unilever PLC	ULVR.L / ULVR LN	5%	✓	0.3%
Unite Group PLC	UTG.L / UTG LN	20%	✓	0.7%
United Utilities Group PLC	UU.L / UU/ LN	5%	✓	0.3%
Universe Group PLC	UNG.L / UNG LN	25%	✓	1%
Urban&Civic PLC	UANC.L / UANC LN	25%	✓	1%
Utilico Emerging Markets Limited	UEM.L / UEM LN	25%	✓	0.3%
Utilico Emerging Markets Limited	UEMS.L / UEMS LN	25%	✗	0.3%
Utilico Investments Limited	UTL.L / UTL LN	25%	✗	1%
Utilitywise PLC	UTW.L / UTW LN	25%	✓	1%

VPC Specialty Lending Investments PLC	VSLV.L / VSL LN	25%	✓	1%
VPC Specialty Lending Investments PLC	VSLC.L / VSLC LN	10%	✓	0.3%
ValiRx PLC	VALX.L / VAL LN	25%	✓	1%
Value & Income Trust PLC	VIN.L / VIN LN	25%	✓	0.7%
Vanguard FTSE 100 UCITS ETF	VUKE.L / VUKE LN	10%	✓	0.7%
Vanguard FTSE All-World High Dividend Yield UCITS ETF	VHYL.L / VHYL LN	10%	✗	0.3%
Vanguard FTSE All-World UCITS ETF	VWRL.L / VWRL LN	10%	✗	0.3%
Vanguard FTSE All-World UCITS ETF	VWRD.L / VWRD LN	10%	✗	0.3%
Vanguard FTSE Developed Asia Pacific ex Japan UCITS ETF	VDPX.L / VDPX LN	10%	✗	0.3%
Vanguard FTSE Developed Asia Pacific ex Japan UCITS ETF	VAPX.L / VAPX LN	10%	✗	0.3%
Vanguard FTSE Developed Europe UCITS ETF	VEUR.L / VEUR LN	10%	✗	0.3%
Vanguard FTSE Developed World UCITS ETF	VEVE.L / VEVE LN	10%	✗	0.3%
Vanguard FTSE Emerging Markets UCITS ETF	VFEM.L / VFEM LN	10%	✗	0.3%
Vanguard FTSE Japan UCITS ETF	VJPN.L / VJPN LN	10%	✗	0.3%
Vanguard FTSE Japan UCITS ETF	VDJP.L / VDJP LN	10%	✗	0.3%
Vanguard FTSE North America UCITS ETF	VDNR.L / VDNR LN	10%	✗	0.3%
Vanguard S&P 500 UCITS ETF	VUSD.L / VUSD LN	10%	✓	0.3%
Vanguard S&P 500 UCITS ETF	VUSA.L / VUSA LN	10%	✓	0.3%
Vanguard U.K. Government Bond UCITS ETF	VGOV.L / VGOV LN	10%	✗	0.3%
Vast Resources PLC	VAST.L / VAST LN	25%	✓	1%
Vectura Group PLC	VEC.L / VEC LN	25%	✓	0.7%
Vedanta Resources PLC	VED.L / VED LN	10%	✓	0.7%
Velocys PLC	VLSV.L / VLS LN	25%	✓	1%
Venture Life Group PLC	VLG.L / VLG LN	25%	✗	1%
Ventus 2 VCT PLC	VEN2.L / VEN2 LN	25%	✗	1%
Ventus 2 VCT PLC	VEN2d.L / VND LN	10%	✗	0.3%
Ventus VCT PLC	VEN.L / VEN LN	25%	✗	1%
Ventus VCT PLC	VEND.L / VEND LN	10%	✗	0.3%
Verizon Communications Inc	VZC.L / VZC LN	5%	✓	0.3%
Vernalis PLC	VER.L / VER LN	25%	✓	1%
Verona Pharma PLC	VRP.L / VRP LN	25%	✓	1%
Versarien PLC	VRS.L / VRS LN	25%	✓	1%
Verseon Corporation	VSN.L / VSN LN	25%	✓	1%
Vertu Motors PLC	VTU.L / VTU LN	25%	✓	1%
Vesuvius PLC	VSVS.L / VSVS LN	20%	✓	0.7%
Vianet Group PLC	VNET.L / VNET LN	25%	✗	1%
Victoria Oil & Gas PLC	VOG.L / VOG LN	25%	✓	1%
Victoria PLC	VCP.L / VCP LN	25%	✓	1%
Victrex PLC	VCTX.L / VCT LN	20%	✓	0.3%
Virgin Money Holdings (UK) PLC	VM.L / VM/ LN	10%	✓	0.3%
Vislink PLC	VLK.L / VLK LN	25%	✓	1%
Vodafone Group PLC	VOD.L / VOD LN	5%	✓	0.3%
Volex PLC	VLX.L / VLX LN	25%	✓	0.7%
Volga Gas PLC	VGAS.L / VGAS LN	25%	✓	1%
Volta Finance Limited	VTAV.L / VTA LN	10%	✗	0.3%
Volution Group PLC	FAN.L / FAN LN	25%	✓	1%
Vp PLC	VP.L / VP/ LN	25%	✓	0.7%
W Resources PLC	WRESW.L / WRES LN	25%	✓	1%
WANdis Company PLC	WAND.L / WAND LN	25%	✓	1%
WH Ireland Group PLC	WHI.L / WHI LN	25%	✓	1%
WH Smith PLC	SMWH.L / SMWH LN	15%	✓	0.3%
WPP PLC	WPP.L / WPP LN	5%	✓	0.3%
WS Atkins PLC	ATKW.L / ATK LN	20%	✓	0.7%

WYG PLC	WYG.L / WYG LN	25%	✓	1%
Walker Crips Group PLC	WCW.L / WCW LN	25%	✗	1%
Walker Greenbank PLC	WGB.L / WGB LN	25%	✓	1%
Watchstone Group PLC	WTGW.L / WTG LN	40%	✓	1%
Waterman Group PLC	WTM.L / WTM LN	25%	✓	1%
Watkin Jones PLC	WJG.L / WJG LN	25%	✗	1%
Weatherly International PLC	WETI.L / WTI LN	25%	✓	1%
Weiss Korea Opportunity Fund Limited	WKOF.L / WKOF LN	10%	✗	0.3%
Wentworth Resources Limited	WRLW.L / WRL LN	25%	✓	1%
Westminster Group PLC	WSG.L / WSG LN	25%	✓	1%
Whitbread PLC	WTB.L / WTB LN	5%	✓	0.3%
William Hill PLC	WMH.L / WMH LN	10%	✓	0.3%
Wilmington PLC	WIL.L / WIL LN	25%	✓	0.7%
Wincanton PLC	WIN.L / WIN LN	25%	✓	0.7%
Wireless Group PLC	WLG.L / WLG LN	25%	✓	0.7%
WisdomTree Emerging Asia Equity Income UCITS ETF USD	DEMP.L / DEMP LN	10%	✗	1%
WisdomTree Emerging Markets Equity Income UCITS ETF	WTDEM.L / DEM LN	10%	✗	1%
WisdomTree Emerging Markets SmallCap Dividend UCITS ETF	WTDGSE.L / DGSE LN	25%	✗	1%
WisdomTree Europe Equity Income UCITS ETF	WTEEI.L / EEI LN	10%	✗	0.3%
WisdomTree Europe Equity UCITS ETF - USD Hedged	HEDJ.L / HEDJ LN	10%	✗	0.3%
WisdomTree Europe SmallCap Dividend UCITS ETF	DFE.L / DFE LN	10%	✗	0.3%
WisdomTree Germany Equity UCITS ETF - GBP Hedged	DXGP.L / DXGP LN	10%	✗	0.3%
WisdomTree ISEQ 20(r) UCITS ETF	ISEQ.L / ISEQ LN	10%	✗	1%
WisdomTree Japan Equity UCITS ETF GBP Hedged	DXJP.L / DXJP LN	10%	✗	1%
WisdomTree UK Equity Income UCITS ETF GBP	WUKD.L / WUKD LN	10%	✗	1%
WisdomTree US Equity Income UCITS ETF	DHS.L / DHS LN	10%	✗	1%
WisdomTree US SmallCap Dividend UCITS ETF	DESE.L / DESE LN	10%	✗	1%
Witan Investment Trust PLC	WTAN.L / WTAN LN	25%	✓	0.3%
Witan Pacific Investment Trust PLC	WIPA.L / WPC LN	25%	✓	0.3%
Wizz Air Holdings PLC	WIZZ.L / WIZZ LN	25%	✓	1%
Wm. Morrison Supermarkets PLC	MRW.L / MRW LN	5%	✓	0.3%
Wolf Minerals Limited	WLFE.L / WLFE LN	25%	✗	1%
Wolseley PLC	WOS.L / WOS LN	5%	✓	0.3%
Woodford Patient Capital Trust PLC	WPCT.L / WPCT LN	25%	✓	1%
Workspace Group PLC	WKP.L / WKP LN	25%	✓	0.7%
Worldpay Group PLC	WPG.L / WPG LN	10%	✓	0.7%
Worldwide Healthcare Trust PLC	WWH.L / WWH LN	20%	✓	0.3%
Wynnstay Group PLC	WYWYN.L / WYN LN	25%	✓	1%
X5 Retail Group NV	PJPq.L / FIVE LI	15%	✓	1%
XLMedia PLC	XLM.L / XLM LN	25%	✗	1%
XP Power Limited	XPP.L / XPP LN	25%	✓	0.7%
Xaar PLC	XAR.L / XAR LN	20%	✓	0.3%
Xcite Energy Limited	XELX.L / XEL LN	25%	✓	1%
Xeros Technology Group PLC	XSG.L / XSG LN	25%	✗	1%
Xtract Resources PLC	XTR.L / XTR LN	25%	✓	1%
YouGov PLC	YOU.L / YOU LN	25%	✓	1%
Young & Co.'s Brewery PLC	YNGa.L / YNGA LN	25%	✓	0.7%
Zambeef Products PLC	ZAMB.L / ZAM LN	25%	✓	1%
Zegona Communications PLC	ZEG.L / ZEG LN	25%	✓	1%
Zinc Resources PLC	ZOX.L / ZOX LN	25%	✓	1%
Zoopla Property Group PLC	ZPLAZ.L / ZPLA LN	10%	✓	0.3%
Zotefoams PLC	ZTF.L / ZTF LN	25%	✓	1%

Zytronic PLC	ZYT.L / ZYT LN	25%	✓	1%
accesso Technology Group PLC	ACSO.L / ACSO LN	25%	✓	1%
blur Group PLC	BLUR.L / BLUR LN	25%	✓	1%
boohoo.com PLC	BOOH.L / BOO LN	10%	✓	0.3%
bwin.party digital entertainment PLC	BPTY.L / BPTY LN	15%	✓	0.7%
db Physical Platinum ETC	XPLA.L / XPLA LN	10%	✗	0.3%
db Physical Rhodium ETC	XRHO.L / XRHO LN	10%	✗	0.3%
db WTI Crude Oil Booster ETC	XCT9.L / XCT9 LN	10%	✓	0.3%
db x-trackers Barclays USD Corporate Bond UCITS ETF (DR) 1D	XDGU.L / XDGU LN	10%	✗	0.3%
db x-trackers DAX(r) UCITS ETF (DR) - Income 1D	XDDX.L / XDDX LN	10%	✗	0.3%
db x-trackers Equity Quality Factor UCITS ETF (DR) 1C	XDEQ.L / XDEQ LN	10%	✗	0.3%
db x-trackers Equity Value Factor UCITS ETF (DR) 1C	XDEV.L / XDEV LN	10%	✗	0.3%
db x-trackers FTSE 100 Equal Weight UCITS ETF (DR) 1D	XFEW.L / XFEW LN	10%	✗	0.3%
db x-trackers FTSE 100 Short Daily UCITS ETF 1C	XUKS.L / XUKS LN	10%	✓	0.3%
db x-trackers FTSE All-World ex UK UCITS ETF (DR) 1C	XDEX.L / XDEX LN	10%	✗	0.3%
db x-trackers FTSE Vietnam UCITS ETF 1C	XFVT.L / XFVT LN	15%	✓	0.7%
db x-trackers Harvest CSI300 Index UCITS ETF (DR) 1D	ASHR.L / ASHR LN	10%	✗	0.3%
db x-trackers Harvest CSI300 Index UCITS ETF (DR) 1D	RQFI.L / RQFI LN	10%	✗	0.3%
db x-trackers JPX-Nikkei 400 UCITS ETF (DR) 1D	XDNS.L / XDNS LN	10%	✗	0.7%
db x-trackers JPX-Nikkei 400 UCITS ETF (DR) 2D - GBP Hedged	XDNG.L / XDNG LN	10%	✗	0.3%
db x-trackers MSCI AC World Index UCITS ETF (DR) 1C	XMAW.L / XMAW LN	10%	✗	0.3%
db x-trackers MSCI EM Asia Index UCITS ETF 1C	XMAS.L / XMAS LN	10%	✓	0.3%
db x-trackers MSCI EM Short Daily Index UCITS ETF 1C	XESG.L / XESG LN	10%	✗	0.3%
db x-trackers MSCI Europe Mid Cap Index UCITS ETF (DR) 1C	XEUM.L / XEUM LN	10%	✗	0.3%
db x-trackers MSCI Europe Small Cap Index UCITS ETF (DR) 1C	XXSC.L / XXSC LN	10%	✗	0.3%
db x-trackers MSCI India Index UCITS ETF 1C	XCX5.L / XCX5 LN	10%	✗	0.3%
db x-trackers MSCI Nordic Index UCITS ETF (DR) 1D	XDN0.L / XDN0 LN	10%	✗	0.3%
db x-trackers MSCI Turkey Index UCITS ETF (DR) 1D	XDTK.L / XDTK LN	10%	✗	1%
db x-trackers MSCI USA Index UCITS ETF (DR) 1C	XD9U.L / XD9U LN	10%	✗	0.3%
db x-trackers MSCI USA Index UCITS ETF (DR) 1C	XDUS.L / XDUS LN	10%	✗	0.3%
db x-trackers MSCI World Index UCITS ETF (DR) 1C	XDWD.L / XDWD LN	10%	✗	0.3%
db x-trackers Mittelstand & MidCap Germany UCITS ETF (DR) 1D	XDGM.L / XDGM LN	10%	✗	0.3%
db x-trackers Nifty 50 UCITS ETF 1C	XNIF.L / XNIF LN	10%	✓	0.3%
db x-trackers Russell 2000 UCITS ETF (DR) 1C	XRSG.L / XRSG LN	10%	✗	0.3%
db x-trackers Russell 2000 UCITS ETF (DR) 1C	XRSU.L / XRSU LN	10%	✗	0.3%
db x-trackers Russell Midcap UCITS ETF (DR) 1C	XRSS.L / XRSS LN	10%	✗	0.3%
db x-trackers Russell Midcap UCITS ETF (DR) 1C	XRMU.L / XRMU LN	10%	✗	0.3%
db x-trackers S&P 500 2x Inverse Daily UCITS ETF 1C	XT2D.L / XT2D LN	25%	✗	1%
db x-trackers S&P 500 2x Leveraged Daily UCITS ETF 1C	XSL2.L / XSL2 LN	10%	✗	0.3%
db x-trackers S&P 500 2x Leveraged Daily UCITS ETF 1C	XS2D.L / XS2D LN	10%	✗	0.3%
db x-trackers S&P 500 Inverse Daily UCITS ETF 1C	XSPS.L / XSPS LN	25%	✗	1%
db x-trackers S&P 500 UCITS ETF (DR) 2C - GBP Hedged	XDPGD.L / XDPG LN	10%	✓	0.3%
db x-trackers S&P 500 UCITS ETF 1C	XSPX.L / XSPX LN	10%	✗	0.3%
db x-trackers S&P 500(r) Equal Weight UCITS ETF (DR) 1C	XDWE.L / XDWE LN	10%	✗	0.3%
db x-trackers S&P 500(r) Equal Weight UCITS ETF (DR) 1C	XDEW.L / XDEW LN	10%	✗	0.3%
db x-trackers S&P Global Infrastructure UCITS ETF 1C	XSGI.L / XSGI LN	10%	✗	0.3%
db x-trackers Stoxx(r) Europe 600 Telecommunications UCITS ETF 1C	XSKR.L / XSKR LN	10%	✗	0.3%
dotDigital Group PLC	DOTD.L / DOTD LN	25%	✓	1%
e-Therapeutics PLC	ETXP.L / ETX LN	25%	✓	1%

e2v Technologies PLC	E2V.L / E2V LN	25%	✓	0.7%
eServGlobal Limited	ESGq.L / ESG LN	25%	✓	1%
easyHotel PLC	EZH.L / EZH LN	25%	✗	1%
easyJet PLC	EZJ.L / EZJ LN	5%	✓	0.3%
entu (UK) PLC	ENTU.L / ENTU LN	25%	✓	1%
esure Group PLC	ESUR.L / ESUR LN	20%	✓	1%
iShares \$ Corporate Bond Interest Rate Hedged UCITS ETF	LQDH.L / LQDH LN	10%	✗	0.7%
iShares \$ Corporate Bond Interest Rate Hedged UCITS ETF	LQDG.L / LQDG LN	10%	✗	0.3%
iShares \$ Corporate Bond UCITS ETF	LQDE.L / LQDE LN	10%	✓	0.3%
iShares \$ Emerging Markets Corporate Bond UCITS ETF	ISEMCR.L / EMCR LN	10%	✓	0.3%
iShares \$ Emerging Markets Corporate Bond UCITS ETF	EMCP.L / EMCP LN	10%	✓	0.3%
iShares \$ High Yield Corporate Bond UCITS ETF	SHYU.L / SHYU LN	10%	✓	0.3%
iShares \$ Treasury Bond 1-3yr UCITS ETF	IDBT.L / IDBT LN	10%	✗	0.3%
iShares \$ Treasury Bond 1-3yr UCITS ETF	IBTS.L / IBTS LN	10%	✓	0.3%
iShares \$ Treasury Bond 7-10yr UCITS ETF	ISTB.L / IBTM LN	10%	✓	0.3%
iShares (GBP) Corporate Bond 1-5yr UCITS ETF	IS15.L / IS15 LN	10%	✓	0.3%
iShares (GBP) Corporate Bond ex-Financials UCITS ETF	ISXF.L / ISXF LN	10%	✗	0.3%
iShares (GBP) Corporate Bond ex-Financials UCITS ETF	SSXF.L / SSXF LN	10%	✗	0.3%
iShares (GBP) Index-Linked Gilts UCITS ETF	INXG.L / INXG LN	10%	✗	0.3%
iShares AEX UCITS ETF	IAEX.L / IAEX LN	10%	✓	0.3%
iShares Agribusiness UCITS ETF	SPAGA.L / SPAG LN	10%	✗	0.3%
iShares Asia Pacific Dividend UCITS ETF	IAPD.L / IAPD LN	10%	✓	0.3%
iShares Asia Property Yield UCITS ETF	IASP.L / IASP LN	10%	✗	0.3%
iShares Austria Government Bond UCITS ETF	IAUT.L / IAUT LN	25%	✗	1%
iShares Austria Government Bond UCITS ETF	SAUT.L / SAUT LN	25%	✗	1%
iShares BRIC 50 UCITS ETF	BRIC.L / BRIC LN	10%	✓	0.7%
iShares Belgium Government Bond UCITS ETF	IBEL.L / IBEL LN	10%	✗	0.3%
iShares Belgium Government Bond UCITS ETF	ISSBEL.L / SBEL LN	10%	✗	0.3%
iShares China Large Cap UCITS ETF	FXC.L / FXC LN	10%	✓	0.7%
iShares Core (GBP) Corporate Bond UCITS ETF	SLXX.L / SLXX LN	10%	✓	0.3%
iShares Core Euro Corporate Bond UCITS ETF	IEAC.L / IEAC LN	10%	✓	0.3%
iShares Core Euro Corporate Bond UCITS ETF	IEBC.L / IEBC LN	10%	✗	0.3%
iShares Core Euro Government Bond UCITS ETF	IEGA.L / IEGA LN	10%	✗	0.3%
iShares Core Euro Government Bond UCITS ETF	SEGA.L / SEGA LN	10%	✗	0.3%
iShares Core FTSE 100 UCITS ETF (Dist)	ISF.L / ISF LN	5%	✓	0.3%
iShares Core MSCI Emerging Markets IMI UCITS ETF	EMIM.L / EMIM LN	10%	✓	0.3%
iShares Core MSCI Emerging Markets IMI UCITS ETF	EIMI.L / EIMI LN	10%	✗	0.3%
iShares Core MSCI Japan IMI UCITS ETF	SJPA.L / SJPA LN	10%	✓	0.3%
iShares Core MSCI Japan IMI UCITS ETF	IJAP.L / IJPA LN	10%	✓	0.3%
iShares Core MSCI World UCITS ETF	SWDA.L / SWDA LN	10%	✓	0.3%
iShares Core UK Gilts UCITS ETF	IGLT.L / IGLT LN	10%	✗	0.3%
iShares Developed Markets Property Yield UCITS ETF	IWDP.L / IWDP LN	10%	✓	0.3%
iShares Developed Markets Property Yield UCITS ETF	IDWP.L / IDWP LN	10%	✗	0.3%
iShares Dow Jones Europe Sustainability Screened UCITS ETF	IESG.L / IESG LN	10%	✗	0.3%
iShares Dow Jones Europe Sustainability Screened UCITS ETF	IESE.L / IESE LN	10%	✗	0.3%
iShares Dow Jones Global Sustainability Screened UCITS ETF	IGSU.L / IGSU LN	10%	✗	0.3%
iShares Dow Jones Global Sustainability Screened UCITS ETF	IGSG.L / IGSG LN	10%	✗	0.3%
iShares EURO Dividend UCITS ETF	IDVY.L / IDVY LN	10%	✓	0.7%
iShares EURO STOXX 50 UCITS ETF (Dist)	EUE.L / EUE LN	10%	✓	0.3%
iShares EURO STOXX Mid UCITS ETF	DJMC.L / DJMC LN	10%	✓	0.7%

iShares EURO STOXX Small UCITS ETF	DJSC.L / DJSC LN	10%	✓	0.7%
iShares EURO Total Market Growth Large UCITS ETF	IDJG.L / IDJG LN	10%	✓	0.3%
iShares EURO Total Market Value Large UCITS ETF	IDJV.L / IDJV LN	10%	✗	0.7%
iShares Emerging Asia Local Government Bond UCITS ETF	IGEA.L / IGEA LN	10%	✗	0.3%
iShares Emerging Asia Local Government Bond UCITS ETF	SGEA.L / SGEA LN	10%	✗	0.3%
iShares Emerging Market Infrastructure UCITS ETF	DEIN.L / DEIN LN	10%	✗	0.3%
iShares Emerging Market Infrastructure UCITS ETF	IEMI.L / IEMI LN	10%	✗	0.3%
iShares Emerging Markets Dividend UCITS ETF	SEDY.L / SEDY LN	25%	✓	0.7%
iShares Emerging Markets Local Government Bond UCITS ETF	IEM.L / IEM LN	10%	✓	0.3%
iShares Euro Aggregate Bond UCITS ETF	IEAG.L / IEAG LN	10%	✗	0.3%
iShares Euro Aggregate Bond UCITS ETF	SEAG.L / SEAG LN	10%	✗	0.3%
iShares Euro Corporate Bond 1-5yr UCITS ETF	SE15.L / SE15 LN	10%	✗	0.3%
iShares Euro Corporate Bond 1-5yr UCITS ETF	IE15.L / IE15 LN	10%	✗	0.3%
iShares Euro Corporate Bond BBB-BB UCITS ETF	ISBB.L / ISBB LN	10%	✓	0.7%
iShares Euro Corporate Bond BBB-BB UCITS ETF	IEBB.L / IEBB LN	10%	✗	0.3%
iShares Euro Corporate Bond Financials UCITS ETF	EUCF.L / EUCF LN	10%	✗	0.3%
iShares Euro Corporate Bond Financials UCITS ETF	IECF.L / IECF LN	10%	✗	0.3%
iShares Euro Corporate Bond Interest Rate Hedged UCITS ETF	IRCP.L / IRCP LN	10%	✓	0.3%
iShares Euro Corporate Bond Interest Rate Hedged UCITS ETF	IRHC.L / IRHC LN	10%	✗	0.3%
iShares Euro Corporate Bond Large Cap UCITS ETF	IBCX.L / IBCX LN	10%	✓	0.3%
iShares Euro Corporate Bond ex-Financials 1-5yr UCITS ETF	IEX5.L / IEX5 LN	10%	✗	0.3%
iShares Euro Corporate Bond ex-Financials 1-5yr UCITS ETF	EEX5.L / EEX5 LN	10%	✗	0.3%
iShares Euro Corporate Bond ex-Financials UCITS ETF	IEXF.L / IEXF LN	10%	✗	0.3%
iShares Euro Covered Bond UCITS ETF	ICOV.L / ICOV LN	10%	✗	0.3%
iShares Euro Government Bond 0-1yr UCITS ETF	IEGE.L / IEGE LN	10%	✗	0.3%
iShares Euro Government Bond 0-1yr UCITS ETF	IBGE.L / IBGE LN	10%	✗	0.3%
iShares Euro Government Bond 1-3yr UCITS ETF	IEGS.L / IEGS LN	10%	✗	0.3%
iShares Euro Government Bond 1-3yr UCITS ETF	IBGS.L / IBGS LN	10%	✗	0.3%
iShares Euro Government Bond 10-15yr UCITS ETF	IBGZ.L / IBGZ LN	10%	✗	0.3%
iShares Euro Government Bond 10-15yr UCITS ETF	IEGZ.L / IEGZ LN	10%	✗	0.3%
iShares Euro Government Bond 3-5yr UCITS ETF	IBGX.L / IBGX LN	10%	✓	0.3%
iShares Euro Government Bond 3-5yr UCITS ETF	IEGX.L / IEGX LN	10%	✗	0.3%
iShares Euro Government Bond 5-7yr UCITS ETF	IBGY.L / IBGY LN	10%	✗	0.3%
iShares Euro Government Bond 5-7yr UCITS ETF	IEGY.L / IEGY LN	10%	✓	0.3%
iShares Euro Government Bond 7-10yr UCITS ETF	IBGM.L / IBGM LN	10%	✗	0.3%
iShares Euro High Yield Corporate Bond UCITS ETF	IHYG.L / IHYG LN	10%	✓	0.3%
iShares Euro Inflation Linked Government Bond UCITS ETF	ISIBC1.L / IBC1 LN	10%	✗	0.3%
iShares Euro Inflation Linked Government Bond UCITS ETF	IBCI.L / IBCI LN	10%	✓	0.3%
iShares European Property Yield UCITS ETF	IPRP.L / IPRP LN	10%	✓	0.3%
iShares FTSE 250 UCITS ETF	ISMIDD.L / MIDD LN	10%	✓	0.3%
iShares FTSEurofirst 100 UCITS ETF	IEUT.L / IEUT LN	10%	✗	0.3%
iShares FTSEurofirst 80 UCITS ETF	IEUR.L / IEUR LN	10%	✗	0.3%
iShares Finland Government Bond UCITS ETF	SFIN.L / SFIN LN	25%	✗	1%
iShares Finland Government Bond UCITS ETF	IFIN.L / IFIN LN	25%	✗	1%
iShares France Government Bond UCITS ETF	IFRB.L / IFRB LN	10%	✗	0.3%
iShares France Government Bond UCITS ETF	SFRB.L / SFRB LN	10%	✗	0.3%
iShares Germany Government Bond UCITS ETF	IDEU.L / IDEU LN	10%	✗	0.3%
iShares Germany Government Bond UCITS ETF	SDEU.L / SDEU LN	10%	✗	0.3%

iShares Global Clean Energy UCITS ETF	INRG.L / INRG LN	10%	✓	0.7%
iShares Global Corporate Bond EUR Hedged UCITS ETF	CRPH.L / CRPH LN	10%	✓	0.3%
iShares Global Corporate Bond UCITS ETF	CORP.L / CORP LN	10%	✓	0.3%
iShares Global Government Bond UCITS ETF	SGLO.L / SGLO LN	10%	✗	0.3%
iShares Global Government Bond UCITS ETF	IGLO.L / IGLO LN	10%	✗	0.3%
iShares Global High Yield Corp Bond GBP Hedged UCITS ETF	GHYS.L / GHYS LN	10%	✗	0.3%
iShares Global High Yield Corp Bond UCITS ETF	HYLD.L / HYLD LN	10%	✓	0.3%
iShares Global High Yield Corp Bond UCITS ETF	IGHY.L / IGHY LN	10%	✗	0.3%
iShares Global Inflation Linked Government Bond UCITS ETF	IGIL.L / IGIL LN	10%	✗	0.3%
iShares Global Inflation Linked Government Bond UCITS ETF	SGIL.L / SGIL LN	10%	✗	0.3%
iShares Global Infrastructure UCITS ETF	INFR.L / INFR LN	10%	✗	0.3%
iShares Global Infrastructure UCITS ETF	IDIN.L / IDIN LN	10%	✓	0.3%
iShares Global Timber & Forestry UCITS ETF	WOODT.L / WOOD LN	10%	✗	0.3%
iShares Gold Producers UCITS ETF	SPGPG.L / SPGP LN	10%	✓	0.3%
iShares Italy Government Bond UCITS ETF	IITB.L / IITB LN	10%	✗	0.3%
iShares Italy Government Bond UCITS ETF	SITB.L / SITB LN	10%	✗	0.3%
iShares J. P. Morgan \$ EM Bond EUR Hedged UCITS ETF	EMBE.L / EMBE LN	10%	✓	0.3%
iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	SEMB.L / SEMB LN	10%	✓	1%
iShares Listed Private Equity UCITS ETF	IPRV.L / IPRV LN	10%	✗	0.3%
iShares Listed Private Equity UCITS ETF	IDPE.L / IDPE LN	10%	✗	0.3%
iShares MSCI AC Far East ex-Japan Small Cap UCITS ETF	ISFE.L / ISFE LN	10%	✗	0.3%
iShares MSCI AC Far East ex-Japan Small Cap UCITS ETF	IDFE.L / IDFE LN	10%	✗	0.3%
iShares MSCI AC Far East ex-Japan UCITS ETF	IFFF.L / IFFF LN	10%	✓	0.3%
iShares MSCI ACWI UCITS ETF	SSAC.L / SSAC LN	10%	✗	0.3%
iShares MSCI ACWI UCITS ETF	ISACI.L / ISAC LN	10%	✗	0.3%
iShares MSCI Australia UCITS ETF	SAUS.L / SAUS LN	10%	✗	0.3%
iShares MSCI Australia UCITS ETF	IAUS.L / IAUS LN	10%	✓	0.3%
iShares MSCI Brazil UCITS ETF (Dist)	IBZL.L / IBZL LN	10%	✓	0.7%
iShares MSCI EM Latin America UCITS ETF (Dist)	DLTM.L / DLTM LN	10%	✗	0.3%
iShares MSCI EM Latin America UCITS ETF (Dist)	LTAM.L / LTAM LN	10%	✗	0.3%
iShares MSCI Eastern Europe Capped UCITS ETF	IDEE.L / IDEE LN	10%	✗	0.3%
iShares MSCI Eastern Europe Capped UCITS ETF	IEER.L / IEER LN	10%	✗	0.3%
iShares MSCI Emerging Markets Consumer Growth UCITS ETF	IEMG.L / IEMG LN	25%	✗	1%
iShares MSCI Emerging Markets Consumer Growth UCITS ETF	CEMG.L / CEMG LN	25%	✗	1%
iShares MSCI Emerging Markets Islamic UCITS ETF	ISDE.L / ISDE LN	10%	✗	0.3%
iShares MSCI Emerging Markets Islamic UCITS ETF	ISEM.L / ISEM LN	10%	✗	0.3%
iShares MSCI Emerging Markets Minimum Volatility UCITS ETF	IEMV.L / EMV LN	10%	✓	0.3%
iShares MSCI Emerging Markets Minimum Volatility UCITS ETF	IEMMV.L / EMMV LN	10%	✗	0.3%
iShares MSCI Emerging Markets Small Cap UCITS ETF	IEMS.L / IEMS LN	10%	✓	0.7%
iShares MSCI Emerging Markets UCITS ETF (Acc)	IEAM.L / IEMA LN	10%	✗	0.3%
iShares MSCI Emerging Markets UCITS ETF (Acc)	SEMA.L / SEMA LN	10%	✗	0.3%
iShares MSCI Emerging Markets UCITS ETF (Dist)	IDEM.L / IDEM LN	10%	✓	0.7%
iShares MSCI Emerging Markets UCITS ETF (Dist)	IEEM.L / IEEM LN	10%	✓	0.3%
iShares MSCI Europe Minimum Volatility UCITS ETF	MVEU.L / MVEU LN	10%	✗	0.3%
iShares MSCI Europe UCITS ETF (Acc)	SMEA.L / SMEA LN	10%	✗	0.3%
iShares MSCI Europe UCITS ETF (Dist)	IMEU.L / IMEU LN	10%	✓	0.3%
iShares MSCI Europe ex-UK UCITS ETF	IEUX.L / IEUX LN	10%	✓	0.3%
iShares MSCI GCC ex-Saudi Arabia UCITS ETF	IGCC.L / IGCC LN	25%	✗	1%
iShares MSCI GCC ex-Saudi Arabia UCITS ETF	SGCC.L / SGCC LN	25%	✗	1%

iShares MSCI Japan EUR Hedged UCITS ETF	IJPE.L / IJPE LN	10%	✓	0.3%
iShares MSCI Japan GBP Hedged UCITS ETF	IJPH.L / IJPH LN	10%	✗	0.3%
iShares MSCI Japan Small Cap UCITS ETF (Dist)	ISJP.L / ISJP LN	10%	✓	0.3%
iShares MSCI Japan UCITS ETF (Dist)	IJPN.L / IJPN LN	10%	✓	0.3%
iShares MSCI Japan USD Hedged UCITS ETF	IJPD.L / IJPD LN	10%	✓	0.3%
iShares MSCI Korea UCITS ETF (Dist)	IKOR.L / IKOR LN	10%	✓	0.7%
iShares MSCI North America UCITS ETF	INAA.L / INAA LN	10%	✓	0.3%
iShares MSCI North America UCITS ETF	IDNA.L / IDNA LN	10%	✗	0.3%
iShares MSCI Pacific ex-Japan UCITS ETF (Dist)	SPXJ.L / SPXJ LN	10%	✗	0.3%
iShares MSCI Pacific ex-Japan UCITS ETF (Dist)	IPXJ.L / IPXJ LN	10%	✓	0.3%
iShares MSCI Poland UCITS ETF	SPOL.L / SPOL LN	10%	✗	0.3%
iShares MSCI Poland UCITS ETF	IPLD.L / IPOL LN	10%	✗	0.3%
iShares MSCI South Africa UCITS ETF	SRSA.L / SRSA LN	10%	✗	0.3%
iShares MSCI South Africa UCITS ETF	IRSA.L / IRSA LN	10%	✗	0.3%
iShares MSCI Taiwan UCITS ETF	ITWN.L / ITWN LN	10%	✓	0.3%
iShares MSCI Target UK Real Estate UCITS ETF	UKRE.L / UKRE LN	10%	✗	1%
iShares MSCI Target UK Real Estate UCITS ETF	UKPR.L / UKPR LN	10%	✗	0.3%
iShares MSCI Target US Real Estate UCITS ETF	USPR.L / USPR LN	10%	✗	1%
iShares MSCI Target US Real Estate UCITS ETF	USRE.L / USRE LN	10%	✗	0.3%
iShares MSCI Turkey UCITS ETF	ITKY.L / ITKY LN	10%	✓	0.7%
iShares MSCI USA Islamic UCITS ETF	ISDU.L / ISDU LN	10%	✗	0.3%
iShares MSCI USA Islamic UCITS ETF	ISUS.L / ISUS LN	10%	✗	0.3%
iShares MSCI World EUR Hedged UCITS ETF	IWDE.L / IWDE LN	10%	✗	0.3%
iShares MSCI World GBP Hedged UCITS ETF	IGWD.L / IGWD LN	10%	✓	0.3%
iShares MSCI World Islamic UCITS ETF	ISDW.L / ISDW LN	10%	✗	0.3%
iShares MSCI World Islamic UCITS ETF	ISWD.L / ISWD LN	10%	✗	0.3%
iShares MSCI World Minimum Volatility UCITS ETF	MVOL.L / MVOL LN	10%	✗	0.3%
iShares MSCI World Minimum Volatility UCITS ETF	ISMINV.L / MINV LN	10%	✓	0.3%
iShares MSCI World UCITS ETF (Dist)	IWRD.L / IWRD LN	5%	✓	0.3%
iShares Netherlands Government Bond UCITS ETF	INLD.L / INLD LN	25%	✗	1%
iShares Netherlands Government Bond UCITS ETF	SNLD.L / SNLD LN	25%	✗	1%
iShares Oil & Gas Exploration & Production UCITS ETF	SPOGO.L / SPOG LN	10%	✗	0.3%
iShares Physical Gold ETC	SGLN.L / SGLN LN	10%	✗	0.3%
iShares Physical Gold ETC	IGLN.L / IGLN LN	10%	✗	0.3%
iShares Physical Palladium ETC	IPDM.L / IPDM LN	25%	✗	1%
iShares Physical Palladium ETC	SPDM.L / SPDM LN	25%	✗	1%
iShares Physical Platinum ETC	SPLTI.L / SPLT LN	25%	✗	1%
iShares Physical Platinum ETC	IPLTI.L / IPLT LN	25%	✗	1%
iShares Physical Silver ETC	SSLN.L / SSLN LN	10%	✗	0.3%
iShares S&P 500 EUR Hedged UCITS ETF	IUSEA.L / IUSE LN	10%	✗	0.3%
iShares S&P 500 GBP Hedged UCITS ETF	IGUS.L / IGUS LN	10%	✗	0.3%
iShares S&P 500 Minimum Volatility UCITS ETF	ISMVUS.L / MVUS LN	10%	✗	0.3%
iShares S&P 500 Minimum Volatility UCITS ETF	ISSPMV.L / SPMV LN	10%	✓	0.3%
iShares S&P 500 UCITS ETF (Dist)	IUSA.L / IUSA LN	10%	✓	0.3%
iShares S&P SmallCap 600 UCITS ETF	ISP6.L / ISP6 LN	10%	✓	0.3%
iShares STOXX Europe 50 UCITS ETF	EUN.L / EUN LN	10%	✓	0.3%
iShares Spain Government Bond UCITS ETF	IESP.L / IESP LN	10%	✗	0.3%
iShares Spain Government Bond UCITS ETF	ISSESP.L / SESP LN	10%	✗	0.3%
iShares UK Dividend UCITS ETF	IUKD.L / IUKD LN	10%	✓	0.3%
iShares UK Property UCITS ETF	IUKP.L / IUKP LN	10%	✓	0.7%
iShares US Aggregate Bond UCITS ETF	SUAG.L / SUAG LN	10%	✓	0.3%
iShares US Property Yield UCITS ETF	IUSP.L / IUSP LN	10%	✓	0.3%
iShares US Property Yield UCITS ETF	IDUP.L / IDUP LN	10%	✗	0.3%
intu properties PLC	INTUP.L / INTU LN	10%	✓	0.7%

iomart Group PLC	IOMG.L / IOM LN	25%	✓	1%
permanent tsb Group Holdings PLC	ILOA.L / ILOA LN	25%	✗	1%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Important note: The column 'Can go short?' only indicates whether there are currently any factors (of which we are aware) prohibiting short positions on the share concerned. There is no guarantee that you will be able to go short of any share cited. In addition, there may be an extra charge (a borrowing charge) which will be included in the interest adjustment applied to your position. To determine whether a charge applies, call our dealers in advance of trading. The ability to borrow and the cost of borrowing stock can be changed at short notice.

If you cannot find the market you are looking for, please call our dealing desk (on +44 20 7896 0000) and we will normally be able to add it for you in a matter of minutes

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

UNITED STATES

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
1-800-Flowers.com Inc	FLWS.O / FLWS US	25%	✗	0.3%
1st Source Corporation	SRCE.O / SRCE US	25%	✓	0.3%
2U Inc	TWOU.O / TWOU US	15%	✓	0.3%
3D Systems Corporation	DDD.N / DDD US	15%	✓	0.3%
3M Company	MMM.N / MMM US	5%	✓	0.3%
51job Inc	JOBS.O / JOBS US	20%	✓	0.3%
58.com Inc	WUBA.N / WUBA US	15%	✓	0.3%
8point3 Energy Partners LP	CAFD.O / CAFD US	25%	✗	0.3%
8x8 Inc	EGHT.O / EGHT US	10%	✓	0.3%
A. Schulman Inc	SHLM.O / SHLM US	15%	✗	0.3%
AAON Inc	AAON.O / AAON US	25%	✗	0.3%
AAR Corporation	AIR.N / AIR US	10%	✓	0.3%
ABB Limited	ABB.N / ABB US	5%	✓	0.3%
ABIOMED Inc	ABMD.O / ABMD US	10%	✓	0.3%
ABM Industries Inc	ABM / ABM US	15%	✓	0.3%
ACADIA Pharmaceuticals Inc	ACAD.O / ACAD US	25%	✓	0.3%
ACCO Brands Corporation	ACCO.K / ACCO US	15%	✓	0.3%
ACI Worldwide Inc	ACIW.O / ACIW US	10%	✓	0.3%
ADTRAN Inc	ADTN.O / ADTN US	10%	✓	0.3%
AECOM	ACM.N / ACM US	10%	✓	0.3%
AEP Industries Inc	AEPI.O / AEPI US	20%	✓	0.3%
AG Mortgage Investment Trust Inc	MITT.K / MITT US	25%	✗	0.3%
AGCO Corporation	AGCO.K / AGCO US	10%	✓	0.3%
AGL Resources Inc	GAS.N / GAS US	10%	✓	0.3%
AK Steel Holding Corporation	AKS.N / AKS US	10%	✓	0.3%
ALLETE Inc	ALE.N / ALE US	15%	✓	0.3%
ALPS Medical Breakthroughs ETF	SBIO.P / SBIO US	25%	✗	0.3%
AMAG Pharmaceuticals Inc	AMAG.O / AMAG US	15%	✓	0.3%
AMC Entertainment Holdings Inc	AMC / AMC US	15%	✗	0.3%
AMC Networks Inc	AMCX.O / AMCX US	10%	✓	0.3%
AMER Company	UHAL.O / UHAL US	10%	✓	0.3%
AMN Healthcare Services Inc	AHS / AHS US	10%	✓	0.3%
ANI Pharmaceuticals Inc	ANIP.O / ANIP US	25%	✗	0.3%
AO Smith Corporation	AOS.N / AOS US	10%	✓	0.3%
ARC Document Solutions Inc	ARC.N / ARC US	20%	✓	0.3%
ARM Holdings PLC	ARMH.O / ARMH US	5%	✓	0.3%
ARMOUR Residential REIT Inc	ARR / ARR US	25%	✓	0.3%
ARRIS International PLC	ARRS.O / ARRS US	10%	✓	0.3%

ASA Gold and Precious Metals Limited	ASA.N / ASA US	25%	✓	0.3%
ASML Holding NV	ASML.O / ASML US	5%	✓	0.3%
AT&T Inc	T.N / T US	5%	✓	0.3%
ATRION Corporation	ATRI.O / ATRI US	25%	✗	0.3%
AVG Technologies NV	AVG / AVG US	10%	✗	0.3%
AVX Corporation	AVX.N / AVX US	20%	✓	0.3%
AXIS Capital Holdings Limited	AXS.N / AXS US	10%	✓	0.3%
AZZ Inc	AZZ / AZZ US	15%	✗	0.3%
Aaron's Inc	AAN.N / AAN US	10%	✓	0.3%
Abaxis Inc	ABAX.O / ABAX US	10%	✗	0.3%
AbbVie Inc	ABBV.K / ABBV US	5%	✓	0.3%
Abbott Laboratories	ABT.N / ABT US	5%	✓	0.3%
Abengoa Yield PLC	ABY.O / ABY US	25%	✗	0.3%
Abercrombie & Fitch Company	ANF.N / ANF US	10%	✓	0.3%
Aberdeen Greater China Fund Inc	GCH.N / GCH US	25%	✓	0.3%
Abraxas Petroleum Corporation	AXAS.O / AXAS US	25%	✗	0.3%
Acacia Research Corporation	ACTG.O / ACTG US	25%	✗	0.3%
Acadia Healthcare Company Inc	ACHC.O / ACHC US	10%	✓	0.3%
Acadia Realty Trust	AKR / AKR US	10%	✗	0.3%
Accelerate Diagnostics Inc	AXDX.O / AXDX US	25%	✗	0.3%
Acceleron Pharma Inc	XLRN.O / XLRN US	25%	✗	0.3%
Accenture PLC	ACN.N / ACN US	5%	✓	0.3%
Accuray Inc	ARAY.O / ARAY US	15%	✗	0.3%
Accuride Corporation	ACW / ACW US	25%	✓	0.3%
AcelRx Pharmaceuticals Inc	ACRX.O / ACRX US	25%	✗	0.3%
Aceto Corporation	ACET.O / ACET US	15%	✓	0.3%
Achillion Pharmaceuticals Inc	ACHN.O / ACHN US	25%	✓	0.3%
Acorda Therapeutics Inc	ACOR.O / ACOR US	10%	✓	0.3%
Activision Blizzard Inc	ATVI.O / ATVI US	5%	✓	0.3%
Actua Corporation	ACTA.O / ACTA US	25%	✗	0.3%
Actuant Corporation	ATU.N / ATU US	10%	✓	0.3%
Acuity Brands Inc	AYI.N / AYI US	10%	✓	0.3%
Acxiom Corporation	ACXM.O / ACXM US	10%	✓	0.3%
Adams Diversified Equity Fund Inc	ADX.N / ADX US	25%	✓	0.3%
Adecoagro SA	AGRO.K / AGRO US	20%	✓	0.3%
Adeptus Health Inc	ADPT.K / ADPT US	25%	✓	0.3%
Adobe Systems Inc	ADBE.O / ADBE US	5%	✓	0.3%
Advance Auto Parts Inc	AAP.N / AAP US	10%	✓	0.3%
Advanced Drainage Systems Inc	WMS / WMS US	15%	✗	0.3%
Advanced Energy Industries Inc	AEIS.O / AEIS US	10%	✓	0.3%
Advanced Micro Devices Inc	AMD.O / AMD US	10%	✓	0.3%
Advantage Oil & Gas Limited	AAV / AAV US	25%	✗	0.3%
Advaxis Inc	ADXS.O / ADXS US	25%	✗	0.3%
AdvisorShares Gartman Gold Yen ETF	GYEN.P / GYEN US	25%	✗	0.3%
AdvisorShares Peritus High Yield ETF	HYLD.P / HYLD US	10%	✗	0.3%
AdvisorShares Ranger Equity Bear ETF	HDGE.P / HDGE US	25%	☐	0.3%
AdvisorShares TrimTabs Float Shrink ETF	TTFS.K / TTFS US	10%	✗	0.3%
Aegean Marine Petroleum Network Inc	ANW.N / ANW US	20%	✓	0.3%
Aegerion Pharmaceuticals Inc	AEGR.O / AEGR US	25%	✓	0.3%
Aegion Corporation	AEGN.O / AEGN US	15%	✓	0.3%
AerCap Holdings NV	AER.N / AER US	10%	✓	0.3%
Aerie Pharmaceuticals Inc	AERI.O / AERI US	25%	✓	0.3%
AeroVironment Inc	AVAV.O / AVAV US	15%	✓	0.3%
Aerojet Rocketdyne Holdings Inc	AJRD.N / AJRD US	10%	✓	0.3%

Aeropostale Inc	ARO.N / ARO US	20%	✓	0.3%
Aetna Inc	AET.N / AET US	5%	✓	0.3%
Affiliated Managers Group Inc	AMG.N / AMG US	10%	✓	0.3%
Affymetrix Inc	AFFX.O / AFFX US	25%	✓	0.3%
Aflac Inc	AFL.N / AFL US	5%	✓	0.3%
Agenus Inc	AGEN.O / AGEN US	25%	✗	0.3%
Agilent Technologies Inc	A.N / A US	5%	✓	0.3%
Agios Pharmaceuticals Inc	AGIO.O / AGIO US	25%	✓	0.3%
Agnico Eagle Mines Limited	AEM.N / AEM US	10%	✓	0.3%
Agree Realty Corporation	ADC / ADC US	25%	✗	0.3%
Agrium Inc	AGU.N / AGU US	10%	✓	0.3%
Aimmune Therapeutics Inc	AIMT.O / AIMT US	25%	✓	0.3%
Air Lease Corporation	AL.N / AL US	20%	✓	0.3%
Air Methods Corporation	AIRM.O / AIRM US	10%	✓	0.3%
Air Products and Chemicals Inc	APD.N / APD US	5%	✓	0.3%
Air Transport Services Group Inc	ATSG.O / ATSG US	25%	✓	0.3%
Aircastle Limited	AYR.N / AYR US	20%	✓	0.3%
Airgas Inc	ARG / ARG US	5%	✓	0.3%
Akamai Technologies Inc	AKAM.O / AKAM US	10%	✓	0.3%
Akorn Inc	AKRX.O / AKRX US	10%	✓	0.3%
Alamo Group Inc	ALG / ALG US	25%	✓	0.3%
Alamos Gold Inc	AGI / AGI US	20%	✗	0.3%
Alarm.Com Holdings Inc	ALRM.O / ALRM US	25%	✗	0.3%
Alaska Air Group Inc	ALK.N / ALK US	10%	✓	0.3%
Albany International Corporation	AIN.N / AIN US	20%	✓	0.3%
Albany Molecular Research Inc	AMRI.O / AMRI US	20%	✓	0.3%
Albemarle Corporation	ALB.N / ALB US	10%	✓	0.3%
Alcoa Inc	AA.N / AA US	5%	✓	0.3%
Alder Biopharmaceuticals Inc	ALDR.O / ALDR US	25%	✗	0.3%
Alere Inc	ALR.N / ALR US	25%	✓	0.3%
Alerian MLP ETF	AML.P / AMLP US	10%	✗	0.3%
Alexander & Baldwin Inc	ALEX.K / ALEX US	15%	✓	0.3%
Alexander's Inc	ALX.N / ALX US	25%	✓	0.3%
Alexandria Real Estate Equities Inc	ARE / ARE US	10%	✓	0.3%
Alexion Pharmaceuticals Inc	ALXN.O / ALXN US	5%	✓	0.3%
Alibaba Group Holding Limited	BABA.K / BABA US	5%	✓	0.3%
Align Technology Inc	ALGN.O / ALGN US	10%	✓	0.3%
Alkermes PLC	ALKS.O / ALKS US	10%	✓	0.3%
Alleghany Corporation	Y / Y US	10%	✓	0.3%
Allegheny Technologies Inc	ATI.N / ATI US	10%	✓	0.3%
Allegiant Travel Company	ALGT.O / ALGT US	10%	✓	0.3%
Allegion PLC	ALLE.N / ALLE US	15%	✓	0.3%
Allergan PLC	AGN.N / AGN US	10%	✓	0.3%
Alliance Data Systems Corporation	ADS.N / ADS US	10%	✓	0.3%
Alliance Holdings GP LP	AHGP.O / AHGP US	25%	✗	0.3%
Alliance One International Inc	AOI.N / AOI US	25%	✓	0.3%
Alliance Resource Partners LP	ARLP.O / ARLP US	15%	✓	0.3%
AllianceBernstein Holding LP	AB.N / AB US	10%	✓	0.3%
Alliant Energy Corporation	LNT.N / LNT US	10%	✓	0.3%
AllianzGI Convertible & Income Fund	NCV.N / NCV US	20%	✓	0.3%
AllianzGI NFJ Dividend Interest & Premium Strategy Fund	NFJ.N / NFJ US	15%	✓	0.3%
Allied World Assurance Company Holding SA	AWH / AWH US	10%	✓	0.3%
Allison Transmission Holdings Inc	ALSN.K / ALSN US	10%	✓	0.3%

Allscripts Healthcare Solutions Inc	MDRX.O / MDRX US	25%	✓	0.3%
Ally Financial Inc	ALLY.N / ALLY US	10%	✓	0.3%
Almost Family Inc	AFAM.O / AFAM US	25%	✓	0.3%
Alnylam Pharmaceuticals Inc	ALNY.O / ALNY US	25%	✓	0.3%
Alon USA Energy Inc	ALJ.N / ALJ US	10%	✓	0.3%
AlphaClone Alternative Alpha ETF	ALFA.P / ALFA US	25%	✓	0.3%
Alphabet Inc	GOOGL.O / GOOGL US	5%	✓	0.3%
Alphabet Inc	GOOG.O / GOOG US	5%	✓	0.3%
Alphatec Holdings Inc	ATEC.O / ATEC US	25%	✓	0.3%
Altisource Portfolio Solutions SA	ASPS.O / ASPS US	10%	✓	0.3%
Altisource Residential Corporation	RESI.K / RESI US	10%	✓	0.3%
Altra Industrial Motion Corporation	AIMC.O / AIMC US	25%	✓	0.3%
Altria Group Inc	MO.N / MO US	5%	✓	0.3%
AmSurg Corporation	AMSG.O / AMSG US	15%	✓	0.3%
AmTrust Financial Services Inc	AFSI.O / AFSI US	10%	✓	0.3%
Amaya Inc	AYA.O / AYA US	25%	✗	0.3%
Amazon.com Inc	AMZN.O / AMZN US	5%	✓	0.3%
Ambac Financial Group Inc	AMBC.O / AMBC US	10%	✓	0.3%
Ambarella Inc	AMBA.O / AMBA US	15%	⚠	0.3%
Amdocs Limited	DOX.O / DOX US	10%	✓	0.3%
Amedisys Inc	AMED.O / AMED US	25%	✓	0.3%
Ameren Corporation	AEE.N / AEE US	5%	✓	0.3%
AmeriGas Partners LP	APU / APU US	10%	✓	0.3%
America's Car-Mart Inc	CRMT.O / CRMT US	25%	✓	0.3%
American Airlines Group Inc	AAL.O / AAL US	15%	✓	0.3%
American Assets Trust Inc	AAT / AAT US	15%	✓	0.3%
American Axle & Manufacturing Holdings Inc	AXL.N / AXL US	10%	✓	0.3%
American Campus Communities Inc	ACC.N / ACC US	10%	✓	0.3%
American Capital Agency Corporation	AGNC.O / AGNC US	10%	✓	0.3%
American Capital Agency Corporation	AGNCP.O / AGNCP US	10%	✓	1%
American Capital Agency Corporation	AGNCB.O / AGNCB US	25%	✓	0.3%
American Capital Limited	ACAS.O / ACAS US	10%	✓	0.3%
American Capital Mortgage Investment Corporation	MTGE.O / MTGE US	25%	✓	0.3%
American Eagle Outfitters Inc	AEO.N / AEO US	10%	✓	0.3%
American Electric Power Co. Inc	AEP.N / AEP US	5%	✓	0.3%
American Equity Investment Life Holding Company	AEL.N / AEL US	10%	✓	0.3%
American Express Company	AXP.N / AXP US	5%	✓	0.3%
American Financial Group Inc	AFG.N / AFG US	10%	✓	0.3%
American Homes 4 Rent	AMH / AMH US	10%	✓	0.3%
American International Group Inc	AIG.N / AIG US	5%	✓	0.3%
American National Insurance Company	ANAT.O / ANAT US	10%	✓	0.3%
American Public Education Inc	APEI.O / APEI US	15%	✓	0.3%
American Railcar Industries Inc	ARII.O / ARII US	25%	✗	0.3%
American Residential Properties Inc	ARPI.K / ARPI US	20%	✓	0.3%
American Science & Engineering Inc	ASEI.O / ASEI US	20%	✓	0.3%
American Software Inc	AMSWA.O / AMSWA US	25%	✗	0.3%
American States Water Company	AWR.N / AWR US	15%	✓	0.3%
American Tower Corporation	AMT.N / AMT US	5%	✓	0.3%
American Vanguard Corporation	AVD / AVD US	25%	✓	0.3%
American Water Works Company Inc	AWK.N / AWK US	10%	✓	0.3%
American Woodmark Corporation	AMWD.O / AMWD US	15%	✓	0.3%
Ameriprise Financial Inc	AMP.N / AMP US	5%	✓	0.3%
Ameris Ban Corporation	ABCB.O / ABCB US	15%	✓	0.3%
Amerisafe Inc	AMSF.O / AMSF US	25%	✗	0.3%

AmerisourceBergen Corporation	ABC.N / ABC US	5%	✓	0.3%
Ametek Inc	AME.N / AME US	5%	✓	0.3%
Amgen Inc	AMGN.O / AMGN US	5%	✓	0.3%
Amicus Therapeutics Inc	FOLD.O / FOLD US	25%	✗	0.3%
Amkor Technology Inc	AMKR.O / AMKR US	15%	✓	0.3%
Amphenol Corporation	APH.N / APH US	5%	✓	0.3%
Amplify Snack Brands Inc	BETR.K / BETR US	25%	✓	0.3%
Anacor Pharmaceuticals Inc	ANAC.O / ANAC US	25%	✓	0.3%
Anadarko Petroleum Corporation	APC.N / APC US	5%	✓	0.3%
Analog Devices Inc	ADI.O / ADI US	5%	✓	0.3%
Analogic Corporation	ALOG.O / ALOG US	15%	✓	0.3%
Anavex Life Sciences Corporation	AVXL.O / AVXL US	25%	✗	0.3%
Anchor BanCorp Wisconsin Inc	ABCW.O / ABCW US	25%	✗	0.3%
Angie's List Inc	ANGI.O / ANGI US	10%	✓	0.3%
AngioDynamics Inc	ANGO.O / ANGO US	15%	✗	0.3%
Anheuser-Busch InBev SA NV	BUD.N / BUD US	10%	✓	0.3%
Anika Therapeutics Inc	ANIK.O / ANIK US	25%	✓	0.3%
Anixter International Inc	AXE.N / AXE US	10%	✓	0.3%
Annaly Capital Management Inc	NLY.N / NLY US	10%	✓	0.3%
Annaly Capital Management Inc	NLY_pd.N / NLY UN	10%	✗	1%
Ansys Inc	ANSS.O / ANSS US	10%	✓	0.3%
Antares Pharma Inc	ATRS.O / ATRS US	25%	✓	0.3%
Antero Midstream Partners LP	AM / AM US	20%	✗	0.3%
Antero Resources Corporation	AR.N / AR US	10%	✓	0.3%
Anthem Inc	ANTM.K / ANTM US	5%	✓	0.3%
Anworth Mortgage Asset Corporation	ANH.N / ANH US	25%	✓	0.3%
Aon PLC	AON.N / AON US	5%	✓	0.3%
Apache Corporation	APA.N / APA US	5%	✓	0.3%
Apartment Investment and Management Company	AIV.N / AIV US	10%	✓	0.3%
Apigee Corporation	APIC.O / APIC US	20%	✓	0.3%
Apogee Enterprises Inc	APOG.O / APOG US	15%	✓	0.3%
Apollo Commercial Real Estate Finance Inc	ARI / ARI US	25%	✗	0.3%
Apollo Education Group Inc	APOL.O / APOL US	10%	✓	0.3%
Apollo Global Management LLC	APO / APO US	10%	✓	0.3%
Apollo Investment Corporation	AINV.O / AINV US	10%	✓	0.3%
Apollo Residential Mortgage Inc	AMTG.K / AMTG US	25%	✓	0.3%
AppFolio Inc	APPF.O / APPF US	25%	✓	0.3%
Apple Hospitality REIT Inc	APLE.K / APLE US	25%	✗	0.3%
Apple Inc	AAPL.O / AAPL US	5%	✓	0.3%
Applied Industrial Technologies Inc	AIT.N / AIT US	10%	✓	0.3%
Applied Materials Inc	AMAT.O / AMAT US	5%	✓	0.3%
Applied Micro Circuits Corporation	AMCC.O / AMCC US	15%	✓	0.3%
Applied Optoelectronics Inc	AAOI.O / AAOI US	25%	✗	0.3%
AptarGroup Inc	ATR / ATR US	10%	✗	0.3%
Aqua America Inc	WTR.N / WTR US	10%	✓	0.3%
Aralez Pharmaceuticals Inc	ARLZ.O / ARLZ US	25%	✗	0.3%
Aramark	ARMK.K / ARMK US	10%	✓	0.3%
Arbutus Biopharma Corporation	ABUS.O / ABUS US	25%	✗	0.3%
ArcBest Corporation	ARCB.O / ARCB US	10%	✗	0.3%
Arch Capital Group Limited	ACGL.O / ACGL US	10%	✓	0.3%
Archer-Daniels-Midland Company	ADM.N / ADM US	5%	✓	0.3%
Archrock Inc	AROC.K / AROC US	10%	✓	0.3%
Archrock Partners LP	APLP.O / APLP US	20%	✓	0.3%
Arcos Dorados Holdings Inc	ARCO.K / ARCO US	10%	✓	0.3%

Arctic Cat Inc	ACAT.O / ACAT US	25%	✗	0.3%
Ardmore Shipping Corporation	ASC / ASC US	25%	✓	0.3%
Arena Pharmaceuticals Inc	ARNA.O / ARNA US	15%	✓	0.3%
Ares Capital Corporation	ARCC.O / ARCC US	10%	✓	0.3%
Ares Commercial Real Estate Corporation	ACRE.K / ACRE US	25%	✗	0.3%
Ares Dynamic Credit Allocation Fund Inc	ARDC.K / ARDC US	10%	✗	0.3%
Argan Inc	AGX / AGX US	25%	✓	0.3%
Argo Group International Holdings Limited	AGII.O / AGII US	15%	✓	0.3%
Ariad Pharmaceuticals Inc	ARIA.O / ARIA US	25%	✓	0.3%
Arista Networks Inc	ANET.N / ANET US	15%	✓	0.3%
Arlington Asset Investment Corporation	AI / AI US	25%	✗	0.3%
Armada Hoffler Properties Inc	AHH / AHH US	25%	✓	0.3%
Armstrong Flooring Inc	AFI / AFI US	25%	✗	0.3%
Armstrong World Industries Inc	AWI / AWI US	10%	✓	0.3%
Array BioPharma Inc	ARRY.O / ARRY US	15%	✓	0.3%
Arrow Electronics Inc	ARW.N / ARW US	10%	✓	0.3%
Arrow Financial Corporation	AROW.O / AROW US	25%	✓	0.3%
Arrowhead Research Corporation	ARWR.O / ARWR US	25%	✓	0.3%
Arthur J Gallagher & Company	AJG.N / AJG US	10%	✓	0.3%
Artisan Partners Asset Management Inc	APAM.K / APAM US	10%	✓	0.3%
Asanko Gold Inc	AKG.A / AKG US	25%	✗	0.3%
Asbury Automotive Group Inc	ABG.N / ABG US	10%	✓	0.3%
Ascena Retail Group Inc	ASNA.O / ASNA US	10%	✓	0.3%
Ascent Capital Group Inc	ASCMA.O / ASCMA US	20%	✓	0.3%
Ashford Hospitality Prime Inc	AHP / AHP US	25%	✓	0.3%
Ashford Hospitality Trust Inc	AHT.N / AHT US	20%	✓	0.3%
Ashland Inc	ASH.N / ASH US	10%	✓	0.3%
Aspen Insurance Holdings Limited	AHL / AHL US	10%	✓	0.3%
Aspen Technology Inc	AZPN.O / AZPN US	10%	✓	0.3%
Associated Banc- Corporation	ASB.N / ASB US	10%	✓	0.3%
Assurant Inc	AIZ.N / AIZ US	10%	✓	0.3%
Assured Guaranty Limited	AGO.N / AGO US	10%	✓	0.3%
Astec Industries Inc	ASTE.O / ASTE US	20%	✓	0.3%
Astoria Financial Corporation	AF.N / AF US	15%	✓	0.3%
AstraZeneca PLC	AZN.N / AZN US	10%	✓	0.3%
Astronics Corporation	ATRO.O / ATRO US	10%	✓	0.3%
Atara Biotherapeutics Inc	ATRA.O / ATRA US	25%	✗	0.3%
Atlantic Power Corporation	AT / AT US	25%	✓	0.3%
Atlantic Tele-Network Inc	ATNI.O / ATNI US	15%	✓	0.3%
Atlas Air Worldwide Holdings Inc	AAWW.O / AAWW US	10%	✓	0.3%
Atlas Energy Grou PLC	ATLS.K / ATLS US	25%	✓	0.3%
Atlas Resource Partners LP	ARP / ARP US	20%	⚠	0.3%
Atlassian Corporation PLC	TEAM.O / TEAM US	10%	✗	0.3%
Atmel Corporation	ATML.O / ATML US	10%	✓	0.3%
Atmos Energy Corporation	ATO.N / ATO US	10%	✓	0.3%
AtriCure Inc	ATRC.O / ATRC US	25%	✗	0.3%
Atwood Oceanics Inc	ATW.N / ATW US	10%	✓	0.3%
AutoNation Inc	AN.N / AN US	10%	✓	0.3%
AutoZone Inc	AZO.N / AZO US	5%	✓	0.3%
Autodesk Inc	ADSK.O / ADSK US	5%	✓	0.3%
Autohome Inc	ATHM.N / ATHM US	15%	✓	0.3%
Autoliv Inc	ALV.N / ALV US	10%	✓	0.3%
Automatic Data Processing Inc	ADP.O / ADP US	5%	✓	0.3%
Avalonbay Communities Inc	AVB.N / AVB US	5%	✓	0.3%

Avery Dennison Corporation	AVY.N / AVY US	10%	✓	0.3%
Avid Technology Inc	AVID.O / AVID US	25%	✗	0.3%
Avis Budget Group Inc	CAR.O / CAR US	10%	✓	0.3%
Avista Corporation	AVA.N / AVA US	10%	✓	0.3%
Avnet Inc	AVT.N / AVT US	10%	✓	0.3%
Avolon Holdings Limited	AVOL.N / AVOL US	25%	✗	0.3%
Avon Products Inc	AVP.N / AVP US	10%	✓	0.3%
Axalta Coating Systems Limited	AXTA.K / AXTA US	10%	✗	0.3%
Axcelis Technologies Inc	ACLS.O / ACLS US	25%	✓	0.3%
Axiall Corporation	AXLL.K / AXLL US	10%	✓	0.3%
Axovant Sciences Limited	AXON.K / AXON US	25%	✗	0.3%
B E Aerospace Inc	BEAV.O / BEAV US	10%	✓	0.3%
B&G Foods Inc	BGS.N / BGS US	10%	✗	0.3%
BATS Global Markets Inc	BATS.K / BATS US	25%	✗	0.3%
BB&T Corporation	BBT / BBT US	5%	✓	0.3%
BBCN Bancorp Inc	BBCN.O / BBCN US	15%	✓	0.3%
BCE Inc	BCE.N / BCE US	10%	✓	0.3%
BGC Partners Inc	BGCP.O / BGCP US	15%	✓	0.3%
BHP Billiton Limited	BHP.N / BHP US	5%	✓	0.3%
BHP Billiton PLC	BBL.N / BBL US	5%	✓	0.3%
BJ's Restaurants Inc	BJRI.O / BJRI US	10%	✗	0.3%
BMC Stock Holdings Inc	STCK.O / STCK US	25%	✗	0.3%
BNC Ban Corporation	BNCN.O / BNCN US	25%	✗	0.3%
BOK Financial Corporation	BOKF.O / BOKF US	15%	☐	0.3%
BP PLC	BP.N / BP US	5%	✓	0.3%
BP Prudhoe Bay Royalty Trust	BPT.N / BPT US	10%	✓	0.3%
BRF SA	BRFS.K / BRFS US	10%	✓	0.3%
BT Group PLC	BT.N / BT US	10%	✓	0.3%
BWX Technologies Inc	BWXT.K / BWXT US	10%	✗	0.3%
Babcock & Wilcox Enterprises Inc	BW / BW US	15%	✓	0.3%
Badger Meter Inc	BMI / BMI US	25%	✗	0.3%
Baidu Inc	BIDU.O / BIDU US	5%	✓	0.3%
Baker Hughes Inc	BHI.N / BHI US	5%	✓	0.3%
Balchem Corporation	BCPC.O / BCPC US	10%	✓	0.3%
Ball Corporation	BLL.N / BLL US	5%	✓	0.3%
Banc of California Inc	BANC.K / BANC US	25%	✗	0.3%
BancFirst Corporation	BANF.O / BANF US	25%	✓	0.3%
Banco Latinoamericano de Comercio Exterior S.A	BLX.N / BLX US	25%	✓	0.3%
Banco Macro SA	BMA.N / BMA US	15%	✓	0.3%
Banco de Chile	BCH.N / BCH US	20%	✓	0.3%
Bancolumbia SA	CIB.N / CIB US	10%	✓	0.3%
BancorpSouth Inc	BXS.N / BXS US	10%	✓	0.3%
Bank Mutual Corporation	BKMU.O / BKMU US	25%	✗	0.3%
Bank of America Corporation	BAC.N / BAC US	5%	✓	0.3%
Bank of Hawaii Corporation	BOH.N / BOH US	10%	✓	0.3%
Bank of Marin Ban Corporation	BMRC.O / BMRC US	25%	✓	0.3%
Bank of Montreal	BMO.N / BMO US	10%	✓	0.3%
Bank of the Ozarks Inc	OZRK.O / OZRK US	10%	✓	0.3%
BankUnited Inc	BKU / BKU US	10%	✗	0.3%
Bankrate Inc	RATE.N / RATE US	10%	✓	0.3%
Banner Corporation	BANR.O / BANR US	15%	✓	0.3%
Barnes & Noble Education Inc	BNED.N / BNED US	25%	✓	0.3%
Barnes & Noble Inc	BKS.N / BKS US	10%	✓	0.3%
Barnes Group Inc	B.N / B US	10%	✓	0.3%

Barracuda Networks Inc	CUDA.K / CUDA US	15%	✓	0.3%
Barrick Gold Corporation	ABX.N / ABX US	10%	✓	0.3%
Basic Energy Services Inc	BAS.N / BAS US	25%	☐	0.3%
Bassett Furniture Industries Inc	BSET.O / BSET US	25%	✗	0.3%
Baxter International Inc	BAX.N / BAX US	5%	✓	0.3%
Bazaarvoice Inc	BV.O / BV US	25%	✗	0.3%
Beacon Roofing Supply Inc	BECN.O / BECN US	10%	✓	0.3%
Beazer Homes USA Inc	BZH.N / BZH US	15%	✓	0.3%
Bebe Stores Inc	BEBE.O / BEBE US	20%	✓	0.3%
Becton Dickinson and Company	BDX.N / BDX US	5%	✓	0.3%
Bed Bath & Beyond Inc	BBBY.O / BBBY US	5%	✓	0.3%
Belden Inc	BDC.N / BDC US	10%	✓	0.3%
Bellicum Pharmaceuticals Inc	BLCM.O / BLCM US	25%	✓	0.3%
Belmond Limited	BEL.N / BEL US	15%	✓	0.3%
Bemis Company Inc	BMS.N / BMS US	10%	✓	0.3%
Benchmark Electronics Inc	BHE.N / BHE US	15%	✓	0.3%
Beneficial Bancorp Inc	BNCL.O / BNCL US	25%	✓	0.3%
Benefitfocus Inc	BNFT.O / BNFT US	25%	✗	0.3%
Berkshire Hathaway Inc	BRKb / BRK/B US	5%	✓	0.3%
Berkshire Hills Bancorp Inc	BHLB.K / BHLB US	25%	✓	0.3%
Berry Plastics Group Inc	BERY.K / BERY US	10%	✓	0.3%
Best Buy Co. Inc	BBY.N / BBY US	5%	✓	0.3%
Big 5 Sporting Goods Corporation	BGFV.O / BGFV US	25%	✗	0.3%
Big Lots Inc	BIG.N / BIG US	10%	✓	0.3%
Biglari Holdings Inc	BH / BH US	15%	✗	0.3%
Bill Barrett Corporation	BBG / BBG US	15%	✓	0.3%
Bio-Rad Laboratories Inc	BIO / BIO US	10%	✓	0.3%
Bio-Techne Corporation	TECH.O / TECH US	10%	✓	0.3%
BioCryst Pharmaceuticals Inc	BCRX.O / BCRX US	25%	✓	0.3%
BioDelivery Sciences International Inc	BDSI.O / BDSI US	25%	✓	0.3%
BioMarin Pharmaceutical Inc	BMRN.O / BMRN US	25%	✓	0.3%
BioMed Realty Trust Inc	BMR.N / BMR US	10%	✓	0.3%
BioScrip Inc	BIOS.O / BIOS US	15%	✓	0.3%
BioShares(TM) Biotechnology Clinical Trials Fund	BBC.O / BBC US	25%	✓	0.3%
BioShares(TM) Biotechnology Products Fund	BBP.O / BBP US	25%	✓	0.3%
BioTelemetry Inc	BEAT.O / BEAT US	25%	✗	0.3%
Biogen Inc	BIIB.O / BIIB US	5%	✓	0.3%
Bitauto Holdings Limited	BITA.K / BITA US	10%	✓	0.3%
Black Box Corporation	BBOX.O / BBOX US	25%	✓	0.3%
Black Hills Corporation	BKH / BKH US	10%	✗	0.3%
Black Knight Financial Services Inc	BKFS.K / BKFS US	20%	✓	0.3%
Black Stone Minerals LP	BSM / BSM US	25%	✓	0.3%
BlackBerry Limited	BBRY.O / BBRY US	10%	✓	0.3%
BlackRock Capital Investment Corporation	BKCC.O / BKCC US	25%	✗	0.3%
BlackRock Corporate High Yield Fund Inc	HYT / HYT US	10%	✓	0.3%
BlackRock Enhanced Equity Dividend Trust	BDJ / BDJ US	10%	✓	0.3%
BlackRock Enhanced Government Fund Inc	EGF.N / EGF US	25%	✗	0.3%
BlackRock Inc	BLK.N / BLK US	5%	✓	0.3%
BlackRock International Growth and Income Trust	BGY.N / BGY US	20%	✓	0.3%
BlackRock Limited Duration Income Trust	BLW.N / BLW US	20%	✓	0.3%
BlackRock Muni New York Intermediate Duration Fund Inc	MNE.N / MNE US	25%	✗	0.3%
BlackRock MuniAssets Fund Inc	MUA.N / MUA US	10%	✗	0.3%
BlackRock Strategic Municipal Trust	BSD.N / BSD US	25%	✗	0.3%

BlackRock Taxable Municipal Bond Trust	BBN.N / BBN US	15%	✓	0.3%
Blackbaud Inc	BLKB.O / BLKB US	15%	✓	0.3%
Blackhawk Network Holdings Inc	HAWK.O / HAWK US	20%	✓	0.3%
Blackstone Mortgage Trust Inc	BXMT.N / BXMT US	15%	✓	0.3%
Bloomin' Brands Inc	BLMN.O / BLMN US	10%	✓	0.3%
Blount International Inc	BLT / BLT US	15%	✗	0.3%
Blucora Inc	BCOR.O / BCOR US	10%	✓	0.3%
Blue Hills Bancorp Inc	BHBK.O / BHBK US	25%	✓	0.3%
Blue Nile Inc	NILE.O / NILE US	20%	✓	0.3%
BlueStar TA-BIGITech(TM) Israel Technology ETF	ITEQ.O / ITEQ US	25%	✗	0.3%
Blueknight Energy Partners LP	BKEP.O / BKEP US	25%	✗	0.3%
Blueprint Medicines Corporation	BPMC.O / BPMC US	25%	✓	0.3%
Boardwalk Pipeline Partners LP	BWP / BWP US	10%	✓	0.3%
Bob Evans Farms Inc	BOBE.O / BOBE US	10%	✗	0.3%
Bofl Holding Inc	BOFI.O / BOFI US	10%	✓	0.3%
Boingo Wireless Inc	WIFI.O / WIFI US	25%	✓	0.3%
Boise Cascade Company	BCC / BCC US	10%	✓	0.3%
Bonanza Creek Energy Inc	BCEI.K / BCEI US	10%	✓	0.3%
Booz Allen Hamilton Holding Corporation	BAH.N / BAH US	10%	✓	0.3%
BorgWarner Inc	BWA.N / BWA US	5%	✓	0.3%
Boston Beer Co. Inc	SAM.N / SAM US	10%	✓	0.3%
Boston Private Financial Holdings Inc	BPFH.O / BPFH US	15%	✗	0.3%
Boston Properties Inc	BXP.N / BXP US	5%	✓	0.3%
Boston Scientific Corporation	BSX.N / BSX US	10%	✓	0.3%
Bottomline Technologies (de) Inc	EPAY.O / EPAY US	15%	✓	0.3%
Boulder Brands Inc	BDBD.O / BDBD US	10%	✓	0.3%
Boulder Growth & Income Fund Inc	BIF / BIF US	10%	✗	0.3%
Box Inc	BOX / BOX US	20%	✗	0.3%
Boyd Gaming Corporation	BYD.N / BYD US	10%	✓	0.3%
Brady Corporation	BRC.N / BRC US	15%	✓	0.3%
Brandywine Realty Trust	BDN.N / BDN US	10%	✓	0.3%
Breitburn Energy Partners LP	BBEP.O / BBEP US	10%	✗	0.3%
Bridge Bancorp Inc	BDGE.O / BDGE US	25%	✓	0.3%
Bridgepoint Education Inc	BPI / BPI US	15%	✓	0.3%
Briggs & Stratton Corporation	BGG.N / BGG US	10%	✓	0.3%
Bright Horizons Family Solutions Inc	BFAM.N / BFAM US	15%	✓	0.3%
Brinker International Inc	EAT.N / EAT US	10%	✓	0.3%
Bristol-Myers Squibb Company	BMY.N / BMY US	5%	✓	0.3%
Bristow Group Inc	BRS.N / BRS US	10%	✓	0.3%
Brixmor Property Group Inc	BRX / BRX US	10%	✗	0.3%
BroadSoft Inc	BSFT.O / BSFT US	10%	✓	0.3%
Broadcom Corporation	BRCM.O / BRCM US	5%	✓	0.3%
Broadcom Limited	AVGO.O / AVGO US	5%	✓	0.3%
Broadridge Financial Solutions Inc	BR.N / BR US	10%	✓	0.3%
Brocade Communications Systems Inc	BRCD.O / BRCD US	10%	✓	0.3%
Brookdale Senior Living Inc	BKD.N / BKD US	25%	✓	0.3%
Brookfield Asset Management Inc	BAM.N / BAM US	10%	✓	0.3%
Brookfield Infrastructure Partners LP	BIP.N / BIP US	10%	⊠	0.3%
Brookfield Property Partners LP	BPY.N / BPY US	20%	✓	0.3%
Brookfield Renewable Energy Partners LP	BEP / BEP US	25%	✗	0.3%
Brookline Bancorp Inc	BRKL.O / BRKL US	25%	✓	0.3%
Brooks Automation Inc	BRKS.O / BRKS US	20%	✓	0.3%
Brown & Brown Inc	BRO.N / BRO US	10%	✓	0.3%
Brown-Forman Corporation	BFb.N / BF/B US	5%	✓	0.3%

Brown-Forman Corporation	BFa / BF/A US	25%	✗	0.3%
Bruker Corporation	BRKR.O / BRKR US	10%	✓	0.3%
Brunswick Corporation	BC.N / BC US	10%	✓	0.3%
Bryn Mawr Bank Corporation	BMTC.O / BMTC US	25%	✓	0.3%
Buckeye Partners LP	BPL.N / BPL US	10%	✓	0.3%
Buffalo Wild Wings Inc	BWLD.O / BWLD US	10%	✓	0.3%
Builders FirstSource Inc	BLDR.O / BLDR US	25%	✗	0.3%
Bunge Limited	BG.N / BG US	10%	✓	0.3%
Burlington Stores Inc	BURL.N / BURL US	10%	✓	0.3%
C&J Energy Services Limited	CJES.K / CJES US	10%	✓	0.3%
CA Inc	CA.O / CA US	5%	✓	0.3%
CACI International Inc	CACI.K / CACI US	10%	✓	0.3%
CARBO Ceramics Inc	CRR.N / CRR US	10%	✓	0.3%
CBIZ Inc	CBZ / CBZ US	25%	✗	0.3%
CBL & Associates Properties Inc	CBL.N / CBL US	10%	✓	0.3%
CBOE Holdings Inc	CBOE.O / CBOE US	10%	✓	0.3%
CBRE Group Inc	CBG.N / CBG US	5%	✓	0.3%
CBS Corporation	CBSa / CBS/A US	25%	✓	0.3%
CBS Corporation	CBS.N / CBS US	5%	✓	0.3%
CDK Global Inc	CDK.O / CDK US	10%	✓	0.3%
CDW Corporation	CDW.O / CDW US	25%	✓	0.3%
CEB Inc	CEB.N / CEB US	10%	✓	0.3%
CEVA Inc	CEVA.O / CEVA US	25%	✓	0.3%
CF Industries Holdings Inc	CF.N / CF US	5%	✓	0.3%
CGI Group Inc	GIB.N / GIB US	10%	✓	0.3%
CH Robinson Worldwide Inc	CHRW.O / CHRW US	5%	✓	0.3%
CIRCOR International Inc	CIR.N / CIR US	15%	✓	0.3%
CIT Group Inc	CIT.N / CIT US	10%	✓	0.3%
CLARCOR Inc	CLC.N / CLC US	10%	✓	0.3%
CME Group Inc	CME.O / CME US	5%	✓	0.3%
CMS Energy Corporation	CMS.N / CMS US	5%	✓	0.3%
CNA Financial Corporation	CNA.N / CNA US	15%	✓	0.3%
CNH Industrial NV	CNHI.K / CNHI US	10%	✓	0.3%
CNO Financial Group Inc	CNO.N / CNO US	10%	✓	0.3%
CNOOC Limited	CEO.N / CEO US	10%	✓	0.3%
CONE Midstream Partners LP	CNNX.K / CNNX US	25%	✓	0.3%
CONMED Corporation	CNMD.O / CNMD US	10%	✗	0.3%
CONSOL Energy Inc	CNX.N / CNX US	5%	✓	0.3%
CPI Card Group Inc	PMTS.O / PMTS US	25%	✗	0.3%
CR Bard Inc	BCR.N / BCR US	5%	✓	0.3%
CRA International Inc	CRAI.O / CRAI US	25%	✓	0.3%
CRH PLC	CRH.N / CRH US	15%	✓	0.3%
CSG Systems International Inc	CSGS.O / CSGS US	15%	✓	0.3%
CSI Compressco LP	CCLP.O / CCLP US	25%	✗	0.3%
CSOP FTSE China A50 ETF	AFTY.P / AFTY US	25%	✗	0.3%
CSRA Inc	CSRA.K / CSRA US	10%	✗	0.3%
CST Brands Inc	CST.N / CST US	10%	✓	0.3%
CSX Corporation	CSX.O / CSX US	5%	✓	0.3%
CTC Media Inc	CTCM.O / CTCM US	15%	✓	0.3%
CTI BioPharma Corporation	CTIC.O / CTIC US	20%	✓	0.3%
CTS Corporation	CTS / CTS US	25%	✓	0.3%
CU Ban Corporation	CUNB.O / CUNB US	25%	✓	0.3%
CVB Financial Corporation	CVBF.O / CVBF US	15%	✓	0.3%
CVR Energy Inc	CVI.N / CVI US	10%	✓	0.3%

CVR Partners LP	UAN / UAN US	25%	✓	0.3%
CVR Refining LP	CVRR.K / CVRR US	10%	✓	0.3%
CVS Health Corporation	CVS.N / CVS US	5%	✓	0.3%
CYS Investments Inc	CYS.N / CYS US	25%	✓	0.3%
Cabela's Inc	CAB.N / CAB US	10%	✓	0.3%
Cablevision Systems Corporation	CVC.N / CVC US	10%	✓	0.3%
Cabot Corporation	CBT.N / CBT US	10%	✓	0.3%
Cabot Microelectronics Corporation	CCMP.O / CCMP US	15%	✓	0.3%
Cabot Oil & Gas Corporation	COG.N / COG US	5%	✓	0.3%
Cadence Design Systems Inc	CDNS.O / CDNS US	10%	✓	0.3%
CaesarStone Sdot-Yam Limited	CSTE.O / CSTE US	10%	✓	0.3%
Caesars Acquisition Company	CACQ.O / CACQ US	25%	✓	0.3%
Caesars Entertainment Corporation	CZR.O / CZR US	10%	✗	0.3%
Cal-Maine Foods Inc	CALM.O / CALM US	20%	✓	0.3%
CalAmp Corporation	CAMP.O / CAMP US	10%	✓	0.3%
CalAtlantic Group Inc	CAA.N / CAA US	10%	✓	0.3%
Calavo Growers Inc	CVWG.O / CVGW US	25%	✗	0.3%
Caleres Inc	CAL.N / CAL US	15%	✓	0.3%
Calgon Carbon Corporation	CCC.N / CCC US	15%	✓	0.3%
California Water Service Group	CWT.N / CWT US	15%	✓	0.3%
Calithera Biosciences Inc	CALA.O / CALA US	25%	✗	0.3%
Calix Inc	CALX.K / CALX US	20%	✓	0.3%
Callaway Golf Company	ELY.N / ELY US	15%	✓	0.3%
Callidus Software Inc	CALD.O / CALD US	15%	✗	0.3%
Callon Petroleum Company	CPE.N / CPE US	20%	✓	0.3%
Calpine Corporation	CPN.N / CPN US	10%	✓	0.3%
Calumet Specialty Products Partners LP	CLMT.O / CLMT US	10%	✓	0.3%
Cambrex Corporation	CBM / CBM US	15%	✓	0.3%
Camden National Corporation	CAC.O / CAC US	25%	✓	0.3%
Camden Property Trust	CPT.N / CPT US	10%	✓	0.3%
Cameco Corporation	CCJ.N / CCJ US	10%	✓	0.3%
Cameron International Corporation	CAM.N / CAM US	5%	✓	0.3%
Campbell Soup Company	CPB.N / CPB US	5%	✓	0.3%
Campus Crest Communities Inc	CCG.N / CCG US	25%	✗	0.3%
Canadian National Railway Company	CNI.N / CNI US	10%	✓	0.3%
Canadian Natural Resources Limited	CNQ.N / CNQ US	10%	✓	0.3%
Canadian Pacific Railway Limited	CP.N / CP US	10%	✓	0.3%
Canadian Solar Inc	CSIQ.O / CSIQ US	10%	✓	0.3%
Canon Inc	CAJ.N / CAJ US	10%	✓	0.3%
Cantel Medical Corporation	CMN / CMN US	25%	✗	0.3%
Capella Education Company	CPLA.O / CPLA US	15%	✓	0.3%
Capital Bank Financial Corporation	CBF.O / CBF US	15%	✓	0.3%
Capital One Financial Corporation	COF.N / COF US	5%	✓	0.3%
Capital Product Partners LP	CPLP.O / CPLP US	25%	✗	0.3%
Capital Senior Living Corporation	CSU / CSU US	15%	✓	0.3%
Capital Southwest Corporation	CSWC.O / CSWC US	25%	✗	0.3%
Capitol Federal Financial Inc	CFFN.O / CFFN US	10%	✓	0.3%
Capstead Mortgage Corporation	CMO.N / CMO US	25%	✓	0.3%
Capstone Turbine Corporation	CPST.O / CPST US	20%	✓	0.3%
CarMax Inc	KMX.N / KMX US	5%	✓	0.3%
Cara Therapeutics Inc	CARA.O / CARA US	25%	✗	0.3%
Cardinal Financial Corporation	CFNL.O / CFNL US	25%	✗	0.3%
Cardinal Health Inc	CAH.N / CAH US	5%	✓	0.3%
Cardiome Pharma Corporation	CRME.O / CRME US	25%	⚠	0.3%

Cardiovascular Systems Inc	CSII.O / CSII US	10%	✗	0.3%
Cardtronics Inc	CATM.O / CATM US	10%	✓	0.3%
Care Capital Properties Inc	CCP / CCP US	20%	✗	0.3%
CareTrust REIT Inc	CTRE.O / CTRE US	25%	✗	0.3%
Career Education Corporation	CECO.O / CECO US	20%	✓	0.3%
Carlisle Companies Inc	CSL.N / CSL US	10%	✓	0.3%
Carmike Cinemas Inc	CKEC.O / CKEC US	15%	✗	0.3%
Carnival Corporation	CCL.N / CCL US	5%	✓	0.3%
Carpenter Technology Corporation	CRS.N / CRS US	10%	✓	0.3%
Carriage Services Inc	CSV.N / CSV US	20%	✓	0.3%
Carrizo Oil & Gas Inc	CRZO.O / CRZO US	10%	✓	0.3%
Carrols Restaurant Group Inc	TAST.O / TAST US	25%	✓	0.3%
Carter's Inc	CRI.N / CRI US	10%	✓	0.3%
Casey's General Stores Inc	CASY.O / CASY US	10%	✓	0.3%
Cash America International Inc	CSH.N / CSH US	10%	✓	0.3%
Cass Information Systems Inc	CASS.O / CASS US	25%	✓	0.3%
Castlight Health Inc	CSLT.N / CSLT US	10%	✓	0.3%
Catalent Inc	CTLT.N / CTLT US	10%	✓	0.3%
CatchMark Timber Trust Inc	CTT / CTT US	25%	✓	0.3%
Caterpillar Inc	CAT.N / CAT US	5%	✓	0.3%
Cathay General Ban Corporation	CATY.O / CATY US	15%	✓	0.3%
Cavco Industries Inc	CVCO.O / CVCO US	15%	✓	0.3%
Cavium Inc	CAVM.O / CAVM US	10%	✓	0.3%
Cedar Fair LP	FUN / FUN US	15%	✗	0.3%
Cedar Realty Trust Inc	CDR / CDR US	25%	✓	0.3%
Celadon Group Inc	CGI / CGI US	25%	✓	0.3%
Celanese Corporation	CE.N / CE US	10%	✓	0.3%
Celestica Inc	CLS.N / CLS US	20%	✓	0.3%
Celgene Corporation	CELG.O / CELG US	5%	✓	0.3%
Cellcom Israel Limited	CEL.N / CEL US	25%	✓	0.3%
Celldex Therapeutics Inc	CLDX.O / CLDX US	25%	✓	0.3%
Collectis SA	CLLS.O / CLLS US	20%	✓	0.3%
Cempra Inc	CEMP.O / CEMP US	25%	✗	0.3%
Genovus Energy Inc	CVE.N / CVE US	10%	✓	0.3%
Centene Corporation	CNC.N / CNC US	10%	✓	0.3%
CenterPoint Energy Inc	CNP.N / CNP US	5%	✓	0.3%
CenterState Banks Inc	CSFL.O / CSFL US	25%	✗	0.3%
Central European Media Enterprises Limited	CETV.O / CETV US	20%	✓	0.3%
Central Fund of Canada Limited	CEF.A / CEF US	10%	✓	0.3%
Central Garden & Pet Company	CENT.O / CENT US	25%	✓	0.3%
Central Garden & Pet Company	CENTA.O / CENTA US	25%	✓	0.3%
Central Pacific Financial Corporation	CPF / CPF US	15%	✗	0.3%
Century Aluminum Company	CENX.O / CENX US	10%	✓	0.3%
Century Communities Inc	CCS.N / CCS US	25%	✗	0.3%
CenturyLink Inc	CTL.N / CTL US	5%	✓	0.3%
Cepheid	CPHD.O / CPHD US	25%	✓	0.3%
Cerner Corporation	CERN.O / CERN US	5%	✓	0.3%
Cerus Corporation	CERS.O / CERS US	25%	✓	0.3%
Changyou.com Limited	CYOU.O / CYOU US	10%	✓	0.3%
ChannelAdvisor Corporation	ECOM.K / ECOM US	10%	✓	0.3%
Charles River Laboratories International Inc	CRL.N / CRL US	10%	✓	0.3%
Chart Industries Inc	GTLS.O / GTLS US	10%	✓	0.3%
Charter Communications Inc	CHTR.O / CHTR US	5%	✓	0.3%
Chase Corporation	CCF / CCF US	25%	✗	0.3%

Chatham Lodging Trust	CLDT.K / CLDT US	25%	✓	0.3%
Check Point Software Technologies Limited	CHKP.O / CHKP US	5%	✓	0.3%
Checkpoint Systems Inc	CKP.N / CKP US	20%	✓	0.3%
Cheetah Mobile Inc	CMCM.K / CMCM US	25%	✗	0.3%
Chegg Inc	CHGG.N / CHGG US	25%	✓	0.3%
Chemed Corporation	CHE.N / CHE US	10%	✓	0.3%
Chemical Financial Corporation	CHFC.O / CHFC US	25%	✗	0.3%
Chemtura Corporation	CHMT.K / CHMT US	10%	✓	0.3%
Cheniere Energy Inc	LNG.A / LNG US	25%	✓	0.3%
Chesapeake Energy Corporation	CHK.N / CHK US	5%	✓	0.3%
Chesapeake Lodging Trust	CHSP.K / CHSP US	25%	✗	0.3%
Chesapeake Utilities Corporation	CPK / CPK US	25%	✓	0.3%
Chevron Corporation	CVX.N / CVX US	5%	✓	0.3%
Chiasma Inc	CHMA.O / CHMA US	25%	✗	0.3%
Chicago Bridge & Iron Company NV	CBI.N / CBI US	10%	✓	0.3%
Chico's FAS Inc	CHS.N / CHS US	10%	✓	0.3%
Chimera Investment Corporation	CIM.N / CIM US	10%	✓	0.3%
Chimerix Inc	CMRX.O / CMRX US	25%	✓	0.3%
China Biologic Products Inc	CBPO.O / CBPO US	25%	✓	0.3%
China Eastern Airlines Corporation Limited	CEA / CEA US	15%	✗	0.3%
China Life Insurance Company Limited	LFC.N / LFC US	10%	✓	0.3%
China Lodging Group Limited	HTHT.O / HTHT US	15%	⚠	0.3%
China Mobile Limited	CHL.N / CHL US	10%	✓	0.3%
China Petroleum & Chemical Corporation	SNP.N / SNP US	10%	✓	0.3%
China Southern Airlines Company Limited	ZNH.N / ZNH US	25%	✓	0.3%
China Yuchai International Limited	CYD / CYD US	25%	✗	0.3%
ChipMOS TECHNOLOGIES (Bermuda) Limited	IMOS.O / IMOS US	15%	✓	0.3%
Chipotle Mexican Grill Inc	CMG.N / CMG US	5%	✓	0.3%
Choice Hotels International Inc	CHH.N / CHH US	15%	✓	0.3%
Chubb Limited	CB.N / CB US	5%	✓	0.3%
Church & Dwight Co. Inc	CHD.N / CHD US	10%	✓	0.3%
Churchill Downs Inc	CHDN.O / CHDN US	10%	✓	0.3%
Ciber Inc	CBR.N / CBR US	25%	✓	0.3%
Ciena Corporation	CIEN.K / CIEN US	10%	✓	0.3%
Cigna Corporation	CI.N / CI US	5%	✓	0.3%
Cimarex Energy Company	XEC.N / XEC US	10%	✓	0.3%
Cimpress NV	CMPR.O / CMPR US	10%	✓	0.3%
Cincinnati Bell Inc	CBB.N / CBB US	20%	✓	0.3%
Cincinnati Financial Corporation	CINF.O / CINF US	5%	✓	0.3%
Cinemark Holdings Inc	CNK / CNK US	10%	✗	0.3%
Cintas Corporation	CTAS.O / CTAS US	5%	✓	0.3%
Cirrus Logic Inc	CRUS.O / CRUS US	10%	✓	0.3%
Cisco Systems Inc	CSCO.O / CSCO US	5%	✓	0.3%
Citi Trends Inc	CTRN.O / CTRN US	25%	✗	0.3%
Citigroup Inc	C.N / C US	5%	✓	0.3%
Citizens Financial Group Inc	CFG.N / CFG US	10%	✓	0.3%
Citizens Inc	CIA / CIA US	25%	✓	0.3%
Citrix Systems Inc	CTXS.O / CTXS US	5%	✓	0.3%
City Holding Company	CHCO.O / CHCO US	25%	✓	0.3%
Civeo Corporation	CVEO.N / CVEO US	15%	✓	0.3%
Civitas Solutions Inc	CIVI.N / CIVI US	25%	✗	0.3%
Clayton Williams Energy Inc	CWEI.K / CWEI US	10%	✓	0.3%
Clean Energy Fuels Corporation	CLNE.O / CLNE US	25%	⚠	0.3%
Clean Harbors Inc	CLH / CLH US	10%	✓	0.3%

Clear Channel Outdoor Holdings Inc	CCO / CCO US	25%	X	0.3%
ClearBridge Energy MLP Total Return Fund Inc	CTR.N / CTR US	10%	X	0.3%
Clearwater Paper Corporation	CLW / CLW US	15%	X	0.3%
Cleco Corporation	CNL.N / CNL US	10%	X	0.3%
Cliffs Natural Resources Inc	CLF.N / CLF US	10%	⚠	0.3%
Clifton Bancorp Inc	CSBK.O / CSBK US	25%	✓	0.3%
Cloud Peak Energy Inc	CLD.N / CLD US	10%	✓	0.3%
Clough Global Opportunities Fund	GLO.A / GLO US	25%	✓	0.3%
Clovis Oncology Inc	CLVS.O / CLVS US	25%	✓	0.3%
ClubCorp Holdings Inc	MYCC.K / MYCC US	15%	✓	0.3%
CoBiz Financial Inc	COBZ.O / COBZ US	25%	✓	0.3%
CoStar Group Inc	CSGP.O / CSGP US	10%	✓	0.3%
Coach Inc	COH.N / COH US	5%	✓	0.3%
Cobalt International Energy Inc	CIE.N / CIE US	25%	✓	0.3%
Coca-Cola Bottling Co. Consolid AE	COKE.O / COKE US	25%	✓	0.3%
Coca-Cola Enterprises Inc	CCE.N / CCE US	5%	✓	0.3%
Coca-Cola FEMSA S.A.B de CV	KOF.N / KOF US	15%	✓	0.3%
Coeur Mining Inc	CDE.N / CDE US	10%	✓	0.3%
Cogent Communications Holdings Inc	CCOI.O / CCOI US	10%	✓	0.3%
Cognex Corporation	CGNX.O / CGNX US	10%	✓	0.3%
Cognizant Technology Solutions Corporation	CTSH.O / CTSH US	5%	✓	0.3%
Cohen & Steers Inc	CNS / CNS US	15%	X	0.3%
Coherent Inc	COHR.O / COHR US	15%	✓	0.3%
Cohu Inc	COHU.O / COHU US	25%	✓	0.3%
Colfax Corporation	CFX.N / CFX US	10%	✓	0.3%
Colgate-Palmolive Company	CL.N / CL US	5%	✓	0.3%
Colliers International Group Inc	CIGI.O / CIGI US	25%	✓	0.3%
Colony Capital Inc	CLNY.K / CLNY US	25%	✓	0.3%
Colony Starwood Homes	SFR / SFR US	15%	✓	0.3%
Columbia Banking System Inc	COLB.O / COLB US	15%	✓	0.3%
Columbia Funds Trust XII - Liberty All Star Equity Fund	USA.N / USA US	20%	✓	0.3%
Columbia Pipeline Group Inc	CPGX.K / CPGX US	10%	X	0.3%
Columbia Pipeline Partners LP	CPPL.N / CPPL US	25%	X	0.3%
Columbia Property Trust Inc	CXP / CXP US	25%	X	0.3%
Columbia Sportswear Company	COLM.O / COLM US	15%	✓	0.3%
Columbus McKinnon Corporation	CMCO.O / CMCO US	25%	X	0.3%
Comcast Corporation	CMCSA.O / CMCSA US	5%	✓	0.3%
Comerica Inc	CMA.N / CMA US	5%	✓	0.3%
Comfort Systems USA Inc	FIX.N / FIX US	20%	✓	0.3%
CommScope Holding Company Inc	COMM.O / COMM US	15%	✓	0.3%
CommVault Systems Inc	CVLT.O / CVLT US	10%	✓	0.3%
Commerce Bancshares Inc	CBSH.O / CBSH US	10%	✓	0.3%
Commercial Metals Company	CMC.N / CMC US	10%	✓	0.3%
Community Bank System Inc	CBU / CBU US	15%	X	0.3%
Community Health Systems Inc	CYH.N / CYH US	10%	✓	0.3%
Community Health Systems Inc	CYHHZ.O / CYHHZ US	25%	✓	0.3%
Community Trust Bancorp Inc	CTBI.O / CTBI US	25%	✓	0.3%
Companhia Brasileira de Distribuicao	CBD.N / CBD US	10%	✓	0.3%
Compania Cervecerias Unidas SA	CCU.N / CCU US	15%	✓	0.3%
Compass Minerals International Inc	CMP.N / CMP US	10%	✓	0.3%
Compugen Limited	CGEN.O / CGEN US	20%	✓	0.3%
Computer Programs & Systems Inc	CPSI.O / CPSI US	15%	✓	0.3%
Computer Sciences Corporation	CSC.N / CSC US	5%	✓	0.3%
Comstock Resources Inc	CRK.N / CRK US	25%	⚠	0.3%

Comtech Telecommunications Corporation	CMTL.O / CMTL US	20%	✓	0.3%
ConAgra Foods Inc	CAG.N / CAG US	5%	✓	0.3%
Concho Resources Inc	CXO.N / CXO US	10%	✓	0.3%
ConforMIS Inc	CFMS.O / CFMS US	25%	✗	0.3%
ConnectOne Bancorp Inc	CNOB.O / CNOB US	25%	✓	0.3%
Connecticut Water Service Inc	CTWS.O / CTWS US	25%	✗	0.3%
Conns Inc	CONN.O / CONN US	25%	☐	0.3%
ConocoPhil LP	COP.N / COP US	5%	✓	0.3%
Consolidated Communications Holdings Inc	CNSL.O / CNSL US	15%	✓	0.3%
Consolidated Edison Inc	ED.N / ED US	5%	✓	0.3%
Consolidated Tomoka Land Company	CTO / CTO US	25%	✓	0.3%
Constant Contact Inc	CTCT.O / CTCT US	15%	✓	0.3%
Constellation Brands Inc	STZ.N / STZ US	10%	✓	0.3%
Constellium N.V	CSTM.K / CSTM US	10%	✗	0.3%
Consumer Discretionary Select Sector SPDR(r) Fund	XLY.P / XLY US	10%	✓	0.3%
Consumer Staples Select Sector SPDR(r) Fund	XLP.P / XLP US	10%	✓	0.3%
Contango Oil & Gas Company	MCF.A / MCF US	25%	✓	0.3%
Continental Resources Inc	CLR.N / CLR US	10%	✓	0.3%
Convergys Corporation	CVG.N / CVG US	10%	✓	0.3%
Cooper Tire & Rubber Company	CTB.N / CTB US	10%	✓	0.3%
Cooper-Standard Holdings Inc	CPS.N / CPS US	15%	✓	0.3%
Copa Holdings SA	CPA.N / CPA US	10%	✓	0.3%
Copart Inc	CPRT.O / CPRT US	10%	✓	0.3%
CorVel Corporation	CRVL.O / CRVL US	20%	✓	0.3%
Corcept Therapeutics Inc	CORT.O / CORT US	25%	✗	0.3%
Core Laboratories NV	CLB.N / CLB US	10%	✓	0.3%
Core-Mark Holding Company Inc	CORE.O / CORE US	15%	✓	0.3%
CoreLogic Inc	CLGX.K / CLGX US	10%	✓	0.3%
CoreSite Realty Corporation	COR / COR US	15%	✓	0.3%
Cornerstone OnDemand Inc	CSOD.O / CSOD US	15%	✓	0.3%
Corning Inc	GLW.N / GLW US	5%	✓	0.3%
Corporate Office Properties Trust	OFC.N / OFC US	10%	✓	0.3%
Corrections Corporation of America	CXW.N / CXW US	10%	✓	0.3%
Cosan Limited	CZZ.N / CZZ US	10%	✓	0.3%
Costamare Inc	CMRE.K / CMRE US	20%	✓	0.3%
Costco Wholesale Corporation	COST.O / COST US	5%	✓	0.3%
Cott Corporation	COT.N / COT US	20%	✓	0.3%
Coty Inc	COTY.N / COTY US	10%	☐	0.3%
Cousins Properties Inc	CUZ.N / CUZ US	10%	✓	0.3%
Covanta Holding Corporation	CVA.N / CVA US	10%	✓	0.3%
Covenant Transportation Group Inc	CVTI.O / CVTI US	25%	✗	0.3%
Cowen Group Inc	COWN.O / COWN US	15%	✗	0.3%
Cracker Barrel Old Country Store Inc	CBRL.O / CBRL US	10%	✓	0.3%
Crane Company	CR.N / CR US	10%	✓	0.3%
Cray Inc	CRAY.O / CRAY US	10%	✗	0.3%
Credi Corporation Limited	BAP.N / BAP US	10%	✓	0.3%
Credit Acceptance Corporation	CACC.O / CACC US	20%	☐	0.3%
Credit Suisse Group AG	CS.N / CS US	5%	✓	0.3%
Cree Inc	CREE.O / CREE US	10%	✓	0.3%
Crescent Point Energy Corporation	CPG / CPG US	25%	✗	0.3%
Crestwood Equity Partners LP	CEQP.K / CEQP US	15%	✓	0.3%
Criteo SA	CRTO.O / CRTO US	25%	✓	0.3%
Crocs Inc	CROX.O / CROX US	10%	✓	0.3%
Cross Country Healthcare Inc	CCRN.O / CCRN US	25%	✗	0.3%

CrossAmerica Partners LP	CAPL.K / CAPL US	25%	✗	0.3%
Crown Castle International Corporation	CCI.N / CCI US	5%	✓	0.3%
Crown Holdings Inc	CCK.N / CCK US	10%	✓	0.3%
CryoLife Inc	CRY / CRY US	25%	✓	0.3%
Ctrip.com International Limited	CTRP.O / CTRP US	10%	✓	0.3%
CubeSmart	CUBE.K / CUBE US	10%	✓	0.3%
Cubic Corporation	CUB.N / CUB US	15%	✓	0.3%
Cullen Frost Bankers Inc	CFR.N / CFR US	10%	✓	0.3%
Culp Inc	CFI / CFI US	25%	✗	0.3%
Cummins Inc	CMI.N / CMI US	5%	✓	0.3%
Cumulus Media Inc	CMLS.O / CMLS US	15%	✗	0.3%
Curis Inc	CRIS.O / CRIS US	25%	✗	0.3%
CurrencyShares(r) Australian Dollar Trust	FXA.P / FXA US	15%	✓	0.3%
CurrencyShares(r) British Pound Sterling Trust	FXB.P / FXB US	10%	✓	0.3%
CurrencyShares(r) Euro Trust	FXE / FXE US	10%	✓	0.3%
CurrencyShares(r) Japanese Yen Trust	FXY / FXY US	10%	✓	0.3%
CurrencyShares(r) Swiss Franc Trust	FXF.P / FXF US	25%	✗	0.3%
Curtiss-Wright Corporation	CW.N / CW US	10%	✓	0.3%
Cushing MLP Total Return Fund	SRV.N / SRV US	25%	✓	0.3%
Customers Bancorp Inc	CUBI.K / CUBI US	25%	✓	0.3%
Cvent Inc	CVT.N / CVT US	15%	✓	0.3%
CyberArk Software Limited	CYBR.O / CYBR US	25%	✓	0.3%
Cynosure Inc	CYNO.O / CYNO US	20%	✓	0.3%
Cypress Semiconductor Corporation	CY.O / CY US	10%	✓	0.3%
Cyrusone Inc	CONE.O / CONE US	25%	✓	0.3%
Cytokinetics Inc	CYTK.O / CYTK US	15%	✓	0.3%
DCP Midstream Partners LP	DPM.N / DPM US	10%	✓	0.3%
DCT Industrial Trust Inc	DCT.N / DCT US	10%	✓	0.3%
DDR Corporation	DDR.N / DDR US	10%	✓	0.3%
DENTSPLY International Inc	XRAY.O / XRAY US	10%	✓	0.3%
DHI Group Inc	DHX.N / DHX US	20%	✓	0.3%
DHT Holdings Inc	DHT / DHT US	25%	✓	0.3%
DNP Select Income Fund Inc	DNP / DNP US	10%	✗	0.3%
DR Horton Inc	DHI.N / DHI US	5%	✓	0.3%
DST Systems Inc	DST.N / DST US	10%	✓	0.3%
DSW Inc	DSW.N / DSW US	10%	✓	0.3%
DTE Energy Company	DTE.N / DTE US	5%	✓	0.3%
DTS Inc	DTSI.O / DTSI US	25%	✓	0.3%
DXP Enterprises Inc	DXPE.O / DXPE US	15%	✓	0.3%
DaVita HealthCare Partners Inc	DVA.N / DVA US	5%	✓	0.3%
Daktronics Inc	DAKT.O / DAKT US	20%	✓	0.3%
Dana Holding Corporation	DAN.N / DAN US	10%	✓	0.3%
Danaher Corporation	DHR.N / DHR US	5%	✓	0.3%
Darden Restaurants Inc	DRI.N / DRI US	10%	✓	0.3%
Darling Ingredients Inc	DAR.N / DAR US	10%	✓	0.3%
Dave & Buster's Entertainment Inc	PLAY.O / PLAY US	25%	✓	0.3%
DeVry Education Group Inc	DV.N / DV US	10%	✓	0.3%
Dean Foods Company	DF.N / DF US	10%	✓	0.3%
Deckers Outdoor Corporation	DECK.K / DECK US	10%	✓	0.3%
Deere & Company	DE.N / DE US	5%	✓	0.3%
Del Frisco's Restaurant Group Inc	DFRG.O / DFRG US	15%	✓	0.3%
Del Taco Restaurants Inc	TACO.O / TACO US	25%	✗	0.3%
Delaware Investments National Municipal Income Fund	VFL.P / VFL US	25%	✓	0.3%
Delek Logistics Partners LP	DKL / DKL US	25%	✗	1%

Delek US Holdings Inc	DK.N / DK US	10%	✓	0.3%
Delphi Automotive PLC	DLPH.N / DLPH US	15%	✓	0.3%
Delta Air Lines Inc	DAL.N / DAL US	5%	✓	0.3%
Deltic Timber Corporation	DEL.N / DEL US	25%	✓	0.3%
Deluxe Corporation	DLX.N / DLX US	10%	✓	0.3%
Demandware Inc	DWRE.N / DWRE US	10%	✓	0.3%
Denbury Resources Inc	DNR.N / DNR US	10%	✓	0.3%
Denison Mines Corporation	DNN.A / DNN US	25%	☐	0.3%
Denny's Corporation	DENN.O / DENN US	15%	✓	0.3%
DepoMed Inc	DEPO.O / DEPO US	10%	✓	0.3%
Dermira Inc	DERM.O / DERM US	25%	✓	0.3%
Deutsche Bank AG	DB.N / DB US	10%	✓	0.3%
Deutsche X-trackers Harvest CSI 300 China A-Shares ETF	ASHR.P / ASHR US	10%	☐	0.3%
Deutsche X-trackers Harvest CSI 500 China-A Shares Small Cap ETF	ASHS.P / ASHS US	25%	☐	0.3%
Deutsche X-trackers MSCI Germany Hedged Equity ETF	DBGR.P / DBGR US	25%	✗	0.3%
Deutsche X-trackers MSCI Japan Hedged Equity ETF	DBJP.P / DBJP US	20%	☐	0.3%
Devon Energy Corporation	DVN / DVN US	5%	✓	0.3%
DexCom Inc	DXCM.O / DXCM US	25%	✓	0.3%
Diageo PLC	DEO.N / DEO US	5%	✓	0.3%
Diamond Foods Inc	DMND.O / DMND US	15%	✓	0.3%
Diamond Offshore Drilling Inc	DO.N / DO US	10%	✓	0.3%
Diamond Resorts International Inc	DRII.N / DRII US	10%	✓	0.3%
Diamondback Energy Inc	FANG.O / FANG US	25%	✓	0.3%
Diamondrock Hospitality Company	DRH.N / DRH US	20%	✓	0.3%
Diana Shipping Inc	DSX.N / DSX US	10%	☐	0.3%
Dicerna Pharmaceuticals Inc	DRNA.O / DRNA US	25%	✗	0.3%
Dick's Sporting Goods Inc	DKS.N / DKS US	10%	✓	0.3%
Diebold Inc	DBD.N / DBD US	10%	✓	0.3%
Digi International Inc	DGII.O / DGII US	25%	✗	0.3%
Digimarc Corporation	DMRC.O / DMRC US	25%	✓	0.3%
Digital Realty Trust Inc	DLR.N / DLR US	10%	✓	0.3%
DigitalGlobe Inc	DGI.N / DGI US	10%	✓	0.3%
Dillard's Inc	DDS.N / DDS US	10%	✓	0.3%
Dime Community Bancshares Inc	DCOM.O / DCOM US	20%	✓	0.3%
DineEquity Inc	DIN.N / DIN US	15%	✓	0.3%
Diodes Inc	DIOD.O / DIOD US	15%	✓	0.3%
Diplomat Pharmacy Inc	DPLO.K / DPLO US	15%	✗	0.3%
Direxion All Cap Insider Sentiment Sh AE	KNOW.K / KNOW US	25%	✓	0.3%
Direxion Daily 20+ Year Treasury Bear 3X Sh AE	TMV.P / TMV US	10%	✓	0.3%
Direxion Daily 20+ Year Treasury Bull 3X Sh AE	TMF.P / TMF US	25%	✗	0.3%
Direxion Daily Brazil Bull 3X Sh AE	BRZU.P / BRZU US	15%	✗	0.3%
Direxion Daily CSI 300 China A Share Bear 1X Sh AE	CHAD.P / CHAD US	25%	✗	0.3%
Direxion Daily CSI 300 China A Share Bull 2X Sh AE	CHAU.P / CHAU US	25%	✗	0.3%
Direxion Daily Developed Markets Bull 3X Sh AE	DZK / DZK US	20%	☐	0.3%
Direxion Daily Emerging Markets Bear 3X Sh AE	EDZ.P / EDZ US	25%	☐	0.3%
Direxion Daily Emerging Markets Bull 3X Sh AE	EDC / EDC US	15%	✓	0.3%
Direxion Daily Energy Bear 3X Sh AE	ERY / ERY US	25%	☐	0.3%
Direxion Daily Energy Bull 3X Sh AE	ERX / ERX US	25%	✓	0.3%
Direxion Daily FTSE China Bear 3X Sh AE	YANG.P / YANG US	25%	✓	0.3%
Direxion Daily FTSE China Bull 3X Sh AE	YINN.P / YINN US	25%	✗	0.3%
Direxion Daily FTSE Europe Bull 3X Sh AE	EURL.K / EURL US	25%	✓	0.3%
Direxion Daily Financial Bear 3X Sh AE	FAZ.P / FAZ US	25%	✓	0.3%
Direxion Daily Financial Bull 3X Sh AE	FAS.P / FAS US	20%	✓	0.3%

Direxion Daily Gold Miners Index Bear 3X Sh AE	DUST.P / DUST US	15%	☐	0.3%
Direxion Daily Gold Miners Index Bull 3X Sh AE	NUGT.P / NUGT US	15%	✓	0.3%
Direxion Daily Healthcare Bull 3X Sh AE	CURE.P / CURE US	10%	☐	0.3%
Direxion Daily Junior Gold Miners Index Bear 3X Sh AE	JDST / JDST US	15%	✓	0.3%
Direxion Daily Junior Gold Miners Index Bull 3X Sh AE	JNUG / JNUG US	15%	✓	0.3%
Direxion Daily Natural Gas Related Bull 3X Sh AE	GASL.P / GASL US	25%	✓	0.3%
Direxion Daily Real Estate Bear 3X Sh AE	DRV / DRV US	20%	☐	0.3%
Direxion Daily Real Estate Bull 3X Sh AE	DRN / DRN US	20%	✓	0.3%
Direxion Daily Russia Bear 3X Sh AE	RUSS.P / RUSS US	25%	✓	0.3%
Direxion Daily Russia Bull 3X Sh AE	RUSL.P / RUSL US	25%	✓	0.3%
Direxion Daily S&P Biotech Bear 3X Sh AE	LABD.P / LABD US	25%	✓	0.3%
Direxion Daily S&P Biotech Bull 3X Sh AE	LABU.P / LABU US	25%	✗	0.3%
Direxion Daily S&P Oil & Gas Exp. & Prod. Bull 3X Sh AE	GUSH.P / GUSH US	25%	✗	0.3%
Direxion Daily S&P500(r) Bear 3X Sh AE	SPXS.P / SPXS US	25%	☐	0.3%
Direxion Daily S&P500(r) Bull 3X Sh AE	SPXL.K / SPXL US	20%	✓	0.3%
Direxion Daily Semiconductor Bear 3X Sh AE	SOXS.P / SOXS US	25%	✗	0.3%
Direxion Daily Semiconductor Bull 3X Sh AE	SOXL.P / SOXL US	25%	✗	0.3%
Direxion Daily Small Cap Bear 3X Sh AE	TZA.P / TZA US	15%	✓	0.3%
Direxion Daily Small Cap Bull 3X Sh AE	TNA / TNA US	10%	✗	0.3%
Direxion Daily Technology Bear 3X Sh AE	TECS.K / TECS US	15%	✗	0.3%
Direxion Daily Technology Bull 3X Sh AE	TECL.P / TECL US	15%	✓	0.3%
Direxion Shares ETF Trust - Direxion Daily Brazil Bear 3X Sh AE	BRZS.P / BRZS US	10%	✓	0.3%
Direxion iBillionaire Index ETF	IBLN.P / IBLN US	25%	✗	0.3%
Discover Financial Services	DFS.N / DFS US	5%	✓	0.3%
Discovery Communications Inc	DISCK.O / DISCK US	10%	✓	0.3%
Discovery Communications Inc	DISCA.O / DISCA US	5%	✓	0.3%
Dish Network Corporation	DISH.O / DISH US	5%	✓	0.3%
Dolby Laboratories Inc	DLB.N / DLB US	10%	✓	0.3%
Dollar General Corporation	DG.N / DG US	5%	✓	0.3%
Dollar Tree Inc	DLTR.O / DLTR US	5%	✓	0.3%
Dominion Diamond Corporation	DDC.N / DDC US	20%	✓	0.3%
Dominion Resources Inc	D.N / D US	5%	✓	0.3%
Domino's Pizza Inc	DPZ.N / DPZ US	10%	✓	0.3%
Domtar Corporation	UFS / UFS US	10%	✗	0.3%
Donaldson Company Inc	DCI.N / DCI US	10%	✓	0.3%
Dorchester Minerals LP	DMLP.O / DMLP US	25%	✗	0.3%
Dorian LPG Limited	LPG.N / LPG US	15%	✓	0.3%
Dorman Products Inc	DORM.O / DORM US	10%	✓	0.3%
Douglas Emmett Inc	DEI.N / DEI US	10%	✓	0.3%
Dover Corporation	DOV.N / DOV US	5%	✓	0.3%
Dr Pepper Snapple Group Inc	DPS.N / DPS US	5%	✓	0.3%
Dr. Reddy's Laboratories Limited	RDY.N / RDY US	10%	✓	0.3%
DreamWorks Animation SKG Inc	DWA.O / DWA US	10%	✓	0.3%
Drew Industries Inc	DW / DW US	10%	✗	0.3%
Dril-Quip Inc	DRQ.N / DRQ US	10%	✓	0.3%
DuPont Fabros Technology Inc	DFT.N / DFT US	20%	✓	0.3%
Ducommun Inc	DCO / DCO US	25%	✓	0.3%
Duke Energy Corporation	DUK.N / DUK US	5%	✓	0.3%
Duke Realty Corporation	DRE.N / DRE US	10%	✓	0.3%
Dun & Bradstreet Corporation	DNB.N / DNB US	10%	✓	0.3%
Dunkin' Brands Group Inc	DNKN.O / DNKN US	10%	✓	0.3%
Dyax Corporation	DYAX.O / DYAX US	10%	✓	0.3%
Dycom Industries Inc	DY.N / DY US	15%	✓	0.3%

Dynagas LNG Partners LP	DLNG.O / DLNG US	25%	✓	0.3%
Dynavax Technologies Corporation	DVAX.O / DVAX US	25%	✓	0.3%
Dynegey Inc	DYN.N / DYN US	25%	✓	0.3%
Dynex Capital Inc	DX / DX US	25%	✓	0.3%
E*TRADE Financial Corporation	ETFC.O / ETFC US	10%	✓	0.3%
E. I. du Pont de Nemours and Company	DD.N / DD US	5%	✓	0.3%
EMC Corporation	EMC.N / EMC US	5%	✓	0.3%
EMCOR Group Inc	EME.N / EME US	10%	✓	0.3%
EOG Resources Inc	EOG.N / EOG US	5%	✓	0.3%
EPAM Systems Inc	EPAM.K / EPAM US	10%	✓	0.3%
EPR Properties	EPR.N / EPR US	20%	✓	0.3%
EQT Corporation	EQT.N / EQT US	5%	✓	0.3%
EQT Midstream Partners LP	EQM.N / EQM US	10%	✓	0.3%
ESCO Technologies Inc	ESE.N / ESE US	20%	✓	0.3%
ETFS Physical Platinum Sh AE	PPLT.P / PPLT US	15%	✓	0.3%
EVERTEC Inc	EVTC.K / EVTC US	10%	✓	0.3%
EVINE Live Inc	EVLV.O / EVLV US	25%	✗	0.3%
EXCO Resources Inc	XCO.N / XCO US	25%	⚠	0.3%
EZCORP Inc	EZPW.O / EZPW US	15%	✓	0.3%
EZchip Semiconductor Limited	EZCH.O / EZCH US	25%	✗	0.3%
Eagle Bancorp Inc	EGBN.O / EGBN US	15%	✗	0.3%
Eagle Materials Inc	EXP.N / EXP US	10%	✓	0.3%
Eagle Pharmaceuticals Inc	EGRX.O / EGRX US	25%	✗	0.3%
EarthLink Holdings Corporation	ELNK.O / ELNK US	20%	✓	0.3%
East West Bancorp Inc	EWBC.O / EWBC US	10%	✓	0.3%
EastGroup Properties Inc	EGP / EGP US	25%	✗	0.3%
Eastman Chemical Company	EMN.N / EMN US	5%	✓	0.3%
Eastman Kodak Company	KODK.K / KODK US	25%	✗	0.3%
Eaton Corporation PLC	ETN.N / ETN US	5%	✓	0.3%
Eaton Vance Corporation	EV.N / EV US	10%	✓	0.3%
Eaton Vance Tax-Advantaged Dividend Income Fund	EVT.N / EVT US	20%	✓	0.3%
Eaton Vance Tax-Advantaged Global Dividend Income Fund	ETG.N / ETG US	20%	✓	0.3%
Eaton Vance Tax-Managed Global Buy-Write Opportunities Fund	ETW.N / ETW US	20%	✓	0.3%
Eaton Vance Tax-Managed Global Diversified Equity Income Fund	EXG.N / EXG US	10%	✓	0.3%
Ebix Inc	EBIX.O / EBIX US	15%	✓	0.3%
Echelon Corporation	ELON.O / ELON US	25%	✓	0.3%
Echo Global Logistics Inc	ECHO.O / ECHO US	15%	✗	0.3%
EchoStar Corporation	SATS.O / SATS US	15%	✓	0.3%
Ecolab Inc	ECL.N / ECL US	5%	✓	0.3%
EdR	EDR / EDR US	10%	✓	0.3%
Edge Therapeutics Inc	EDGE.O / EDGE US	25%	✗	0.3%
Edgewell Personal Care Company	EPC / EPC US	10%	✓	0.3%
Edison International	EIX.N / EIX US	5%	✓	0.3%
Editas Medicine Inc	EDIT.O / EDIT US	25%	✗	0.3%
Edwards Lifesciences Corporation	EW.N / EW US	5%	✓	0.3%
El Paso Electric Company	EE.N / EE US	15%	✓	0.3%
El Pollo Loco Holdings Inc	LOCO.O / LOCO US	25%	✓	0.3%
Elbit Systems Limited	ESLT.O / ESLT US	25%	✓	0.3%
Eldorado Gold Corporation	EGO.N / EGO US	10%	✓	0.3%
Electronic Arts Inc	EA.O / EA US	5%	✓	0.3%
Electronics for Imaging Inc	EFII.O / EFII US	10%	✓	0.3%
Eli Lilly and Company	LLY.N / LLY US	5%	✓	0.3%

Elizabeth Arden Inc	RDEN.O / RDEN US	10%	☒	0.3%
Ellie Mae Inc	ELLI.K / ELLI US	10%	✓	0.3%
Ellington Financial LLC	EFC / EFC US	25%	✗	0.3%
Embotelladora Andina SA	AKOb.N / AKO/B US	25%	✗	0.3%
Embraer SA	ERJ.N / ERJ US	10%	✓	0.3%
Emerge Energy Services LP	EMES.N / EMES US	20%	✓	0.3%
Emergent BioSolutions Inc	EBS / EBS US	15%	✓	0.3%
Emerson Electric Company	EMR.N / EMR US	5%	✓	0.3%
Empire State Realty Trust Inc	ESRT.N / ESRT US	15%	✓	0.3%
Employers Holdings Inc	EIG / EIG US	25%	✗	0.3%
Empresa Nacional de Electricidad SA	EOC.N / EOC US	15%	✓	0.3%
EnLink Midstream LLC	ENLC.K / ENLC US	15%	✓	0.3%
EnLink Midstream Partners LP	ENLK.K / ENLK US	10%	✓	0.3%
EnPro Industries Inc	NPO.N / NPO US	10%	✓	0.3%
Enanta Pharmaceuticals Inc	ENTA.O / ENTA US	25%	✗	0.3%
Enbridge Energy Management LLC	EEQ.N / EEQ US	25%	✓	0.3%
Enbridge Energy Partners LP	EEP / EEP US	25%	✗	0.3%
Enbridge Inc	ENB.N / ENB US	10%	✓	0.3%
Encana Corporation	ECA.N / ECA US	10%	✓	0.3%
Encore Capital Group Inc	ECPG.O / ECPG US	10%	✗	0.3%
Encore Wire Corporation	WIRE.O / WIRE US	20%	✓	0.3%
Endeavour Silver Corporation	EXK / EXK US	25%	✓	0.3%
Endo International PLC	ENDP.O / ENDP US	25%	✓	0.3%
Endologix Inc	ELGX.O / ELGX US	10%	✗	0.3%
Endurance International Group Holdings Inc	EIGI.O / EIGI US	15%	✗	0.3%
Endurance Specialty Holdings Limited	ENH / ENH US	10%	✗	0.3%
EnerNOC Inc	ENOC.O / ENOC US	15%	✓	0.3%
EnerSys	ENS.N / ENS US	10%	✓	0.3%
Energen Corporation	EGN.N / EGN US	10%	✓	0.3%
Energizer Holdings Inc	ENR.N / ENR US	10%	✓	0.3%
Energy Select Sector SPDR(r) Fund	XLE.P / XLE US	10%	✓	0.3%
Energy Transfer Equity LP	ETE.N / ETE US	10%	✓	0.3%
Energy Transfer Partners LP	ETP.N / ETP US	10%	✓	0.3%
Energy XXI Limited	EXXI.O / EXXI US	10%	☒	0.3%
Enerplus Corporation	ERF.N / ERF US	25%	✓	0.3%
Engility Holdings Inc	EGL.N / EGL US	15%	✓	0.3%
Ennis Inc	EBF / EBF US	25%	✓	0.3%
Enova International Inc	ENVA.N / ENVA US	25%	✗	0.3%
Enphase Energy Inc	ENPH.O / ENPH US	25%	✗	0.3%
Ens Company PLC	ESV.N / ESV US	5%	✓	0.3%
Enstar Group Limited	ESGR.O / ESGR US	10%	✓	0.3%
Entegris Inc	ENTG.O / ENTG US	10%	✓	0.3%
Entercom Communications Corporation	ETM / ETM US	25%	✗	0.3%
Entergy Corporation	ETR.N / ETR US	5%	✓	0.3%
Enterprise Financial Services Corporation	EFSC.O / EFSC US	25%	✓	0.3%
Enterprise Products Partners LP	EPD.N / EPD US	10%	✓	0.3%
Entravision Communications Corporation	EVC / EVC US	25%	✓	0.3%
Envestnet Inc	ENV.N / ENV US	10%	✓	0.3%
Envision Healthcare Holdings Inc	EVHC.N / EVHC US	10%	✓	0.3%
Epiq Systems Inc	EPIQ.O / EPIQ US	20%	✓	0.3%
Epizyme Inc	EPZM.O / EPZM US	25%	✗	0.3%
Equifax Inc	EFX.N / EFX US	5%	✓	0.3%
Equinix Inc	EQIX.O / EQIX US	5%	✓	0.3%
Equity Commonwealth	EQC / EQC US	25%	✓	0.3%

Equity LifeStyle Properties Inc	ELS.N / ELS US	10%	✓	0.3%
Equity One Inc	EQY.N / EQY US	10%	✓	0.3%
Equity Residential	EQR.N / EQR US	5%	✓	0.3%
Erie Indemnity Company	ERIE.O / ERIE US	15%	✓	0.3%
Eros International PLC	EROS.K / EROS US	25%	☐	0.3%
Esperion Therapeutics Inc	ESPR.O / ESPR US	25%	✗	0.3%
Essendant Inc	ESND.O / ESND US	10%	✓	0.3%
Essent Group Limited	ESNT.K / ESNT US	15%	✓	0.3%
Essex Property Trust Inc	ESS.N / ESS US	10%	✓	0.3%
Esterline Technologies Corporation	ESL.N / ESL US	10%	✓	0.3%
Ethan Allen Interiors Inc	ETH.N / ETH US	15%	✓	0.3%
Etsy Inc	ETSY.O / ETSY US	25%	✓	0.3%
Euronav NV	EURN.K / EURN US	25%	✓	0.3%
Euronet Worldwide Inc	EEFT.O / EEFT US	10%	✓	0.3%
EverBank Financial Corporation	EVER.K / EVER US	15%	✓	0.3%
Evercore Partners Inc	EVR.N / EVR US	10%	✓	0.3%
Everest Re Group Limited	RE.N / RE US	10%	✓	0.3%
Everi Holdings Inc	GCA.N / EVRI US	25%	✗	0.3%
Eversource Energy	ES.N / ES US	5%	✓	0.3%
Evolent Health Inc	EVH / EVH US	25%	✗	0.3%
Exact Sciences Corporation	EXAS.O / EXAS US	25%	✓	0.3%
ExamWorks Group Inc	EXAM.K / EXAM US	25%	✓	0.3%
Exar Corporation	EXAR.K / EXAR US	20%	✓	0.3%
Exelixis Inc	EXEL.O / EXEL US	25%	✓	0.3%
Exelon Corporation	EXC.N / EXC US	5%	✓	0.3%
Exlservice Holdings Inc	EXLS.O / EXLS US	15%	✗	0.3%
Expedia Inc	EXPE.O / EXPE US	5%	✓	0.3%
Expeditors International of Washington Inc	EXPD.O / EXPD US	5%	✓	0.3%
Exponent Inc	EXPO.O / EXPO US	25%	✗	0.3%
Express Inc	EXPR.K / EXPR US	10%	✗	0.3%
Express Scripts Holding Company	ESRX.O / ESRX US	5%	✓	0.3%
Extended Stay America Inc	STAY.N / STAY US	10%	✓	0.3%
Extra Space Storage Inc	EXR.N / EXR US	10%	✓	0.3%
Extreme Networks Inc	EXTR.O / EXTR US	25%	✓	0.3%
Exxon Mobil Corporation	XOM.N / XOM US	5%	✓	0.3%
F.N.B. Corporation	FNB.N / FNB US	10%	✓	0.3%
F5 Networks Inc	FFIV.O / FFIV US	5%	✓	0.3%
FARO Technologies Inc	FARO.O / FARO US	15%	✗	0.3%
FBL Financial Group Inc	FFG / FFG US	25%	✗	0.3%
FCB Financial Holdings Inc	FCB / FCB US	10%	✗	0.3%
FEI Company	FEIC.O / FEIC US	10%	✓	0.3%
FLIR Systems Inc	FLIR.O / FLIR US	10%	✓	0.3%
FMC Corporation	FMC.N / FMC US	5%	✓	0.3%
FMC Technologies Inc	FTI.N / FTI US	5%	✓	0.3%
FRP Holdings Inc	FRPH.O / FRPH US	25%	✓	0.3%
FTD Companies Inc	FTD.O / FTD US	25%	✓	0.3%
FTI Consulting Inc	FCN.N / FCN US	10%	✓	0.3%
Fabrinet	FN / FN US	25%	✗	0.3%
Facebook Inc	FB.O / FB US	5%	✓	0.3%
FactSet Research Systems Inc	FDS.N / FDS US	10%	✓	0.3%
Fair Isaac Corporation	FICO.K / FICO US	10%	✓	0.3%
Fairchild Semiconductor International Inc	FCS.O / FCS US	10%	✓	0.3%
Fairmount Santrol Holdings Inc	FMSA.K / FMSA US	25%	☐	0.3%
Fairpoint Communications Inc	FRP.O / FRP US	25%	✗	0.3%

Fastenal Company	FAST.O / FAST US	5%	✓	0.3%
FedEx Corporation	FDX.N / FDX US	5%	✓	0.3%
Federal Agricultural Mortgage Corporation	AGM / AGM US	25%	✓	0.3%
Federal Realty Investment Trust	FRT.N / FRT US	10%	✓	0.3%
Federal Signal Corporation	FSS / FSS US	15%	✓	0.3%
Federal-Mogul Holdings Corporation	FDML.O / FDML US	15%	✓	0.3%
Federated Investors Inc	FII.N / FII US	10%	✓	0.3%
Federated National Holding Company	FNHC.O / FNHC US	25%	✓	0.3%
FelCor Lodging Trust Inc	FCH.N / FCH US	20%	✓	0.3%
Ferrari NV	RACE.K / RACE US	10%	✗	0.3%
Ferrellgas Partners LP	FGP / FGP US	15%	✗	0.3%
Ferro Corporation	FOE / FOE US	10%	✓	0.3%
Fiat Chrysler Automobiles NV	FCAU.K / FCAU US	5%	✗	0.3%
FibroGen Inc	FGEN.O / FGEN US	25%	✓	0.3%
Fidelity & Guaranty Life	FGL / FGL US	25%	✗	0.3%
Fidelity National Financial Inc	FN.F.N / FN.F US	10%	✓	0.3%
Fidelity National Financial Ventures	FN.FV.K / FN.FV US	15%	✓	0.3%
Fidelity National Information Services Inc	FIS.N / FIS US	5%	✓	0.3%
Fidelity Southern Corporation	LION.O / LION US	25%	✗	0.3%
Fidelity(r) MSCI Health Care Index ETF	FHLC.N / FHLC US	10%	✗	0.3%
Fiesta Restaurant Group Inc	FRGI.O / FRGI US	10%	✓	0.3%
Fifth Street Finance Corporation	FSC.O / FSC US	10%	✓	0.3%
Fifth Third Ban Corporation	FITB.O / FITB US	5%	✓	0.3%
Financial Engines Inc	FNGN.O / FNGN US	10%	✓	0.3%
Financial Institutions Inc	FISI.O / FISI US	25%	✗	0.3%
Financial Select Sector SPDR(r) Fund	XLF.P / XLF US	10%	✓	0.3%
Finisar Corporation	FNSR.O / FNSR US	10%	✓	0.3%
Finish Line Inc	FINL.O / FINL US	10%	✓	0.3%
FireEye Inc	FEYE.O / FEYE US	10%	✓	0.3%
First American Financial Corporation	FAF.N / FAF US	10%	✓	0.3%
First Ban Corporation	FBP / FBP US	25%	✓	0.3%
First Ban Corporation	FBNC.O / FBNC US	25%	✗	0.3%
First Busey Corporation	BUSE.O / BUSE US	25%	✓	0.3%
First Cash Financial Services Inc	FCFS.O / FCFS US	15%	✓	0.3%
First Citizens Bancshares Inc	FCNCA.O / FCNCA US	15%	✗	0.3%
First Commonwealth Financial Corporation	FCF / FCF US	25%	✗	0.3%
First Data Corporation	FDC / FDC US	25%	✓	0.3%
First Defiance Financial Corporation	FDEF.O / FDEF US	25%	✗	0.3%
First Financial Ban Corporation	FFBC.O / FFBC US	15%	✗	0.3%
First Financial Bankshares Inc	FFIN.O / FFIN US	15%	✓	0.3%
First Financial Corporation	THFF.O / THFF US	25%	✗	0.3%
First Horizon National Corporation	FHN.N / FHN US	10%	✓	0.3%
First Industrial Realty Trust Inc	FR.N / FR US	10%	✓	0.3%
First Interstate Bancsystem Inc	FIBK.O / FIBK US	25%	✗	0.3%
First Majestic Silver Corporation	AG.N / AG US	20%	✓	0.3%
First Merchants Corporation	FRME.O / FRME US	25%	✓	0.3%
First Midwest Bancorp Inc	FMBI.O / FMBI US	10%	✗	0.3%
First Niagara Financial Group Inc	FNFG.O / FNFG US	10%	✓	0.3%
First Potomac Realty Trust	FPO / FPO US	25%	✗	0.3%
First Republic Bank	FRC / FRC US	10%	✓	0.3%
First Solar Inc	FSLR.O / FSLR US	10%	✓	0.3%
First Trust Dow Jones Internet Index Fund	FDN.P / FDN US	10%	✗	0.3%
First Trust ISE-Revere Natural Gas Index Fund	FCG.P / FCG US	25%	✗	0.3%
First Trust Multi-Asset Diversified Income Index Fund	MDIV.O / MDIV US	10%	✗	0.3%

First Trust NASDAQ Technology Dividend Index Fund	TDIV.O / TDIV US	10%	✗	0.3%
First Trust NASDAQ-100-Technology Sector Index Fund	QTEC.O / QTEC US	10%	✓	0.3%
First Trust NYSE Arca Biotechnology Index Fund	FBT.P / FBT US	10%	☐	0.3%
First Trust US IPO Index Fund	FPX.P / FPX US	25%	✓	0.3%
FirstEnergy Corporation	FE.N / FE US	5%	✓	0.3%
FirstMerit Corporation	FMER.O / FMER US	10%	✓	0.3%
Firsthand Technology Value Fund Inc	SVVC.O / SVVC US	20%	✓	0.3%
Fiserv Inc	FISV.O / FISV US	5%	✓	0.3%
Fitbit Inc	FIT / FIT US	25%	✗	0.3%
Five Below Inc	FIVE.O / FIVE US	10%	✓	0.3%
Five Prime Therapeutics Inc	FPRX.O / FPRX US	25%	✗	0.3%
Flagstar Bancorp Inc	FBC.N / FBC US	15%	✓	0.3%
Flaherty & Crumrine Preferred Income Fund Inc	PFD.N / PFD US	25%	✗	0.3%
FleetCor Technologies Inc	FLT / FLT US	10%	✓	0.3%
Fleetmatics Group PLC	FLT.X.K / FLT.X US	10%	✓	0.3%
Flextronics International Limited	FLEX.O / FLEX US	10%	✓	0.3%
Flotek Industries Inc	FTK / FTK US	10%	✓	0.3%
Flowers Foods Inc	FLO.N / FLO US	10%	✓	0.3%
Flowserve Corporation	FLS.N / FLS US	5%	✓	0.3%
Fluidigm Corporation	FLDM.O / FLDM US	10%	✓	0.3%
Fluor Corporation	FLR.N / FLR US	5%	✓	0.3%
Flushing Financial Corporation	FFIC.O / FFIC US	25%	✓	0.3%
Fomento Economico Mexicano S.A.B de C.V	FMX.N / FMX US	10%	✓	0.3%
Foot Locker Inc	FL.N / FL US	10%	✓	0.3%
Ford Motor Company	F.N / F US	5%	✓	0.3%
Foresight Energy LP	FELP.N / FELP US	25%	✗	0.3%
Forest City Enterprises Inc	FCEa.N / FCE/A US	10%	✓	0.3%
Forestar Group Inc	FOR / FOR US	25%	✗	0.3%
FormFactor Inc	FORM.O / FORM US	20%	✓	0.3%
Formula Systems (1985) Limited	FORTY.O / FORTY US	25%	✗	0.3%
Forrester Research Inc	FORR.O / FORR US	25%	✗	0.3%
Fortinet Inc	FTNT.O / FTNT US	10%	✓	0.3%
Fortress Investment Grou PLC	FIG.N / FIG US	10%	✓	0.3%
Fortuna Silver Mines Inc	FSM / FSM US	25%	✗	0.3%
Fortune Brands Home & Security Inc	FBHS.K / FBHS US	10%	✓	0.3%
Forum Energy Technologies Inc	FET / FET US	10%	✓	0.3%
Forward Air Corporation	FWRD.O / FWRD US	15%	✓	0.3%
Forward Pharma AS	FWP.O / FWP US	25%	✓	0.3%
Fossil Group Inc	FOSL.O / FOSL US	5%	✓	0.3%
Foundation Medicine Inc	FMI.O / FMI US	15%	✓	0.3%
Four Corners Property Trust Inc	FCPT.K / FCPT US	25%	✗	0.3%
Fox Factory Holding Corporation	FOXF.O / FOXF US	25%	✗	0.3%
Francesca's Holdings Corporation	FRAN.O / FRAN US	15%	✓	0.3%
Franco-Nevada Corporation	FNV.N / FNV US	25%	✓	0.3%
Frank's International NV	FI / FI US	15%	✓	0.3%
Franklin Electric Co. Inc	FELE.O / FELE US	15%	✓	0.3%
Franklin Financial Network Inc	FSB / FSB US	25%	✗	0.3%
Franklin Resources Inc	BEN.N / BEN US	5%	✓	0.3%
Franklin Street Properties Corporation	FSP.A / FSP US	20%	✓	0.3%
Fred's Inc	FRED.O / FRED US	20%	✓	0.3%
Freeport-McMoRan Inc	FCX.N / FCX US	5%	✓	0.3%
Fresh Del Monte Produce Inc	FDP.N / FDP US	15%	✓	0.3%
Freshpet Inc	FRPT.O / FRPT US	25%	✗	0.3%
Frontier Communications Corporation	FTR.O / FTR US	10%	✓	0.3%

Frontline Limited	FRO.N / FRO US	25%	✓	0.3%
Fuel Systems Solutions Inc	FSYS.O / FSYS US	20%	✓	0.3%
FuelCell Energy Inc	FCEL.O / FCEL US	20%	✓	0.3%
Fulton Financial Corporation	FULT.O / FULT US	10%	✓	0.3%
Furmanite Corporation	FRM / FRM US	25%	✓	0.3%
FutureFuel Corporation	FF / FF US	25%	✓	0.3%
G&K Services Inc	GK.O / GK US	15%	✗	0.3%
G-III Apparel Group Limited	GIII.O / GIII US	15%	✓	0.3%
GAMCO Global Gold Natural Resources & Income Trust	GGN.A / GGN US	10%	✗	0.3%
GAMCO Investors Inc	GBL.N / GBL US	25%	✗	0.3%
GATX Corporation	GMT.N / GMT US	10%	✓	0.3%
GCP Applied Technologies Inc	GCP / GCP US	25%	✗	0.3%
GNC Holdings Inc	GNC.N / GNC US	10%	✓	0.3%
GP Strategies Corporation	GPX / GPX US	25%	✗	0.3%
GSI Group Inc	GSIG.O / GSIG US	25%	✗	0.3%
GSV Capital Corporation	GSVC.O / GSVC US	20%	✓	0.3%
GTT Communications Inc	GTT.N / GTT US	25%	✗	0.3%
GW Pharmaceuticals PLC	GWPH.O / GWPH US	25%	✓	0.3%
Galena Biopharma Inc	GALE.O / GALE US	25%	✗	1%
GameStop Corporation	GME.N / GME US	10%	✓	0.3%
Gaming and Leisure Properties Inc	GLPI.O / GLPI US	25%	✓	0.3%
Garmin Limited	GRMN.O / GRMN US	5%	✓	0.3%
Gartner Inc	IT.N / IT US	10%	✓	0.3%
GasLog Limited	GLOG.K / GLOG US	25%	✗	0.3%
GasLog Partners LP	GLOP.K / GLOP US	25%	✗	0.3%
Gener8 Maritime Inc	GNRT.K / GNRT US	25%	✓	0.3%
Generac Holdings Inc	GNRC.K / GNRC US	10%	✓	0.3%
General American Investors Company Inc	GAM / GAM US	25%	✓	0.3%
General Cable Corporation	BGC.N / BGC US	10%	✓	0.3%
General Communication Inc	GNCMA.O / GNCMA US	25%	✗	0.3%
General Dynamics Corporation	GD.N / GD US	5%	✓	0.3%
General Electric Company	GE.N / GE US	5%	✓	0.3%
General Growth Properties Inc	GGP.N / GGP US	5%	✓	0.3%
General Mills Inc	GIS.N / GIS US	5%	✓	0.3%
General Motors Company	GM.N / GM US	5%	✓	0.3%
Genesco Inc	GCO.N / GCO US	10%	✓	0.3%
Genesee & Wyoming Inc	GWR.N / GWR US	10%	✓	0.3%
Genesis Energy LP	GEL / GEL US	10%	✓	0.3%
Genesis Healthcare Inc	GEN.N / GEN US	25%	✗	0.3%
Genie Energy Limited	GNE / GNE US	25%	✓	0.3%
Genpact Limited	G.N / G US	15%	✓	0.3%
Gentex Corporation	GNTX.O / GNTX US	10%	✓	0.3%
Gentherm Inc	THRM.O / THRM US	10%	✓	0.3%
Genuine Parts Company	GPC.N / GPC US	5%	✓	0.3%
Genworth Financial Inc	GNW.N / GNW US	5%	✓	0.3%
GeoPark Limited	GPRK.K / GPRK US	25%	✓	0.3%
Geospace Technologies Corporation	GEOS.O / GEOS US	10%	✓	0.3%
German American Bancorp Inc	GABC.O / GABC US	25%	✗	0.3%
Geron Corporation	GERN.O / GERN US	25%	✓	0.3%
Getty Realty Corporation	GTY.N / GTY US	25%	✓	0.3%
Gibraltar Industries Inc	ROCK.O / ROCK US	15%	✓	0.3%
Gigamon Inc	GIMO.K / GIMO US	10%	✓	0.3%
Gildan Activewear Inc	GIL.N / GIL US	10%	✓	0.3%
Gilead Sciences Inc	GILD.O / GILD US	5%	✓	0.3%

Glacier Bancorp Inc	GBCI.O / GBCI US	10%	✗	0.3%
Gladstone Commercial Corporation	GOOD.O / GOOD US	25%	✓	0.3%
Glaukos Corporation	GKOS.K / GKOS US	25%	✗	0.3%
GlaxoSmithKline PLC	GSK.N / GSK US	10%	✓	0.3%
Global Blood Therapeutics Inc	GBT.O / GBT US	25%	✗	0.3%
Global Brass and Copper Holdings Inc	BRSS.K / BRSS US	25%	✓	0.3%
Global Eagle Entertainment Inc	ENT.O / ENT US	15%	✓	0.3%
Global Indemnity PLC	GBLI.O / GBLI US	25%	✗	0.3%
Global Partners LP	GLP / GLP US	15%	✗	0.3%
Global Payments Inc	GPN.N / GPN US	10%	✓	0.3%
Global Sources Limited	GSOL.O / GSOL US	25%	✓	0.3%
Global X FTSE Greece 20 ETF	GREK.K / GREK US	25%	☐	0.3%
Global X FTSE Portugal 20 ETF	PGAL.P / PGAL US	25%	✗	0.3%
Global X Gold Explorers ETF	GLDX.P / GLDX US	10%	✓	0.3%
Global X Lithium ETF	LIT.P / LIT US	25%	✗	0.3%
Global X MSCI Argentina ETF	ARGT.P / ARGT US	25%	✗	0.3%
Global X MSCI Nigeria ETF	NGE.P / NGE US	25%	✓	0.3%
Global X Silver Miners ETF	SIL / SIL US	10%	✓	0.3%
Global X Social Media Index ETF	SOCL.O / SOCL US	10%	☐	0.3%
Global X SuperDividend(TM) ETF	SDIV.P / SDIV US	10%	☐	0.3%
Global X Uranium ETF	URA / URA US	25%	✓	0.3%
Globalstar Inc	GSAT.A / GSAT US	15%	✗	0.3%
Globant SA	GLOB.K / GLOB US	25%	✗	0.3%
Globe Specialty Metals Inc	GSM.O / GSM US	15%	✓	0.3%
Globus Medical Inc	GMED.K / GMED US	10%	✗	0.3%
Glu Mobile Inc	GLUU.O / GLUU US	20%	✓	0.3%
GoDaddy Inc	GDDY.K / GDDY US	25%	✓	0.3%
GoPro Inc	GPRO.O / GPRO US	10%	☐	0.3%
Gogo Inc	GOGO.O / GOGO US	10%	✓	0.3%
Golar LNG Limited	GLNG.O / GLNG US	20%	✓	0.3%
Golar LNG Partners Limited Partnership	GMLP.O / GMLP US	25%	✗	0.3%
Gold Resource Corporation	GORO.A / GORO US	25%	✓	0.3%
Goldcorp Inc	GG.N / GG US	10%	✓	0.3%
Goldman Sachs ActiveBeta(r) U.S. Large Cap Equity ETF	GSLC.P / GSLC US	25%	✗	0.3%
Gorman-Rupp Company	GRC.A / GRC US	25%	✗	0.3%
Government Properties Income Trust	GOV.N / GOV US	10%	✓	0.3%
Graco Inc	GGG.N / GGG US	10%	✓	0.3%
Graham Corporation	GHM.A / GHM US	25%	✓	0.3%
Graham Holdings Company	GHC.N / GHC US	10%	✓	0.3%
Gramercy Property Trust Inc	GPT / GPT US	25%	✗	0.3%
Gran Tierra Energy Inc	GTE.A / GTE US	15%	✓	0.3%
Grand Canyon Education Inc	LOPE.O / LOPE US	10%	✓	0.3%
Granite Construction Inc	GVA.N / GVA US	15%	✓	0.3%
Graphic Packaging Holding Company	GPK / GPK US	10%	✓	0.3%
Gray Television Inc	GTN / GTN US	15%	✗	0.3%
Great Lakes Dredge & Dock Corporation	GLDD.O / GLDD US	25%	✗	0.3%
Great Plains Energy Inc	GXP.N / GXP US	10%	✓	0.3%
Great Southern Bancorp Inc	GSBC.O / GSBC US	25%	✓	0.3%
Great Western Bancorp. Inc	GWB / GWB US	25%	✗	0.3%
Greatbatch Inc	GB / GB US	15%	✗	0.3%
Green Dot Corporation	GDOT.K / GDOT US	25%	✓	0.3%
Green Plains Inc	GPRE.O / GPRE US	15%	✓	0.3%
Greenhill & Co. Inc	GHL.N / GHL US	10%	✓	0.3%
Greenlight Capital Re Limited	GLRE.O / GLRE US	10%	✓	0.3%

Greif Inc	GEF.N / GEF US	15%	✓	0.3%
Griffon Corporation	GFF / GFF US	25%	✗	0.3%
Grifols SA	GRFS.O / GRFS US	10%	✓	0.3%
Group 1 Automotive Inc	GPI / GPI US	10%	✗	0.3%
Groupon Inc	GRPN.O / GRPN US	10%	✓	0.3%
GrubHub Inc	GRUB.N / GRUB US	10%	✓	0.3%
Grupo Aeroportuario del Centro Norte S.A.B. de CV	OMAB.O / OMAB US	25%	✗	0.3%
Grupo Aeroportuario del Sureste SAB de CV	ASR.N / ASR US	15%	✓	0.3%
Grupo Financiero Galicia SA	GGAL.O / GGAL US	10%	✗	0.3%
Grupo Televisa S.A.B.	TV.N / TV US	10%	✓	0.3%
Guess' Inc	GES.N / GES US	10%	✓	0.3%
Guggenheim BRIC ETF	EEB / EEB US	10%	✓	0.3%
Guggenheim Build America Bonds Managed Duration Trust	GBAB.K / GBAB US	10%	✗	0.3%
Guggenheim BulletShares 2018 Corporate Bond ETF	BSCI.P / BSCI US	10%	✗	0.3%
Guggenheim BulletShares 2022 Corporate Bond ETF	BSCM.P / BSCM US	25%	✗	0.3%
Guggenheim China Small Cap ETF	HAO.P / HAO US	10%	✓	0.3%
Guggenheim Russell 2000(r) Equal Weight ETF	EWSC.K / EWSC US	25%	✗	0.3%
Guggenheim Russell Top 50(r) Mega Cap ETF	XLG.P / XLG US	10%	✗	0.3%
Guggenheim S&P 500(r) Equal Weight ETF	RSP.P / RSP US	10%	✗	0.3%
Guggenheim S&P 500(r) Equal Weight Energy ETF	RYE.P / RYE US	25%	✗	0.3%
Guggenheim S&P Global Dividend Opportunities Index ETF	LVL.P / LVL US	25%	✓	0.3%
Guggenheim Shipping ETF	SEA.P / SEA US	25%	✗	0.3%
Guggenheim Solar ETF	TAN / TAN US	10%	☐	0.3%
Guggenheim Spin-Off ETF	CSD.P / CSD US	10%	✓	0.3%
Guggenheim Strategic Opportunities Fund	GOF / GOF US	10%	✗	0.3%
Guidewire Software Inc	GWRE.K / GWRE US	10%	✓	0.3%
Gulfmark Offshore Inc	GLF.N / GLF US	15%	☐	0.3%
Gulfport Energy Corporation	GPOR.O / GPOR US	10%	✗	0.3%
H&E Equipment Services Inc	HEES.O / HEES US	25%	✗	0.3%
H&R Block Inc	HRB.N / HRB US	5%	✓	0.3%
HB Fuller Company	FUL.N / FUL US	10%	✓	0.3%
HCA Holdings Inc	HCA.N / HCA US	10%	✓	0.3%
HCP Inc	HCP.N / HCP US	5%	✓	0.3%
HD Supply Holdings Inc	HDS.O / HDS US	10%	✓	0.3%
HDFC Bank Limited	HDB.N / HDB US	10%	✓	0.3%
HEALTHSOUTH Corporation	HLS.N / HLS US	10%	✓	0.3%
HEICO Corporation	HEI.N / HEI US	10%	✓	0.3%
HEICO Corporation	HEIa.N / HEI/A US	15%	✗	0.3%
HFF Inc	HF / HF US	10%	✗	0.3%
HMS Holdings Corporation	HMSY.O / HMSY US	10%	✓	0.3%
HNI Corporation	HNI.N / HNI US	15%	✓	0.3%
HP Inc	HPQ.N / HPQ US	5%	✓	0.3%
HSBC Holdings PLC	HSBC.K / HSBC US	5%	✓	0.3%
HSN Inc	HSNI.O / HSNI US	10%	✓	0.3%
Haemonetics Corporation	HAE.N / HAE US	10%	✓	0.3%
Halcon Resources Corporation	HK.N / HK US	10%	✓	0.3%
Halliburton Company	HAL.N / HAL US	5%	✓	0.3%
Halozyne Therapeutics Inc	HALO.O / HALO US	25%	✓	0.3%
Halyard Health Inc	HYH / HYH US	25%	✓	0.3%
Hancock Holding Company	HBHC.O / HBHC US	10%	✓	0.3%
Hanesbrands Inc	HBI.N / HBI US	10%	✓	0.3%
Hanger Inc	HGR / HGR US	15%	✓	0.3%
Hanmi Financial Corporation	HAFC.O / HAFC US	25%	✗	0.3%

Hannon Armstrong Sustainable Infrastructure Capital Inc	HASI.K / HASI US	25%	✗	0.3%
Harbinger Group Inc	HRG / HRG US	25%	✓	0.3%
Harley-Davidson Inc	HOG.N / HOG US	5%	✓	0.3%
Harman International Industries Inc	HAR.N / HAR US	5%	✓	0.3%
Harmonic Inc	HLIT.O / HLIT US	20%	✓	0.3%
Harris Corporation	HRS.N / HRS US	5%	✓	0.3%
Harsco Corporation	HSC.N / HSC US	10%	✓	0.3%
Harte-Hanks Inc	HHS.N / HHS US	25%	✓	0.3%
Hasbro Inc	HAS.O / HAS US	5%	✓	0.3%
Hatteras Financial Corporation	HTS.N / HTS US	25%	✓	0.3%
Haverty Furniture Companies Inc	HVT / HVT US	25%	✗	0.3%
Hawaiian Electric Industries Inc	HE.N / HE US	10%	✓	0.3%
Hawaiian Holdings Inc	HA.O / HA US	15%	✓	0.3%
Hawaiian Telcom Holdco Inc	HCOM.O / HCOM US	25%	✗	0.3%
Hawkins Inc	HWKN.O / HWKN US	25%	✗	0.3%
Haynes International Inc	HAYN.O / HAYN US	25%	✗	0.3%
Headwaters Inc	HW.N / HW US	15%	✓	0.3%
Health Care Select Sector SPDR(r) Fund	XLV.P / XLV US	10%	✓	0.3%
Health Net Inc	HNT.N / HNT US	10%	✓	0.3%
HealthEquity Inc	HQY.O / HQY US	25%	✓	0.3%
Healthcare Realty Trust Inc	HR.N / HR US	10%	✓	0.3%
Healthcare Services Group Inc	HCSG.O / HCSG US	15%	✓	0.3%
Healthcare Trust of America Inc	HTA / HTA US	10%	✗	0.3%
Healthstream Inc	HSTM.O / HSTM US	15%	✗	0.3%
Healthways Inc	HWAY.O / HWAY US	25%	✓	0.3%
Heartland Express Inc	HTLD.O / HTLD US	10%	✗	0.3%
Heartland Financial USA Inc	HTLF.O / HTLF US	25%	✗	0.3%
Heartland Payment Systems Inc	HPY / HPY US	10%	✗	0.3%
Heartware International Inc	HTWR.O / HTWR US	10%	✗	0.3%
Hecla Mining Company	HL.N / HL US	10%	✓	0.3%
Heidrick & Struggles International Inc	HSII.O / HSII US	25%	✗	0.3%
Helen of Troy Limited	HELE.O / HELE US	10%	✓	0.3%
Helix Energy Solutions Group Inc	HLX.N / HLX US	25%	✓	0.3%
Helmerich & Payne Inc	HP.N / HP US	5%	✓	0.3%
Hemisphere Media Group Inc	HMTV.O / HMTV US	25%	✗	0.3%
Henry Schein Inc	HSIC.O / HSIC US	5%	✓	0.3%
Herbalife Limited	HLF.N / HLF US	10%	✗	0.3%
Hercules Technology Growth Capital Inc	HTGC.K / HTGC US	25%	✗	0.3%
Heritage Commerce Corporation	HTBK.O / HTBK US	25%	✓	0.3%
Herman Miller Inc	MLHR.O / MLHR US	15%	✓	0.3%
Heron Therapeutics Inc	HRTX.O / HRTX US	25%	✗	0.3%
Hersha Hospitality Trust	HT / HT US	25%	✗	0.3%
Hertz Global Holdings Inc	HTZ.N / HTZ US	10%	✓	0.3%
Hess Corporation	HES.N / HES US	5%	✓	0.3%
Hexcel Corporation	HXL.N / HXL US	10%	✓	0.3%
Hi-Crush Partners LP	HCLP.K / HCLP US	15%	✓	0.3%
Hibbett Sports Inc	HIBB.O / HIBB US	10%	✓	0.3%
Highwoods Properties Inc	HIW.N / HIW US	10%	✓	0.3%
Hill-Rom Holdings Inc	HRC.N / HRC US	10%	✓	0.3%
Hillenbrand Inc	HI.N / HI US	10%	✓	0.3%
Hilltop Holdings Inc	HTH / HTH US	15%	✗	0.3%
Hilton Worldwide Holdings Inc	HLT.N / HLT US	15%	✓	0.3%
Himax Technologies Inc	HIMX.O / HIMX US	10%	✓	0.3%

Hoegh LNG Partners LP	HMLP.K / HMLP US	25%	✗	0.3%
HollyFrontier Corporation	HFC.N / HFC US	10%	✓	0.3%
HollySys Automation Technologies Limited	HOLI.O / HOLI US	15%	✓	0.3%
Hologic Inc	HOLX.O / HOLX US	25%	✓	0.3%
Home Bancshares Inc. (Conway AR)	HOMB.O / HOMB US	15%	✗	0.3%
HomeStreet Inc	HMST.O / HMST US	25%	✗	0.3%
HomeTrust Bancshares Inc	HTBI.O / HTBI US	25%	✗	0.3%
Homeinns Hotel Group	HMIN.O / HMIN US	10%	✓	0.3%
Honda Motor Company Limited	HMC.N / HMC US	10%	✓	0.3%
Honeywell International Inc	HON.N / HON US	5%	✓	0.3%
Hooker Furniture Corporation	HOFT.O / HOFT US	25%	✗	0.3%
Horace Mann Educators Corporation	HMN / HMN US	15%	✗	0.3%
Horizon Ban Corporation	HBNC.O / HBNC US	25%	✗	0.3%
Horizon Pharma PLC	HZNP.O / HZNP US	10%	✗	0.3%
Hormel Foods Corporation	HRL.N / HRL US	5%	✓	0.3%
Hornbeck Offshore Services Inc	HOS.N / HOS US	10%	✓	0.3%
Hospitality Properties Trust	HPT.N / HPT US	10%	✓	0.3%
Host Hotels & Resorts Inc	HST.N / HST US	5%	✓	0.3%
Houghton Mifflin Harcourt Company	HMHC.O / HMHC US	10%	✓	0.3%
Houlihan Lokey Inc	HLI / HLI US	25%	✗	0.3%
Hovnanian Enterprises Inc	HOV.N / HOV US	15%	✓	0.3%
Huaneng Power International Inc	HNP.N / HNP US	25%	☐	0.3%
Hub Group Inc	HUBG.O / HUBG US	10%	✗	0.3%
Hubbell Inc	HUBB.K / HUBB US	10%	✓	0.3%
HudBay Minerals Inc	HBM / HBM US	25%	✓	0.3%
Hudson Pacific Properties Inc	HPP / HPP US	10%	✓	0.3%
Hugoton Royalty Trust	HGT.N / HGT US	25%	✓	0.3%
Humana Inc	HUM.N / HUM US	5%	✓	0.3%
Huntington Bancshares Inc	HBAN.O / HBAN US	5%	✓	0.3%
Huntington Ingalls Industries Inc	HII.N / HII US	10%	✓	0.3%
Huntsman Corporation	HUN.N / HUN US	10%	✓	0.3%
Huron Consulting Group Inc	HURN.O / HURN US	10%	✓	0.3%
Hyatt Hotels Corporation	H / H US	10%	✓	0.3%
Hyster-Yale Materials Handling Inc	HY / HY US	15%	✗	0.3%
IAC InterActive Corporation	IAC.O / IAC US	10%	✓	0.3%
IAMGOLD Corporation	IAG.N / IAG US	10%	✓	0.3%
ICF International Inc	ICFI.O / ICFI US	25%	✗	0.3%
ICON PLC	ICLR.O / ICLR US	10%	✓	0.3%
ICU Medical Inc	ICUI.O / ICUI US	10%	✓	0.3%
IDEX Corporation	IEX.N / IEX US	10%	✓	0.3%
IDEXX Laboratories Inc	IDXX.O / IDXX US	10%	✓	0.3%
IHS Inc	IHS.N / IHS US	10%	✓	0.3%
II-VI Inc	IIVI.O / IIVI US	15%	✓	0.3%
IMAX Corporation	IMAX.K / IMAX US	10%	✓	0.3%
IMS Health Holdings Inc	IMS.N / IMS US	20%	✓	0.3%
INC Research Holdings Inc	INCR.O / INCR US	25%	✓	0.3%
INSYS Therapeutics Inc	INSY.O / INSY US	20%	✗	0.3%
INTL FCStone Inc	INTL.O / INTL US	15%	✗	0.3%
ION Geophysical Corporation	IO.N / IO US	25%	✓	0.3%
IPG Photonics Corporation	IPGP.O / IPGP US	10%	✓	0.3%
ITC Holdings Corporation	ITC.N / ITC US	10%	✓	0.3%
ITT Corporation	ITT.N / ITT US	10%	✓	0.3%
ITT Educational Services Inc	ESI.N / ESI US	15%	☐	0.3%
IXYS Corporation	IXYS.O / IXYS US	25%	✓	0.3%

IberiaBank Corporation	IBKC.O / IBKC US	10%	✗	0.3%
Icahn Enterprises LP	IEP.O / IEP US	25%	✓	0.3%
Iconix Brand Group Inc	ICON.O / ICON US	10%	✓	0.3%
IdaCorp Inc	IDA / IDA US	10%	✗	0.3%
Idera Pharmaceuticals Inc	IDRA.O / IDRA US	25%	✓	0.3%
Ignyta Inc	RXDX.O / RXDX US	25%	✗	0.3%
Illinois Tool Works Inc	ITW.N / ITW US	5%	✓	0.3%
Illumina Inc	ILMN.O / ILMN US	5%	✓	0.3%
Imation Corporation	IMN.N / IMN US	25%	✓	0.3%
Immersion Corporation	IMMR.O / IMMR US	25%	✗	0.3%
Immune Design Corporation	IMDZ.O / IMDZ US	25%	✓	0.3%
ImmunoGen Inc	IMGN.O / IMGN US	25%	✓	0.3%
Immunomedics Inc	IMMU.O / IMMU US	25%	✓	0.3%
Impac Mortgage Holdings Inc	IMH.A / IMH US	25%	✗	0.3%
Impax Laboratories Inc	IPXL.O / IPXL US	10%	✓	0.3%
Imperva Inc	IMPV.K / IMPV US	10%	✓	0.3%
InVivo Therapeutics Holdings Corporation	NVIV.O / NVIV US	25%	✗	0.3%
Incyte Corporation	INCY.O / INCY US	10%	✓	0.3%
Independence Realty Trust Inc	IRT.A / IRT US	25%	✗	0.3%
Independent Bank Corporation	INDB.O / INDB US	25%	✓	0.3%
Independent Bank Corporation	IBCP.O / IBCP US	25%	✓	0.3%
Independent Bank Group Inc	IBTX.O / IBTX US	25%	✗	0.3%
Industrial Select Sector SPDR(r) Fund	XLI.P / XLI US	10%	✓	0.3%
Industrias Bachoco S.A.B. de CV	IBA / IBA US	25%	✗	0.3%
Infinera Corporation	INFN.O / INFN US	10%	✓	0.3%
Infinity Pharmaceuticals Inc	INFI.O / INFI US	15%	✓	0.3%
Infinity Property and Casualty Corporation	IPCC.O / IPCC US	25%	✗	0.3%
Infoblox Inc	BLOX.K / BLOX US	25%	✗	0.3%
Infosys Limited	INFY.K / INFY US	10%	✓	0.3%
InfraREIT Inc	HIFR.K / HIFR US	25%	✗	1%
Ingersoll-Rand PLC	IR.N / IR US	5%	✓	0.3%
Ingles Markets Inc	IMKTA.O / IMKTA US	25%	✗	0.3%
Ingram Micro Inc	IM.N / IM US	10%	✓	0.3%
Ingredion Inc	INGR.K / INGR US	10%	✓	0.3%
Inland Real Estate Corporation	IRC.N / IRC US	15%	✓	0.3%
Innophos Holdings Inc	IPHS.O / IPHS US	10%	✗	0.3%
Innospec Inc	IOSP.O / IOSP US	25%	✗	0.3%
Innoviva Inc	INVA.O / INVA US	25%	✓	0.3%
Inogen Inc	INGN.O / INGN US	25%	✓	0.3%
Inovalon Holdings Inc	INOV.O / INOV US	25%	✓	0.3%
Inovio Pharmaceuticals Inc	INO.O / INO US	25%	⊠	0.3%
Inphi Corporation	IPHI.K / IPHI US	15%	✗	0.3%
Insight Enterprises Inc	NSIT.O / NSIT US	15%	✗	0.3%
Insmed Inc	INSM.O / INSM US	20%	✓	0.3%
Insperty Inc	NSP.N / NSP US	20%	✓	0.3%
Insteel Industries Inc	IIIN.O / IIIN US	25%	✗	0.3%
Instructure Inc	INST.K / INST US	20%	✗	0.3%
Insulet Corporation	PODD.O / PODD US	10%	✓	0.3%
Integra LifeSciences Holdings Corporation	IART.O / IART US	25%	✓	0.3%
Integrated Device Technology Inc	IDTI.O / IDTI US	10%	✓	0.3%
Intel Corporation	INTC.O / INTC US	5%	✓	0.3%
Inteliquent Inc	IQNT.O / IQNT US	15%	✗	0.3%
Intelsat SA	I / I US	25%	✗	0.3%
Inter Parfums Inc	IPAR.O / IPAR US	25%	✗	0.3%

InterDigital Inc	IDCC.O / IDCC US	10%	✓	0.3%
InterOil Corporation	IOC / IOC US	10%	✓	0.3%
Interactive Brokers Group Inc	IBKR.O / IBKR US	10%	✓	0.3%
Interactive Intelligence Group Inc	ININ.O / ININ US	10%	✗	0.3%
Intercept Pharmaceuticals Inc	ICPT.O / ICPT US	25%	✓	0.3%
Intercontinental Exchange Inc	ICE.N / ICE US	5%	✓	0.3%
Intercontinental Hotels Group PLC	IHG.N / IHG US	15%	✓	0.3%
Interface Inc	TILE.O / TILE US	15%	✓	0.3%
Internap Corporation	INAP.O / INAP US	25%	✗	0.3%
International Bancshares Corporation	IBOC.O / IBOC US	20%	✓	0.3%
International Business Machines Corporation	IBM.N / IBM US	5%	✓	0.3%
International Flavors & Fragrances Inc	IFF.N / IFF US	5%	✓	0.3%
International Game Technology PLC	IGT.N / IGT US	10%	✓	0.3%
International Paper Company	IP.N / IP US	5%	✓	0.3%
International Speedway Corporation	ISCA.O / ISCA US	20%	✓	0.3%
Intersect ENT Inc	XENT.O / XENT US	25%	✗	0.3%
Intersil Corporation	ISIL.O / ISIL US	10%	✓	0.3%
Interval Leisure Group Inc	IILG.O / IILG US	15%	✓	0.3%
Interxion Holding NV	INXN.K / INXN US	10%	✗	0.3%
Intra-Cellular Therapies Inc	ITCI.O / ITCI US	25%	✗	0.3%
IntraLinks Holdings Inc	IL / IL US	25%	✗	0.3%
Intrepid Potash Inc	IPI.N / IPI US	10%	✓	0.3%
Intrexon Corporation	XON / XON US	25%	✗	0.3%
Intuit Inc	INTU.O / INTU US	5%	✓	0.3%
Intuitive Surgical Inc	ISRG.O / ISRG US	5%	✓	0.3%
Invacare Corporation	IVC.N / IVC US	25%	✓	0.3%
InvenSense Inc	INVN.K / INVN US	10%	✓	0.3%
Inves Company Limited	IVZ.N / IVZ US	5%	✓	0.3%
Invesco Mortgage Capital Inc	IVR.N / IVR US	10%	✓	0.3%
Invesco Senior Income Trust	VVR.N / VVR US	20%	✓	0.3%
Investment Technology Group Inc	ITG.N / ITG US	15%	✓	0.3%
Investors Bancorp Inc	ISBC.O / ISBC US	15%	✓	0.3%
Investors Real Estate Trust	IRET.K / IRET US	15%	✗	0.3%
Ionis Pharmaceuticals Inc	IONS.O / IONS US	25%	✓	0.3%
Iridium Communications Inc	IRDM.O / IRDM US	15%	✓	0.3%
Iron Mountain Inc	IRM.N / IRM US	10%	✓	0.3%
Ironwood Pharmaceuticals Inc	IRWD.O / IRWD US	25%	✓	0.3%
Isle of Capri Casinos Inc	ISLE.O / ISLE US	25%	✓	0.3%
Itron Inc	ITRI.O / ITRI US	10%	✓	0.3%
Ixia	XXIA.O / XXIA US	15%	✓	0.3%
J&J Snack Foods Corporation	JJSF.O / JJSF US	15%	✓	0.3%
J. C. Penney Company Inc	JCP.N / JCP US	10%	✓	0.3%
JB Hunt Transport Services Inc	JBHT.O / JBHT US	10%	✓	0.3%
JD.com Inc	JD.O / JD US	15%	✓	0.3%
JM WOWO	WOWO.O / WOWO US	25%	✗	0.3%
JP Energy Partners LP	JPEP.K / JPEP US	25%	✗	0.3%
JPMorgan Chase & Company	JPM.N / JPM US	5%	✓	0.3%
Jabil Circuit Inc	JBL.N / JBL US	10%	✓	0.3%
Jack Henry & Associates Inc	JKHY.O / JKHY US	10%	✓	0.3%
Jack in the Box Inc	JACK.O / JACK US	10%	✓	0.3%
Jacobs Engineering Group Inc	JEC.N / JEC US	5%	✓	0.3%
James River Group Holdings Limited	JRVR.O / JRVR US	25%	✗	0.3%
Janus Capital Group Inc	JNS.N / JNS US	10%	✓	0.3%
Jarden Corporation	JAH.N / JAH US	10%	✓	0.3%

Jazz Pharmaceuticals PLC	JAZZ.O / JAZZ US	10%	✓	0.3%
JetBlue Airways Corporation	JBLU.O / JBLU US	10%	✓	0.3%
JinkoSolar Holding Company Limited	JKS.N / JKS US	10%	☐	0.3%
Jive Software Inc	JIVE.O / JIVE US	25%	✓	0.3%
John B Sanfilippo & Son Inc	JBSS.O / JBSS US	25%	✗	0.3%
John Bean Technologies Corporation	JBT / JBT US	15%	✗	0.3%
John Wiley & Sons Inc	JWa.N / JW/A US	10%	✓	0.3%
Johnson & Johnson	JNJ.N / JNJ US	5%	✓	0.3%
Johnson Controls Inc	JCI.N / JCI US	5%	✓	0.3%
Jones Lang LaSalle Inc	JLL.N / JLL US	10%	✓	0.3%
Joy Global Inc	JOY.N / JOY US	10%	✓	0.3%
Jumei International Holding Limited	JMEI.N / JMEI US	25%	✓	0.3%
Juniper Networks Inc	JNPR.K / JNPR US	5%	✓	0.3%
Juno Therapeutics Inc	JUNO.O / JUNO US	25%	✓	0.3%
Just Energy Group Inc	JE.N / JE US	20%	✓	0.3%
K12 Inc	LRN.N / LRN US	15%	✓	0.3%
K2M Group Holdings Inc	KTWO.O / KTWO US	15%	✗	0.3%
KAR Auction Services Inc	KAR.N / KAR US	10%	✓	0.3%
KB Financial Group Inc	KB.N / KB US	15%	✓	0.3%
KB Home	KBH.N / KBH US	10%	✓	0.3%
KBR Inc	KBR.N / KBR US	10%	✓	0.3%
KCG Holdings Inc	KCG.N / KCG US	20%	✓	0.3%
KKR & Co. LP	KKR.N / KKR US	10%	✓	0.3%
KLA-Tencor Corporation	KLAC.O / KLAC US	5%	✓	0.3%
KLX Inc	KLXI.O / KLXI US	10%	✓	0.3%
Kadant Inc	KAI / KAI US	25%	✗	0.3%
Kaiser Aluminum Corporation	KALU.O / KALU US	10%	✓	0.3%
Kaman Corporation	KAMN.K / KAMN US	20%	✓	0.3%
Kandi Technologies Group Inc	KNDI.O / KNDI US	20%	☐	0.3%
Kansas City Southern	KSU.N / KSU US	5%	✓	0.3%
KapStone Paper and Packaging Corporation	KS.N / KS US	10%	✓	0.3%
Karyopharm Therapeutics Inc	KPTI.O / KPTI US	25%	✗	0.3%
Kate Spade & Company	KATE.K / KATE US	25%	✓	0.3%
Kayne Anderson Energy Total Return Fund Inc	KYE.N / KYE US	10%	✗	0.3%
Kayne Anderson MLP Investment Company	KYN.N / KYN US	10%	✗	0.3%
Kearny Financial Corporation	KRNY.O / KRNY US	25%	✓	0.3%
Kellogg Company	K.N / K US	5%	✓	0.3%
Kelly Services Inc	KELYA.O / KELYA US	25%	✗	0.3%
Kemper Corporation	KMPR.K / KMPR US	15%	✗	0.3%
Kennametal Inc	KMT.N / KMT US	10%	✓	0.3%
Kennedy-Wilson Holdings Inc	KW / KW US	10%	✗	0.3%
Keryx Biopharmaceuticals Inc	KERX.O / KERX US	25%	✗	0.3%
Keurig Green Mountain Inc	GMCR.O / GMCR US	20%	✓	0.3%
Key Corporation	KEY.N / KEY US	5%	✓	0.3%
Key Energy Services Inc	KEG.N / KEG US	10%	✓	0.3%
Keysight Technologies Inc	KEYS.K / KEYS US	15%	✓	0.3%
Kforce Inc	KFRC.O / KFRC US	15%	✗	0.3%
Kilroy Realty Corporation	KRC.N / KRC US	10%	✓	0.3%
Kimball Electronics Inc	KE.O / KE US	25%	✗	0.3%
Kimball International Inc	KBAL.O / KBAL US	25%	✓	0.3%
Kimberly-Clark Corporation	KMB.N / KMB US	5%	✓	0.3%
Kimco Realty Corporation	KIM.N / KIM US	5%	✓	0.3%
Kinder Morgan Inc	KMI.N / KMI US	5%	✓	0.3%
Kindred Healthcare Inc	KND.N / KND US	25%	✓	0.3%

King Digital Entertainment PLC	KING.K / KING US	15%	✓	0.3%
Kinross Gold Corporation	KGC.N / KGC US	10%	✓	0.3%
Kirby Corporation	KEX.N / KEX US	10%	✓	0.3%
Kite Pharma Inc	KITE.O / KITE US	10%	✓	0.3%
Kite Realty Group Trust	KRG / KRG US	10%	✗	0.3%
Klondex Mines Limited	KLDX.K / KLDX US	25%	✓	0.3%
Knight Transportation Inc	KNX / KNX US	10%	✗	0.3%
Knoll Inc	KNL / KNL US	15%	✗	0.3%
Knowles Corporation	KN.N / KN US	20%	✓	0.3%
Kohl's Corporation	KSS.N / KSS US	5%	✓	0.3%
Koninklijke Philips N.V	PHG.N / PHG US	10%	✓	0.3%
Koppers Holdings Inc	KOP / KOP US	25%	✗	0.3%
Korn Ferry International	KFY.N / KFY US	10%	✓	0.3%
Kosmos Energy Limited	KOS / KOS US	10%	✗	0.3%
Kraton Performance Polymers Inc	KRA / KRA US	15%	✗	0.3%
Krispy Kreme Doughnuts Inc	KKD.N / KKD US	10%	✓	0.3%
Kulicke and Soffa Industries Inc	KLIC.O / KLIC US	10%	✓	0.3%
Kyocera Corporation	KYO.N / KYO US	25%	✓	0.3%
L Brands Inc	LB.N / LB US	5%	✓	0.3%
L-3 Communications Holdings Inc	LLL.N / LLL US	5%	✓	0.3%
LB Foster Company	FSTR.O / FSTR US	25%	✗	0.3%
LDR Holding Corporation	LDRH.O / LDRH US	10%	✗	0.3%
LGI Homes Inc	LGIH.O / LGIH US	25%	✓	0.3%
LHC Group Inc	LHCG.O / LHCG US	25%	✓	0.3%
LKQ Corporation	LKQ.O / LKQ US	10%	✓	0.3%
LMP Capital and Income Fund Inc	SCD.N / SCD US	25%	✓	0.3%
LPL Financial Holdings Inc	LPLA.O / LPLA US	10%	✗	0.3%
LSB Industries Inc	LXU.N / LXU US	15%	✓	0.3%
LSI Industries Inc	LYTS.O / LYTS US	25%	✗	0.3%
LTC Properties Inc	LTC / LTC US	25%	✗	0.3%
La Jolla Pharmaceutical Company	LJPC.O / LJPC US	25%	✗	0.3%
La Quinta Holdings Inc	LQ.N / LQ US	10%	✓	0.3%
La-Z-Boy Inc	LZB.N / LZB US	15%	✓	0.3%
LaSalle Hotel Properties	LHO.N / LHO US	20%	✓	0.3%
Laboratory Corp. of America Holdings	LH.N / LH US	5%	✓	0.3%
Ladder Capital Corporation	LADR.K / LADR US	25%	✗	0.3%
Ladenburg Thalmann Financial Services Inc	LTS.A / LTS US	25%	✗	0.3%
Lake Shore Gold Corporation	LSG / LSG US	25%	✗	0.3%
Lakeland Bancorp Inc	LBAI.O / LBAI US	25%	✗	0.3%
Lakeland Financial Corporation	LKFN.O / LKFN US	25%	✗	0.3%
Lam Research Corporation	LRCX.O / LRCX US	5%	✓	0.3%
Lamar Advertising Company	LAMR.O / LAMR US	10%	✓	0.3%
Lancaster Colony Corporation	LANC.O / LANC US	15%	✓	0.3%
Landauer Inc	LDR / LDR US	25%	✗	0.3%
Landec Corporation	LNDC.O / LNDC US	25%	✗	0.3%
Lands' End Inc	LE.O / LE US	20%	✗	0.3%
Landstar System Inc	LSTR.O / LSTR US	10%	✓	0.3%
Lannett Company Inc	LCI.N / LCI US	10%	✓	0.3%
Laredo Petroleum Inc	LPI.N / LPI US	10%	✓	0.3%
Las Vegas Sands Corporation	LVS.N / LVS US	10%	✓	0.3%
Lattice Semiconductor Corporation	LSCC.O / LSCC US	15%	✓	0.3%
Lazard Limited	LAZ.N / LAZ US	10%	✓	0.3%
LeapFrog Enterprises Inc	LF.N / LF US	20%	✓	0.3%
Lear Corporation	LEA.N / LEA US	10%	✓	0.3%

Legacy Reserves LP	LGCY.O / LGCY US	15%	✓	0.3%
LegacyTexas Financial Group Inc	LTXB.O / LTXB US	15%	✓	0.3%
Legg Mason Inc	LM.N / LM US	10%	✓	0.3%
Leggett & Platt Inc	LEG.N / LEG US	10%	✓	0.3%
Leidos Holdings Inc	LDOS.K / LDOS US	10%	✓	0.3%
LendingClub Corporation	LC / LC US	10%	✓	0.3%
LendingTree Inc	TREE.O / TREE US	25%	✗	0.3%
Lennar Corporation	LEN.N / LEN US	5%	✓	0.3%
Lennar Corporation	LENb.N / LEN/B US	25%	✗	0.3%
Lennox International Inc	LII.N / LII US	10%	✓	0.3%
Leucadia National Corporation	LUK.N / LUK US	5%	✓	0.3%
Level 3 Communications Inc	LVLT.K / LVLT US	10%	✓	0.3%
Lexicon Pharmaceuticals Inc	LXR.O / LXR US	25%	✓	0.3%
Lexington Realty Trust	LXP.N / LXP US	20%	✓	0.3%
Lexmark International Inc	LXK.N / LXK US	10%	✓	0.3%
Libbey Inc	LBY.A / LBY US	25%	✗	0.3%
Liberty Broadband Corporation	LBRDA.O / LBRDA US	15%	✗	0.3%
Liberty Broadband Corporation	LBRDK.O / LBRDK US	25%	✗	0.3%
Liberty Global PLC	LBTYA.O / LBTYA US	5%	✓	0.3%
Liberty Global PLC	LBTYK.O / LBTYK US	10%	✓	0.3%
Liberty Interactive Corporation	QVCA.O / QVCA US	5%	✓	0.3%
Liberty Media Corporation	LMCA.O / LMCA US	5%	✓	0.3%
Liberty Media Corporation	LMCK.O / LMCK US	15%	✗	0.3%
Liberty Property Trust	LPT.N / LPT US	10%	✓	0.3%
Liberty TripAdvisor Holdings Inc	LTRPA.O / LTRPA US	10%	✓	0.3%
Liberty Ventures	LVNTA.O / LVNTA US	10%	✓	0.3%
LifeLock Inc	LOCK.N / LOCK US	10%	✓	0.3%
LifePoint Health Inc	LPNT.O / LPNT US	10%	✓	0.3%
Ligand Pharmaceuticals Inc	LGND.O / LGND US	25%	✓	0.3%
Lincoln Electric Holdings Inc	LECO.O / LECO US	10%	✓	0.3%
Lincoln National Corporation	LNC.N / LNC US	5%	✓	0.3%
Lindsay Corporation	LNN.N / LNN US	10%	⚠	0.3%
Linear Technology Corporation	LLTC.O / LLTC US	5%	✓	0.3%
LinkedIn Corporation	LNKD.K / LNKD US	10%	✓	0.3%
Linn Co LLC	LNCO.O / LNCO US	10%	✓	0.3%
Linn Energy LLC	LINE.O / LINE US	25%	✓	0.3%
Lion Biotechnologies Inc	LBIO.O / LBIO US	25%	✗	0.3%
Lionbridge Technologies Inc	LIOX.O / LIOX US	25%	✗	0.3%
Lions Gate Entertainment Corporation	LGF.N / LGF US	10%	✓	0.3%
Liquidity Services Inc	LQDT.O / LQDT US	15%	✓	0.3%
Lithia Motors Inc	LAD.N / LAD US	10%	✓	0.3%
Littelfuse Inc	LFUS.O / LFUS US	15%	✓	0.3%
LivaNova PLC	LIVN.O / LIVN US	10%	✗	0.3%
Live Nation Entertainment Inc	LYV.N / LYV US	10%	✓	0.3%
LivePerson Inc	LPSN.O / LPSN US	20%	✓	0.3%
Lockheed Martin Corporation	LMT.N / LMT US	5%	✓	0.3%
Loews Corporation	L.N / L US	5%	✓	0.3%
LogMeIn Inc	LOGM.O / LOGM US	10%	✓	0.3%
Logitech International SA	LOGI.O / LOGI US	10%	✓	0.3%
Loral Space & Communications Inc	LORL.O / LORL US	25%	✗	0.3%
Louisiana-Pacific Corporation	LPX.N / LPX US	10%	✓	0.3%
Lowe's Companies Inc	LOW.N / LOW US	5%	✓	0.3%
Lululemon Athletica Inc	LULU.O / LULU US	10%	✓	0.3%
Lumber Liquidators Holdings Inc	LL.N / LL US	10%	✓	0.3%

Lumentum Holdings Inc	LITE.O / LITE US	25%	✗	0.3%
Luminex Corporation	LMNX.O / LMNX US	15%	✓	0.3%
Luxoft Holding Inc	LXFT.K / LXFT US	10%	✗	0.3%
Lydall Inc	LDL / LDL US	25%	✗	0.3%
LyondellBasell Industries NV	LYB.N / LYB US	5%	✓	0.3%
M A-Com Technology Solutions Holdings Inc	MTSI.O / MTSI US	10%	✓	0.3%
M I Homes Inc	MHO / MHO US	15%	✗	0.3%
M&T Bank Corporation	MTB.N / MTB US	5%	✓	0.3%
MAXIMUS Inc	MMS.N / MMS US	15%	✓	0.3%
MB Financial Inc	MBFI.O / MBFI US	10%	✗	0.3%
MBIA Inc	MBI.N / MBI US	10%	✓	0.3%
MDC Holdings Inc	MDC.N / MDC US	10%	✓	0.3%
MDC Partners Inc	MDCA.O / MDCA US	15%	✗	0.3%
MDU Resources Group Inc	MDU.N / MDU US	10%	✓	0.3%
MEDNAX Inc	MD / MD US	10%	✓	0.3%
MFA Financial Inc	MFA.N / MFA US	20%	✓	0.3%
MGE Energy Inc	MGEE.O / MGEE US	25%	✗	0.3%
MGIC Investment Corporation	MTG.N / MTG US	10%	✓	0.3%
MGM Resorts International	MGM / MGM US	10%	✓	0.3%
MGP Ingredients Inc	MGPI.O / MGPI US	25%	✗	0.3%
MINDBODY Inc	MB.O / MB US	25%	✓	0.3%
MKS Instruments Inc	MKSI.O / MKSI US	15%	✓	0.3%
MPLX LP	MPLX.N / MPLX US	10%	✓	0.3%
MRC Global Inc	MRC / MRC US	10%	✗	0.3%
MSA Safety Inc	MSA.N / MSA US	15%	✓	0.3%
MSC Industrial Direct Co. Inc	MSM.N / MSM US	10%	✓	0.3%
MSCI Inc	MSCI.K / MSCI US	10%	✓	0.3%
MSG Networks Inc	MSGN.K / MSGN US	25%	✓	0.3%
MTS Systems Corporation	MTSC.O / MTSC US	15%	✓	0.3%
MYR Group Inc	MYRG.O / MYRG US	25%	✗	0.3%
Mack-Cali Realty Corporation	CLI.N / CLI US	10%	✓	0.3%
Macquarie Global Infrastructure Total Return Fund Inc	MGU.N / MGU US	25%	✓	0.3%
Macquarie Infrastructure Corporation	MIC.N / MIC US	10%	✓	0.3%
MacroGenics Inc	MGNX.O / MGNX US	10%	✗	0.3%
Macy's Inc	M.N / M US	5%	✓	0.3%
Magellan Health Inc	MGLN.O / MGLN US	10%	✓	0.3%
Magellan Midstream Partners LP	MMP.N / MMP US	10%	✓	0.3%
Magna International Inc	MGA.N / MGA US	10%	✓	0.3%
MagnaChip Semiconductor Corporation	MX.N / MX US	25%	✗	0.3%
Maiden Holdings Limited	MHLD.O / MHLD US	15%	✗	0.3%
Main Street Capital Corporation	MAIN.K / MAIN US	25%	✗	0.3%
MainSource Financial Group Inc	MSFG.O / MSFG US	25%	✗	0.3%
MakeMyTrip Limited	MMYT.O / MMYT US	15%	✗	0.3%
Malibu Boats Inc	MBUU.O / MBUU US	25%	✗	0.3%
Mallinckrodt PLC	MNK.N / MNK US	10%	✓	0.3%
ManTech International Corporation	MANT.O / MANT US	20%	✓	0.3%
Manchester United PLC	MANU.K / MANU US	25%	✓	0.3%
Manhattan Associates Inc	MANH.O / MANH US	10%	✓	0.3%
MannKind Corporation	MNKD.O / MNKD US	10%	☐	0.3%
ManpowerGroup Inc	MAN.N / MAN US	10%	✓	0.3%
Manulife Financial Corporation	MFC.N / MFC US	10%	✓	0.3%
Marathon Oil Corporation	MRO.N / MRO US	5%	✓	0.3%
Marathon Petroleum Corporation	MPC.N / MPC US	5%	✓	0.3%
Marcus & Millichap Inc	MMI / MMI US	20%	✗	0.3%

Marin Software Inc	MRIN.K / MRIN US	25%	✓	0.3%
Marinemax Inc	HZO / HZO US	15%	✓	0.3%
Markel Corporation	MKL.N / MKL US	10%	✓	0.3%
Market Vectors ChinaAMC SME-ChiNext ETF	CNXT.K / CNXT US	25%	✗	0.3%
Market Vectors(r) Africa Index ETF	AFK.P / AFK US	10%	✓	0.3%
Market Vectors(r) Agribusiness ETF	MOO / MOO US	10%	✓	0.3%
Market Vectors(r) Biotech ETF	BBH.O / BBH US	10%	✓	0.3%
Market Vectors(r) Brazil Small-Cap ETF	BRF / BRF US	25%	✓	0.3%
Market Vectors(r) ChinaAMC A-Share ETF	PEK / PEK US	25%	✗	0.3%
Market Vectors(r) Coal ETF	KOL.P / KOL US	25%	☒	0.3%
Market Vectors(r) Egypt Index ETF	EGPT.P / EGPT US	25%	☒	0.3%
Market Vectors(r) Global Alternative Energy ETF	GEX.P / GEX US	25%	✓	0.3%
Market Vectors(r) Gold Miners ETF	GDX.P / GDX US	10%	✓	0.3%
Market Vectors(r) High-Yield Municipal Index ETF	HYD / HYD US	15%	✓	0.3%
Market Vectors(r) India Small-Cap Index ETF	SCIF.P / SCIF US	10%	☒	0.3%
Market Vectors(r) Indonesia Index ETF	IDX / IDX US	10%	☒	0.3%
Market Vectors(r) J.P. Morgan EM Local Currency Bond ETF	EMLC.P / EMLC US	10%	✗	0.3%
Market Vectors(r) Junior Gold Miners ETF	GDXJ.P / GDXJ US	10%	✓	0.3%
Market Vectors(r) Oil Services ETF	OIH.P / OIH US	10%	✓	0.3%
Market Vectors(r) Pharmaceutical ETF	PPH / PPH US	10%	✓	0.3%
Market Vectors(r) Preferred Securities ex Financials ETF	PFXF.P / PFXF US	25%	✗	0.3%
Market Vectors(r) Rare Earth Strategic Metals ETF	REMX.P / REMX US	10%	✓	0.3%
Market Vectors(r) Russia ETF	RSX / RSX US	10%	✓	0.3%
Market Vectors(r) Russia Small-Cap ETF	RSXJ.P / RSXJ US	25%	☒	0.3%
Market Vectors(r) Semiconductor ETF	SMH / SMH US	10%	✓	0.3%
Market Vectors(r) Steel ETF	SLX / SLX US	10%	✓	0.3%
Market Vectors(r) Vietnam ETF	VNM.P / VNM US	10%	✓	0.3%
MarketAxess Holdings Inc	MKTX.O / MKTX US	10%	✓	0.3%
Marketo Inc	MKTO.O / MKTO US	10%	✗	0.3%
Markit Limited	MRKT.O / MRKT US	10%	✓	0.3%
Marriott International Inc	MAR.O / MAR US	5%	✓	0.3%
Marriott Vacations Worldwide Corporation	VAC.N / VAC US	10%	✓	0.3%
Marsh & McLennan Companies Inc	MMC.N / MMC US	5%	✓	0.3%
Marten Transport Limited	MRTN.O / MRTN US	25%	✗	0.3%
Martin Marietta Materials Inc	MLM.N / MLM US	10%	✓	0.3%
Martin Midstream Partners LP	MMLP.O / MMLP US	20%	✓	0.3%
Marvell Technology Group Limited	MRVL.O / MRVL US	10%	✓	0.3%
MasTec Inc	MTZ.N / MTZ US	10%	✓	0.3%
Masco Corporation	MAS.N / MAS US	5%	✓	0.3%
Masimo Corporation	MASI.O / MASI US	10%	✓	0.3%
Masonite International Corporation	DOOR.K / DOOR US	10%	✗	0.3%
MasterCard Inc	MA.N / MA US	5%	✓	0.3%
Matador Resources Company	MTDR.K / MTDR US	20%	✓	0.3%
Match Group Inc	MTCH.O / MTCH US	25%	✗	0.3%
Materials Select Sector SPDR(r) Fund	XLB.P / XLB US	10%	✓	0.3%
Materion Corporation	MTRN.N / MTRN US	20%	✓	0.3%
Matrix Service Company	MTRX.O / MTRX US	15%	✓	0.3%
Matson Inc	MATX.K / MATX US	10%	✗	0.3%
Mattel Inc	MAT.O / MAT US	5%	✓	0.3%
Matthews International Corporation	MATW.O / MATW US	15%	✗	0.3%
Mattress Firm Holding Corporation	MFRM.O / MFRM US	10%	✓	0.3%
Mattson Technology Inc	MTSN.O / MTSN US	25%	✗	0.3%
MaxLinear Inc	MXL / MXL US	25%	✗	0.3%

Maxim Integrated Products Inc	MXIM.O / MXIM US	5%	✓	0.3%
Maxwell Technologies Inc	MXWL.O / MXWL US	20%	✓	0.3%
McCormick & Company Inc	MKC.N / MKC US	5%	✓	0.3%
McDermott International Inc	MDR.N / MDR US	25%	✓	0.3%
McDonald's Corporation	MCD.N / MCD US	5%	✓	0.3%
McEwen Mining Inc	MUX.N / MUX US	10%	✓	0.3%
McGrath Rent Corporation	MGRC.O / MGRC US	25%	✗	0.3%
McGraw Hill Financial Inc	MHFI.K / MHFI US	5%	✓	0.3%
McKesson Corporation	MCK.N / MCK US	5%	✓	0.3%
Mead Johnson Nutrition Company	MJN.N / MJN US	5%	✓	0.3%
MedAssets Inc	MDAS.O / MDAS US	10%	✓	0.3%
Media General Inc	MEG.N / MEG US	15%	✓	0.3%
Medical Properties Trust Inc	MPW.N / MPW US	20%	✓	0.3%
Medidata Solutions Inc	MDSO.O / MDSO US	10%	✓	0.3%
Medifast Inc	MED / MED US	25%	✗	0.3%
Medivation Inc	MDVN.O / MDVN US	25%	✓	0.3%
Medley Capital Corporation	MCC / MCC US	25%	✗	0.3%
Medtronic PLC	MDT.N / MDT US	5%	✓	0.3%
Melco Crown Entertainment Limited	MPEL.O / MPEL US	10%	✓	0.3%
Mellanox Technologies Limited	MLNX.O / MLNX US	10%	✓	0.3%
Memorial Production Partners LP	MEMP.O / MEMP US	15%	✗	0.3%
Memorial Resource Development Corporation	MRD.O / MRD US	10%	✓	0.3%
Mentor Graphics Corporation	MENT.O / MENT US	10%	✓	0.3%
Mercadolibre Inc	MELI.O / MELI US	10%	✓	0.3%
Mercantile Bank Corporation	MBWM.O / MBWM US	25%	✗	0.3%
Mercer International Inc	MERC.O / MERC US	25%	✗	0.3%
Merck & Co. Inc	MRK.N / MRK US	5%	✓	0.3%
Mercury General Corporation	MCY.N / MCY US	15%	✓	0.3%
Mercury Systems Inc	MRCY.O / MRCY US	25%	✓	0.3%
Meredith Corporation	MDP.N / MDP US	10%	✓	0.3%
Meridian Bancorp Inc	EBSB.O / EBSB US	15%	✗	0.3%
Meridian Bioscience Inc	VIVO.O / VIVO US	20%	✓	0.3%
Merit Medical Systems Inc	MMSI.O / MMSI US	20%	✓	0.3%
Meritage Homes Corporation	MTH.N / MTH US	10%	✓	0.3%
Meritor Inc	MTOR.K / MTOR US	10%	✓	0.3%
Merrimack Pharmaceuticals Inc	MACK.O / MACK US	10%	✗	0.3%
MetLife Inc	MET.N / MET US	5%	✓	0.3%
Meta Financial Group Inc	CASH.O / CASH US	25%	✓	0.3%
Methanex Corporation	MEOH.O / MEOH US	10%	✓	0.3%
Methode Electronics Inc	MEI.N / MEI US	10%	✓	0.3%
Metro Bancorp Inc	METR.O / METR US	25%	✗	0.3%
Mettler-Toledo International Inc	MTD.N / MTD US	10%	✓	0.3%
MiMedx Group Inc	MDXG.O / MDXG US	15%	✗	0.3%
Michael Kors Holdings Limited	KORS.K / KORS US	10%	✓	0.3%
MicroStrategy Inc	MSTR.O / MSTR US	10%	✓	0.3%
Microchip Technology Inc	MCHP.O / MCHP US	5%	✓	0.3%
Micron Technology Inc	MU.O / MU US	5%	✓	0.3%
Microsemi Corporation	MSCC.O / MSCC US	10%	✓	0.3%
Microsoft Corporation	MSFT.O / MSFT US	5%	✓	0.3%
Mid-America Apartment Communities Inc	MAA.N / MAA US	10%	✓	0.3%
Middleby Corporation	MIDD.O / MIDD US	10%	✓	0.3%
Middlesex Water Company	MSEX.O / MSEX US	25%	✗	0.3%
Milacron Holdings Corporation	MCRN.K / MCRN US	25%	✗	0.3%
Mindray Medical International Limited	MR.N / MR US	10%	✓	0.3%

Minerals Technologies Inc	MTX.N / MTX US	15%	✓	0.3%
Mistras Group Inc	MG / MG US	25%	✗	0.3%
Mobile Mini Inc	MINI.O / MINI US	10%	✓	0.3%
MobileIron Inc	MOBL.O / MOBL US	25%	✗	0.3%
Mobileye NV	MBLY.N / MBLY US	20%	✓	0.3%
Modine Manufacturing Company	MOD / MOD US	25%	✓	0.3%
Moelis & Company	MC.N / MC US	10%	✓	0.3%
Mohawk Industries Inc	MHK.N / MHK US	5%	✓	0.3%
Molina Healthcare Inc	MOH / MOH US	10%	✓	0.3%
Molson Coors Brewing Company	TAP.N / TAP US	5%	✓	0.3%
Momenta Pharmaceuticals Inc	MNTA.O / MNTA US	25%	✓	0.3%
Mondelez International Inc	MDLZ.O / MDLZ US	5%	✓	0.3%
Moneygram International Inc	MGI.O / MGI US	25%	✓	0.3%
Monmouth Real Estate Investment Corporation	MNR / MNR US	25%	✗	0.3%
Monogram Residential Trust Inc	MORE.K / MORE US	10%	✗	0.3%
Monolithic Power Systems Inc	MPWR.O / MPWR US	10%	✓	0.3%
Monotype Imaging Holdings Inc	TYPE.O / TYPE US	15%	✓	0.3%
Monro Muffler Brake Inc	MNRO.O / MNRO US	10%	✗	0.3%
Monsanto Company	MON.N / MON US	5%	✓	0.3%
Monster Beverage Corporation	MNST.O / MNST US	5%	✓	0.3%
Monster Worldwide Inc	MWW.N / MWW US	15%	✓	0.3%
Moody's Corporation	MCO.N / MCO US	5%	✓	0.3%
Moog Inc	MOGa.N / MOG/A US	15%	✓	0.3%
Morgan Stanley	MS.N / MS US	5%	✓	0.3%
Morgan Stanley Asia-Pacific Fund Inc	APF.N / APF US	25%	✓	0.3%
Morgan Stanley China A Share Fund Inc	CAF.N / CAF US	20%	✓	0.3%
Morgan Stanley Emerging Markets Domestic Debt Fund Inc	EDD.N / EDD US	15%	✓	0.3%
Morgan Stanley India Investment Fund Inc	IIF / IIF US	10%	✗	0.3%
Morningstar Inc	MORN.O / MORN US	15%	✓	0.3%
Motorcar Parts of America Inc	MPAA.O / MPAA US	15%	✓	0.3%
Motorola Solutions Inc	MSI.N / MSI US	5%	✓	0.3%
Mountain Province Diamonds Inc	MDM.O / MDM US	25%	✓	0.3%
Movado Group Inc	MOV / MOV US	25%	✗	0.3%
Mueller Industries Inc	MLI.N / MLI US	15%	✓	0.3%
Mueller Water Products Inc	MWA.N / MWA US	10%	✓	0.3%
Multi-Color Corporation	LABL.O / LABL US	15%	✗	0.3%
Murphy Oil Corporation	MUR.N / MUR US	5%	✓	0.3%
Murphy USA Inc	MUSA.K / MUSA US	15%	✓	0.3%
Myers Industries Inc	MYE / MYE US	25%	✗	0.3%
Mylan NV	MYL.O / MYL US	5%	✓	0.3%
Myriad Genetics Inc	MYGN.O / MYGN US	10%	✓	0.3%
NBT Bancorp Inc	NBTB.O / NBTB US	25%	✗	0.3%
NCI Building Systems Inc	NCS.N / NCS US	15%	✓	0.3%
NCR Corporation	NCR.N / NCR US	10%	✓	0.3%
NIC Inc	EGOV.O / EGOV US	15%	✓	0.3%
NICE Systems Limited	NICE.O / NICE US	15%	✓	0.3%
NIKE Inc	NKE.N / NKE US	5%	✓	0.3%
NMI Holdings Inc	NMIH.O / NMIH US	25%	✗	0.3%
NN Inc	NNBR.O / NNBR US	15%	✗	0.3%
NOW Inc	DNOW.K / DNOW US	25%	✓	0.3%
NRG Energy Inc	NRG.N / NRG US	5%	✓	0.3%
NRG Yield Inc	NYLD.N / NYLD US	25%	✗	0.3%
NRG Yield Inc	NYLDa.N / NYLD/A US	25%	✓	0.3%

NTELOS Holdings Corporation	NTLS.O / NTLS US	20%	✓	0.3%
NVE Corporation	NVEC.O / NVEC US	25%	✗	0.3%
NVIDIA Corporation	NVDA.O / NVDA US	5%	✓	0.3%
NVR Inc	NVR.N / NVR US	10%	✓	0.3%
NXP Semiconductors NV	NXPI.O / NXPI US	5%	✓	0.3%
Nabors Industries Limited	NBR.N / NBR US	10%	✓	0.3%
Nanometrics Inc	NANO.O / NANO US	25%	✗	0.3%
NantKwest Inc	NK.O / NK US	25%	✓	0.3%
Nasdaq Inc	NDAQ.O / NDAQ US	10%	✓	0.3%
Natera Inc	NTRA.O / NTRA US	25%	✗	0.3%
Nathan's Famous Inc	NATH.O / NATH US	25%	✓	0.3%
National Bank Holdings Corporation	NBHC.K / NBHC US	15%	✗	0.3%
National Beverage Corporation	FIZZ.O / FIZZ US	25%	✓	0.3%
National CineMedia Inc	NCMI.O / NCMI US	25%	✗	0.3%
National Fuel Gas Company	NFG.N / NFG US	10%	✓	0.3%
National General Holdings Corporation	NGHC.O / NGHC US	25%	✗	0.3%
National Grid PLC	NGG.N / NGG US	5%	✓	0.3%
National Health Investors Inc	NHI.N / NHI US	10%	✓	0.3%
National HealthCare Corporation	NHC.A / NHC US	25%	✗	0.3%
National Instruments Corporation	NATI.O / NATI US	10%	✓	0.3%
National Oilwell Varco Inc	NOV.N / NOV US	5%	✓	0.3%
National Penn Bancshares Inc	NPBC.O / NPBC US	15%	✓	0.3%
National Presto Industries Inc	NPK / NPK US	25%	✗	0.3%
National Retail Properties Inc	NNN.N / NNN US	20%	✓	0.3%
National Storage Affili AE	NSA / NSA US	25%	✗	0.3%
National Western Life Group Inc	NWLI.O / NWLI US	25%	✗	0.3%
Nationstar Mortgage Holdings Inc	NSM.N / NSM US	20%	✓	0.3%
Natural Gas Services Group Inc	NGS.N / NGS US	25%	✓	0.3%
Natural Resource Partners LP	NRP / NRP US	25%	✗	0.3%
Natus Medical Inc	BABY.O / BABY US	10%	✓	0.3%
Nautilus Inc	NLS / NLS US	25%	✗	0.3%
Navient Corporation	NAVI.O / NAVI US	10%	✓	0.3%
Navigant Consulting Inc	NCI / NCI US	25%	✗	0.3%
Navigator Holdings Limited	NVGS.K / NVGS US	25%	✓	0.3%
Navios Maritime Holdings Inc	NM.N / NM US	10%	✗	0.3%
Navistar International Corporation	NAV.N / NAV US	10%	✓	0.3%
Neenah Paper Inc	NP / NP US	15%	✓	0.3%
Nektar Therapeutics	NKTR.O / NKTR US	25%	✓	0.3%
Nelnet Inc	NNI.N / NNI US	20%	✓	0.3%
NeoPhotonics Corporation	NPTN.K / NPTN US	25%	✗	0.3%
Neogen Corporation	NEOG.O / NEOG US	10%	✗	0.3%
Neogenomics Inc	NEO.O / NEO US	25%	✗	0.3%
Net 1 Ueps Technologies Inc	UEPS.O / UEPS US	15%	✗	0.3%
NetApp Inc	NTAP.O / NTAP US	5%	✓	0.3%
NetEase Inc	NTES.O / NTES US	10%	✓	0.3%
NetScout Systems Inc	NTCT.O / NTCT US	10%	✗	0.3%
NetSuite Inc	N.N / N US	10%	✓	0.3%
Netflix Inc	NFLX.O / NFLX US	5%	✓	0.3%
Netgear Inc	NTGR.O / NTGR US	10%	✓	0.3%
NeuStar Inc	NSR.N / NSR US	10%	✓	0.3%
Neurocrine Biosciences Inc	NBIX.O / NBIX US	25%	✓	0.3%
Nevro Corporation	NVRO.K / NVRO US	15%	✓	0.3%
Nevsun Resources Limited	NSU.A / NSU US	20%	✓	0.3%
New Gold Inc	NGD.A / NGD US	10%	✓	0.3%

New Jersey Resources Corporation	NJR.N / NJR US	10%	✓	0.3%
New Media Investment Group Inc	NEWM.K / NEWM US	10%	✗	0.3%
New Mountain Finance Corporation	NMFC.K / NMFC US	25%	✓	0.3%
New Oriental Education & Technology Group Inc	EDU.N / EDU US	10%	✓	0.3%
New Relic Inc	NEWR.O / NEWR US	25%	✓	0.3%
New Residential Investment Corporation	NRZ.N / NRZ US	20%	✓	0.3%
New Senior Investment Group Inc	SNR / SNR US	25%	✗	0.3%
New York Community Bancorp Inc	NYCB.K / NYCB US	10%	✓	0.3%
New York Mortgage Trust Inc	NYMT.O / NYMT US	25%	✗	0.3%
New York REIT Inc	NYRT.K / NYRT US	25%	✗	0.3%
NewBridge Banc Corporation	NBBC.O / NBBC US	25%	✗	0.3%
NewLink Genetics Corporation	NLNK.O / NLNK US	15%	✗	0.3%
NewMarket Corporation	NEU.N / NEU US	10%	✓	0.3%
Newcastle Investment Corporation	NCT / NCT US	25%	✗	0.3%
Newell Rubbermaid Inc	NWL.N / NWL US	5%	✓	0.3%
Newfield Exploration Company	NFX.N / NFX US	25%	✓	0.3%
Newmont Mining Corporation	NEM.N / NEM US	5%	✓	0.3%
Newpark Resources Inc	NR.N / NR US	15%	✓	0.3%
Newport Corporation	NEWP.O / NEWP US	25%	✗	0.3%
News Corporation	NWSA.O / NWSA US	5%	✓	0.3%
News Corporation	NWS.O / NWS US	10%	✓	0.3%
Nexstar Broadcasting Group Inc	NXST.O / NXST US	10%	✗	0.3%
NextEra Energy Inc	NEE.N / NEE US	5%	✓	0.3%
NiSource Inc	NI.N / NI US	5%	✓	0.3%
Nielsen Holdings PLC	NLSN.K / NLSN US	5%	✓	0.3%
Nimble Storage Inc	NMBL.K / NMBL US	10%	✓	0.3%
Niska Gas Storage Partners LLC	NKA.N / NKA US	25%	✓	0.3%
Noah Holdings Limited	NOAH.N / NOAH US	15%	✓	0.3%
Nobilis Health Corporation	HLTH.A / HLTH US	25%	✗	0.3%
Noble Corporation PLC	NE.N / NE US	5%	✓	0.3%
Noble Energy Inc	NBL.N / NBL US	5%	✓	0.3%
Noodles & Company	NDLS.O / NDLS US	15%	☐	0.3%
Nord Anglia Education Inc	NORD.K / NORD US	25%	✓	0.3%
Nordic American Offshore Limited	NAO / NAO US	20%	☐	0.3%
Nordic American Tankers Limited	NAT.N / NAT US	25%	☐	0.3%
Nordson Corporation	NDSN.O / NDSN US	10%	✓	0.3%
Nordstrom Inc	JWN.N / JWN US	5%	✓	0.3%
Norfolk Southern Corporation	NSC.N / NSC US	5%	✓	0.3%
Nortek Inc	NTK.O / NTK US	20%	✓	0.3%
North Atlantic Drilling Limited	NADL.K / NADL US	25%	✗	0.3%
NorthStar Realty Finance Corporation	NRF.N / NRF US	10%	✓	0.3%
Northern Oil and Gas Inc	NOG.A / NOG US	25%	✗	0.3%
Northern Tier Energy LP	NTI.N / NTI US	10%	✓	0.3%
Northern Trust Corporation	NTRS.O / NTRS US	5%	✓	0.3%
Northfield Bancorp Inc. (Staten Island NY)	NFBK.O / NFBK US	25%	✓	0.3%
Northrop Grumman Corporation	NOC.N / NOC US	5%	✓	0.3%
Northstar Asset Management Group Inc	NSAM.N / NSAM US	25%	✓	0.3%
Northstar Realty Europe Corporation	NRE / NRE US	25%	✗	0.3%
Northwest Bancshares Inc	NWBI.O / NWBI US	10%	✗	0.3%
Northwest Biotherapeutics Inc	NWBO.O / NWBO US	25%	✗	0.3%
Northwest Natural Gas Company	NWN / NWN US	15%	✗	0.3%
Northwestern Corporation	NWE / NWE US	10%	✓	0.3%
Norwegian Cruise Line Holdings Limited	NCLH.O / NCLH US	10%	✗	0.3%
NovaGold Resources Inc	NG.A / NG US	15%	✓	0.3%

Novadaq Technologies Inc	NVDQ.O / NVDQ US	25%	✗	0.3%
Novartis SA	NVS.N / NVS US	5%	✓	0.3%
Novavax Inc	NVAX.O / NVAX US	25%	✓	0.3%
Novo Nordisk AS	NVO.N / NVO US	5%	✓	0.3%
Nu Skin Enterprises Inc	NUS.N / NUS US	10%	✓	0.3%
NuStar Energy LP	NS.N / NS US	10%	✓	0.3%
NuVasive Inc	NUVA.O / NUVA US	25%	✓	0.3%
Nuance Communications Inc	NUAN.O / NUAN US	10%	✓	0.3%
Nucor Corporation	NUE.N / NUE US	5%	✓	0.3%
Nutrisystem Inc	NTRI.O / NTRI US	20%	✓	0.3%
Nuveen Municipal Value Fund Inc	NUV / NUV US	10%	✗	0.3%
Nxstage Medical Inc	NXTM.O / NXTM US	15%	✗	0.3%
O'Reilly Automotive Inc	ORLY.O / ORLY US	5%	✓	0.3%
OFG Ban Corporation	OFG / OFG US	15%	✗	0.3%
OGE Energy Corporation	OGE.N / OGE US	10%	✓	0.3%
OMNOVA Solutions Inc	OMN / OMN US	25%	✓	0.3%
ON Semiconductor Corporation	ON.O / ON US	10%	✓	0.3%
ONE Gas Inc	OGS.N / OGS US	10%	✓	0.3%
ONEOK Inc	OKE.N / OKE US	5%	✓	0.3%
ONEOK Partners LP	OKS / OKS US	10%	✓	0.3%
OPOWER Inc	OPWR.K / OPWR US	25%	✗	0.3%
ORBCOMM Inc	ORBC.O / ORBC US	25%	✓	0.3%
ORIX Corporation	IX.N / IX US	25%	✓	0.3%
OSI Systems Inc	OSIS.O / OSIS US	10%	✓	0.3%
Oaktree Capital Group LLC	OAK / OAK US	10%	✗	0.3%
Oasis Petroleum Inc	OAS.N / OAS US	10%	✓	0.3%
Occidental Petroleum Corporation	OXY.N / OXY US	5%	✓	0.3%
OceanFirst Financial Corporation	OCFC.O / OCFC US	25%	✗	0.3%
Oceanearring International Inc	OII.N / OII US	10%	✓	0.3%
Och-Ziff Capital Management Grou PLC	OZM.N / OZM US	10%	✓	0.3%
Oclaro Inc	OCLR.O / OCLR US	20%	✓	0.3%
Ocwen Financial Corporation	OCN.N / OCN US	10%	✓	0.3%
Office Depot Inc	ODP.O / ODP US	10%	✓	0.3%
Oil States International Inc	OIS.N / OIS US	10%	✓	0.3%
Oil-Dri Corp. of America	ODC / ODC US	25%	✗	0.3%
Old Dominion Freight Line Inc	ODFL.O / ODFL US	10%	✓	0.3%
Old National Ban Corporation	ONB.O / ONB US	10%	✗	0.3%
Old Republic International Corporation	ORI.N / ORI US	10%	✓	0.3%
Olin Corporation	OLN.N / OLN US	10%	✓	0.3%
Ollie's Bargain Outlet Holdings Inc	OLLI.O / OLLI US	25%	✗	0.3%
Omega Healthcare Investors Inc	OHI.N / OHI US	20%	✓	0.3%
Omega Protein Corporation	OME / OME US	25%	✗	0.3%
Omeros Corporation	OMER.O / OMER US	25%	✗	0.3%
OmniVision Technologies Inc	OVTI.O / OVTI US	10%	✓	0.3%
Omicell Inc	OMCL.O / OMCL US	15%	✗	0.3%
Omnicom Group Inc	OMC.N / OMC US	5%	✓	0.3%
On Assignment Inc	ASGN.K / ASGN US	10%	✗	0.3%
On Deck Capital Inc	ONDK.K / ONDK US	20%	✓	0.3%
OncoMed Pharmaceuticals Inc	OMED.O / OMED US	20%	✓	0.3%
Oncothyreon Inc	ONTY.O / ONTY US	25%	✓	0.3%
OneBeacon Insurance Group Limited	OB / OB US	25%	✗	0.3%
OneMain Holdings Inc	OMF / OMF US	10%	✗	0.3%
Open Text Corporation	OTEX.O / OTEX US	10%	✓	0.3%
Ophthotech Corporation	OPHT.O / OPHT US	25%	✗	0.3%

Opko Health Inc	OPK.N / OPK US	25%	✓	0.3%
OraSure Technologies Inc	OSUR.O / OSUR US	15%	✗	0.3%
Oracle Corporation	ORCL.K / ORCL US	5%	✓	0.3%
Orbital ATK Inc	OA.N / OA US	10%	✓	0.3%
Orbotech Limited	ORBK.O / ORBK US	20%	✓	0.3%
Orexigen Therapeutics Inc	OREX.O / OREX US	25%	✓	0.3%
Organovo Holdings Inc	ONVO.A / ONVO US	25%	☐	0.3%
Oritani Financial Corporation	ORIT.O / ORIT US	25%	✗	0.3%
Ormat Technologies Inc	ORA.N / ORA US	20%	✓	0.3%
Orthofix International NV	OFIX.O / OFIX US	25%	✓	0.3%
Oshkosh Corporation	OSK.N / OSK US	10%	✓	0.3%
Osiris Therapeutics Inc	OSIR.O / OSIR US	25%	✗	0.3%
Otter Tail Corporation	OTTR.O / OTTR US	25%	✗	0.3%
Outerwall Inc	OUTR.O / OUTR US	10%	☐	0.3%
Outfront Media Inc	OUT.N / OUT US	10%	✓	0.3%
OvaScience Inc	OVAS.O / OVAS US	25%	✗	0.3%
Overstock.com Inc	OSTK.O / OSTK US	20%	✓	0.3%
Owens & Minor Inc	OMI.N / OMI US	10%	✓	0.3%
Owens Corning	OC.N / OC US	10%	✓	0.3%
Owens-Illinois Inc	OI.N / OI US	10%	✓	0.3%
Oxford Industries Inc	OXM / OXM US	15%	✗	0.3%
PACCAR Inc	PCAR.O / PCAR US	5%	✓	0.3%
PAREXEL International Corporation	PRXL.O / PRXL US	10%	✓	0.3%
PBF Energy Inc	PBF.N / PBF US	10%	✓	0.3%
PC Connection Inc	PCCC.O / PCCC US	25%	✗	0.3%
PDC Energy Inc	PDCE.O / PDCE US	25%	✓	0.3%
PDF Solutions Inc	PDFS.O / PDFS US	25%	✗	0.3%
PDL BioPharma Inc	PDLI.O / PDLI US	25%	✓	0.3%
PG&E Corporation	PCG.N / PCG US	5%	✓	0.3%
PGT Inc	PGTI.O / PGTI US	20%	✓	0.3%
PH Glatfelter Company	GLT / GLT US	25%	✗	0.3%
PHH Corporation	PHH.N / PHH US	10%	✓	0.3%
PHI Inc	PHIIK.O / PHIIK US	25%	✗	0.3%
PICO Holdings Inc	PICO.O / PICO US	20%	✓	0.3%
PIMCO 0-5 Year High Yield Corporate Bond Index Exchange-Traded Fund	HYS.P / HYS US	10%	✗	0.3%
PIMCO California Municipal Income Fund III	PZC / PZC US	25%	✓	0.3%
PIMCO Corporate & Income Opportunity Fund	PTY.N / PTY US	15%	✓	0.3%
PIMCO Corporate & Income Strategy Fund	PCN.N / PCN US	20%	✓	0.3%
PIMCO Dynamic Income Fund	PDI.N / PDI US	25%	✓	0.3%
PIMCO High Income Fund	PHK.N / PHK US	15%	✓	0.3%
PIMCO Municipal Income Fund II	PML.N / PML US	20%	✓	0.3%
PIMCO Municipal Income Fund III	PMX.N / PMX US	10%	✗	0.3%
PIMCO Total Return Active Exchange-Traded Fund	BOND.P / BOND US	10%	✓	0.3%
PJT Partners Inc	PJT / PJT US	25%	✗	0.3%
PMC-Sierra Inc	PMCS.O / PMCS US	10%	✓	0.3%
PNM Resources Inc	PNM.N / PNM US	10%	✓	0.3%
POS Company	PKX.N / PKX US	10%	✓	0.3%
PPG Industries Inc	PPG.N / PPG US	5%	✓	0.3%
PPL Corporation	PPL.N / PPL US	5%	✓	0.3%
PRA Group Inc	PRAA.O / PRAA US	10%	✓	0.3%
PRA Health Sciences Inc	PRAH.O / PRAH US	10%	✗	0.3%
PROS Holdings Inc	PRO / PRO US	15%	✗	0.3%
PS Business Parks Inc	PSB.N / PSB US	15%	✓	0.3%

PT Telekomunikasi Indonesia Tbk	TLK.N / TLK US	10%	✓	0.3%
PTC Inc	PTC.O / PTC US	10%	✓	0.3%
PTC Therapeutics Inc	PTCT.O / PTCT US	10%	✗	0.3%
PVH Corporation	PVH.N / PVH US	5%	✓	0.3%
PacWest Ban Corporation	PACW.O / PACW US	10%	✓	0.3%
Pacific Biosciences of California Inc	PACB.O / PACB US	25%	✓	0.3%
Pacific Continental Corporation	PCBK.O / PCBK US	25%	✗	0.3%
Pacific Drilling SA	PACD.K / PACD US	20%	✓	0.3%
Pacific Ethanol Inc	PEIX.O / PEIX US	25%	✓	0.3%
Pacific Premier Bancorp Inc	PPBI.O / PPBI US	25%	✗	0.3%
Pacira Pharmaceuticals Inc	PCRX.O / PCRX US	10%	✓	0.3%
Packaging Corporation of America	PKG.N / PKG US	10%	✓	0.3%
Palo Alto Networks Inc	PANW.K / PANW US	10%	✓	0.3%
Pan American Silver Corporation	PAAS.O / PAAS US	10%	✓	0.3%
Pandora Media Inc	P.N / P US	10%	✓	0.3%
Panera Bread Company	PNRA.O / PNRA US	10%	✓	0.3%
Panhandle Oil and Gas Inc	PHX / PHX US	25%	✗	0.3%
Papa John's International Inc	PZZA.O / PZZA US	10%	✓	0.3%
Par Pacific Holdings Inc	PARR.A / PARR US	25%	✗	0.3%
Paramount Group Inc	PGRE.K / PGRE US	25%	✗	0.3%
Paratek Pharmaceuticals Inc	PRTK.O / PRTK US	25%	✓	0.3%
Park Electrochemical Corporation	PKE / PKE US	25%	✗	0.3%
Park National Corporation	PRK.A / PRK US	20%	✓	0.3%
Park Sterling Corporation	PSTB.O / PSTB US	25%	✗	0.3%
Park-Ohio Holdings Corporation	PKOH.O / PKOH US	25%	✗	0.3%
Parker Drilling Company	PKD.N / PKD US	25%	✓	0.3%
Parker-Hannifin Corporation	PH.N / PH US	5%	✓	0.3%
Parkway Properties Inc	PKY / PKY US	10%	✗	0.3%
Parsley Energy Inc	PE / PE US	10%	✓	0.3%
PartnerRe Limited	PRE.N / PRE US	10%	✓	0.3%
Party City Holdco Inc	PRTY.K / PRTY US	20%	✓	0.3%
Patrick Industries Inc	PATK.O / PATK US	25%	✗	0.3%
Pattern Energy Group Inc	PEGI.O / PEGI US	20%	✓	0.3%
Patterson Companies Inc	PDCO.O / PDCO US	10%	✓	0.3%
Patterson-UTI Energy Inc	PTEN.O / PTEN US	10%	✓	0.3%
PayPal Holdings Inc	PYPL.O / PYPL US	10%	✗	0.3%
Paychex Inc	PAYX.O / PAYX US	5%	✓	0.3%
Paycom Software Inc	PAYC.K / PAYC US	25%	✓	0.3%
Paylocity Holding Corporation	PCTY.O / PCTY US	25%	✗	0.3%
Pebblebrook Hotel Trust	PEB / PEB US	25%	✓	0.3%
Pegasystems Inc	PEGA.O / PEGA US	15%	✗	0.3%
Pendrell Corporation	PCO.O / PCO US	25%	✗	0.3%
Pengrowth Energy Corporation	PGH.N / PGH US	25%	✓	0.3%
Penn National Gaming Inc	PENN.O / PENN US	10%	✓	0.3%
Penn West Petroleum Limited	PWE.N / PWE US	10%	☐	0.3%
PennantPark Investment Corporation	PNNT.O / PNNT US	25%	✗	0.3%
Pennsylvania Real Estate Investment Trust	PEI / PEI US	10%	✗	0.3%
PennyMac Financial Services Inc	PFSI.K / PFSI US	25%	✗	0.3%
PennyMac Mortgage Investment Trust	PMT.N / PMT US	10%	✓	0.3%
Penske Automotive Group Inc	PAG.N / PAG US	10%	✓	0.3%
Pentair PLC	PNR.N / PNR US	5%	✓	0.3%
People's United Financial Inc	PBCT.O / PBCT US	10%	✓	0.3%
Peoples Bancorp Inc	PEBO.O / PEBO US	25%	✗	0.3%
Peoples Financial Services Corporation	PFIS.O / PFIS US	25%	✗	0.3%

Pep Boys - Manny Moe & Jack	PBY.N / PBY US	20%	✓	0.3%
Pepco Holdings Inc	POM.N / POM US	10%	✓	0.3%
Pepsico Inc	PEP.N / PEP US	5%	✓	0.3%
Peregrine Pharmaceuticals Inc	PPHM.O / PPHM US	25%	✓	0.3%
Perficient Inc	PRFT.O / PRFT US	25%	✗	0.3%
Performance Food Group Company	PFGC.K / PFGC US	25%	✗	0.3%
Performance Sports Group Limited	PSG / PSG US	25%	✓	0.3%
PerkinElmer Inc	PKI.N / PKI US	10%	✓	0.3%
Permian Basin Royalty Trust	PBT.N / PBT US	25%	⚠	0.3%
Pernix Therapeutics Holdings Inc	PTX.O / PTX US	25%	✗	0.3%
Perrigo Company PLC	PRGO.K / PRGO US	5%	✓	0.3%
Perry Ellis International Inc	PERY.O / PERY US	25%	✓	0.3%
PetMed Express Inc	PETS.O / PETS US	25%	✗	0.3%
PetroChina Company Limited	PTR.N / PTR US	10%	✓	0.3%
PetroQuest Energy Inc	PQ.N / PQ US	25%	✓	0.3%
Petroleo Brasileiro S.A. - Petrobras	PBR.N / PBR US	10%	✓	0.3%
Petroleum & Resources Corporation	PEO.N / PEO US	25%	✓	0.3%
Pfenex Inc	PFNX.K / PFNX US	25%	✗	0.3%
Pfizer Inc	PFE.N / PFE US	5%	✓	0.3%
PharMerica Corporation	PMC / PMC US	15%	✓	0.3%
Phibro Animal Health Corporation	PAHC.O / PAHC US	25%	✗	0.3%
Philip Morris International Inc	PM.N / PM US	5%	✓	0.3%
Philippine Long Distance Telephone Company	PHI.N / PHI US	15%	✓	0.3%
Phillips 66	PSX.N / PSX US	5%	✓	0.3%
Phillips 66 Partners LP	PSXP.K / PSXP US	20%	✓	0.3%
Photronics Inc	PLAB.O / PLAB US	25%	✗	0.3%
Physicians Realty Trust	DOC / DOC US	25%	✓	0.3%
Piedmont Natural Gas Co. Inc	PNY / PNY US	10%	✗	0.3%
Piedmont Office Realty Trust Inc	PDM / PDM US	10%	✗	0.3%
Pier 1 Imports Inc	PIR / PIR US	10%	✓	0.3%
Pilgrim's Pride Corporation	PPC.O / PPC US	10%	✓	0.3%
Pinnacle Entertainment Inc	PNK.O / PNK US	10%	✓	0.3%
Pinnacle Financial Partners Inc	PNFP.O / PNFP US	15%	✗	0.3%
Pinnacle Foods Inc	PF.N / PF US	10%	✓	0.3%
Pinnacle West Capital Corporation	PNW.N / PNW US	10%	✓	0.3%
Pioneer Natural Resources Company	PXD.N / PXD US	5%	✓	0.3%
Piper Jaffray Companies	PJC.N / PJC US	20%	✓	0.3%
Pitney Bowes Inc	PBI.N / PBI US	10%	✓	0.3%
Plains All American Pipeline LP	PAA.N / PAA US	10%	✓	0.3%
Plains GP Holdings LP	PAGP.K / PAGP US	10%	✗	0.3%
Plantronics Inc	PLT.N / PLT US	10%	✓	0.3%
Platform Specialty Products Corporation	PAH / PAH US	10%	✓	0.3%
Plexus Corporation	PLXS.O / PLXS US	15%	✓	0.3%
Plug Power Inc	PLUG.O / PLUG US	25%	✓	0.3%
Plum Creek Timber Co. Inc	PCL.N / PCL US	5%	✓	0.3%
Polaris Industries Inc	PII.N / PII US	10%	✓	0.3%
PolyOne Corporation	POL.N / POL US	10%	✓	0.3%
Polycom Inc	PLCM.O / PLCM US	10%	✓	0.3%
Pool Corporation	POOL.O / POOL US	10%	✓	0.3%
Popeyes Louisiana Kitchen Inc	PLKI.O / PLKI US	15%	✓	0.3%
Popular Inc	BPOP.O / BPOP US	10%	✓	0.3%
Portland General Electric Company	POR.N / POR US	10%	✓	0.3%
Portola Pharmaceuticals Inc	PTLA.O / PTLA US	25%	✓	0.3%
Post Holdings Inc	POST.K / POST US	10%	✓	0.3%

Post Properties Inc	PPS.N / PPS US	10%	✓	0.3%
Potash Corporation of Saskatchewan Inc	POT.N / POT US	10%	✓	0.3%
Potbelly Corporation	PBPB.O / PBPB US	25%	✓	0.3%
Potlatch Corporation	PCH.O / PCH US	10%	✓	0.3%
Power Integrations Inc	POWI.O / POWI US	10%	✓	0.3%
PowerSecure International Inc	POWR.K / POWR US	25%	✓	0.3%
PowerShares Buyback Achievers Portfolio	PKW / PKW US	10%	✓	0.3%
PowerShares CEF Income Composite Portfolio	PCEF.P / PCEF US	10%	✗	0.3%
PowerShares Chinese Yuan Dim Sum Bond Portfolio	DSUM.P / DSUM US	25%	✗	0.3%
PowerShares DB Agriculture Fund	DBA / DBA US	10%	✓	0.3%
PowerShares DB Base Metals Fund	DBB.P / DBB US	10%	✓	0.3%
PowerShares DB Commodity Index Tracking Fund	DBC.P / DBC US	10%	✓	0.3%
PowerShares DB Energy Fund	DBE / DBE US	10%	☒	0.3%
PowerShares DB Gold Fund	DGL.P / DGL US	25%	✗	0.3%
PowerShares DB Oil Fund	DBO / DBO US	10%	✗	0.3%
PowerShares DB Precious Metals Fund	DBP / DBP US	10%	✓	0.3%
PowerShares DB US Dollar Index Bearish Fund	UDN.P / UDN US	25%	✓	0.3%
PowerShares DB US Dollar Index Bullish Fund	UUP / UUP US	10%	✓	0.3%
PowerShares DWA Technology Momentum Portfolio	PTF / PTF US	25%	✗	0.3%
PowerShares Dynamic Biotechnology & Genome Portfolio	PBE / PBE US	10%	✗	0.3%
PowerShares Dynamic Food & Beverage Portfolio	PBJ.P / PBJ US	10%	✓	0.3%
PowerShares Emerging Markets Sovereign Debt Portfolio	PCY / PCY US	10%	☒	0.3%
PowerShares Financial Preferred Portfolio	PGF.P / PGF US	10%	✓	0.3%
PowerShares Fundamental High Yield Corporate Bond Portfolio	PHB.P / PHB US	10%	☒	0.3%
PowerShares Global Water Portfolio	PIO / PIO US	10%	✓	0.3%
PowerShares High Yield Equity Dividend Achievers(TM) Portfolio	PEY.P / PEY US	10%	✓	0.3%
PowerShares KBW Bank Portfolio	KBWB.P / KBWB US	10%	✓	0.3%
PowerShares KBW High Dividend Yield Financial Portfolio	KBWD.P / KBWD US	10%	✗	0.3%
PowerShares Preferred Portfolio	PGX.P / PGX US	10%	✗	0.3%
PowerShares QQQ	QQQ.O / QQQ US	5%	✓	0.3%
PowerShares S&P 500 BuyWrite Portfolio	PBP.P / PBP US	10%	✗	0.3%
PowerShares S&P 500 Low Volatility Portfolio	SPLV.K / SPLV US	10%	✗	0.3%
PowerShares S&P 500(r) High Dividend Low Volatility Portfolio	SPHD.P / SPHD US	10%	✓	0.3%
PowerShares S&P SmallCap Energy Portfolio	PSCE.O / PSCE US	25%	✓	0.3%
PowerShares Senior Loan Portfolio	BKLN.P / BKLN US	10%	✗	0.3%
PowerShares Water Resources Portfolio	PHO.P / PHO US	10%	✓	0.3%
PowerShares WilderHill Clean Energy Portfolio	PBW.P / PBW US	10%	✓	0.3%
Praxair Inc	PX.N / PX US	5%	✓	0.3%
Precision Castparts Corporation	PCP.N / PCP US	5%	✓	0.3%
Precision Drilling Corporation	PDS.N / PDS US	10%	✓	0.3%
Preferred Apartment Communities Inc	APTS.K / APTS US	25%	✓	0.3%
Preferred Bank	PFBC.O / PFBC US	25%	✓	0.3%
Premier Inc	PINC.O / PINC US	10%	✗	0.3%
Press Ganey Holdings Inc	PGND.K / PGND US	25%	✓	0.3%
Prestige Brands Holdings Inc	PBH / PBH US	10%	✗	0.3%
Pretium Resources Inc	PVG / PVG US	25%	✓	0.3%
PriceSmart Inc	PSMT.O / PSMT US	10%	✓	0.3%
Primerica Inc	PRI / PRI US	10%	✗	0.3%
Primoris Services Corporation	PRIM.O / PRIM US	15%	✓	0.3%
Principal Financial Group Inc	PFG.N / PFG US	5%	✓	0.3%

PrivateBancorp Inc	PVTB.O / PVTB US	10%	✓	0.3%
ProAssurance Corporation	PRA.N / PRA US	10%	✓	0.3%
ProQR Therapeutics NV	PRQR.O / PRQR US	25%	✓	0.3%
ProShares 30 Year TIPS TSY Spread	RINF.P / RINF US	25%	✗	0.3%
ProShares CDS North American HY Credit ETF	TYTE.P / TYTE US	25%	✗	0.3%
ProShares CDS Short North American HY Credit ETF	WYDE.P / WYDE US	25%	✗	0.3%
ProShares Large Cap Core Plus	CSM.P / CSM US	10%	✗	0.3%
ProShares Short 20+ Year Treasury	TBF.P / TBF US	10%	✓	0.3%
ProShares Short Dow30	DOG.P / DOG US	10%	✓	0.3%
ProShares Short FTSE China 50	YXI.P / YXI US	25%	✗	0.3%
ProShares Short Financials	SEF / SEF US	10%	✓	0.3%
ProShares Short High Yield	SJB.P / SJB US	10%	✓	0.3%
ProShares Short MSCI EAFE	EFZ.P / EFZ US	25%	✓	0.3%
ProShares Short MSCI Emerging Markets	EUM.P / EUM US	10%	✓	0.3%
ProShares Short QQQ	PSQ.N / PSQ US	25%	✗	0.3%
ProShares Short Russell2000	RWM.P / RWM US	10%	✓	0.3%
ProShares Short S&P500	SH / SH US	10%	✓	0.3%
ProShares Short VIX Short-Term Futures ETF	SVXY.P / SVXY US	10%	☐	0.3%
ProShares Ultra 20+ Year Treasury	UBT.P / UBT US	10%	✓	0.3%
ProShares Ultra Basic Materials	UYM / UYM US	25%	☐	0.3%
ProShares Ultra Bloomberg Crude Oil	UCO / UCO US	10%	✓	0.3%
ProShares Ultra Bloomberg Natural Gas	BOIL.P / BOIL US	10%	✓	0.3%
ProShares Ultra Dow30	DDM / DDM US	20%	✓	0.3%
ProShares Ultra FTSE China 50	XPP.P / XPP US	25%	✓	0.3%
ProShares Ultra Financials	UYG / UYG US	25%	✓	0.3%
ProShares Ultra Gold	UGL / UGL US	10%	✓	0.3%
ProShares Ultra Health Care	RXL / RXL US	10%	✓	0.3%
ProShares Ultra MSCI Brazil Capped	UBR.P / UBR US	10%	✓	0.3%
ProShares Ultra MSCI Japan	EZJ.P / EZJ US	25%	✓	0.3%
ProShares Ultra MidCap400	MVV / MVV US	25%	☐	0.3%
ProShares Ultra Nasdaq Biotechnology	BIB.O / BIB US	10%	✓	0.3%
ProShares Ultra Oil & Gas	DIG / DIG US	20%	✓	0.3%
ProShares Ultra QQQ	QLD.P / QLD US	10%	✓	0.3%
ProShares Ultra Real E SAE	URE / URE US	20%	✓	0.3%
ProShares Ultra Russell2000	UWM.P / UWM US	10%	☐	0.3%
ProShares Ultra S&P500	SSO.P / SSO US	10%	✓	0.3%
ProShares Ultra Silver	AGQ / AGQ US	10%	✓	0.3%
ProShares Ultra Technology	ROM.P / ROM US	20%	✓	0.3%
ProShares Ultra VIX Short-Term Futures ETF	UVXY.P / UVXY US	20%	✓	0.3%
ProShares UltraPro Dow30	UDOW.P / UDOW US	25%	✗	0.3%
ProShares UltraPro QQQ	TQQQ.O / TQQQ US	15%	✓	0.3%
ProShares UltraPro S&P500	UPRO.P / UPRO US	20%	✓	0.3%
ProShares UltraPro Short 20+ Year Treasury	TTT / TTT US	25%	☐	0.3%
ProShares UltraPro Short Dow30	SDOW.K / SDOW US	25%	✗	0.3%
ProShares UltraPro Short QQQ	SQQQ.O / SQQQ US	20%	☐	0.3%
ProShares UltraPro Short Russell2000	SRTY.P / SRTY US	25%	✗	0.3%
ProShares UltraPro Short S&P500	SPXU.P / SPXU US	5%	✓	0.3%
ProShares UltraShort 20+ Year Treasury	TBT / TBT US	10%	✓	0.3%
ProShares UltraShort 7-10 Year Treasury	PST / PST US	10%	✓	0.3%
ProShares UltraShort Basic Materials	SMN / SMN US	20%	☐	0.3%
ProShares UltraShort Bloomberg Crude Oil	SCO / SCO US	10%	☐	0.3%
ProShares UltraShort Bloomberg Natural Gas	KOLD.P / KOLD US	25%	✗	0.3%
ProShares UltraShort Dow30	DXD / DXD US	10%	✓	0.3%
ProShares UltraShort Euro	EUO / EUO US	15%	✓	0.3%

ProShares UltraShort FTSE China 50	FXP / FXP US	20%	✓	0.3%
ProShares UltraShort FTSE Europe	EPV.P / EPV US	25%	✗	0.3%
ProShares UltraShort Financials	SKF.P / SKF US	20%	✓	0.3%
ProShares UltraShort Gold	GLL / GLL US	10%	☐	0.3%
ProShares UltraShort Gold Miners	GDXS.P / GDXS US	25%	✓	0.3%
ProShares UltraShort MSCI Brazil Capped	BZQ.P / BZQ US	10%	✗	0.3%
ProShares UltraShort MSCI Emerging Markets	EEV / EEV US	10%	✓	0.3%
ProShares UltraShort MSCI Japan	EWV / EWV US	25%	✓	0.3%
ProShares UltraShort Nasdaq Biotechnology	BIS.O / BIS US	10%	✓	0.3%
ProShares UltraShort Oil & Gas	DUG / DUG US	20%	☐	0.3%
ProShares UltraShort QQQ	QID.P / QID US	10%	✓	0.3%
ProShares UltraShort Real E SAE	SRS / SRS US	25%	✓	0.3%
ProShares UltraShort Russell2000	TWM / TWM US	10%	☐	0.3%
ProShares UltraShort S&P500	SDS.P / SDS US	10%	✗	0.3%
ProShares UltraShort Silver	ZSL.P / ZSL US	20%	☐	0.3%
ProShares UltraShort Yen	YCS.P / YCS US	10%	✓	0.3%
ProShares VIX Mid-Term Futures ETF	VIXM.P / VIXM US	25%	✗	0.3%
ProShares VIX Short-Term Futures ETF	VIXY.P / VIXY US	10%	✓	0.3%
Progenics Pharmaceuticals Inc	PGNX.O / PGNX US	25%	✗	0.3%
Progress Software Corporation	PRGS.O / PRGS US	15%	✓	0.3%
Progressive Corporation	PGR.N / PGR US	5%	✓	0.3%
Progressive Waste Solutions Limited	BIN / BIN US	15%	✓	0.3%
Prologis Inc	PLD.N / PLD US	5%	✓	0.3%
Proofpoint Inc	PFPT.O / PFPT US	10%	✓	0.3%
Prospect Capital Corporation	PSEC.O / PSEC US	10%	☐	0.3%
Prosperity Bancshares Inc	PB / PB US	10%	✗	0.3%
Prothena Corporation PLC	PRTA.O / PRTA US	25%	✓	0.3%
Proto Labs Inc	PRLB.K / PRLB US	10%	✓	0.3%
Providence Service Corporation	PRSC.O / PRSC US	15%	✗	0.3%
Provident Financial Services Inc	PFS / PFS US	15%	✗	0.3%
Prudential Financial Inc	PRU.N / PRU US	5%	✓	0.3%
Prudential PLC	PUK.N / PUK US	10%	✓	0.3%
Public Service Enterprise Group Inc	PEG.N / PEG US	5%	✓	0.3%
Public Storage	PSA.N / PSA US	5%	✓	0.3%
PulteGroup Inc	PHM.N / PHM US	5%	✓	0.3%
Puma Biotechnology Inc	PBYI.K / PBYI US	25%	✓	0.3%
Pure Storage Inc	PSTG.N / PSTG US	25%	✓	0.3%
PureFunds ISE Cyber Security(TM) ETF	HACK.P / HACK US	20%	✗	0.3%
Putnam Premier Income Trust	PPT.N / PPT US	20%	✓	0.3%
Q2 Holdings Inc	QTWO.K / QTWO US	15%	✗	0.3%
QEP Resources Inc	QEP.N / QEP US	10%	✓	0.3%
QLogic Corporation	QLGC.O / QLGC US	15%	✓	0.3%
QTS Realty Trust Inc	QTS / QTS US	10%	✗	0.3%
QUALCOMM Inc	QCOM.O / QCOM US	5%	✓	0.3%
Qiagen NV	QGEN.O / QGEN US	20%	✗	0.3%
Qihoo 360 Technology Company Limited	QIHU.K / QIHU US	10%	✓	0.3%
Qivi PLC	QIWI.O / QIWI US	10%	✓	0.3%
Qlik Technologies Inc	QLIK.O / QLIK US	10%	✓	0.3%
Qorvo Inc	QRVO.O / QRVO US	20%	✓	0.3%
Quad Graphics Inc	QUAD.K / QUAD US	25%	✗	0.3%
Quaker Chemical Corporation	KWR / KWR US	15%	✗	0.3%
Quality Systems Inc	QSII.O / QSII US	10%	✓	0.3%
Qualys Inc	QLYS.O / QLYS US	15%	✓	0.3%
Quanex Building Products Corporation	NX.N / NX US	15%	✓	0.3%

Quanta Services Inc	PWR.N / PWR US	5%	✓	0.3%
Quest Diagnostics Inc	DGX.N / DGX US	5%	✓	0.3%
Questar Corporation	STR.N / STR US	10%	✓	0.3%
Quidel Corporation	QDEL.O / QDEL US	15%	✓	0.3%
Quintiles Transnational Holdings Inc	Q.N / Q US	10%	✓	0.3%
Qunar Cayman Islands Limited	QUNR.O / QUNR US	10%	✗	0.3%
Quotient Technology Inc	QUOT.K / QUOT US	25%	✓	0.3%
R.R. Donnelley & Sons Company	RRD.O / RRD US	10%	✓	0.3%
RBC Bearings Inc	ROLL.O / ROLL US	15%	✓	0.3%
RE MAX Holdings Inc	RMAX.K / RMAX US	20%	✓	0.3%
REX American Resources Corporation	REX / REX US	25%	✓	0.3%
RLI Corporation	RLI.N / RLI US	15%	✗	0.3%
RLJ Lodging Trust	RLJ / RLJ US	25%	✗	0.3%
RPC Inc	RES.N / RES US	10%	✓	0.3%
RPM International Inc	RPM.N / RPM US	10%	✓	0.3%
RPX Corporation	RPXC.O / RPXC US	15%	✓	0.3%
RSP Permian Inc	RSPP.N / RSPP US	25%	✓	0.3%
RTI Surgical Inc	RTIX.O / RTIX US	25%	✗	0.3%
Rackspace Hosting Inc	RAX.N / RAX US	20%	✓	0.3%
Radian Group Inc	RDN.N / RDN US	10%	✓	0.3%
Radius Health Inc	RDUS.O / RDUS US	25%	✗	0.3%
Radware Limited	RDWR.O / RDWR US	15%	✓	0.3%
Ralph Lauren Corporation	RL.N / RL US	5%	✓	0.3%
Rambus Inc	RMBS.O / RMBS US	10%	✓	0.3%
Ramco-Gershenson Properties Trust	RPT.N / RPT US	15%	✓	0.3%
Randgold Resources Limited	GOLD.O / GOLD US	5%	✓	0.3%
Range Resources Corporation	RRC.N / RRC US	5%	✓	0.3%
Raptor Pharmaceuticals Corporation	RPTP.O / RPTP US	25%	✓	0.3%
Raven Industries Inc	RAVN.O / RAVN US	25%	✓	0.3%
Raymond James Financial Inc	RJF.N / RJF US	10%	✓	0.3%
Rayonier Advanced Materials Inc	RYAM.K / RYAM US	15%	✓	0.3%
Rayonier Inc	RYN.N / RYN US	10%	✓	0.3%
Raytheon Company	RTN.N / RTN US	5%	✓	0.3%
ReWalk Robotics Limited	RWLK.O / RWLK US	25%	✗	0.3%
Real Industry Inc	RELY.O / RELY US	25%	✓	0.3%
RealD Inc	RLD.N / RLD US	20%	✓	0.3%
RealNetworks Inc	RNWK.O / RNWK US	25%	✓	0.3%
RealPage Inc	RP.O / RP US	15%	✗	0.3%
Realty Holdings Corporation	RLGY.K / RLGY US	10%	✓	0.3%
Realty Income Corporation	O.N / O US	20%	✓	0.3%
Reaves Utility Income Fund	UTG / UTG US	10%	✗	0.3%
Red Hat Inc	RHT.N / RHT US	5%	✓	0.3%
Red Lion Hotels Corporation	RLH.N / RLH US	25%	✓	0.3%
Red Robin Gourmet Burgers Inc	RRGB.O / RRGB US	10%	✓	0.3%
Redwood Trust Inc	RWT.N / RWT US	20%	✓	0.3%
Regal Beloit Corporation	RBC.N / RBC US	10%	✓	0.3%
Regal Entertainment Group	RGC.N / RGC US	10%	✓	0.3%
Regency Centers Corporation	REG.N / REG US	10%	✓	0.3%
Regeneron Pharmaceuticals Inc	REGN.O / REGN US	5%	✓	0.3%
Regions Financial Corporation	RF.N / RF US	5%	✓	0.3%
Regis Corporation	RGS.N / RGS US	15%	✓	0.3%
Reinsurance Group of America Inc	RGA.N / RGA US	10%	✓	0.3%
Reliance Steel & Aluminum Company	RS.N / RS US	10%	✓	0.3%
Relypsa Inc	RLYP.O / RLYP US	25%	Ⓢ	0.3%

RenaissanceRe Holdings Limited	RNR.N / RNR US	10%	✓	0.3%
Reasant Corporation	RNST.O / RNST US	15%	✓	0.3%
Renewable Energy Group Inc	REGI.O / REGI US	25%	✓	0.3%
Rent-A-Center Inc	RCII.O / RCII US	10%	✓	0.3%
Rentech Nitrogen Partners LP	RNF.N / RNF US	20%	✓	0.3%
Rentrak Corporation	RENT.O / RENT US	10%	✓	0.3%
Repligen Corporation	RGEN.O / RGEN US	15%	✓	0.3%
Republic Airways Holdings Inc	RJET.O / RJETQ US	15%	✗	0.3%
Republic Services Inc	RSG.N / RSG US	5%	✓	0.3%
ResMed Inc	RMD.N / RMD US	10%	✓	0.3%
Resolute Forest Products Inc	RFP.N / RFP US	15%	✓	0.3%
Resource Capital Corporation	RSO.N / RSO US	15%	✓	0.3%
Resources Connection Inc	RECN.O / RECN US	20%	✓	0.3%
Restaurant Brands International Inc	QSR / QSR US	25%	✓	0.3%
Restaurant ETF	BITE.O / BITE US	25%	✗	0.3%
Restoration Hardware Holdings Inc	RH.N / RH US	10%	✓	0.3%
Retail Opportunity Investments Corporation	ROIC.O / ROIC US	25%	✓	0.3%
Retail Properties of America Inc	RPAI.K / RPAI US	10%	✓	0.3%
RetailMeNot Inc	SALE.O / SALE US	10%	✓	0.3%
Retrophin Inc	RTRX.O / RTRX US	25%	✗	0.3%
Revance Therapeutics Inc	RVNC.O / RVNC US	25%	✗	0.3%
Revlon Inc	REV.N / REV US	25%	✓	0.3%
Rex Energy Corporation	REXX.O / REXX US	10%	⚠	0.3%
Rexford Industrial Realty Inc	REXR.K / REXR US	25%	✓	0.3%
Rexnord Corporation	RXN.N / RXN US	15%	✓	0.3%
Reynolds American Inc	RAI.N / RAI US	5%	✓	0.3%
Rice Energy Inc	RICE.K / RICE US	10%	✗	0.3%
RigNet Inc	RNET.O / RNET US	15%	✗	0.3%
Rigel Pharmaceuticals Inc	RIGL.O / RIGL US	25%	✗	0.3%
RingCentral Inc	RNG.N / RNG US	20%	✓	0.3%
Rio Tinto PLC	RIO.N / RIO US	5%	✓	0.3%
Ritchie Bros. Auctioneers Inc	RBA.N / RBA US	10%	✓	0.3%
Rite Aid Corporation	RAD.N / RAD US	10%	✓	0.3%
Roadrunner Transportation Systems Inc	RRTS.K / RRTS US	15%	✗	0.3%
Robert Half International Inc	RHI.N / RHI US	10%	✓	0.3%
Robo Global(TM) Robotics and Automation Index ETF	ROBO.O / ROBO US	20%	✗	0.3%
Rockwell Automation Inc	ROK.N / ROK US	5%	✓	0.3%
Rockwell Collins Inc	COL.N / COL US	5%	✓	0.3%
Rockwell Medical Inc	RMTI.O / RMTI US	25%	✗	0.3%
Rofin-Sinar Technologies Inc	RSTI.O / RSTI US	20%	✓	0.3%
Rogers Corporation	ROG.N / ROG US	15%	✓	0.3%
Rollins Inc	ROL / ROL US	15%	✓	0.3%
Roper Technologies Inc	ROP.N / ROP US	5%	✓	0.3%
Rose Rock Midstream LP	RRMS.K / RRMS US	25%	✓	0.3%
Ross Stores Inc	ROST.O / ROST US	5%	✓	0.3%
Rouse Properties Inc	RSE.N / RSE US	15%	✓	0.3%
Rovi Corporation	ROVI.O / ROVI US	10%	✓	0.3%
Rowan Companies PLC	RDC.N / RDC US	10%	✓	0.3%
Royal Bank of Canada	RY.N / RY US	10%	✓	0.3%
Royal Caribbean Cruises Limited	RCL.N / RCL US	10%	✓	0.3%
Royal Dutch Shell PLC	RDSa.N / RDS/A US	5%	✓	0.3%
Royal Dutch Shell PLC	RDSb / RDS/B US	10%	✓	0.3%
Royal Gold Inc	RGLD.O / RGLD US	20%	✓	0.3%
Rubicon Technology Inc	RBCN.O / RBCN US	20%	✓	0.3%

Ruby Tuesday Inc	RT.N / RT US	20%	✓	0.3%
Ruckus Wireless Inc	RKUS.K / RKUS US	10%	✓	0.3%
Rudolph Technologies Inc	RTEC.K / RTEC US	25%	✗	0.3%
Rush Enterprises Inc	RUSHA.O / RUSHA US	15%	✓	0.3%
Ruth's Hospitality Group Inc	RUTH.O / RUTH US	25%	✗	0.3%
Ryanair Holdings PLC	RYAAY.O / RYAAY US	10%	✓	0.3%
Ryder System Inc	R.N / R US	10%	✓	0.3%
Ryman Hospitality Properties Inc	RHP / RHP US	10%	✓	0.3%
S&T Bancorp Inc	STBA.O / STBA US	25%	✓	0.3%
SAP SE	SAP.N / SAP US	10%	✓	0.3%
SBA Communications Corporation	SBAC.O / SBAC US	5%	✓	0.3%
SCANA Corporation	SCG.N / SCG US	5%	✓	0.3%
SEI Investments Company	SEIC.O / SEIC US	10%	✗	0.3%
SINA Corporation	SINA.O / SINA US	10%	✓	0.3%
SJW Corporation	SJW / SJW US	25%	✓	0.3%
SK Telecom Company Limited	SKM.N / SKM US	10%	✓	0.3%
SL Green Realty Corporation	SLG.N / SLG US	10%	✓	0.3%
SLM Corporation	SLM.O / SLM US	5%	✓	0.3%
SM Energy Company	SM.N / SM US	10%	✓	0.3%
SP Plus Corporation	SP.O / SP US	25%	✗	0.3%
SPDR(r) Barclays High Yield Bond ETF	JNK.P / JNK US	10%	✓	0.3%
SPDR(r) Barclays International Treasury Bond ETF	BWX.P / BWX US	10%	✗	0.3%
SPDR(r) Barclays Short Term High Yield Bond ETF	SJNK.P / SJNK US	10%	✗	0.3%
SPDR(r) Dow Jones Global Real Estate ETF	RWO.P / RWO US	10%	✗	0.3%
SPDR(r) Dow Jones Industrial Average ETF	DIA.P / DIA US	5%	✓	0.3%
SPDR(r) Dow Jones International Real Estate ETF	RWX.P / RWX US	10%	✓	0.3%
SPDR(r) Dow Jones REIT ETF	RWR.P / RWR US	10%	✓	0.3%
SPDR(r) EURO STOXX 50 ETF	FEZ.P / FEZ US	10%	✓	0.3%
SPDR(r) Gold Sh AE	GLD.P / GLD US	5%	✓	0.3%
SPDR(r) S&P 500 ETF	SPY.P / SPY US	5%	✓	0.3%
SPDR(r) S&P 600 Small Cap Growth ETF	SLYG.P / SLYG US	10%	✗	0.3%
SPDR(r) S&P BRIC 40 ETF	BIK / BIK US	10%	✓	0.3%
SPDR(r) S&P Bank ETF	KBE.P / KBE US	10%	✓	0.3%
SPDR(r) S&P Biotech ETF	XBI.P / XBI US	10%	✓	0.3%
SPDR(r) S&P Dividend ETF	SDY.P / SDY US	10%	✓	0.3%
SPDR(r) S&P Emerging Asia Pacific ETF	GMF.P / GMF US	10%	✓	0.3%
SPDR(r) S&P Emerging Markets Dividend ETF	EDIV.P / EDIV US	10%	✓	0.3%
SPDR(r) S&P Emerging Markets Small Cap ETF	EWX / EWX US	10%	✓	0.3%
SPDR(r) S&P Global Dividend ETF	WDIV.P / WDIV US	25%	✗	0.3%
SPDR(r) S&P Homebuilders ETF	XHB.P / XHB US	10%	✓	0.3%
SPDR(r) S&P Insurance ETF	KIE.P / KIE US	10%	✓	0.3%
SPDR(r) S&P International Small Cap ETF	GWX.P / GWX US	10%	✗	0.3%
SPDR(r) S&P Metals and Mining ETF	XME.P / XME US	10%	✓	0.3%
SPDR(r) S&P MidCap 400 ETF	MDY.P / MDY US	10%	✓	0.3%
SPDR(r) S&P Oil & Gas Equipment & Services ETF	XES / XES US	10%	✓	0.3%
SPDR(r) S&P Oil & Gas Exploration & Production ETF	XOP.P / XOP US	10%	✓	0.3%
SPDR(r) S&P Pharmaceuticals ETF	XPH.P / XPH US	15%	✓	0.3%
SPDR(r) S&P Regional Banking ETF	KRE.P / KRE US	10%	✓	0.3%
SPDR(r) S&P Retail ETF	XRT.P / XRT US	10%	✓	0.3%
SPDR(r) S&P Semiconductor ETF	XSD.P / XSD US	25%	✓	0.3%
SPDR(r) S&P Transportation ETF	XTN / XTN US	10%	✗	0.3%
SPS Commerce Inc	SPSC.O / SPSC US	15%	✗	0.3%
SPX FLOW Inc	FLOW.K / FLOW US	10%	✗	0.3%
SS&C Technologies Holdings Inc	SSNC.O / SSNC US	10%	✓	0.3%

STAAR Surgical Company	STAA.O / STAA US	25%	✓	0.3%
STAG Industrial Inc	STAG.K / STAG US	25%	✗	0.3%
STORE Capital Corporation	STOR.K / STOR US	25%	✓	0.3%
SUPERVALU Inc	SVU.N / SVU US	10%	✓	0.3%
SVB Financial Group	SIVB.O / SIVB US	10%	✓	0.3%
SYNNEX Corporation	SNX.N / SNX US	10%	✓	0.3%
Sabine Royalty Trust	SBR / SBR US	25%	✓	0.3%
Sabra Health Care REIT Inc	SBRA.O / SBRA US	25%	✓	0.3%
Sabre Corporation	SABR.O / SABR US	10%	✓	0.3%
Safeguard Scientifics Inc	SFE / SFE US	25%	✗	0.3%
Safety Insurance Group Inc	SAFT.O / SAFT US	20%	✓	0.3%
Sage Therapeutics Inc	SAGE.O / SAGE US	25%	✗	0.3%
Sagent Pharmaceuticals Inc	SGNT.O / SGNT US	25%	✗	0.3%
Saia Inc	SAIA.O / SAIA US	15%	✓	0.3%
Sally Beauty Holdings Inc	SBH.N / SBH US	10%	✓	0.3%
San Juan Basin Royalty Trust	SJT.N / SJT US	20%	☐	0.3%
SanDisk Corporation	SNDK.O / SNDK US	5%	✓	0.3%
Sanchez Energy Corporation	SN.N / SN US	10%	☐	0.3%
SandRidge Permian Trust	PER / PER US	25%	✓	0.3%
Sanderson Farms Inc	SAFM.O / SAFM US	10%	✓	0.3%
Sandstorm Gold Limited	SAND.A / SAND US	20%	✓	0.3%
Sandy Spring Bancorp Inc	SASR.O / SASR US	25%	✓	0.3%
Sangamo Biosciences Inc	SGMO.O / SGMO US	25%	✓	0.3%
Sanmina Corporation	SANM.O / SANM US	10%	✓	0.3%
Sanofi	SNY.N / SNY US	5%	✓	0.3%
Sanofi	GCVRZ.O / GCVRZ US	25%	✓	0.3%
Santander Consumer USA Holdings Inc	SC / SC US	10%	✓	0.3%
Sarepta Therapeutics Inc	SRPT.O / SRPT US	25%	✓	0.3%
Sasol Limited	SSL.N / SSL US	10%	✓	0.3%
Saul Centers Inc	BFS.N / BFS US	25%	✓	0.3%
ScanSource Inc	SCSC.O / SCSC US	15%	✓	0.3%
Schlumberger Limited	SLB.N / SLB US	5%	✓	0.3%
Schnitzer Steel Industries Inc	SCHN.O / SCHN US	15%	✓	0.3%
Scholastic Corporation	SCHL.O / SCHL US	15%	✓	0.3%
Schwab U.S. Dividend Equity ETF(TM)	SCHD.P / SCHD US	10%	✗	0.3%
Schwab U.S. Large-Cap ETF(TM)	SCHX.K / SCHX US	10%	✗	0.3%
Schweitzer-Mauduit International Inc	SWM.N / SWM US	20%	✓	0.3%
SciClone Pharmaceuticals Inc	SCLN.O / SCLN US	25%	✗	0.3%
SciQuest Inc	SQI.O / SQI US	25%	✓	0.3%
Science Applications International Corporation	SAIC.K / SAIC US	10%	✓	0.3%
Scientific Games Corporation	SGMS.O / SGMS US	10%	✓	0.3%
Scorpio Bulkers Inc	SALT.K / SALT US	25%	✓	0.3%
Scorpio Tankers Inc	STNG.K / STNG US	25%	✓	0.3%
Scripps Networks Interactive Inc	SNI.O / SNI US	5%	✓	0.3%
SeaDrill Limited	SDRL.N / SDRL US	10%	☐	0.3%
SeaWorld Entertainment Inc	SEAS.K / SEAS US	10%	✓	0.3%
Seabridge Gold Inc	SA.N / SA US	20%	✓	0.3%
Seacoast Banking Corp. of Florida	SBCF.O / SBCF US	25%	✗	0.3%
Seacor Holdings Inc	CKH.N / CKH US	10%	✓	0.3%
Seadrill Partners LLC	SDLP.K / SDLP US	10%	✗	0.3%
Seagate Technology PLC	STX.O / STX US	5%	✓	0.3%
Sealed Air Corporation	SEE.N / SEE US	10%	✓	0.3%
Sears Canada Inc	SRSC.O / SRSC US	25%	✗	0.3%
Sears Holdings Corporation	SHLD.O / SHLD US	10%	☐	0.3%

Seaspan Corporation	SSW.N / SSW US	15%	☐	0.3%
Seattle Genetics Inc	SGEN.O / SGEN US	25%	✓	0.3%
Select Comfort Corporation	SCSS.O / SCSS US	10%	✓	0.3%
Select Income REIT	SIR / SIR US	10%	✗	0.3%
Select Medical Holdings Corporation	SEM / SEM US	10%	✗	0.3%
Selective Insurance Group Inc	SIGI.O / SIGI US	20%	✓	0.3%
SemGroup Corporation	SEMG.K / SEMG US	10%	✗	0.3%
Sempra Energy	SRE.N / SRE US	5%	✓	0.3%
Semtech Corporation	SMTC.O / SMTC US	10%	✓	0.3%
Senior Housing Properties Trust	SNH.N / SNH US	10%	✓	0.3%
Sensata Technologies Holding NV	ST.N / ST US	10%	✓	0.3%
Sensient Technologies Corporation	SXT / SXT US	10%	✗	0.3%
Sequenom Inc	SQNM.O / SQNM US	25%	☐	0.3%
Seritage Growth Properties	SRG / SRG US	25%	✓	0.3%
Service Corporation International	SCI.N / SCI US	10%	✓	0.3%
ServiceMaster Global Holdings Inc	SERV.N / SERV US	10%	✓	0.3%
ServiceNow Inc	NOW.N / NOW US	10%	✓	0.3%
ServisFirst Bancshares Inc	SFBS.O / SFBS US	25%	✗	0.3%
Shake Shack Inc	SHAK.K / SHAK US	25%	☐	0.3%
Shaw Communications Inc	SJR.N / SJR US	10%	✓	0.3%
Shell Midstream Partners LP	SHLX.K / SHLX US	25%	✗	0.3%
Shenandoah Telecommunications Company	SHEN.O / SHEN US	25%	✗	0.3%
Shinhan Financial Group Company Limited	SHG.N / SHG US	20%	✓	0.3%
Ship Finance International Limited	SFL.N / SFL US	25%	✓	0.3%
Shire PLC	SHPG.O / SHPG US	10%	✓	0.3%
Shoe Carnival Inc	SCVL.O / SCVL US	25%	✓	0.3%
Shopify Inc	SHOP.K / SHOP US	20%	✗	0.3%
ShoreTel Inc	SHOR.O / SHOR US	25%	✗	0.3%
Shutterfly Inc	SFLY.O / SFLY US	10%	✓	0.3%
Shutterstock Inc	SSTK.K / SSTK US	10%	✓	0.3%
Sierra Wireless Inc	SWIR.O / SWIR US	15%	✓	0.3%
Signature Bank	SBNY.O / SBNY US	10%	✓	0.3%
Signet Jewelers Limited	SIG.N / SIG US	10%	✓	0.3%
Silgan Holdings Inc	SLGN.O / SLGN US	10%	✓	0.3%
Silicom Limited	SILC.O / SILC US	25%	✗	0.3%
Silicon Graphics International Corporation	SGI.O / SGI US	25%	✓	0.3%
Silicon Laboratories Inc	SLAB.O / SLAB US	10%	✓	0.3%
Silicon Motion Technology Corporation	SIMO.O / SIMO US	15%	✓	0.3%
Silver Bay Realty Trust Corporation	SBY.N / SBY US	15%	✓	0.3%
Silver Spring Networks Inc	SSNI.K / SSNI US	25%	✗	0.3%
Silver Standard Resources Inc	SSRI.O / SSRI US	10%	✓	0.3%
Silver Wheaton Corporation	SLW.N / SLW US	5%	✓	0.3%
Simmons First National Corporation	SFNC.O / SFNC US	25%	✓	0.3%
Simon Property Group Inc	SPG.N / SPG US	5%	✓	0.3%
Simpson Manufacturing Co. Inc	SSD.N / SSD US	15%	✓	0.3%
Sinclair Broadcast Group Inc	SBGI.O / SBGI US	10%	✓	0.3%
Sinopec Shanghai Petrochemical Company Limited	SHI.N / SHI US	25%	☐	0.3%
Sirius XM Holdings Inc	SIRI.O / SIRI US	5%	✓	0.3%
Sirona Dental Systems Inc	SIRO.O / SIRO US	10%	✓	0.3%
Six Flags Entertainment Corporation	SIX.N / SIX US	10%	✓	0.3%
Sizmek Inc	SZMK.O / SZMK US	25%	✓	0.3%
Skechers U.S.A. Inc	SKX.N / SKX US	10%	✓	0.3%
SkyWest Inc	SKYW.O / SKYW US	20%	✓	0.3%
Skyworks Solutions Inc	SWKS.O / SWKS US	10%	✓	0.3%

Smart & Final Stores Inc	SFS / SFS US	15%	✓	0.3%
Smith & Nephew PLC	SNN.N / SNN US	10%	✓	0.3%
Smith & Wesson Holding Corporation	SWHC.O / SWHC US	15%	✓	0.3%
Snap-on Inc	SNA.N / SNA US	10%	✓	0.3%
Snyder's-Lance Inc	LNCE.O / LNCE US	20%	✓	0.3%
SodaStream International Limited	SODA.O / SODA US	10%	✓	0.3%
Sohu.com Inc	SOHU.O / SOHU US	10%	✓	0.3%
Solar Capital Limited	SLRC.O / SLRC US	15%	✓	0.3%
SolarCity Corporation	SCTY.O / SCTY US	10%	✓	0.3%
SolarEdge Technologies Inc	SEDG.O / SEDG US	25%	✓	0.3%
SolarWinds Inc	SWI.N / SWI US	10%	✓	0.3%
Solazyme Inc	SZYM.O / SZYM US	15%	✗	0.3%
Solera Holdings Inc	SLH.N / SLH US	10%	✓	0.3%
Sonic Automotive Inc	SAH / SAH US	15%	✓	0.3%
Sonic Corporation	SONC.O / SONC US	10%	✓	0.3%
Sonoco Products Company	SON.N / SON US	10%	✓	0.3%
Sonus Networks Inc	SONS.O / SONS US	15%	✓	0.3%
Sony Corporation	SNE.N / SNE US	10%	✓	0.3%
Sorrento Therapeutics Inc	SRNE.O / SRNE US	25%	✗	0.3%
Sotheby's	BID.N / BID US	10%	✓	0.3%
SouFun Holdings Limited	SFUN.K / SFUN US	10%	✓	0.3%
Source Capital Inc	SOR / SOR US	10%	✓	0.3%
South Jersey Industries Inc	SJI.N / SJI US	15%	✓	0.3%
South State Corporation	SSB.O / SSB US	15%	✗	0.3%
Southern Company	SO.N / SO US	5%	✓	0.3%
Southern Copper Corporation	SCCO.K / SCCO US	10%	✓	0.3%
Southside Bancshares Inc	SBSI.O / SBSI US	25%	✗	0.3%
Southwest Airlines Company	LUV.N / LUV US	5%	✓	0.3%
Southwest Bancorp Inc	OKSB.O / OKSB US	25%	✗	0.3%
Southwest Gas Corporation	SWX.N / SWX US	15%	✓	0.3%
Southwestern Energy Company	SWN.N / SWN US	5%	✓	0.3%
Sovran Self Storage Inc	SSS.N / SSS US	10%	✓	0.3%
Spark Therapeutics Inc	ONCE.O / ONCE US	25%	✓	0.3%
SpartanNash Company	SPTN.O / SPTN US	25%	✓	0.3%
Spectra Energy Corporation	SE.N / SE US	5%	✓	0.3%
Spectra Energy Partners LP	SEP.N / SEP US	15%	✓	0.3%
Spectrum Brands Holdings Inc	SPB.N / SPB US	10%	✓	0.3%
Spectrum Pharmaceuticals Inc	SPPI.O / SPPI US	25%	✓	0.3%
Spirit AeroSystems Holdings Inc	SPR.N / SPR US	20%	✓	0.3%
Spirit Airlines Inc	SAVE.O / SAVE US	10%	✓	0.3%
Spirit Realty Capital Inc	SRC.N / SRC US	15%	✓	0.3%
Splunk Inc	SPLK.O / SPLK US	10%	✓	0.3%
Spok Holdings Inc	SPOK.O / SPOK US	25%	✗	0.3%
Sportsman's Warehouse Holdings Inc	SPWH.O / SPWH US	25%	✓	0.3%
Sprague Resources LP	SRLP.K / SRLP US	25%	✗	0.3%
Sprint Corporation	S.N / S US	10%	✓	0.3%
Sprott Gold Miners ETF	SGDM.P / SGDM US	25%	✗	0.3%
Sprouts Farmers Market Inc	SFM.O / SFM US	10%	✓	0.3%
Square Inc	SQ / SQ US	25%	✗	0.3%
St. Jude Medical Inc	STJ.N / STJ US	5%	✓	0.3%
Stage Stores Inc	SSI / SSI US	15%	✗	0.3%
Stamps.com Inc	STMP.O / STMP US	15%	✗	0.3%
StanCorp Financial Group Inc	SFG.N / SFG US	10%	✓	0.3%
Standard Motor Products Inc	SMP / SMP US	25%	✓	0.3%

Standex International Corporation	SXI / SXI US	15%	✓	0.3%
Stanley Black & Decker Inc	SWK.N / SWK US	5%	✓	0.3%
Stantec Inc	STN / STN US	25%	✓	0.3%
Staples Inc	SPLS.O / SPLS US	5%	✓	0.3%
Starbucks Corporation	SBUX.O / SBUX US	5%	✓	0.3%
Starwood Hotels & Resorts Worldwide Inc	HOT.N / HOT US	5%	✓	0.3%
Starwood Property Trust Inc	STWD.K / STWD US	10%	✓	0.3%
Starz	STRZA.O / STRZA US	10%	✓	0.3%
State Auto Financial Corporation	STFC.O / STFC US	25%	✓	0.3%
State Bank Financial Corporation	STBZ.O / STBZ US	25%	✗	0.3%
State National Companies Inc	SNC.O / SNC US	25%	✓	0.3%
State Street Corporation	STT.N / STT US	5%	✓	0.3%
Steel Dynamics Inc	STLD.O / STLD US	10%	✓	0.3%
Steelcase Inc	SCS.N / SCS US	15%	✓	0.3%
Stepan Company	SCL / SCL US	25%	✓	0.3%
Stericycle Inc	SRCL.O / SRCL US	5%	✓	0.3%
Sterling Ban Corporation	STL / STL US	10%	✗	0.3%
Steven Madden Limited	SHOO.O / SHOO US	10%	✓	0.3%
Stewart Information Services Corporation	STC / STC US	15%	✓	0.3%
Stifel Financial Corporation	SF / SF US	10%	✗	0.3%
Stillwater Mining Company	SWC.N / SWC US	10%	✓	0.3%
Stock Yards Bancorp Inc	SYBT.O / SYBT US	25%	✗	0.3%
Stone Energy Corporation	SGY.N / SGY US	10%	✓	0.3%
StoneMor Partners LP	STON.K / STON US	15%	✗	0.3%
Stonegate Bank	SGBK.O / SGBK US	25%	✓	0.3%
Stoneridge Inc	SRI / SRI US	25%	✓	0.3%
Straight Path Communications Inc	STRP.A / STRP US	25%	✗	0.3%
Stratasys Limited	SSYS.O / SSYS US	10%	✓	0.3%
Strayer Education Inc	STRA.O / STRA US	20%	✓	0.3%
Stryker Corporation	SYK.N / SYK US	5%	✓	0.3%
Sturm Ruger & Co. Inc	RGR.N / RGR US	10%	✓	0.3%
Suburban Propane Partners LP	SPH.N / SPH US	15%	✓	0.3%
Sucampo Pharmaceuticals Inc	SCMP.O / SCMP US	25%	✗	0.3%
Suffolk Ban Corporation	SCNB.K / SCNB US	25%	✓	0.3%
Summit Hotel Properties Inc	INN / INN US	25%	✓	0.3%
Summit Materials Inc	SUM / SUM US	25%	✓	0.3%
Summit Midstream Partners LP	SMLP.N / SMLP US	25%	✓	0.3%
Sun Communities Inc	SUI.N / SUI US	15%	✓	0.3%
Sun Hydraulics Corporation	SNHY.O / SNHY US	20%	✓	0.3%
Sun Life Financial Inc	SLF / SLF US	20%	✓	0.3%
SunCoke Energy Inc	SXC.N / SXC US	10%	✓	0.3%
SunCoke Energy Partners LP	SXCP.K / SXCP US	25%	✗	0.3%
SunEdison Inc	SUNE.K / SUNE US	10%	☐	0.3%
SunEdison Semiconductor Limited	SEMI.O / SEMI US	25%	✓	0.3%
SunPower Corporation	SPWR.O / SPWR US	10%	✓	0.3%
SunTrust Banks Inc	STI.N / STI US	5%	✓	0.3%
Suncor Energy Inc	SU.N / SU US	10%	✓	0.3%
Sunoco LP	SUN.N / SUN US	25%	✗	0.3%
Sunoco Logistics Partners LP	SXL.N / SXL US	10%	✗	0.3%
Sunstone Hotel Investors Inc	SHO / SHO US	25%	✗	0.3%
Super Micro Computer Inc	SMCI.O / SMCI US	10%	✗	0.3%
Superior Energy Services Inc	SPN.N / SPN US	10%	✓	0.3%
Superior Industries International Inc	SUP.N / SUP US	20%	✓	0.3%
Supernus Pharmaceuticals Inc	SUPN.O / SUPN US	25%	✗	0.3%

SurModics Inc	SRDX.O / SRDX US	20%	✓	0.3%
Surgical Care Affiliates Inc	SCAI.O / SCAI US	25%	✓	0.3%
Swift Transportation Company	SWFT.K / SWFT US	10%	✓	0.3%
Sykes Enterprises Inc	SYKE.O / SYKE US	15%	✗	0.3%
Symantec Corporation	SYMC.O / SYMC US	5%	✓	0.3%
Symetra Financial Corporation	SYA / SYA US	10%	✗	0.3%
Synaptics Inc	SYNA.O / SYNA US	10%	✓	0.3%
Synchronoss Technologies Inc	SNCR.O / SNCR US	20%	✓	0.3%
Synchrony Financial	SYF / SYF US	10%	✗	0.3%
Synergy Pharmaceuticals Inc	SGYP.O / SGYP US	25%	✗	0.3%
Synergy Resources Corporation	SYRG.A / SYRG US	25%	✓	0.3%
Syneron Medical Limited	ELOS.O / ELOS US	25%	✗	0.3%
Syngenta AG	SYT.N / SYT US	10%	✓	0.3%
Synopsys Inc	SNPS.O / SNPS US	10%	✓	0.3%
Synovus Financial Corporation	SNV.N / SNV US	10%	✓	0.3%
Syntel Inc	SYNT.O / SYNT US	15%	✓	0.3%
Sysco Corporation	SYX.N / SYX US	5%	✓	0.3%
Systemax Inc	SYX.N / SYX US	25%	✓	0.3%
T-Mobile US Inc	TMUS.O / TMUS US	10%	✓	0.3%
T. Rowe Price Group Inc	TROW.O / TROW US	5%	✓	0.3%
TAL Education Group	XRS.N / XRS US	10%	✓	0.3%
TAL International Group Inc	TAL.N / TAL US	10%	✓	0.3%
TASER International Inc	TASR.O / TASR US	10%	✓	0.3%
TC PipeLines LP	TCP / TCP US	15%	✗	0.3%
TCF Financial Corporation	TCB.N / TCB US	10%	✓	0.3%
TD Ameritrade Holding Corporation	AMTD.N / AMTD US	10%	✓	0.3%
TE Connectivity Limited	TEL.N / TEL US	5%	✓	0.3%
TECO Energy Inc	TE.N / TE US	10%	✓	0.3%
TEGNA Inc	TGNA.K / TGNA US	10%	✓	0.3%
TELUS Corporation	TU.N / TU US	15%	✓	0.3%
TETRA Technologies Inc	TTI.N / TTI US	15%	✓	0.3%
TFS Financial Corporation	TFSL.O / TFSL US	15%	✗	0.3%
TG Therapeutics Inc	TGTX.O / TGTX US	25%	✗	0.3%
TOTAL SA	TOT.N / TOT US	5%	✓	0.3%
TRI Pointe Group Inc	TPH / TPH US	10%	✗	0.3%
TTM Technologies Inc	TTMI.O / TTMI US	15%	✓	0.3%
Tableau Software Inc	DATA.K / DATA US	10%	✓	0.3%
Tahoe Resources Inc	TAHO.K / TAHO US	15%	✓	0.3%
Tailored Brands Inc	MW.N / MW US	10%	✓	0.3%
Tailored Brands Inc	TLRD.K / TLRD US	25%	✗	0.3%
Taiwan Semiconductor Manufacturing Company Limited	TSM.N / TSM US	10%	✓	0.3%
Take-Two Interactive Software Inc	TTWO.O / TTWO US	10%	✓	0.3%
Talen Energy Corporation	TLN / TLN US	25%	✗	0.3%
Tallgrass Energy Partners LP	TEP / TEP US	25%	✗	0.3%
Talmer Bancorp Inc	TLMR.O / TLMR US	15%	✓	0.3%
Tanger Factory Outlet Centers Inc	SKT.N / SKT US	10%	✓	0.3%
Tangoe Inc	TNGO.O / TNGO US	15%	✓	0.3%
Targa Resources Corporation	TRGP.N / TRGP US	10%	✓	0.3%
Targa Resources Partners LP	NGLS.K / NGLS US	10%	✓	0.3%
Target Corporation	TGT.N / TGT US	5%	✓	0.3%
Taro Pharmaceutical Industries Limited	TARO.N / TARO US	15%	✓	0.3%
Tata Motors Limited	TTM.N / TTM US	10%	✓	0.3%
Taubman Centers Inc	TCO.N / TCO US	10%	✓	0.3%

Taylor Morrison Home Corporation	TMHC.K / TMHC US	10%	✓	0.3%
Team Health Holdings Inc	TMH / TMH US	10%	✓	0.3%
Team Inc	TISI.K / TISI US	25%	✗	0.3%
TearLab Corporation	TEAR.O / TEAR US	25%	☐	0.3%
Tech Data Corporation	TECD.O / TECD US	10%	✓	0.3%
TechTarget Inc	TTGT.O / TTGT US	25%	✗	0.3%
Technology Select Sector SPDR(r) Fund	XLK.P / XLK US	10%	✓	0.3%
Teck Resources Limited	TCK.N / TCK US	10%	✓	0.3%
Teekay Corporation	TK.N / TK US	25%	✓	0.3%
Teekay LNG Partners LP.	TGP / TGP US	10%	✗	0.3%
Teekay Offshore Partners LP	TOO / TOO US	15%	✗	0.3%
Teekay Tankers Limited	TNK / TNK US	25%	✓	0.3%
Tejon Ranch Company	TRC.N / TRC US	25%	✓	0.3%
Teladoc Inc	TDOC.K / TDOC US	25%	✗	0.3%
TeleCommunication Systems Inc	TSYS.O / TSYS US	25%	✗	0.3%
TeleTech Holdings Inc	TTEC.O / TTEC US	20%	✓	0.3%
Telecom Argentina SA	TEO.N / TEO US	20%	✓	0.3%
Teledyne Technologies Inc	TDY.N / TDY US	10%	✓	0.3%
Teleflex Inc	TFX.N / TFX US	10%	✓	0.3%
Telenav Inc	TNAV.O / TNAV US	25%	✓	0.3%
Telephone & Data Systems Inc	TDS / TDS US	10%	✗	0.3%
Teligent Inc	TLGT.O / TLGT US	25%	✗	0.3%
Templeton Dragon Fund Inc	TDF.N / TDF US	25%	✓	0.3%
Templeton Emerging Markets Fund	EMF.N / EMF US	25%	✓	0.3%
Templeton Russia and East European Fund Inc	TRF / TRF US	25%	✗	0.3%
Tempur Sealy International Inc	TPX.N / TPX US	10%	✓	0.3%
Tenaris SA	TS.N / TS US	5%	✓	0.3%
Tenet Healthcare Corporation	THC.N / THC US	25%	✓	0.3%
Tennant Company	TNC / TNC US	15%	✗	0.3%
Tenneco Inc	TEN.N / TEN US	10%	✓	0.3%
Teradata Corporation	TDC.N / TDC US	5%	✓	0.3%
Teradyne Inc	TER.N / TER US	10%	✓	0.3%
Terex Corporation	TEX.N / TEX US	10%	✓	0.3%
Terra Nitrogen Company LP	TNH.N / TNH US	15%	✓	0.3%
TerraForm Global Inc	GLBL.O / GBL US	25%	✗	0.3%
TerraForm Power Inc	TERP.O / TERP US	25%	✗	0.3%
Terreno Realty Corporation	TRNO.K / TRNO US	25%	✗	0.3%
Tesaro Inc	TSRO.O / TSRO US	25%	✓	0.3%
Tesco Corporation	TESO.O / TESO US	15%	✓	0.3%
Tesla Motors Inc	TSLA.O / TSLA US	10%	✓	0.3%
Tesoro Corporation	TSO.N / TSO US	5%	✓	0.3%
Tesoro Logistics LP	TLLP.K / TLLP US	10%	✓	0.3%
Tessera Technologies Inc	TSRA.O / TSRA US	15%	✓	0.3%
Tetra Tech Inc	TTEK.O / TTEK US	10%	✓	0.3%
Tetraphase Pharmaceuticals Inc	TTPH.O / TTPH US	25%	✓	0.3%
Teucrium Corn Fund	CORN.P / CORN US	15%	☐	0.3%
Teucrium Wheat	WEAT.P / WEAT US	25%	✗	0.3%
Teva Pharmaceutical Industries Limited	TEVA.K / TEVA US	10%	✓	0.3%
Texas Capital BancShares Inc	TCBI.O / TCBI US	10%	✗	0.3%
Texas Instruments Inc	TXN.O / TXN US	5%	✓	0.3%
Texas Pacific Land Trust	TPL.N / TPL US	25%	✗	0.3%
Texas Roadhouse Inc	TXRH.O / TXRH US	10%	✓	0.3%
Textainer Group Holdings Limited	TGH.N / TGH US	15%	☐	0.3%
Textron Inc	TXT.N / TXT US	5%	✓	0.3%

Textura Corporation	TXTR.N / TXTR US	15%	✓	0.3%
The ADT Corporation	ADT.N / ADT US	10%	✓	0.3%
The AES Corporation	AES.N / AES US	5%	✓	0.3%
The Advisory Board Company	ABCO.O / ABCO US	10%	✓	0.3%
The Allstate Corporation	ALL.N / ALL US	5%	✓	0.3%
The Andersons Inc	ANDE.O / ANDE US	10%	✓	0.3%
The Bancorp Inc	TBBK.O / TBBK US	20%	✓	0.3%
The Bank of New York Mellon Corporation	BK.N / BK US	5%	✓	0.3%
The Blackstone Group LP	BX.N / BX US	10%	✓	0.3%
The Boeing Company	BA.N / BA US	5%	✓	0.3%
The Brink's Company	BCO.N / BCO US	15%	✓	0.3%
The Buckle Inc	BKE.N / BKE US	10%	✓	0.3%
The Carlyle Group LP	CG.O / CG US	10%	✓	0.3%
The Cato Corporation	CATO.K / CATO US	20%	✓	0.3%
The Central Europe Russia and Turkey Fund Inc	CEE.N / CEE US	25%	✓	0.3%
The Charles Schwab Corporation	SCHW.K / SCHW US	5%	✓	0.3%
The Cheesecake Factory Inc	CAKE.O / CAKE US	10%	✓	0.3%
The Chefs' Warehouse Inc	CHEF.O / CHEF US	25%	✓	0.3%
The Chemours Company	CC / CC US	25%	✗	0.3%
The Children's Place Inc	PLCE.O / PLCE US	10%	✓	0.3%
The China Fund Inc	CHN.N / CHN US	25%	✓	0.3%
The Clorox Company	CLX.N / CLX US	5%	✓	0.3%
The Coca-Cola Company	KO.N / KO US	5%	✓	0.3%
The Container Store Group Inc	TCS.N / TCS US	25%	☐	0.3%
The Cooper Companies Inc	COO.N / COO US	10%	✓	0.3%
The Descartes Systems Group Inc	DSGX.O / DSGX US	25%	✗	0.3%
The Dow Chemical Company	DOW.N / DOW US	5%	✓	0.3%
The E. W. Scripps Company	SSP / SSP US	10%	✓	0.3%
The Empire District Electric Company	EDE / EDE US	15%	✓	0.3%
The Ensign Group Inc	ENSG.O / ENSG US	20%	✓	0.3%
The Estee Lauder Companies Inc	EL.N / EL US	5%	✓	0.3%
The ExOne Company	XONE.O / XONE US	15%	☐	0.3%
The First of Long Island Corporation	FLIC.O / FLIC US	25%	✗	0.3%
The Fresh Market Inc	TFM.O / TFM US	10%	✓	0.3%
The GEO Group Inc	GEO / GEO US	10%	✗	0.3%
The Gabelli Dividend & Income Trust	GDV.N / GDV US	20%	✓	0.3%
The Gabelli Equity Trust Inc	GAB.N / GAB US	20%	✓	0.3%
The Gap Inc	GPS.N / GPS US	5%	✓	0.3%
The Goldman Sachs Group Inc	GS.N / GS US	5%	✓	0.3%
The Goodyear Tire & Rubber Company	GT.O / GT US	10%	✓	0.3%
The Greenbrier Companies Inc	GBX.N / GBX US	10%	✓	0.3%
The Habit Restaurants Inc	HABT.O / HABT US	25%	✗	0.3%
The Hackett Group Inc	HCKT.O / HCKT US	25%	✗	0.3%
The Hain Celestial Group Inc	HAIN.O / HAIN US	10%	✓	0.3%
The Hanover Insurance Group Inc	THG.N / THG US	10%	✓	0.3%
The Hartford Financial Services Group Inc	HIG.N / HIG US	5%	✓	0.3%
The Hershey Company	HSY.N / HSY US	5%	✓	0.3%
The Home Depot Inc	HD.N / HD US	5%	✓	0.3%
The Howard Hughes Corporation	HHC / HHC US	10%	✓	0.3%
The India Fund Inc	IFN.N / IFN US	15%	✓	0.3%
The Interpublic Group of Companies Inc	IPG.N / IPG US	5%	✓	0.3%
The J. M. Smucker Company	SJM.N / SJM US	5%	✓	0.3%
The J.G. Wentworth Company	JGW.N / JGW US	20%	✓	0.3%
The Kraft Heinz Company	KHC.O / KHC US	20%	✓	0.3%

The Kroger Company	KR.N / KR US	5%	✓	0.3%
The Laclede Group Inc	LG.N / LG US	15%	✓	0.3%
The Macerich Company	MAC.N / MAC US	5%	✓	0.3%
The Madison Square Garden Company	MSG.N / MSG US	10%	✓	0.3%
The Manitowoc Company Inc	MTW.N / MTW US	10%	✓	0.3%
The Marcus Corporation	MCS / MCS US	25%	✗	0.3%
The McClatchy Company	MNI.N / MNI US	25%	✓	0.3%
The Medicines Company	MDCO.O / MDCO US	10%	✓	0.3%
The Mexico Fund Inc	MXF / MXF US	25%	✓	0.3%
The Michaels Companies Inc	MIK.O / MIK US	10%	✓	0.3%
The Mosaic Company	MOS.N / MOS US	5%	✓	0.3%
The Navigators Group Inc	NAV.G.O / NAVG US	25%	✗	0.3%
The New York Times Company	NYT.N / NYT US	10%	✓	0.3%
The PNC Financial Services Group Inc	PNC.N / PNC US	5%	✓	0.3%
The Phoenix Companies Inc	PNX.N / PNX US	20%	✓	0.3%
The Priceline Group Inc	PCLN.O / PCLN US	5%	✓	0.3%
The Procter & Gamble Company	PG.N / PG US	5%	✓	0.3%
The Scotts Miracle-Gro Company	SMG.N / SMG US	10%	✓	0.3%
The Sherwin-Williams Company	SHW.N / SHW US	5%	✓	0.3%
The Spectranetics Corporation	SPNC.O / SPNC US	15%	✓	0.3%
The St. Joe Company	JOE.N / JOE US	20%	✓	0.3%
The Swiss Helvetia Fund Inc	SWZ / SWZ US	25%	✓	0.3%
The TJX Companies Inc	TJX.N / TJX US	5%	✓	0.3%
The Timken Company	TKR.N / TKR US	10%	✓	0.3%
The Toro Company	TTC.N / TTC US	10%	✓	0.3%
The Toronto-Dominion Bank	TD.N / TD US	5%	✗	0.3%
The Travelers Companies Inc	TRV.N / TRV US	5%	✓	0.3%
The Ultimate Software Group Inc	ULTI.O / ULTI US	10%	✓	0.3%
The Valspar Corporation	VAL.N / VAL US	10%	✓	0.3%
The Walt Disney Company	DIS.N / DIS US	5%	✓	0.3%
The Wendy's Company	WEN.O / WEN US	10%	✓	0.3%
The Western Union Company	WU.N / WU US	5%	✓	0.3%
The WhiteWave Foods Company	WWAV.K / WWAV US	10%	✓	0.3%
The York Water Company	YORW.O / YORW US	25%	✗	0.3%
TherapeuticsMD Inc	TXMD.K / TXMD US	25%	✗	0.3%
Theravance Biopharma Inc	TBPH.O / TBPH US	25%	✓	0.3%
Thermo Fisher Scientific Inc	TMO.N / TMO US	5%	✓	0.3%
Thermon Group Holdings Inc	THR / THR US	25%	✗	0.3%
Third Point Reinsurance Limited	TPRE.K / TPRE US	25%	✓	0.3%
Thomson Reuters Corporation	TRI.N / TRI US	10%	✓	0.3%
Thor Industries Inc	THO.N / THO US	10%	✓	0.3%
Threshold Pharmaceuticals Inc	THLD.O / THLD US	20%	✓	0.3%
TiVo Inc	TIVO.O / TIVO US	10%	✓	0.3%
Tidewater Inc	TDW.N / TDW US	10%	✓	0.3%
Tiffany & Company	TIF.N / TIF US	5%	✓	0.3%
Tile Shop Holdings Inc	TTS.O / TTS US	15%	✗	0.3%
Tilly's Inc	TLYS.K / TLYS US	25%	✗	0.3%
Time Inc	TIME.K / TIME US	10%	✓	0.3%
Time Warner Cable Inc	TWC.N / TWC US	5%	✓	0.3%
Time Warner Inc	TWX.N / TWX US	5%	✓	0.3%
TimkenSteel Corporation	TMST.N / TMST US	15%	✓	0.3%
Titan International Inc	TWI.N / TWI US	10%	✓	0.3%
Tobira Therapeutics Inc	TBRA.O / TBRA US	25%	✗	1%
Toll Brothers Inc	TOL.N / TOL US	10%	✓	0.3%

Tompkins Financial Corporation	TMP / TMP US	25%	✗	0.3%
Tootsie Roll Industries Inc	TR.N / TR US	20%	✓	0.3%
Torchmark Corporation	TMK.N / TMK US	5%	✓	0.3%
Total System Services Inc	TSS.N / TSS US	10%	✓	0.3%
Tower International Inc	TOWR.K / TOWR US	25%	✗	0.3%
Tower Semiconductor Limited	TSEM.O / TSEM US	15%	✗	0.3%
Towers Watson & Company	TW.O / TW US	10%	✓	0.3%
Towne Bank	TOWN.O / TOWN US	25%	✗	0.3%
Toyota Motor Corporation	TM.N / TM US	10%	✓	0.3%
Tractor Supply Company	TSCO.O / TSCO US	5%	✓	0.3%
TransDigm Group Inc	TDG.N / TDG US	10%	✓	0.3%
TransGlobe Energy Corporation	TGA.O / TGA US	25%	✓	0.3%
TransUnion	TRU / TRU US	20%	✗	0.3%
Transocean Limited	RIG.N / RIG US	5%	☐	0.3%
Transocean Partners LLC	RIGP.K / RIGP US	25%	✗	0.3%
TravelCenters of America LLC	TA / TA US	25%	✗	0.3%
Travelport Worldwide Limited	TVPT.N / TVPT US	25%	✓	0.3%
Travelzoo Inc	TZOO.O / TZOO US	20%	✓	0.3%
Tredegar Corporation	TG.N / TG US	20%	✓	0.3%
Treehouse Foods Inc	THS / THS US	10%	✓	0.3%
Trex Co. Inc	TREX.K / TREX US	10%	✗	0.3%
Tri-Continental Corporation	TY.N / TY US	25%	✓	0.3%
TriCo Bancsh AE	TCBK.O / TCBK US	25%	✗	0.3%
TriMas Corporation	TRS.O / TRS US	15%	✗	0.3%
TriNet Group Inc	TNET.N / TNET US	10%	✓	0.3%
Triangle Capital Corporation	TCAP.K / TCAP US	25%	✓	0.3%
Triangle Petroleum Corporation	TPLM.K / TPLM US	10%	☐	0.3%
Tribune Media Company	TRCO.K / TRCO US	25%	✗	0.3%
Tribune Publishing Company	TPUB.K / TPUB US	25%	✗	0.3%
Trimble Navigation Limited	TRMB.O / TRMB US	10%	✓	0.3%
Trinity Industries Inc	TRN.N / TRN US	10%	✓	0.3%
Trinseo SA	TSE / TSE US	25%	✓	0.3%
TripAdvisor Inc	TRIP.O / TRIP US	5%	✓	0.3%
Triple-S Management Corporation	GTS / GTS US	25%	✗	0.3%
Tristate Capital Holdings Inc	TSC.O / TSC US	25%	✗	0.3%
Triumph Group Inc	TGI.N / TGI US	10%	✓	0.3%
Tronox Limited	TROX.K / TROX US	10%	✓	0.3%
TrovaGene Inc	TROV.O / TROV US	25%	✗	0.3%
TrueBlue Inc	TBI / TBI US	10%	✗	0.3%
TrueCar Inc	TRUE.O / TRUE US	25%	✗	0.3%
TrustCo Bank Corp. NY	TRST.O / TRST US	20%	✓	0.3%
Trustmark Corporation	TRMK.O / TRMK US	10%	✗	0.3%
Tsakos Energy Navigation Limited	TNP.N / TNP US	25%	✗	0.3%
Tucows Inc	TCX.O / TCX US	25%	✗	0.3%
Tuesday Morning Corporation	TUES.O / TUES US	10%	✓	0.3%
Tumi Holdings Inc	TUMI.K / TUMI US	10%	✓	0.3%
Tupperware Brands Corporation	TUP.N / TUP US	10%	✓	0.3%
Turquoise Hill Resources Limited	TRQ.N / TRQ US	10%	✓	0.3%
Tutor Perini Corporation	TPC.N / TPC US	15%	✓	0.3%
Twenty-First Century Fox Inc	FOXA.O / FOXA US	5%	✓	0.3%
Twenty-First Century Fox Inc	FOX.O / FOX US	10%	✓	0.3%
Twitter Inc	TWTR.N / TWTR US	10%	✓	0.3%
Tyco International PLC	TYC.N / TYC US	5%	✓	0.3%
Tyler Technologies Inc	TYL / TYL US	10%	✓	0.3%

Tyson Foods Inc	TSN.N / TSN US	5%	✓	0.3%
U.S. Ban Corporation	USB.N / USB US	5%	✓	0.3%
U.S. Concrete Inc	USCR.O / USCR US	15%	✓	0.3%
U.S. Global Jets ETF	JETS.P / JETS US	25%	✗	0.3%
U.S. Silica Holdings Inc	SLCA.K / SLCA US	10%	✓	0.3%
UBS Group AG	UBS.N / UBS US	10%	✓	0.3%
UDR Inc	UDR.N / UDR US	10%	✓	0.3%
UGI Corporation	UGI.N / UGI US	10%	✓	0.3%
ULTA Salon Cosmetics & Fragrance Inc	ULTA.O / ULTA US	10%	✓	0.3%
UMB Financial Corporation	UMBF.O / UMBF US	10%	✗	0.3%
US Ecology Inc	ECOL.O / ECOL US	10%	✗	0.3%
US Physical Therapy Inc	USPH.K / USPH US	25%	✗	0.3%
USA Compression Partners LP	USAC.K / USAC US	25%	✗	0.3%
USANA Health Sciences Inc	USNA.K / USNA US	15%	✓	0.3%
USG Corporation	USG.N / USG US	10%	✓	0.3%
UTi Worldwide Inc	UTIW.O / UTIW US	10%	✓	0.3%
Ubiquiti Networks Inc	UBNT.O / UBNT US	10%	✓	0.3%
Ultra Petroleum Corporation	UPL.N / UPL US	10%	⚠	0.3%
Ultragenyx Pharmaceutical Inc	RARE.O / RARE US	25%	✗	0.3%
Ultratech Inc	UTEK.O / UTEK US	25%	✗	0.3%
Umpqua Holdings Corporation	UMPQ.O / UMPQ US	10%	✓	0.3%
Under Armour Inc	UA.N / UA US	10%	✓	0.3%
Under Armour Inc	UAc / UA/C US	25%	✓	0.3%
UniFirst Corporation	UNF / UNF US	10%	✗	0.3%
Unifi Inc	UFI / UFI US	25%	✓	0.3%
Unilever NV	UN.N / UN US	5%	✓	0.3%
Unilife Corporation	UNIS.O / UNIS US	20%	✓	0.3%
Union Bankshares Corporation	UBSH.O / UBSH US	25%	✗	0.3%
Union Pacific Corporation	UNP.N / UNP US	5%	✓	0.3%
Unisys Corporation	UIS.N / UIS US	10%	✓	0.3%
Unit Corporation	UNT.N / UNT US	10%	✓	0.3%
United Bankshares Inc	UBSI.O / UBSI US	10%	✗	0.3%
United Community Banks Inc	UCBI.O / UCBI US	15%	✓	0.3%
United Community Financial Corporation	UCFC.O / UCFC US	25%	✗	0.3%
United Continental Holdings Inc	UAL.N / UAL US	10%	✓	0.3%
United Development Funding IV	UDF.O / UDF US	25%	✗	0.3%
United Financial Bancorp Inc	UBNK.O / UBNK US	25%	✗	0.3%
United Fire Group Inc	UFCS.O / UFCS US	25%	✗	0.3%
United Insurance Holdings Corporation	UIHC.O / UIHC US	25%	✗	0.3%
United Natural Foods Inc	UNFI.O / UNFI US	10%	✓	0.3%
United Online Inc	UNTD.O / UNTD US	25%	✓	0.3%
United Parcel Service Inc	UPS.N / UPS US	5%	✓	0.3%
United Rentals Inc	URI.N / URI US	10%	✓	0.3%
United States 12 Month Oil Fund LP	USL.P / USL US	10%	✓	0.3%
United States Cellular Corporation	USM.N / USM US	15%	✓	0.3%
United States Gasoline Fund LP	UGA.P / UGA US	25%	✗	0.3%
United States Natural Gas Fund LP	UNG.P / UNG US	10%	✓	0.3%
United States Oil Fund LP	USO.P / USO US	10%	✓	0.3%
United States Steel Corporation	X.N / X US	10%	✓	0.3%
United Technologies Corporation	UTX.N / UTX US	5%	✓	0.3%
United Therapeutics Corporation	UTHR.O / UTHR US	10%	✓	0.3%
UnitedHealth Group Inc	UNH.N / UNH US	5%	✓	0.3%
Unitil Corporation	UTL / UTL US	25%	✗	0.3%
Univar Inc	UNVR.K / UNVR US	25%	✗	0.3%

Universal American Corporation	UAM.N / UAM US	25%	✓	0.3%
Universal Corporation	UVV.N / UVV US	10%	✓	0.3%
Universal Display Corporation	OLED.O / OLED US	10%	✓	0.3%
Universal Electronics Inc	UEIC.O / UEIC US	10%	✗	0.3%
Universal Forest Products Inc	UFPI.O / UFPI US	15%	✓	0.3%
Universal Health Realty Income Trust	UHT / UHT US	25%	✗	0.3%
Universal Health Services Inc	UHS.N / UHS US	10%	✓	0.3%
Universal Insurance Holdings Inc	UVE / UVE US	15%	✗	0.3%
Univest Corporation of Pennsylvania	UVSP.O / UVSP US	25%	✗	0.3%
Unum Group	UNM.N / UNM US	5%	✓	0.3%
Uranium Energy Corporation	UEC / UEC US	25%	✗	0.3%
Urban Edge Properties	UE.N / UE US	25%	✗	0.3%
Urban Outfitters Inc	URBN.O / URBN US	10%	✓	0.3%
Urstadt Biddle Properties Inc	UBA.N / UBA US	25%	✓	0.3%
Utilities Select Sector SPDR(r) Fund	XLU.P / XLU US	10%	✓	0.3%
V.F. Corporation	VFC.N / VFC US	5%	✓	0.3%
VASCO Data Security International Inc	VDSI.O / VDSI US	25%	✓	0.3%
VCA Inc	WOOF.O / WOOF US	10%	✓	0.3%
VEREIT Inc	VER / VER US	15%	✓	0.3%
VIVUS Inc	VVUS.O / VVUS US	25%	⚠	0.3%
VMware Inc	VMW.N / VMW US	10%	✓	0.3%
VSE Corporation	VSEC.O / VSEC US	25%	✗	0.3%
VWR Corporation	VWR.O / VWR US	15%	✓	0.3%
Vaalco Energy Inc	EGY / EGY US	25%	✗	0.3%
Vail Resorts Inc	MTN.N / MTN US	10%	✓	0.3%
Valeant Pharmaceuticals International Inc	VRX.N / VRX US	10%	✓	0.3%
Valero Energy Corporation	VLO.N / VLO US	5%	✓	0.3%
Valero Energy Partners LP	VLP / VLP US	25%	✓	0.3%
Valhi Inc	VHI.N / VHI US	25%	✓	0.3%
Validus Holdings Limited	VR.N / VR US	10%	✓	0.3%
Valley National Ban Corporation	VLY.N / VLY US	10%	✓	0.3%
Valmont Industries Inc	VMI.N / VMI US	10%	✓	0.3%
Vanda Pharmaceuticals Inc	VNDA.O / VNDA US	25%	✗	0.3%
Vanguard 500 Index Fund ETF Class	VOO / VOO US	10%	✓	0.3%
Vanguard Consumer Discretionary Index Fund ETF Sh AE	VCR.P / VCR US	10%	✓	0.3%
Vanguard Consumer Staples Index Fund ETF Sh AE	VDC.P / VDC US	10%	✗	0.3%
Vanguard Emerging Markets Government Bond Index Fund ETF Sh AE	VWOB.P / VWOB US	10%	✗	0.3%
Vanguard Energy Index Fund ETF Sh AE	VDE.P / VDE US	10%	✗	0.3%
Vanguard Extended Duration Treasury Index Fund ETF Sh AE	EDV.P / EDV US	10%	✗	0.3%
Vanguard FTSE All-World ex-US Index Fund ETF Sh AE	VEU.P / VEU US	10%	✗	0.3%
Vanguard FTSE Developed Markets Index Fund ETF Sh AE	VEA.P / VEA US	10%	✓	0.3%
Vanguard FTSE Emerging Markets Index Fund ETF Sh AE	VWO.P / VWO US	10%	✓	0.3%
Vanguard FTSE Europe Index Fund ETF Sh AE	VGK.P / VGK US	10%	✓	0.3%
Vanguard Health Care Index Fund ETF Sh AE	VHT.P / VHT US	10%	✗	0.3%
Vanguard High Dividend Yield Index Fund ETF Sh AE	VYM.P / VYM US	15%	✗	0.3%
Vanguard Industrials Index Fund ETF Sh AE	VIS.P / VIS US	10%	✓	0.3%
Vanguard Information Technology Index Fund ETF Sh AE	VGT.P / VGT US	10%	✓	0.3%
Vanguard Intermediate-Term Bond Index Fund ETF Sh AE	BIV / BIV US	10%	✓	0.3%
Vanguard Mid-Cap Index Fund ETF Sh AE	VO.P / VO US	10%	✗	0.3%
Vanguard Natural Resources LLC	VNR.O / VNR US	15%	✗	0.3%

Vanguard REIT Index Fund ETF Sh AE	VNQ.P / VNQ US	10%	✓	0.3%
Vanguard Total Bond Market Index Fund ETF Sh AE	BND.P / BND US	10%	✗	0.3%
Vanguard Total International Stock Index Fund ETF Sh AE	VXUS.O / VXUS US	10%	✗	0.3%
Vanguard Total Stock Market Index Fund ETF Sh AE	VTI.P / VTI US	10%	✓	0.3%
Vanguard Total World Stock Index Fund ETF Sh AE	VT.P / VT US	10%	✓	0.3%
Vanguard Utilities Index Fund ETF Sh AE	VPU.P / VPU US	10%	✓	0.3%
Vantiv Inc	VNTV.K / VNTV US	10%	✗	0.3%
Varian Medical Systems Inc	VAR.N / VAR US	5%	✓	0.3%
Varonis Systems Inc	VRNS.O / VRNS US	25%	✓	0.3%
Vascular Solutions Inc	VASC.O / VASC US	25%	✗	0.3%
Vector Group Limited	VGR.N / VGR US	10%	✓	0.3%
Vectren Corporation	VVC.N / VVC US	10%	✓	0.3%
Veeco Instruments Inc	VECO.O / VECO US	10%	✓	0.3%
Veeva Systems Inc	VEEV.K / VEEV US	10%	✓	0.3%
Ventas Inc	VTR.N / VTR US	5%	✓	0.3%
Vera Bradley Inc	VRA.O / VRA US	10%	✓	0.3%
Verastem Inc	VSTM.O / VSTM US	25%	✗	0.3%
VeriFone Systems Inc	PAY.N / PAY US	10%	✓	0.3%
VeriSign Inc	VRSN.O / VRSN US	5%	✓	0.3%
Verint Systems Inc	VRNT.O / VRNT US	10%	✓	0.3%
Verisk Analytics Inc	VRSK.O / VRSK US	5%	✓	0.3%
Veritiv Corporation	VRTV.K / VRTV US	15%	✓	0.3%
Verizon Communications Inc	VZ.N / VZ US	5%	✓	0.3%
Versartis Inc	VSAR.O / VSAR US	25%	✗	0.3%
Vertex Pharmaceuticals Inc	VRTX.O / VRTX US	15%	✓	0.3%
ViaSat Inc	VSAT.O / VSAT US	10%	✓	0.3%
Viacom Inc	VIAB.O / VIAB US	5%	✓	0.3%
Viacom Inc	VIA.O / VIA US	20%	✓	0.3%
Viad Corporation	VVI.N / VVI US	20%	✓	0.3%
Viavi Solutions Inc	VIAV.O / VIAV US	10%	✓	0.3%
Village Super Market Inc	VLGEA.O / VLGEA US	25%	✗	0.3%
Vince Holding Corporation	VNCE.P / VNCE US	10%	✓	0.3%
Violin Memory Inc	VMEM.K / VMEM US	25%	✓	0.3%
Vipshop Holdings Limited	VIPS.K / VIPS US	10%	✓	0.3%
Virgin America Inc	VA.O / VA US	15%	✗	0.3%
VirnetX Holding Corporation	VHC.A / VHC US	25%	☒	0.3%
Virtu Financial Inc	VIRT.O / VIRT US	20%	✗	0.3%
Virtus Investment Partners Inc	VRTS.O / VRTS US	10%	✓	0.3%
Virtusa Corporation	VRTU.O / VRTU US	15%	✓	0.3%
Visa Inc	V.N / V US	5%	✓	0.3%
Vishay Intertechnology Inc	VSH.N / VSH US	10%	✓	0.3%
Vista Outdoor Inc	VSTO.K / VSTO US	25%	✓	0.3%
Visteon Corporation	VC.N / VC US	10%	✓	0.3%
Vital Therapies Inc	VTL.O / VTL US	25%	✗	0.3%
Vitamin Shoppe Inc	VSI.N / VSI US	10%	✓	0.3%
Vivint Solar Inc	VSLR.N / VSLR US	15%	✗	0.3%
Vocera Communications Inc	VCRA.K / VCRA US	25%	✗	0.3%
Vodafone Group PLC	VOD.O / VOD US	5%	✓	0.3%
Vonage Holdings Corporation	VG / VG US	15%	✗	0.3%
Vornado Realty Trust	VNO.N / VNO US	5%	✓	0.3%
Voya Financial Inc	VOYA.K / VOYA US	10%	✓	0.3%
Voya Global Equity Dividend and Premium Opportunity Fund	IGD.N / IGD US	20%	✓	0.3%
Vulcan Materials Company	VMC.N / VMC US	5%	✓	0.3%

W&T Offshore Inc	WTI.N / WTI US	10%	☐	0.3%
W. P. Carey Inc	WPC.N / WPC US	10%	✓	0.3%
W.R. Berkley Corporation	WRB / WRB US	10%	✗	0.3%
W.R. Grace & Company	GRA.N / GRA US	10%	✓	0.3%
W.W. Grainger Inc	GWV.N / GWV US	5%	✓	0.3%
WABCO Holdings Inc	WBC / WBC US	10%	✗	0.3%
WCI Communities Inc	WCIC.K / WCIC US	15%	✗	0.3%
WD-40 Company	WDFC.O / WDFC US	20%	✓	0.3%
WEC Energy Group Inc	WEC.N / WEC US	5%	✓	0.3%
WESCO International Inc	WCC.N / WCC US	10%	✓	0.3%
WEX Inc	WEX / WEX US	10%	✓	0.3%
WGL Holdings Inc	WGL / WGL US	10%	✗	0.3%
WNS (Holdings) Limited	WNS.N / WNS US	20%	✓	0.3%
WP GLIMCHER Inc	WPG.N / WPG US	25%	✓	0.3%
WPP PLC	WPPGY.O / WPPGY US	15%	✓	0.3%
WPX Energy Inc	WPX.N / WPX US	25%	✓	0.3%
WSFS Financial Corporation	WSFS.O / WSFS US	25%	✗	0.3%
Wabash National Corporation	WNC.N / WNC US	10%	✓	0.3%
Waddell & Reed Financial Inc	WDR.N / WDR US	10%	✓	0.3%
WageWorks Inc	WAGE.K / WAGE US	10%	✓	0.3%
Wal-Mart Stores Inc	WMT.N / WMT US	5%	✓	0.3%
Walgreens Boots Alliance Inc	WBA.O / WBA US	5%	✓	0.3%
Walker & Dunlop Inc	WD.N / WD US	20%	✓	0.3%
Walter Investment Management Corporation	WAC.N / WAC US	10%	☐	0.3%
Washington Federal Inc	WAFD.O / WAFD US	10%	✓	0.3%
Washington Real Estate Investment Trust	WRE.N / WRE US	10%	✓	0.3%
Washington Trust Bancorp Inc	WASH.O / WASH US	25%	✗	0.3%
Waste Connections Inc	WCN.N / WCN US	10%	✓	0.3%
Waste Management Inc	WM.N / WM US	5%	✓	0.3%
Waters Corporation	WAT.N / WAT US	5%	✓	0.3%
Waterstone Financial Inc	WSBF.O / WSBF US	25%	✗	0.3%
Watsco Inc	WSO.N / WSO US	10%	✓	0.3%
Watts Water Technologies Inc	WTS.N / WTS US	15%	✓	0.3%
Wausau Paper Corporation	WPP.N / WPP US	20%	✓	0.3%
Wayfair Inc	W.N / W US	25%	✗	0.3%
Weatherford International PLC	WFT.N / WFT US	10%	✓	0.3%
Web.com Group Inc	WEB.O / WEB US	25%	✓	0.3%
WebMD Health Corporation	WBMD.O / WBMD US	10%	✓	0.3%
Webster Financial Corporation	WBS.N / WBS US	10%	✓	0.3%
Weight Watchers International Inc	WTW.N / WTW US	25%	☐	0.3%
Weingarten Realty Investors	WRI.N / WRI US	10%	✓	0.3%
Weis Markets Inc	WMK / WMK US	25%	✗	0.3%
WellCare Health Plans Inc	WCG.N / WCG US	10%	✓	0.3%
Wells Fargo & Company	WFC.N / WFC US	5%	✓	0.3%
Welltower Inc	HCN.N / HCN US	5%	✓	0.3%
Werner Enterprises Inc	WERN.O / WERN US	10%	✗	0.3%
WesBanco Inc	WSBC.O / WSBC US	25%	✓	0.3%
Wesco Aircraft Holdings Inc	WAIR.K / WAIR US	25%	✗	0.3%
West Bancorp. Inc	WTBA.O / WTBA US	25%	✗	0.3%
West Corporation	WSTC.O / WSTC US	15%	✗	0.3%
West Pharmaceutical Services Inc	WST.N / WST US	10%	✓	0.3%
WestRock Company	WRK / WRK US	25%	✓	0.3%
Westamerica Ban Corporation	WABC.O / WABC US	15%	✓	0.3%
Westar Energy Inc	WR.N / WR US	10%	✓	0.3%

Western Alliance Ban Corporation	WAL / WAL US	10%	✗	0.3%
Western Asset Claymore Inflation-Linked Securities & Income Fund	WIA / WIA US	10%	✗	0.3%
Western Asset Mortgage Capital Corporation	WMC / WMC US	25%	✓	0.3%
Western Digital Corporation	WDC.O / WDC US	5%	✓	0.3%
Western Gas Equity Partners LP	WGP / WGP US	10%	✓	0.3%
Western Gas Partners LP	WES.N / WES US	10%	✓	0.3%
Western Refining Inc	WNR.N / WNR US	10%	✓	0.3%
Westinghouse Air Brake Technologies Corporation	WAB.N / WAB US	10%	✓	0.3%
Westlake Chemical Corporation	WLK.N / WLK US	10%	✓	0.3%
Westlake Chemical Partners LP	WLKP.K / WLKP US	25%	✗	0.3%
Westmoreland Coal Company	WLB.O / WLB US	15%	☐	0.3%
Westpac Banking Corporation	WBK.N / WBK US	5%	✓	0.3%
Westport Innovations Inc	WPRT.O / WPRT US	10%	☐	0.3%
Westwood Holdings Group Inc	WHG / WHG US	25%	✓	0.3%
Weyerhaeuser Company	WY.N / WY US	5%	✓	0.3%
Whirlpool Corporation	WHR.N / WHR US	5%	✓	0.3%
White Mountains Insurance Group Limited	WTM.N / WTM US	15%	✓	0.3%
Whitestone REIT	WSR / WSR US	25%	✗	0.3%
Whiting Petroleum Corporation	WLL.N / WLL US	10%	✓	0.3%
Whole Foods Market Inc	WFM.O / WFM US	5%	✓	0.3%
Wi-Lan Inc	WILN.O / WILN US	25%	✗	0.3%
Willbros Group Inc	WG.N / WG US	25%	✓	0.3%
William Lyon Homes	WLH / WLH US	25%	✗	0.3%
Williams Companies Inc	WMB.N / WMB US	5%	✓	0.3%
Williams Partners LP	WPZ.N / WPZ US	10%	✓	0.3%
Williams-Sonoma Inc	WSM.N / WSM US	10%	✓	0.3%
Willis Towers Watson PLC	WLTW.O / WLTW US	10%	✓	0.3%
Wilshire Bancorp Inc	WIBC.O / WIBC US	25%	✗	0.3%
Windstream Holdings Inc	WIN.O / WIN US	10%	☐	0.3%
Winnebago Industries Inc	WGO.N / WGO US	15%	✓	0.3%
Winthrop Realty Trust	FUR.N / FUR US	25%	✓	0.3%
Wintrust Financial Corporation	WTFC.O / WTFC US	10%	✓	0.3%
WisdomTree Chinese Yuan Strategy Fund	CYB / CYB US	10%	✓	0.3%
WisdomTree Emerging Currency Strategy Fund	CEW.P / CEW US	10%	✓	0.3%
WisdomTree Europe Hedged Equity Fund	HEDJ.P / HEDJ US	10%	✗	0.3%
WisdomTree Europe SmallCap Dividend Fund	DFE / DFE US	10%	✓	0.3%
WisdomTree Global ex-US Utilities Fund	DBU / DBU US	10%	✓	0.3%
WisdomTree India Earnings Fund	EPI / EPI US	10%	✓	0.3%
WisdomTree Investments Inc	WETF.O / WETF US	20%	✓	0.3%
WisdomTree Japan Hedged Equity Fund	DXJ.P / DXJ US	10%	✓	0.3%
WisdomTree Japan Hedged Financials Fund	DXJF.P / DXJF US	25%	✗	0.3%
WisdomTree Japan SmallCap Dividend Fund	DFJ.P / DFJ US	15%	✓	0.3%
Wix.com Limited	WIX.O / WIX US	25%	✓	0.3%
Wolverine World Wide Inc	WWW.N / WWW US	10%	✓	0.3%
Woodward Inc	WWD.O / WWD US	10%	✓	0.3%
Workday Inc	WDAY.N / WDAY US	10%	✓	0.3%
World Acceptance Corporation	WRLD.O / WRLD US	10%	✗	0.3%
World Fuel Services Corporation	INT.N / INT US	10%	✓	0.3%
World Wrestling Entertainment Inc	WWE.N / WWE US	10%	☐	0.3%
Worthington Industries Inc	WOR.N / WOR US	10%	✓	0.3%
Wright Medical Group Inc	WMGI.O / WMGI US	10%	✗	0.3%
Wyndham Worldwide Corporation	WYN.N / WYN US	5%	✓	0.3%
Wynn Resorts Limited	WYNN.O / WYNN US	5%	✓	0.3%

XL Group PLC	XL.N / XL US	5%	✓	0.3%
XO Group Inc	XOXO.K / XOXO US	25%	✗	0.3%
XOMA Corporation	XOMA.O / XOMA US	15%	✗	0.3%
XPO Logistics Inc	XPO.N / XPO US	10%	☐	0.3%
Xcel Energy Inc	XEL.N / XEL US	5%	✓	0.3%
Xcerra Corporation	XCRA.O / XCRA US	25%	✗	0.3%
Xencor Inc	XNCR.O / XNCR US	25%	✗	0.3%
Xenia Hotels & Resorts Inc	XHR / XHR US	25%	✗	0.3%
Xenoport Inc	XNPT.O / XNPT US	25%	✗	0.3%
Xerox Corporation	XRX.N / XRX US	5%	✓	0.3%
Xilinx Inc	XLNX.O / XLNX US	5%	✓	0.3%
Xura Inc	MESG.O / MESG US	25%	✗	0.3%
Xylem Inc	XYL.N / XYL US	5%	✓	0.3%
YPF SA	YPF.N / YPF US	10%	✓	0.3%
YY Inc	YY.O / YY US	15%	✓	0.3%
Yadkin Financial Corporation	YDKN.K / YDKN US	25%	✓	0.3%
Yahoo! Inc	YHOO.O / YHOO US	5%	✓	0.3%
Yamana Gold Inc	AUY.N / AUY US	10%	✓	0.3%
Yandex NV	YNDX.O / YNDX US	10%	✓	0.3%
Yelp Inc	YELP.K / YELP US	15%	✓	0.3%
YieldShares High Income ETF	YYY / YYY US	25%	✓	0.3%
Yum! Brands Inc	YUM.N / YUM US	5%	✓	0.3%
ZELTIQ Aesthetics Inc	ZLTQ.O / ZLTQ US	15%	✗	0.3%
ZIOPHARM Oncology Inc	ZIOP.O / ZIOP US	20%	☐	0.3%
Zafgen Inc	ZFGN.O / ZFGN US	25%	✗	0.3%
Zayo Group Holdings Inc	ZAYO.K / ZAYO US	15%	✓	0.3%
Zebra Technologies Corporation	ZBRA.O / ZBRA US	10%	✓	0.3%
Zendesk Inc	ZEN.N / ZEN US	15%	✓	0.3%
Zillow Group Inc	Z.O / Z US	10%	✓	0.3%
Zillow Group Inc	ZG.O / ZG US	10%	✗	0.3%
Zimmer Biomet Holdings Inc	ZBH / ZBH US	5%	✓	0.3%
Zions Ban Corporation	ZION.O / ZION US	10%	✓	0.3%
Zix Corporation	ZIXI.O / ZIXI US	25%	✗	0.3%
Zoe's Kitchen Inc	ZOES.N / ZOES US	25%	✗	0.3%
Zoetis Inc	ZTS.N / ZTS US	5%	✓	0.3%
Zumiez Inc	ZUMZ.O / ZUMZ US	15%	✓	0.3%
Zynerba Pharmaceuticals Inc	ZYNE.O / ZYNE US	25%	✗	0.3%
Zynga Inc	ZNGA.O / ZNGA US	10%	✓	0.3%
athenahealth Inc	ATHN.O / ATHN US	25%	✓	0.3%
bluebird bio Inc	BLUE.O / BLUE US	25%	✗	0.3%
comScore Inc	SCOR.O / SCOR US	15%	✓	0.3%
eBay Inc	EBAY.O / EBAY US	5%	✓	0.3%
ePlus Inc	PLUS.O / PLUS US	15%	✗	0.3%
hhgregg Inc	HGG / HGG US	20%	✓	0.3%
iRobot Corporation	IRBT.O / IRBT US	10%	✓	0.3%
iShares 1-3 Year Credit Bond ETF	CSJ.P / CSJ US	10%	✓	0.3%
iShares 1-3 Year Treasury Bond ETF	SHY.P / SHY US	10%	✓	0.3%
iShares 20+ Year Treasury Bond ETF	TLT.O / TLT US	10%	✓	0.3%
iShares 7-10 Year Treasury Bond ETF	IEF.P / IEF US	10%	✓	0.3%
iShares California AMT-Free Muni Bond ETF	CMF / CMF US	10%	✗	0.3%
iShares China Large-Cap ETF	FXI.P / FXI US	10%	✓	0.3%
iShares Cohen & Steers REIT ETF	ICF.P / ICF US	10%	✓	0.3%
iShares Core S&P 500 ETF	IVV.P / IVV US	10%	✓	0.3%
iShares Core S&P Small-Cap ETF	IJR.P / IJR US	10%	✗	0.3%

iShares Core U.S. Aggregate Bond ETF	AGG.P / AGG US	10%	✓	0.3%
iShares Currency Hedged MSCI EAFE ETF	HEFA.P / HEFA US	10%	✗	0.3%
iShares Currency Hedged MSCI Emerging Markets ETF	HEEM.K / HEEM US	25%	✗	0.3%
iShares Currency Hedged MSCI Eurozone ETF	HEZU.P / HEZU US	10%	✗	0.3%
iShares Currency Hedged MSCI Japan ETF	HEWJ.K / HEWJ US	10%	✓	0.3%
iShares Europe ETF	IEV.P / IEV US	10%	✓	0.3%
iShares Global 100 ETF	IOO.P / IOO US	10%	✓	0.3%
iShares Global Energy ETF	IXC.P / IXC US	10%	✓	0.3%
iShares Global Financials ETF	IXG.P / IXG US	10%	✓	0.3%
iShares Global Healthcare ETF	IXJ.P / IXJ US	10%	☒	0.3%
iShares Global Industrials ETF	EXI.P / EXI US	10%	☒	0.3%
iShares Global Infrastructure ETF	IGF.P / IGF US	10%	✓	0.3%
iShares Global Telecom ETF	IXP.P / IXP US	10%	✓	0.3%
iShares Global Timber & Forestry ETF	WOOD.O / WOOD US	10%	✓	0.3%
iShares Gold Trust	IAU.P / IAU US	10%	✗	0.3%
iShares India 50 ETF	INDY.O / INDY US	10%	✗	0.3%
iShares Intermediate Credit Bond ETF	CIU.P / CIU US	10%	✓	0.3%
iShares J.P. Morgan USD Emerging Markets Bond ETF	EMB / EMB US	10%	✓	0.3%
iShares Latin America 40 ETF	ILF.P / ILF US	10%	✓	0.3%
iShares MSCI ACWI ETF	ACWI.O / ACWI US	10%	✓	0.3%
iShares MSCI ACWI ex U.S. ETF	ACWX.O / ACWX US	10%	✓	0.3%
iShares MSCI All Country Asia ex Japan ETF	AAXJ.O / AAXJ US	15%	✓	0.3%
iShares MSCI All Country World Minimum Volatility ETF	ACWV.P / ACWV US	10%	✓	0.3%
iShares MSCI All Peru Capped ETF	EPU.P / EPU US	15%	✓	0.3%
iShares MSCI Australia ETF	EWA.P / EWA US	10%	✓	0.3%
iShares MSCI Austria Capped ETF	EWO.P / EWO US	25%	✗	0.3%
iShares MSCI BRIC ETF	BKF.P / BKF US	25%	✗	0.3%
iShares MSCI Brazil Capped ETF	EWZ.P / EWZ US	10%	✓	0.3%
iShares MSCI Brazil Small-Cap ETF	EWZS.O / EWZS US	25%	✗	0.3%
iShares MSCI Canada ETF	EWC.P / EWC US	10%	✓	0.3%
iShares MSCI Chile Capped ETF	ECH / ECH US	10%	✓	0.3%
iShares MSCI China ETF	MCHI / MCHI US	25%	✓	0.3%
iShares MSCI EAFE ETF	EFA.P / EFA US	10%	✓	0.3%
iShares MSCI EAFE Minimum Volatility ETF	EFAV.P / EFAV US	10%	✓	0.3%
iShares MSCI Emerging Markets ETF	EEM.P / EEM US	10%	✓	0.3%
iShares MSCI Europe Financials ETF	EUFN.O / EUFN US	10%	✗	0.3%
iShares MSCI Eurozone ETF	EZU.P / EZU US	10%	✗	0.3%
iShares MSCI France ETF	EWQ / EWQ US	10%	✗	0.3%
iShares MSCI Frontier 100 ETF	FM / FM US	10%	✗	0.3%
iShares MSCI Germany ETF	EWG.P / EWG US	10%	✓	0.3%
iShares MSCI Global Metals & Mining Producers ETF	PICK.P / PICK US	25%	✗	0.3%
iShares MSCI Hong Kong ETF	EWH.P / EWH US	10%	✓	0.3%
iShares MSCI Indonesia ETF	EIDO.P / EIDO US	10%	✗	0.3%
iShares MSCI Italy Capped ETF	EWI.P / EWI US	10%	✓	0.3%
iShares MSCI Japan ETF	EWJ.P / EWJ US	10%	✓	0.3%
iShares MSCI Malaysia ETF	EWM.P / EWM US	10%	✓	0.3%
iShares MSCI Mexico Capped ETF	EWV.P / EWV US	10%	✓	0.3%
iShares MSCI Pacific ex Japan ETF	EPP.P / EPP US	10%	✓	0.3%
iShares MSCI Poland Capped ETF	EPOL.P / EPOL US	10%	✓	0.3%
iShares MSCI Russia Capped ETF	ERUS.P / ERUS US	25%	✓	0.3%
iShares MSCI Singapore ETF	EWS.P / EWS US	10%	✓	0.3%
iShares MSCI South Africa ETF	EZA.P / EZA US	10%	✓	0.3%
iShares MSCI South Korea Capped ETF	EWY.P / EWY US	10%	✓	0.3%
iShares MSCI Spain Capped ETF	EWP / EWP US	10%	✗	0.3%

iShares MSCI Sweden ETF	EWD.P / EWD US	10%	✓	0.3%
iShares MSCI Switzerland Capped ETF	EWL.P / EWL US	10%	✗	0.3%
iShares MSCI Taiwan ETF	EWT.P / EWT US	10%	✓	0.3%
iShares MSCI Thailand Capped ETF	THD / THD US	10%	✓	0.3%
iShares MSCI Turkey ETF	TUR.P / TUR US	10%	✓	0.3%
iShares MSCI USA Minimum Volatility ETF	USMV.P / USMV US	10%	✗	0.3%
iShares MSCI USA Momentum Factor ETF	MTUM.K / MTUM US	10%	✓	0.3%
iShares MSCI United Kingdom ETF	EWU.P / EWU US	10%	✗	0.3%
iShares Micro-Cap ETF	IWC.P / IWC US	10%	✓	0.3%
iShares Mortgage Real Estate Capped ETF	REM.P / REM US	10%	✓	0.3%
iShares Nasdaq Biotechnology ETF	IBB.O / IBB US	10%	✓	0.3%
iShares National AMT-Free Muni Bond ETF	MUB / MUB US	10%	✗	0.3%
iShares North American Natural Resources ETF	IGE.P / IGE US	10%	✓	0.3%
iShares North American Tech-Multimedia Networking ETF	IGN.P / IGN US	25%	✗	0.3%
iShares PHLX Semiconductor ETF	SOXX.O / SOXX US	25%	✓	0.3%
iShares Residential Real Estate Capped ETF	REZ.P / REZ US	10%	✓	0.3%
iShares Russell 1000 Growth ETF	IWF.P / IWF US	10%	✗	0.3%
iShares Russell 1000 Value ETF	IWD / IWD US	10%	✓	0.3%
iShares Russell 2000 ETF	IWM.P / IWM US	10%	✓	0.3%
iShares Russell 2000 Growth ETF	IWO.P / IWO US	10%	✓	0.3%
iShares Russell 3000 ETF	IWV.P / IWV US	15%	✓	0.3%
iShares Russell Mid-Cap ETF	IWR / IWR US	25%	✓	0.3%
iShares S&P 100 ETF	OEF.P / OEF US	10%	✗	0.3%
iShares S&P GSCI Commodity-Indexed Trust	GSG.P / GSG US	10%	✓	0.3%
iShares S&P Mid-Cap 400 Value ETF	IJJ.P / IJJ US	10%	✓	0.3%
iShares Select Dividend ETF	DVY.P / DVY US	10%	✓	0.3%
iShares Short Treasury Bond ETF	SHV.P / SHV US	10%	✗	0.3%
iShares Silver Trust	SLV.P / SLV US	10%	✓	0.3%
iShares TIPS Bond ETF	TIP.P / TIP US	10%	✓	0.3%
iShares Transportation Average ETF	IYT.P / IYT US	10%	✓	0.3%
iShares U.S. Aerospace & Defense ETF	ITA.P / ITA US	10%	✗	0.3%
iShares U.S. Basic Materials ETF	IYM.P / IYM US	10%	✓	0.3%
iShares U.S. Broker-Dealers & Securities Exchanges ETF	IAI.P / IAI US	10%	✓	0.3%
iShares U.S. Consumer Goods ETF	IYK.P / IYK US	10%	✓	0.3%
iShares U.S. Consumer Services ETF	IYC.P / IYC US	10%	✓	0.3%
iShares U.S. Energy ETF	IYE.P / IYE US	10%	✓	0.3%
iShares U.S. Financial Services ETF	IYG.P / IYG US	10%	✓	0.3%
iShares U.S. Financials ETF	IYF.P / IYF US	10%	✓	0.3%
iShares U.S. Healthcare ETF	IYH.P / IYH US	10%	✓	0.3%
iShares U.S. Healthcare Providers ETF	IHF.P / IHF US	10%	✓	0.3%
iShares U.S. Home Construction ETF	ITB.P / ITB US	10%	✓	0.3%
iShares U.S. Industrials ETF	IYJ.P / IYJ US	10%	✓	0.3%
iShares U.S. Insurance ETF	IAK.P / IAK US	10%	✓	0.3%
iShares U.S. Medical Devices ETF	IHI.P / IHI US	10%	✓	0.3%
iShares U.S. Oil & Gas Exploration & Production ETF	IEO.P / IEO US	10%	✓	0.3%
iShares U.S. Oil Equipment & Services ETF	IEZ.P / IEZ US	10%	✓	0.3%
iShares U.S. Pharmaceuticals ETF	IHE.P / IHE US	10%	✓	0.3%
iShares U.S. Preferred Stock ETF	PFF.P / PFF US	10%	✓	0.3%
iShares U.S. Real Estate ETF	IYR.P / IYR US	10%	✓	0.3%
iShares U.S. Regional Banks ETF	IAT.P / IAT US	10%	✓	0.3%
iShares U.S. Technology ETF	IYW.P / IYW US	10%	✓	0.3%
iShares U.S. Utilities ETF	IDU.P / IDU US	10%	✓	0.3%
iShares iBoxx \$ High Yield Corporate Bond ETF	HYG.P / HYG US	10%	✓	0.3%

iShares iBoxx \$ Investment Grade Corporate Bond ETF	LQD.P / LQD US	10%	✓	0.3%
iStar Inc	STAR.K / STAR US	10%	✓	0.3%
inContact Inc	SAAS.O / SAAS US	15%	✗	0.3%
j2 Global Inc	JCOM.O / JCOM US	10%	✓	0.3%
magicJack VocalTec Limited	CALL.O / CALL US	20%	✓	0.3%
pdvWireless Inc	PDVW.O / PDVW US	25%	✗	0.3%
salesforce.com Inc	CRM.N / CRM US	5%	✓	0.3%
the Rubicon Project Inc	RUBI.K / RUBI US	25%	✓	0.3%
uniQure NV	QURE.O / QURE US	25%	✗	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Important note: The column 'Can go short?' only indicates whether there are currently any factors (of which we are aware) prohibiting short positions on the share concerned. There is no guarantee that you will be able to go short of any share cited. In addition, there may be an extra charge (a borrowing charge) which will be included in the interest adjustment applied to your position. To determine whether a charge applies, call our dealers in advance of trading. The ability to borrow and the cost of borrowing stock can be changed at short notice.

If you cannot find the market you are looking for, please call our dealing desk (on +44 20 7896 0000) and we will normally be able to add it for you in a matter of minutes

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader